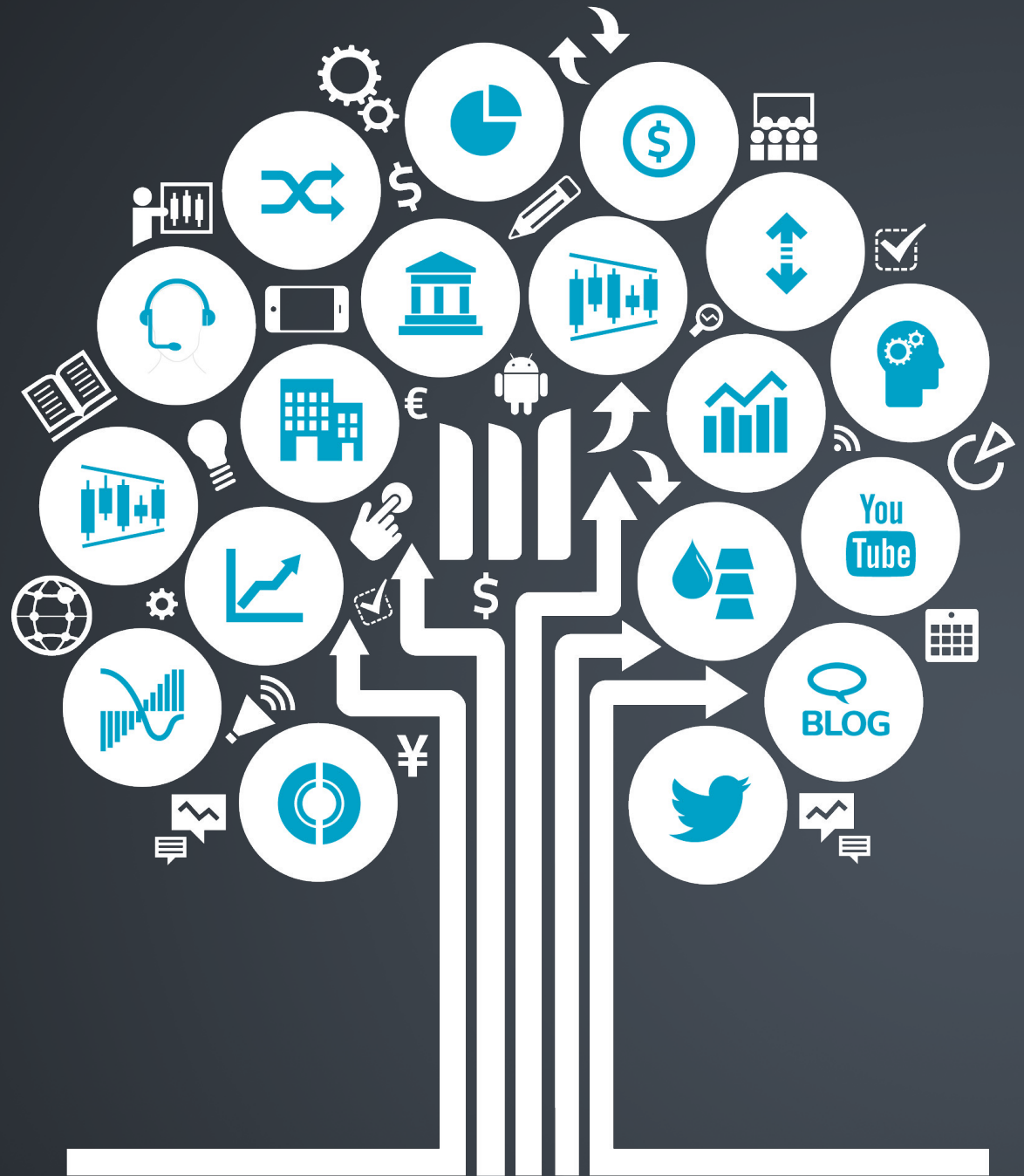


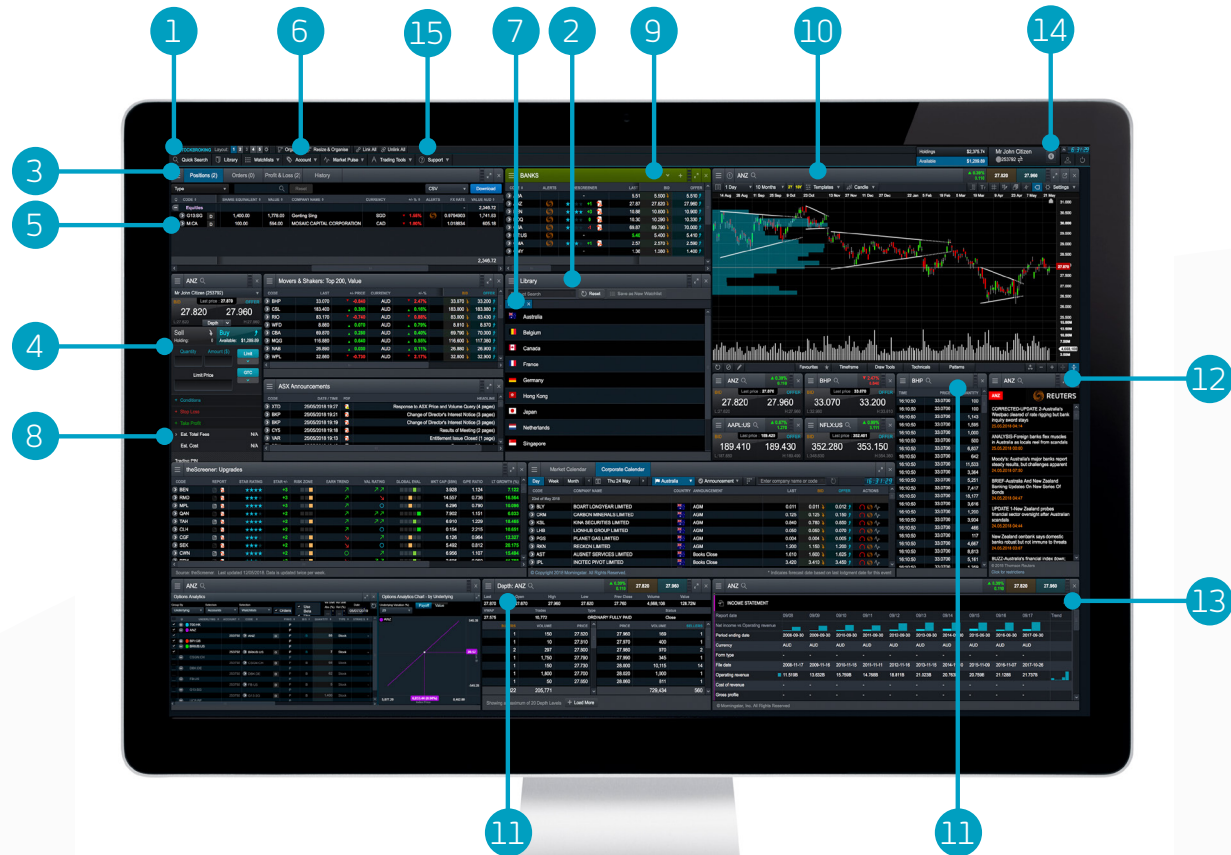
This concise guide has been put together to help you quickly familiarise yourself with the many features and tools available on the CMC Markets Stockbroking Pro platform.



# CMC Markets Stockbroking Pro platform

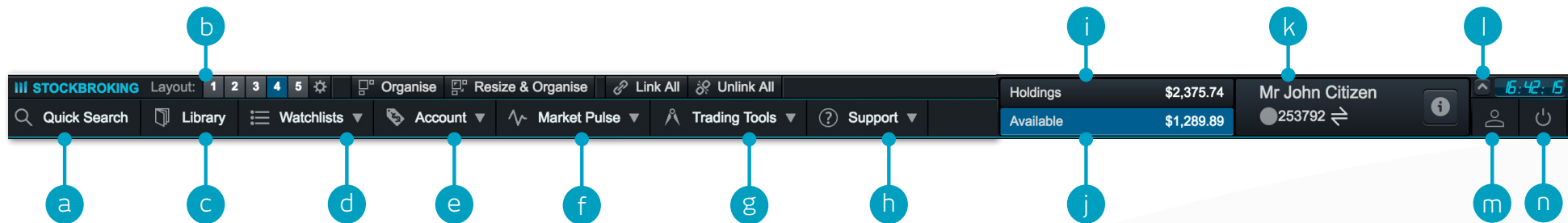
This quick guide will help you get to know the following features:

1. Navigation tool bar and account
2. Product library
3. Dropdown menu navigation
4. Placing an order
5. Your Account Module
6. Your Options Account
7. International share trading
8. Margin loan
9. Watchlists
10. Advanced charting
11. Stock-specific market information
12. Module linking
13. Market pulse and trading tools
14. Settings
15. Handy hints



# 1. Navigation toolbar and account

Access our advanced tools and features, switch layouts, set preferences and monitor your account



- a. Enter a stock code or name in the 'Quick Search' field
- b. Switch between different saved screen layouts. Click the settings icon to access further layout options.
- c. Open the product library to search for and filter the products you want to trade or invest in
- d. Open an existing watchlist or create a new one
- e. Manage your existing positions and orders, view your trading history, confirmations, statements and access the Options analytics tool
- f. Get the latest market information with our market pulse tools, including ASX announcements and Reuters news
- g. Access a range of our trading tools, including a number of alerts to help you identify trading opportunities
- h. Access our standard platform, account forms, platform tour videos and user guides, contact details and terms of use.
- i. The current value of your holdings
- j. Funds available to place an order
- k. Your currently selected account number and name. Click here to select a different trading account
- l. Hide the account value details
- m. Access preferences and settings
- n. Securely log out of the platform

## 2. Product library

Use the search and filter functions in the product library to find the products you want to trade

- Type in the name of the product you want to search for
- Filter by the class of an instrument, including Equities, Index constituents, Warrants and Interest Rate Securities
- Filter products by sector, industry group, derivative issuer or series
- Click on a product's bid or offer price to open an order ticket
- For international shares, we have included a new country filter when selecting Equities

The image shows three screenshots of the 'Library' window in the Stockbroking Pro Platform, illustrating the search and filter functions. Each screenshot is annotated with a letter in a blue circle.

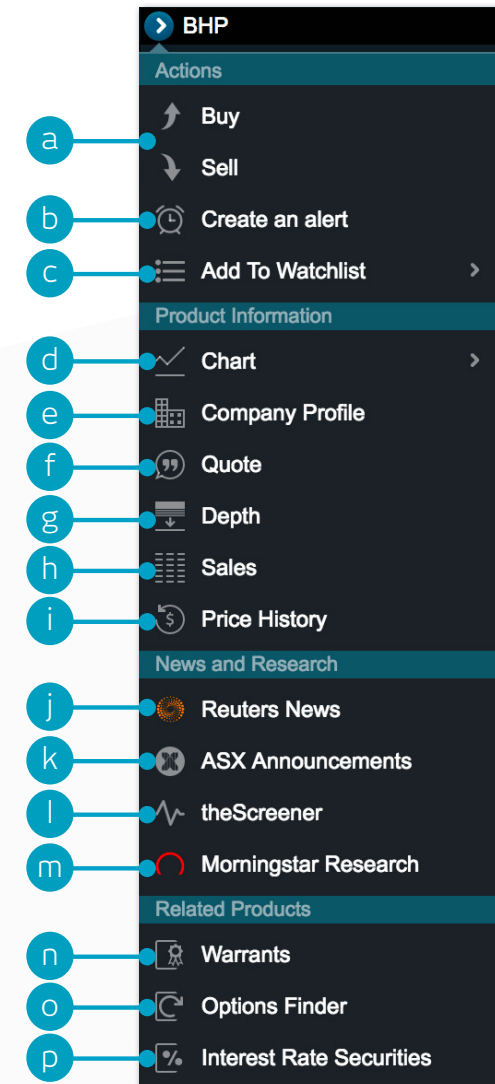
- Screenshot a:** Shows the 'Library' window with the 'Product Search' tab selected. The search bar is empty. The 'Reset' button is visible. The 'Equities (17630)' section is highlighted, showing a description of equities.
- Screenshot b:** Shows the 'Library' window with the 'Product Search' tab selected. The search bar is empty. The 'Reset' button is visible. The 'Equities (17630)' section is highlighted, showing a description of equities.
- Screenshot c:** Shows the 'Library' window with the 'Equities' tab selected. The 'Australia' filter is active. The 'Sector' dropdown menu is open, showing a list of sectors including Consumer Discretionary (120), Utilities (23), Others (3130), Materials (471), Financial (216), Telecommunication Services (23), Consumer Staple (42), Energy (160), Health Care (104), and others. The 'JIP' product is highlighted in the list.
- Screenshot d:** Shows the 'Library' window with the 'Equities' tab selected. The 'Australia' filter is active. The 'JIP' product is highlighted in the list. The 'Bid' and 'Offer' prices are visible for the selected product.
- Screenshot e:** Shows the 'Library' window with the 'Equities' tab selected. The 'Country' filter is active, showing a list of countries including Australia, Belgium, Canada, France, Germany, Hong Kong, Japan, Netherlands, Singapore, Switzerland, UK, and US.



### 3. Dropdown menu navigation

Click the dropdown menu icon next to a product name to unlock product-specific options

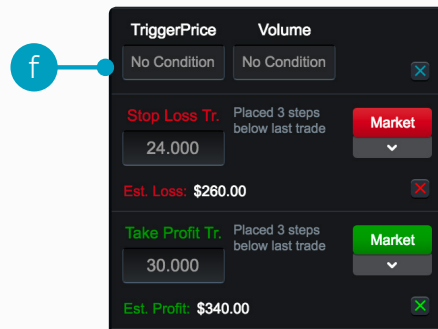
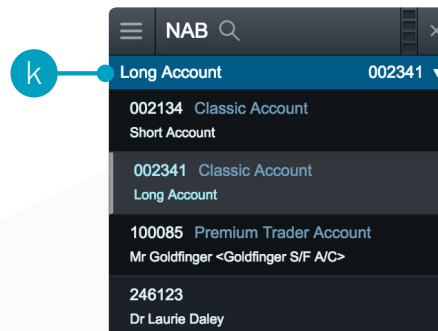
- a. Open a new order ticket for the product
- b. Create a new alert for the product
- c. Add this product to one of your watchlists or create a new one
- d. Open a new chart for the product
- e. View important general information on the company selected
- f. View a quote panel
- g. Open a depth window for the product
- h. Open a course of sales window for the product
- i. View the full price history for the product
- j. View Reuters news articles related to the company
- k. View recent ASX announcements issued by the company
- l. View fundamental research from theScreener
- m. View Morningstar's Quantitative Equity Research report
- n. View all related Warrants for the product by expiry, type and strike
- o. View Options Finder for this product. This drop down menu is applied across all traders using ETOs, Equity ETOs and ETF eto's
- p. View all Interest Rate Securities associated with the product



# 4. Place an order

Click any buy or sell price on the platform to launch an order ticket

- View the last traded price, current bid and offer prices, as well as depth or course of sales on the order ticket
- Click on the bid, offer or last price to pre-fill the 'Limit Price' field
- Click the buy or sell button to change the order type
- Enter the quantity or \$ amount you wish to buy or sell
- Select the order instructions – choose between 'Limit', or 'Market'
- Add an optional condition to the order, e.g. buy if the price is at or below \$x.xx or Volume traded for the day reaches a certain level or the stock has traded a minimum volume. For Volume based conditions, we have moved the Conditions entry field into a separate section of the order ticket
- Select an expiry – 'Good Till Cancelled' (GTC), 'Day Only', or a specific date
- Add a stop loss order to protect against adverse price movements
- Add a take profit so that you can automatically exit your position when an advantageous price is reached
- Stop loss and take profit orders can be 'limit', 'market', or 'trailing'
- Account selector - If multiple accounts are attached to a log in, then when you select the drop down menu in the top left corner, a new drop down appears at the top of the order ticket
- View the estimated brokerage including fees and total cost of the order (buy trade), or total return (sell trade)



# 5. Your Account Module

## 5.1 Positions

The screenshot shows the 'Positions' tab of the Stockbroking Pro Platform. Callouts are as follows:

- a**: Hamburger menu icon in the top left corner.
- b**: Tab bar at the top containing 'Positions (2)', 'Orders (0)', 'Profit & Loss (2)', and 'History'.
- c**: Dropdown arrow next to the 'Type' filter.
- d**: Column headers for trade and pricing information: '+/- PRICE', 'LAST PRICE', and 'AVAILABLE TO TRADE'.
- e**: 'THESCREENER' column header.
- f**: 'QUANTITY' column header.

Type	CODE	+/- PRICE	LAST PRICE	AVAILABLE TO TRADE	DESCRIPTION	THESCREENER	STRIKE	TYPE	BUY/SELL	QUANTITY	DELTA	SHARE EQUIVALENT
Equities												
	G13:SG	D	-0.020	1.270	1,400	G13		Stock	B	1,400	1.000	1,400.00
	M:CA	D	-0.060	5.940	100	M		Stock	B	100	1.000	100.00

## c Context menu

The context menu is displayed on the right side of the screen. It is organized into several sections:

- Actions**
  - Buy
  - Sell
  - Create an alert
  - Add To Watchlist
- Product Information**
  - Chart
  - Company Profile
  - Quote
  - Depth
  - Sales
  - Price History
- News and Research**
  - Reuters News
  - ASX Announcements
  - theScreener
  - Morningstar Research
- Related Products**
  - Warrants
  - Options Finder
  - Interest Rate Securities

- a. Change table settings, add, remove or rearrange data columns
- b. Switch between the 'Positions', 'Orders', 'Profit & Loss', 'Profit & Loss' and 'History' tabs
- c. View the product's context menu
- d. Trade and pricing information
- e. Market data from theScreener
- f. Number of units held

## Manage your existing positions and pending orders, and view your entire account history

### 5.2 Orders

- The status of your order
- Quantity that has been filled, or that is unfilled and still on the market
- Stop loss and take profit instructions
- Modify or cancel the order via the buttons

The screenshot shows the 'Orders (73)' tab. Callout 'a' points to the 'STATUS' column. Callout 'b' points to the 'FILLED' column. Callout 'c' points to the 'S / L TRIGGER' column. Callout 'd' points to the 'Download' button.

CODE	LAST	STRIKE	ORDER TYPE	ORDER QUANTITY	STATUS	TYPE	BUY/SELL	FILLED	S / L TRIGGER	ORDER PRICE	VAL	BID	OFFER	ACTIONS
CBA	68.110		Limit Buy	10	Processing	Call	B	0		1.220	12	0.000	0.000	+
CBA	68.110		Limit Buy	666	Amending	Stock	B	0		65.000	65	65.000	75.000	+
CBA	68.110		Limit Buy	100	Waiting(Q)	Stock	B	0		6.000	3,994	65.000	75.000	+
CBA	68.110		Cond Sell	5	Active	Stock	S	0	\$ 66.000	100,000	10,000	65.000	75.000	+
CBA	68.110		Limit Buy	12	Open	Stock	B	0		65.000	78	65.000	75.000	+
CBA	68.110		Cond Sell	3	Active	Stock	S	0		65.000	65.000	75.000	+	
CBA	68.110		Limit Buy	20	Waiting(Q)	Stock	B	0		80.000	1,600	65.000	75.000	+
CBA	68.110		Limit Buy	12	Waiting(Q)	Stock	S	0		123.450	-1,48	65.000	75.000	+
CBA	68.110		Limit Sell	100	Open	Stock	B	0		64.420	6,44	65.000	75.000	+
CBA	68.110		Cond Buy	22	Waiting(Q)	Stock	B	0		81.750	1,78	65.000	75.000	+

On the right, a mobile app interface for AAPL:US shows a 'Buy' button and a 'Place Buy Order' button.

### 5.3 Profit & loss

View and edit the quantity and average entry price of all holdings and see real-time profit or loss data for all your holdings.

CODE	ALERTS	COMPANY NAME	LAST	+/- PRICE	+/- %	UNITS HELD	NET AVERAGE PRICE	COST	MARKET VALUE	MKT VALUE	DAY GAIN	P&L
900:HK	D	AEON CREDIT	6.560	▲ 0.010	▲ 0.15%	100,000	6.132	613,215.00	656,000.00			
900:HK	NA	-	-	-	-	36,000	6.04	217,530.72	-			
990:HK	NA	-	-	-	-	1,300,000	0.20	268,359.00	-			
990:HK	NA	-	-	-	-	10,000	14.24	142,425.00	-			
A:NYSE	NA	-	-	-	-	1,696	37.45	63,529.39	-			
AA:NYSE	NA	-	-	-	-	3,966	51.21	203,129.63	-			
AAC:NYSE	NA	-	-	-	-	100	20.26	2,026.55	-			
AAPL:US	D	Apple Inc	186.870	▼ -0.630	▼ 0.33%	520	10.000	5,200.00	97,172.40			
AAT:NYSE	NA	-	-	-	-	100	9.56	956.00	-			
ABCD:NAS	NA	-	-	-	-	1,000	5.81	5,810.44	-			
ANZ	-	ANZ BANK FPO [ANZ]	27.660	▼ -0.070	▼ 2.01%	500,000	4.200	2,100,000.00	13,830,000.00	-285,000.00	11,730,000.00	
ASX	-	ASX FPO [ASX]	60.480	▼ -0.030	▼ 0.04%	20,000	4.200	84,000.00	-			

### 5.4 History

This module provides you with a line-by-line history of all orders, as well as any online receipts or invoices and alerts. Click the pop-out icon to view the full details of an entry.

CODE	DETAILS	RECEIPT ID	CREATED	ORDER TYPE	STATUS	QUANTITY	PRICE	EXPIRY	BROKERAGE	VALUE	ACTIONS
CBA		1808500001	26/03/2018 10:02	Buy	Filled	10	85.750	GTC	11.00	868.50	+
CBA		1808500001	26/03/2018 09:00	Buy	Order Opened	10	85.750	GTC	-	-	+
CBA		1808500001	26/03/2018 09:00	Buy	Order Placed	10	85.750	GTC	-	-	+
BHP		1805800144	15/03/2018 14:14	Buy	Finished	17	30.000	GTC	-	-	+
BHP		1805800144	15/03/2018 14:14	Buy	Filled	17	30.000	GTC	11.00	521.00	+
BHP		1805800139	15/03/2018 14:14	Buy	Finished	40	39.000	GTC	-	-	+
BHP		1805800139	15/03/2018 14:14	Buy	Filled	20	39.000	GTC	-	780.00	+
BHP		1805800139	14/03/2018 13:27	Buy	Partial Filled	10	39.000	GTC	-	390.00	+
TLS		1805800141	08/03/2018 15:56	Buy	Finished	75	52.000	GTC	-	-	+

## 5.5 Transfer funds

Request funds transfer between trading accounts, or to external linked bank accounts. Simply select 'Account' then 'Transfer Funds', this module will then open in our standard platform

### Transfer Funds

[Transfer Funds](#) [How to Deposit](#) [How to Withdraw](#) [External Bank Account Setup](#)

[Create a funds transfer request](#)

Use this form to request a transfer of funds between share accounts, or to a nominated external bank account.

We require you to keep a minimum balance of \$2 in your account.

If you have not previously linked an external bank account to your trading account, or you would like to setup another, please use our [Direct Credit Authority Setup page](#)

Your balance available to withdraw does not include funds from unsettled sale transactions, and this may differ to your available to trade balance.

From: **654321 - Mr. John Citizen**

To: **-- Select account --**

Amount: **\$**

Trading PIN:  [Forgotten PIN?](#)

[Request Transfer](#)

**Financial summary:**

Bank Balance:	\$59,456
Unsettled & Open buys:	\$0.00
Available to Withdraw:	\$59,456

Fund transfers between share accounts are generally processed within 1 business day. Transfers to external accounts can take up to 3 business days. Online balances will not be updated until the transfer is processed. In some cases, we may need to contact you to confirm some details of this transfer before it can be finalised.

## 5.6 Confirmations

View and download all options trade confirmations by selecting 'Account' and then 'Options confirmations'. This module will then open in our standard platform

### Confirmations

Filter By Timeframe: **This Financial Year** [CSV](#) [Download](#)

CODE	CONFIRMATION #	ORDER TYPE	TRADE DATE	SETTLEMENT DATE	PRICE	QUANTITY	BROKERAGE	GST
▶ AAL:US	1963158	Buy	09/01/2018	11/01/2018	51.4000	100	29.95	0.00
▶ 1301:JP	1963235	Buy	19/01/2018	23/01/2018	68.3235	20	9.00	0.00
▶ NAB	1963490	Buy	23/03/2018	27/03/2018	7.5000	30	0.00	0.00

## 5.7 Statements

View and download full trading account by selecting 'Account' then 'Statements'

### Trading Account Statement

[Cash Transaction Summary](#)

Filter By Timeframe: **Last 4 Weeks** [PDF](#) [Download](#)

Open Balance +/- \$: **-968.60** Close Balance +/- \$: **0.00** Total Debit +/- \$: **6,850.72** Total Credit +/- \$: **5,882.12**

DATE	REFERENCE	TYPE	VALUE +/- \$	BALANCE +/- \$	DESCRIPTION
25/05/2018	5581700	RG	963.58	0.00	Wdl SUNCORP 123-456 452799162 5551648
25/05/2018	5581696	RG	963.23	963.58	Wdl SUNCORP 123-456 452799162 5549577
24/05/2018	4410385	PG	-59.95	1,926.81	Dep SUNCORP 123-456 452799162
24/05/2018	4410381	PG	-740.05	1,866.86	Dep SUNCORP 123-456 452799162 5545357
24/05/2018	4410377	PG	-821.53	1,126.81	Dep SUNCORP 123-456 452799162 5546973
23/05/2018	109273	JG	59.95	305.28	JNL109273 Free Trade. Ref:5551648
23/05/2018	5551648	CB	-963.58	365.23	Bght 700 G13:SG @ 1.2908
23/05/2018	5550806	CR	969.58	-598.35	Reverse of Contract 5548466 G13:SG



## 6. Your options account

Locate the options finder tool by selecting from the dropdown next to a stock code. This tool allows you to filter and build out your options strategies.

The screenshot shows the Options Finder interface for the stock ANZ. Callouts are as follows:

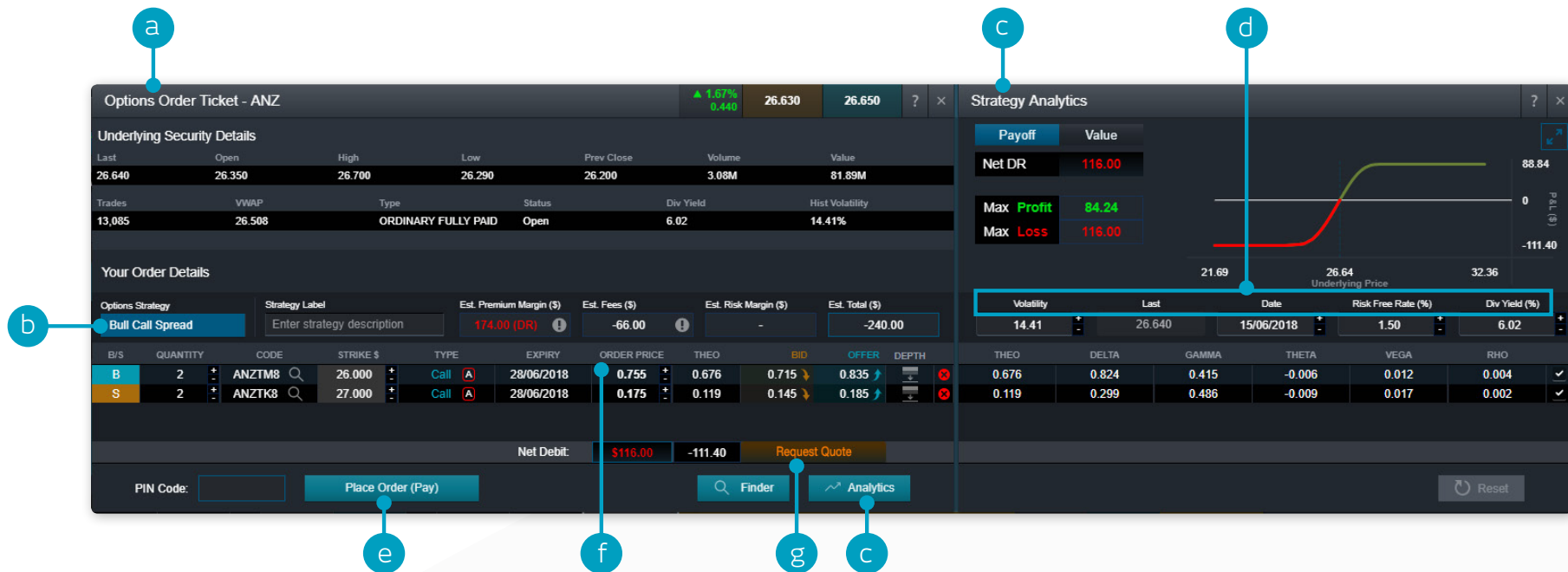
- a**: Points to the 'Options Finder' dropdown menu.
- b**: Points to the 'Long Call' strategy selection.
- c**: Points to the 'Expiry' date selector.
- d**: Points to the 'Type' selector (American/European).
- e**: Points to the 'Strikes' dropdown.
- f**: Points to the 'Refresh' button.
- g**: Points to the 'Strike Price' field.
- h**: Points to the 'Contracts' field.
- i**: Points to the 'Include Underlying' checkbox.
- j**: Points to the 'Est. Premium' field.
- k**: Points to the 'Trade Strategy' button.
- l**: Points to the underlying quote bar showing dividend yield, historical volatility, and volume volatility.
- m**: Points to the 'Calls' tab.
- n**: Points to the 'Puts' tab.

The Actions sidebar menu includes the following items:

- Buy
- Sell
- Create an alert
- Add To Watchlist
- Product Information
  - Chart
  - Company Profile
  - Quote
  - Depth
  - Sales
  - Price History
- News and Research
  - Reuters News
  - ASX Announcements
  - theScreener
  - Morningstar Research
- Related Products
  - Warrants
  - Options Finder
  - Interest Rate Securities

- a. Enter the stock code to the underlying options security
- b. Select from the list of pre-determined strategies
- c. Select the expiry date from the menu
- d. Select between American or European options
- e. Select the number of strikes you want to display
- f. You can refresh your settings with one click
- g. Strike price is displayed
- h. Enter number of contracts you wish to trade
- i. If trading a Buy write, the underlying stock price will be displayed
- j. Your estimated premium will display
- k. Confirm your strategy by clicking 'Trade Strategy'
- l. View underlying quote bar including dividend yield, historical and volume volatility
- m. View selected 'Calls'
- n. View selects 'Puts'

When placing an options trade, use the real time pay off diagram to help assess the strategy. Once your strategy is complete, you can place a trade using the same module.



- After selecting your strategy on the options finder tool you will be able to place a trade
- Your strategy will display on the order ticket
- Use the real time pay off diagram to analyse your chosen strategy
- Change the variable fields (Volatility, Date, Risk Free Rate and Div. Yield) to assess the strategy
- Once you've completed the setup of your strategy, click 'Place order'
- Amend order price clicking on arrows will move the price by one tick
- Ability to get a quote request from market makers (RFG)

# 7. International share trading

Click any buy or sell price on the platform to launch the order ticket

- a. Enter the international stock you want to trade
- b. Click the buy or sell button to change the order type
- c. Enter the quantity you wish to buy or sell
- d. Select the order instructions - 'Limit price'
- e. Select an expiry for your order - 'Day Only'
- f. Estimated fees, click to expand
- g. FX buffer rate – this is applied to buy orders only at the time of placement to protect against currency movements. Any excess buffer will be unlocked on order execution
- h. Enter trading PIN to place your order

## Order instructions:

**Limit price**- A maximum price on a buy order or a minimum price on a sell order. If the market doesn't reach the set price, the order won't be executed

## Expiry definitions:

**Day Only** – This type of order will expire at the end of the day if it has not filled

The screenshot shows the order ticket for AAPL:US. The interface includes a header with the stock symbol and a search icon. Below this, the user's name 'Mr John Citizen (253792)' is displayed. The current market price is shown as 189.470 (bid) and 189.480 (offer). The order type is set to 'Limit'. The quantity is 4, and the amount is \$1,005.86. The limit price is set to 189.220. The expiry is set to 'Day Only'. The estimated total fees are \$19.95, and the FX buffer is \$20.52. The estimated cost is \$1,046.33. A trading PIN field is at the bottom, followed by a 'Place Buy Order' button.

a. AAPL:US

b. Sell / Buy

c. Quantity

d. Amount (\$)

e. Limit Price

f. Est. Total Fees

g. Fx Buffer

h. Trading PIN

# 8. Margin Loan

Trade online in real-time using a margin loan from a linked margin lending account

Your margin loan is fully accessible on the Pro platform. You can view your current loan details, investments and collateral, as well as trade online with authorisation from your lender provided in real-time.

- a. When you select an account with margin loans enabled, you can access the 'Margin loans' module from the Account menu
- b. Key loan details are provided at the top of the module. This data is provided in real-time by your lender
- c. View a list of current investments, with lending values and ratios
- d. View any collateral lodged with your lender
- e. View details of managed funds held on your loan
- f. View examples of your borrowing power at different lending ratios

The screenshot shows the 'Margin Loans' module interface. The sidebar menu on the left has 'Account' expanded, with 'Margin Loans' selected. The main panel displays the following information:

- Investments Tab:** Shows a table of current investments with columns: CODE, DESCRIPTION, PRICE (\$), UNITS, MKT VALUE (\$), LEND. RATIO (%), and LEND. VALUE.
- Loan Summary:** Displays key loan details at the top, including Total Market Value, Available Funds, Fixed Loan, Variable Loan, Gearing Ratio, Cash, Lending Value, HIN, Amount Borrowed, Unsettled Equity Trades, Holder Name, and Provider.
- Borrowing Power:** At the bottom, it shows the Available Funds and a calculation for the maximum investment capacity based on a selected lending ratio of 70%.

CODE	DESCRIPTION	PRICE (\$)	UNITS	MKT VALUE (\$)	LEND. RATIO (%)	LEND. VALUE
BEN	Bendigo & Adel. Bank	13.00	900	11,700.00	75	8,775.00
MGE	Magellan Global Eqt	2.69	5,000	13,450.00	65	8,742.50
NAB	National Aust Bank	33.91	324	10,986.84	75	8,240.13
QUB	Qube Holdings Ltd	2.44	5,000	12,200.00	65	7,930.00
TLS	Telstra Corp Ltd	6.39	1,500	9,585.00	80	7,668.00
WOW	Woolworths Ltd	27.83	250	6,957.50	80	5,566.00

Totals:		12,974	64,879.34	46,921.63
Available Funds (\$):	78,655.19	If you purchase a security with a Lending Ratio of: 70%		maximum Investment Capacity, subject to your Credit Limit would be (\$): 262,183.97

# 9. Watchlists

Create watchlists containing your preferred products to watch and respond to market movements

The screenshot displays the 'Watchlists' section of the Stockbroking Pro Platform. On the left, a sidebar menu (a) lists various watchlists: 'New Watchlist', 'ASX200', 'Banking Stocks', 'Emerging ideas', 'Janes list of stocks', 'Major Energy', 'Major Indices', 'Miners', 'My Watchlist', 'Popular Products', 'SMSF watching list', and 'Top 200'. The main area shows three overlapping watchlist windows. The top window, titled 'Banking Stocks' (b), lists stocks with columns for CODE, ALERTS, THE SCREENER, LAST, OPEN, HIGH, LOW, PREV CLOSE, VWAP PRICE, TOTAL VOLUME, TOTAL VALUE, TO, BID, and OFFER. The middle window (c) shows the 'Banking Stocks' settings menu, including 'General', 'Banking Stocks', 'Actions', 'Reuters news', 'Remove All Products', 'Delete', 'Settings', 'Table Settings', and 'Restore Table Settings'. The bottom window (e) shows a detailed table for 'Banking Stocks' with columns for CODE, TOTAL TRADES, +/- PRICE, +/- %, DATE, UNITS, PRICE, COST, MKT VALUE, COST AUD, MKT VALUE AUD, BID, and OFFER. Annotations a-f point to specific features: a points to the 'Watchlists' menu, b points to the 'Banking Stocks' watchlist title, c points to the 'Settings' menu item, d points to the 'BID' and 'OFFER' columns, e points to the 'Banking Stocks' table, and f points to the '+' button in the top right corner of the watchlist windows.

- Create a new Watchlist, then add products using their dropdown menus or simply drag and drop a product onto the watchlist module
- Use the 'Settings' menu item to rename the watchlist or change the colour of the module. Use 'Table Settings' to add, remove or re-order data columns
- Sort watchlists by code, name (if selected in options), or price
- Click a bid or offer price to open an order ticket
- Add purchase details, this is useful for tracking real purchases or "what if?" scenarios
- Add products quickly, just hit '+' and start typing to find the stock

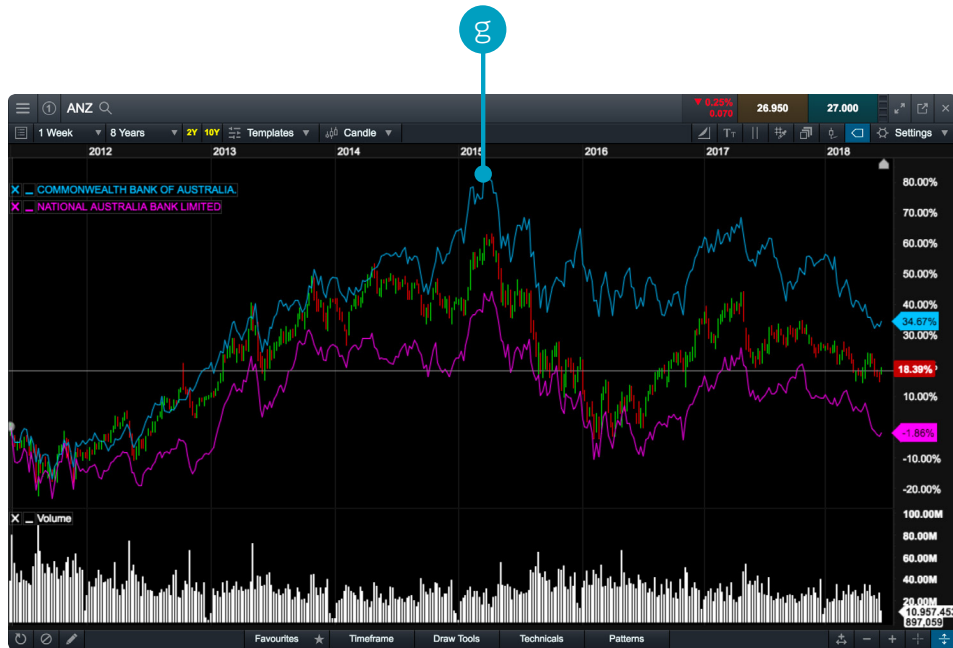


# 10. Advanced charting

- a. Charts include 97 indicators, 39 drawing tools and pattern recognition scanner
- b. You can set individual intervals, timeframe, drawing tools and technicals as favourites
  - i. Quick links to these favourites are then added to the chart interface for easy access
- c. Save up to five chart presets. We will automatically save the first chart that you open for a product into saved chart position 1. To open multiple saved charts for the same product you simply select the desired saved chart through the products context menu
- d. Display volume with the ability to add a number of volume indicators:
  - i. Volume by price
  - ii. Price volume trend
  - iii. Volume flow
  - iv. Volume accumulator
  - v. Volume oscillator
- e. The Pro platform will automatically save any chart analysis so when you close a chart down and reopen it, it will look exactly the same as you left it
- f. You have the ability to fully customise the colours of all features, including background colour by selecting 'Settings' in the top right corner, and then save this as default



## Comparison Charts



- g. Compare the price action of multiple products on one chart. Simply drag and drop a product from your Watchlist into the price action area of any open chart and release



- h. To display relative performance, change the Axis Style to 'linear' and the chart will update accordingly. This display shows multiple price axes on the right hand side

# 11. Stock-specific market information

Stock-specific modules give you the full picture on any product that you select. By using module linking you you will be able to switch between your favorite products with speed and ease.

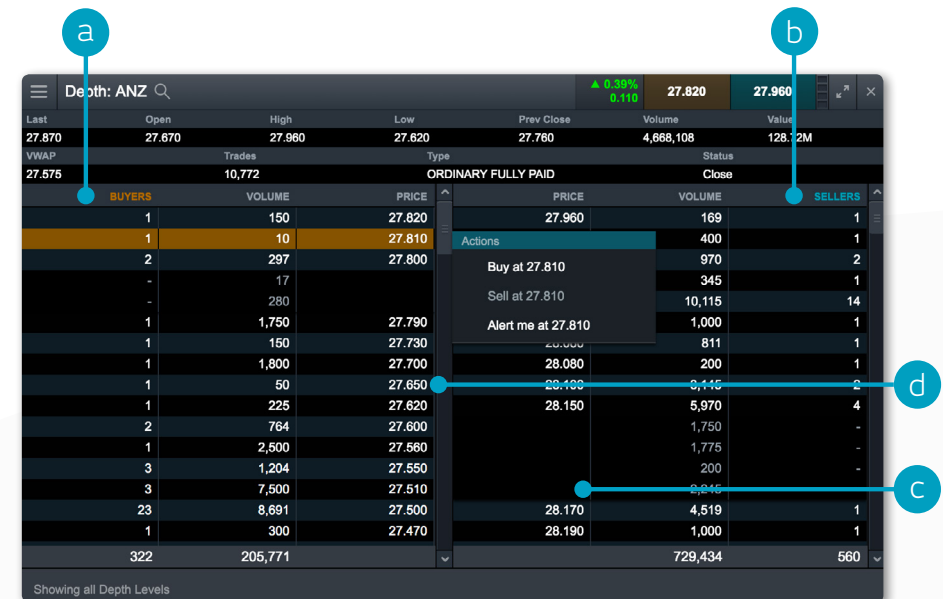
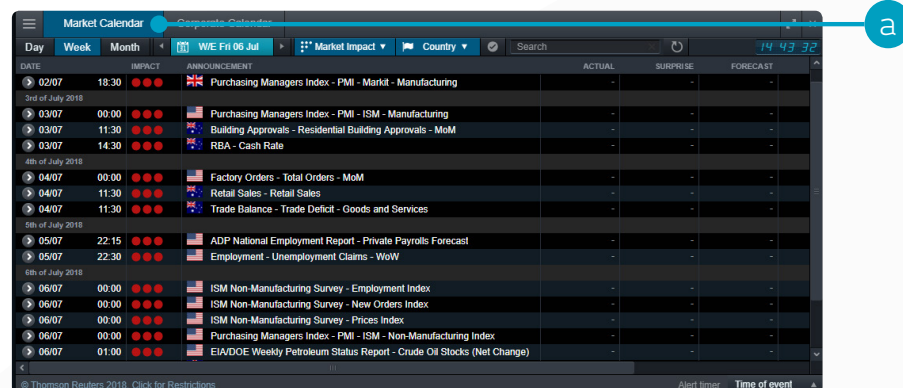
## 11.1 Quote Panels



- Drag a product out of a module, use the product context menu or use the quick search field in the header to open a quote panel
- View current bid and offer, last price, and high and low prices for the day as well as daily performance values
- Use the magnifying glass to change the stock code

## 11.3 Market Calendar

- Use the Market Calendar to view the latest economic data releases from across the globe and set reminders for future updates. You can find the market calendar under the 'Market Pulse' menu



## 11.2 Depth

You can view the market depth of a stock by selecting the 'Depth' option from the context menu

- All buyers at all price levels
- All sellers at all price levels
- Click a price to expand and see details of individual orders at that price level
- Price of stock in the market

## 11.4 Sales

This module displays the 'course of sales' for the selected product. The course of sales can be viewed by selecting the 'Sales' option from the context menu

TIME	PRICE	QUANTITY
16:10:50	27.8700	1,329
16:10:50	27.8700	13,671
16:10:50	27.8700	15,000
16:10:50	27.8700	137
16:10:50	27.8700	1,773
16:10:50	27.8700	39
16:10:50	27.8700	9,381
16:10:50	27.8700	430
16:10:50	27.8700	6,079
16:10:50	27.8700	33,888
16:10:50	27.8700	222
16:10:50	27.8700	1,934

## 11.5 Research

View upcoming dividends for all ASX-listed companies, including ex-dividend and pay dates, cents per share and franking percentage along with comprehensive fundamental research data

PRICE INFORMATION

Code	Last	Open	High	Low	Prev Close	Volume	Value	WSP	Trades	Type	Status
NFLX.US	382.870	384.270	395.830	383.250	379.930	14M	5.686	389.850	58,334	NFLX	Closed

GENERAL INFORMATION

REPORTS

INCOME STATEMENT

Report date	12/08	12/09	12/10	12/11	12/12	12/13	12/14	12/15	12/16	12/17	Trend
Period ending date	2008-12-31	2009-12-31	2010-12-31	2011-12-31	2012-12-31	2013-12-31	2014-12-31	2015-12-31	2016-12-31	2017-12-31	
Currency	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	
Form type	10-K	10-K	10-K	10-K	10-K	10-K	10-K	10-K	10-K	10-K	
File date	2010-02-22	2011-02-18	2013-02-01	2014-02-03	2015-01-29	2016-01-28	2017-01-27	2018-01-29	2018-01-29	2018-01-29	
Operating revenue	1,364B	1,67B	2,162B	3,204B	3,608B	4,374B	5,584B	6,778B	8,83B	11,692B	
Cost of revenue	910,234M	1,078B	1,357B	2,038B	2,652B	3,117B	3,752B	4,581B	6,628B	7,659B	
Gross profit	454,437M	590,989M	805,274M	1,166B	957,224M	1,257B	1,731B	2,198B	2,20B	4,033B	
Selling general and administrative	240,375M	289,077M	358,3M	529,575M	578,224M	650,243M	876,937M	1,231B	1,568B	2,141B	
General and administrative expense	49,652M	51,333M	64,461M	148,308M	139,618M	189,391M	269,741M	487,329M	577,799M	863,568M	
Selling and marketing expense	199,713M	237,744M	293,639M	381,269M	439,208M	469,842M	607,189M	824,092M	991,070M	1,278B	
Research and development	89,873M	114,542M	163,329M	259,633M	329,068M	378,769M	472,321M	650,768M	852,988M	1,052B	
Special income charges	-	4,56M	-	-	-	-25,129M	-	-	-	-	
Operating expense	332,921M	403,619M	521,629M	788,688M	907,232M	1,029B	1,349B	1,882B	2,42B	3,194B	
Operating income	121,589M	167,379M	283,641M	376,068M	49,992M	228,347M	402,648M	305,826M	379,793M	838,679M	

## 11.6 News and ASX announcements

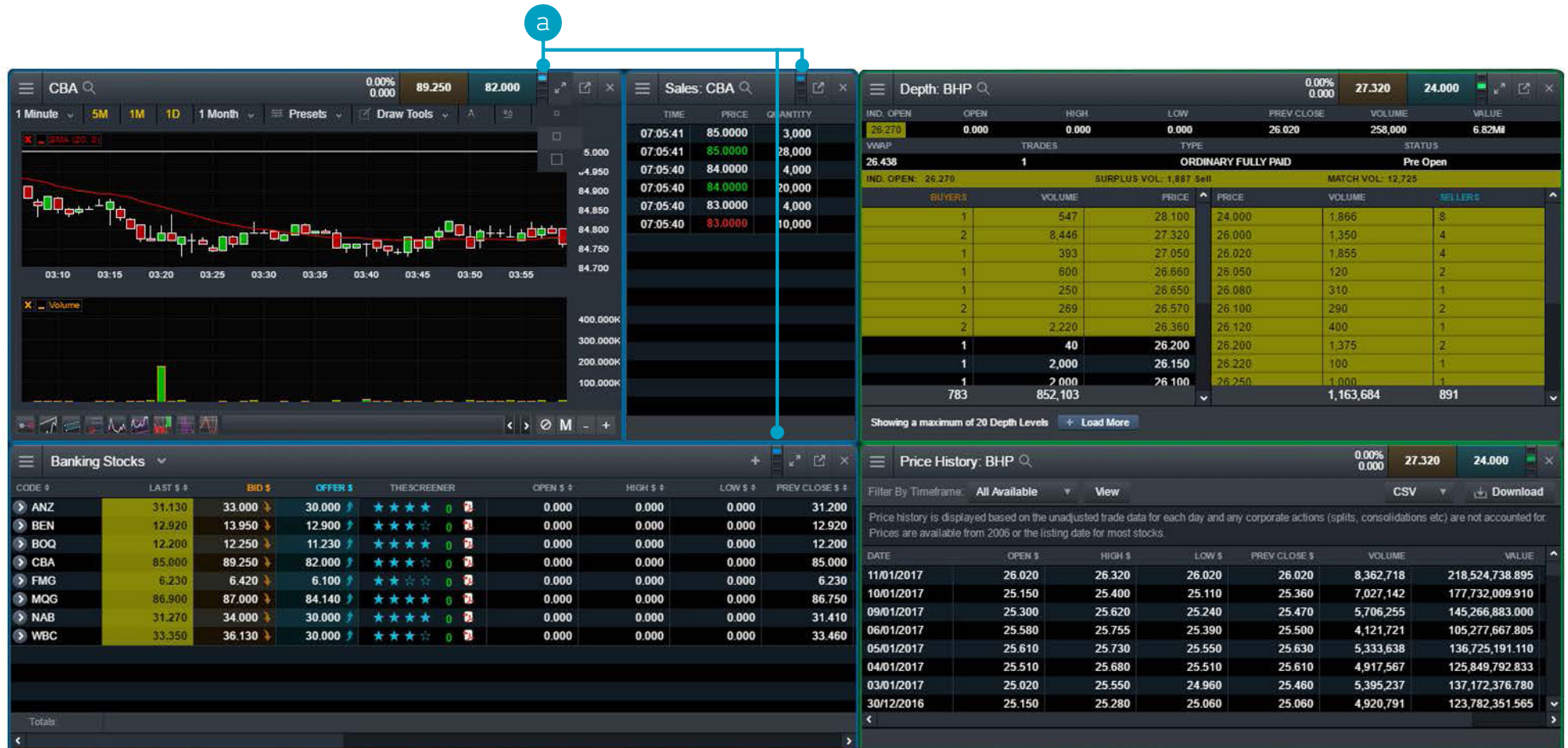
These modules display Reuters news and all announcements released to the ASX. In order to make the information displayed here relevant to a product you are interested in, use the module linking function. You can also click on the pdf icon to launch more information

ANZ				ASX Announcements			
REUTERS				CODE	DATE / TIME	PDF	HEADLINE
<b>Moody's: Australia's major banks report steady results, but challenges apparent</b> 24.05.2018 07:50  <b>BRIEF-Australia And New Zealand Banking Updates On New Series Of Bonds</b> 24.05.2018 04:47  <b>UPDATE 1-New Zealand probes financial sector oversight after Australian scandals</b> 24.05.2018 04:44  <b>New Zealand cenbank says domestic banks robust but not immune to threats</b> 24.05.2018 03:07  <b>BUZZ-Australia's financial index down; all eyes on banking sector inquiry</b> 22.05.2018 06:48				→ HAV	05/06/2018 19:31	PDF	Havilah Board Succession Planning (1 page)
				→ MOY	05/06/2018 19:28	PDF	Investec provide Credit Approval for \$17.5M Facility (3 pages)
				→ FRX	05/06/2018 19:19	PDF	Updated Securities Trading Policy (7 pages)
				→ BRK	05/06/2018 19:10	PDF	Appendix 3B (11 pages)
				→ MDL	05/06/2018 19:03	PDF	Ceasing to be a substantial holder from MS (10 pages)
© 2018 Thomson Reuters Click for restrictions				GAS NA	05/06/2018 19:00	PDF	Release of restricted securities (14 pages)
				→ STW	05/06/2018 19:00	PDF	Daily Fund Update (6 pages)
				→ SLF	05/06/2018 18:59	PDF	Daily Fund Update (2 pages)
				→ SFY	05/06/2018 18:59	PDF	Daily Fund Update (3 pages)
				→ TYX	05/06/2018 18:46	PDF	Lapse of Options and Cancellation of Performance Rights (1 page)
				→ CCV	05/06/2018 18:39	PDF	Despatch of Entitlement Offer Booklet (1 page)
				→ POW	05/06/2018 18:34	PDF	Appendix 3B and Cleansing Statement (13 pages)
				HGM NA	05/06/2018 18:33	PDF	Issue & Cancellation of Unlisted Options (13 pages)
				→ SWJ	05/06/2018 18:26	PDF	Cleansing Notice (1 page)
				→ DPA	05/06/2018 18:26	PDF	Cleansing Notice (1 page)



# 12. Module linking

- a. Select a colour tile on multiple modules to link them together. Now if you change the stock code in one module, it will change for all the linked modules





# 13. Market pulse and trading tools

Get the latest news and configure alerts on your favourite products

- The Alerts, Watchlists, Positions, Orders and P&L modules highlights if any news has been reported within the last 24 hours
- View ASX Announcements as they are released to market. Select 'Market Pulse' then 'ASX Announcements'
- 'Movers and Shakers' displays today's biggest movers. Select 'Market Pulse' then 'Movers and Shakers'
- The Alerts module under 'Trading tools' allows you to set alerts based on price, volume, announcements or status, with alerts being sent by email or SMS. You can also purchase 'Alert Credits' in this module to cover the nominal cost of email and SMS alerts
- Alerts and order notifications will pop up on the platform as they happen

**Reuters News**

- \*TOP NEWS\* Asian Companies 05.06.2018 15:35
- \*TOP NEWS\* -Canada 05.06.2018 15:35
- CASINO SA : CREDIT SUISSE CUTS TARGET PRICE TO EUR 42.25 FROM EUR 50 05.06.2018 15:35
- SUNZ HOLDINGS, LLC ACQUIRES CASE MANAGEMENT COMPANY 05.06.2018 15:35
- North American power transmission outage update - PJM 05.06.2018 15:34
- \*TOP NEWS\* Africa 05.06.2018 15:34
- Russian central bank sees inflation risks in consumer demand, salaries 05.06.2018 15:34
- \*TOP NEWS\*-U.S. Companies 05.06.2018 15:34
- \$3.19M Harris-Waller Counties Municipal Utility SAMCO Capital Markets 05.06.2018 15:33
- Fitch Affirms Travelers' Ratings; Outlook Stable 05.06.2018 15:33

**ASX Announcements**

CODE	DATE / TIME	PDF	HEADLINE
HAV	05/06/2018 19:31		Havilah Board Succession Planning (1 page)
MOY	05/06/2018 19:28		Investec provide Credit Approval for \$17.5M Facility (3 pages)
FRX	05/06/2018 19:19		Updated Securities Trading Policy (7 pages)
BRK	05/06/2018 19:10		Appendix 3B (11 pages)
MDL	05/06/2018 19:03		Ceasing to be a substantial holder from MS (10 pages)
GAS	05/06/2018 19:00		Release of restricted securities (14 pages)
STW	05/06/2018 19:00		Daily Fund Update (6 pages)
SLF	05/06/2018 18:59		Daily Fund Update (2 pages)
SFY	05/06/2018 18:59		Daily Fund Update (3 pages)
TYX	05/06/2018 18:46		Lapse of Options and Cancellation of Performance Rights (1 page)
CCV	05/06/2018 18:39		Despatch of Entitlement Offer Booklet (1 page)
POW	05/06/2018 18:34		Appendix 3B and Cleansing Statement (13 pages)
HGM	05/06/2018 18:33		Issue & Cancellation of Unlisted Options (13 pages)
SWJ	05/06/2018 18:26		Cleansing Notice (1 page)
DRA	05/06/2018 18:26		Changes to Loan Facility (1 page)

**Movers & Shakers: Top 200, Value**

CODE	LAST	+/- PRICE	CURRENCY	+/- %	VALUE	BID	OFFER
ANZ	29.160	▲ 1.440	AUD	▲ 5.19%	293,163	0.000	0.000
NAB	26.560	▼ -0.740	AUD	▼ 2.71%	1,979,285	26.380	27.200
RIO	83.800	▲ 0.250	AUD	▲ 0.29%	2,391,023	74.000	0.000
BHP	32.190	▼ -0.110	AUD	▼ 0.34%	2,945,595	0.000	33.480
CBA	69.720	▲ 0.940	AUD	▲ 1.36%	986,558	65.000	75.000
WOW	28.780	▼ -0.640	AUD	▼ 2.17%	57,856,152	29.000	29.410
MVP	0.445	▲ 0.010	AUD	▲ 2.28%	52,078	0.440	0.450

**My Alerts**

CODE	ENABLED	TYPE	DESCRIPTION	FIRE	SITE	SMS	EMAIL	AMEND
CMCX:LON	NA	✓ Status	Changes to "Ex Dividend"	0	✓	✓	✓	✗
TLSF29	NA	✓ Price	Last Sale at or above \$0.10	0	✓			✗
SPOT:US		✓ Price	Last Sale at or above \$160.00	0	✓	✓		✗
PAH3:DE	D	✓ Price	Last Sale at or above \$157.00	0	✓	✓		✗
BHP		News	Announcement received	1	✓	✓		✗
BHP		✓ Price	Last Sale at or above \$25.000	0			✓	✗

**Stock Alert**

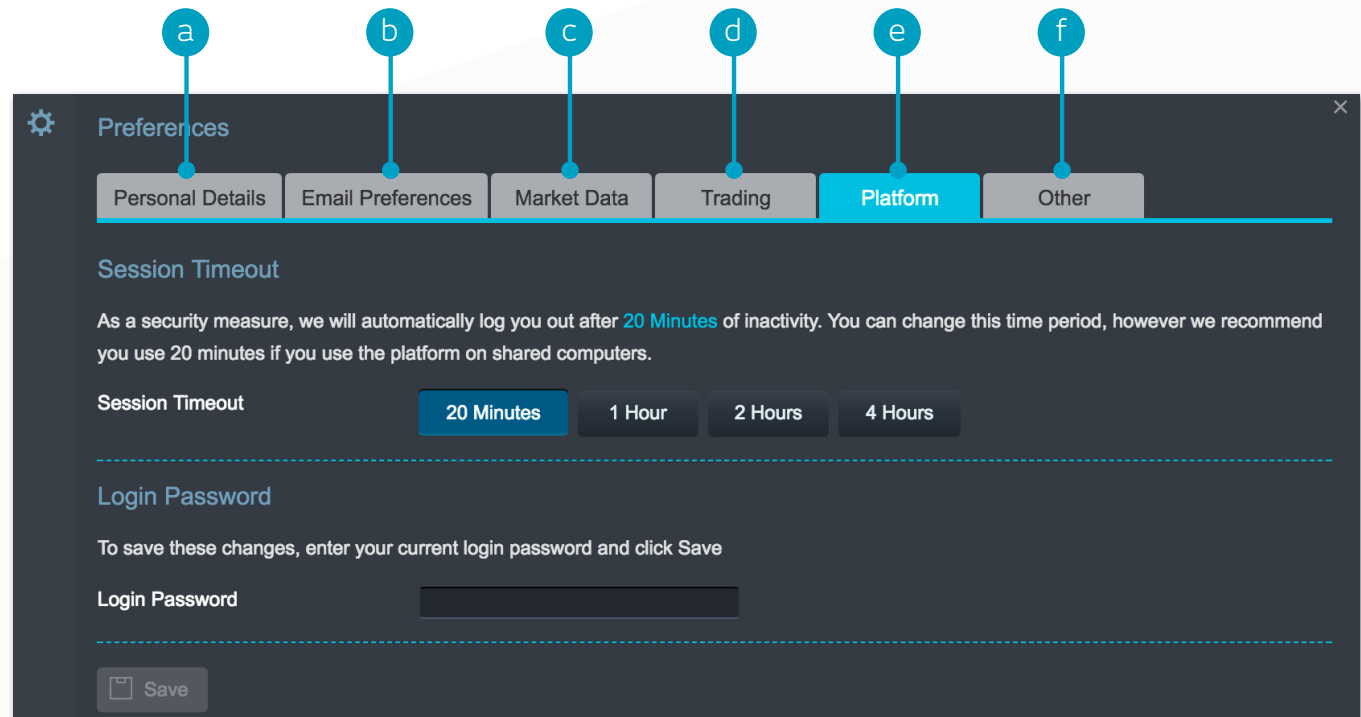
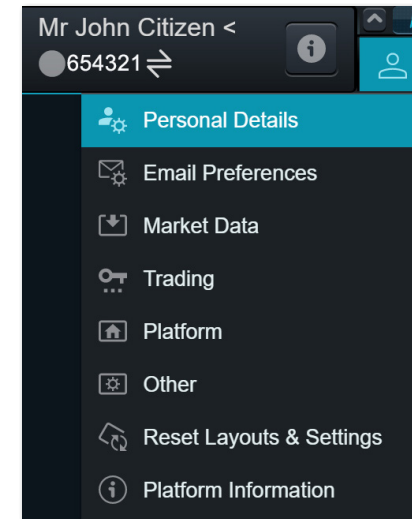
BHP has traded at 25.140 - CMC Markets Stockbroking Alert

27/05/2018 10:51 Show details

# 14. Settings

Configure your account and platform to suit your individual needs by using the inbuilt preferences and settings. To update your preference, go to the 'User preferences' menu on the top right corner of the platform and select personal details option

- a. Change your login code, password, email address or mobile phone number
- b. Manage and opt-in to various CMC Markets Stockbroking email communications
- c. Manage your 'Market Data' package – select delayed, live (click to refresh) or dynamic (streaming) data
- d. Change your trading pin on your account in this tab. You can also enable or disable your trading pin. We recommend the use of a trading pin for additional security, however we also give you the option to disable it if you wish
- e. Select how long the platform should keep you logged in if you are not actively using it. Shorter periods are recommended for public computers, but you can choose whichever period best suits your needs
- f. Set other preferences including personalisation of page refresh and Audio Notifications



# 15. Handy hints

Additional features you can use to make the most of the platform like our platform guides and videos

The screenshot shows the STOCKBROKING PRO PLATFORM interface with several features highlighted by letters a-i:

- a**: Quick Search dropdown menu showing options: Chart, Sales, Depth.
- b**: Watchlist table showing columns: CODE, COMPANY, LAST, OPEN, HIGH, LOW, PREVIOUS CLOSE, VOLUME, TOTAL VOLUME, TOTAL VALUE, and BID.
- c**: Settings button in the top right corner.
- d**: Layout Selector panel showing options: Automatically Save Layout Changes, Automatically Position New Windows, and a list of layouts (Layout 1, Layout 2, Layout 3, Layout 4).
- e**: Cogwheel icon in the top right corner.
- f**: Organise and Resize & Organise buttons in the bottom toolbar.
- g**: Checkmark icon in the bottom toolbar.
- h**: Live Chat window showing a conversation with Chris.
- i**: Support dropdown menu showing options: Platform Quick Guide, Option Analytics Guide, and Platform Tours.

- 'Quick Search' provides an option to choose the default module (chart, ticket or quote panel) that is loaded when selecting a product
- You can drag and drop a product into an existing watchlist, onto your platform screen to open a price quote window, or over an open chart to quickly change the product you are looking at
- You can 'Pop out' most modules from the platform to monitor or place trades while viewing other websites or applications
- Double-click anywhere in the module headers to make the module go full screen (double click again to go back to the previous state)
- Scan multiple products quickly over multiple chart intervals using the module linking feature, you can also simultaneously update modules including charts, order tickets and research on a single product
- 'Organise' and 'Resize' layout options when opening a number of modules within the platform. This feature will organise your platform into a clean looking format either vertically or horizontally (whichever will fit your screen best)
- Automatically save layout changes (enabled by default) and position new windows into gaps within the layout. Click the 'cogwheel' to open 'Layout Selector'
- Live Chat is available from Monday morning to Saturday morning to coincide with world market opening hours. Easily accessible by clicking 'Support' then 'Chat online now' in the drop down menu
- Learn more about our platform using the platform quick guides and tours (videos) available under the support menu

CMC Markets Australia  
Level 20, Tower 3, International Towers,  
300 Barangaroo Ave,  
Sydney, NSW 2000  
Australia

Tel: 1300 360 071  
Fax: +61 2 9221 9365  
Email: [brokingservice@cmcmarkets.com.au](mailto:brokingservice@cmcmarkets.com.au)

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