



Hunter Hall Investment Management Limited

ABN 69 063 081 612 AFSL 219462

APPLICATION FORM

OFFICE USE ONLY							
CSA	<input type="checkbox"/>	CTF	<input type="checkbox"/>	DRP	<input type="checkbox"/>	CASH	<input type="checkbox"/>
Client ID:							
Fund:				Portfolio ID:			
				Date:			
Amount: \$				Adv:			

1. Do you have an existing investment in a Hunter Hall Fund?

No (go to section 2)

Yes, the Investor Code or Unitholder Code is

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 (please complete account name below and proceed to section 5)

2a. Unitholder A - individuals, joint investors, trustees or sole traders

Title Given Name(s)

Surname Date of birth (dd/mm/yyyy) / /

TFN/ABN or exemption (Australian Residents) Country of residence for tax purposes US Citizen for tax purposes
No Yes

Business Name (if a sole trader)

2b. Unitholder B - individuals, joint investors, trustees or sole traders

Title Given Name(s)

Surname Date of birth (dd/mm/yyyy) / /

TFN/ABN or exemption (Australian Residents) Country of residence for tax purposes US Citizen for tax purposes
No Yes

2c. Corporate Applicant - including corporate trustees and associations

Company name (eg. XYZ Pty Ltd)

ABN/ACN (Australian residents) Contact name

TFN or exemption (Australian residents) Country where domiciled for tax purposes

2d. Trust or Superannuation Fund Applicant - beneficiary details

Beneficiary name (eg. XYZ Superannuation Fund or XYZ Family Trust)

ABN Contact name

TFN or exemption (Australian residents) Country where domiciled for tax purposes

3. Contact Details

Email address

Telephone (during business hours)

Telephone (mobile)

4a. Residential Address (mandatory)

Street

Suburb

State

Post Code/Zip Code

Country

4b. Postal Address

Same as residential address

Street

Suburb

State

Post Code/Zip Code

Country

5. Australian Financial Institution Account Details

Name of financial institution

Branch address

Branch number (BSB)

<input type="text"/>	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Account name

6. Investment amounts

Fund	Investment Amount (Minimum investment amount \$5,000 per Fund)	Regular Savings Plan (Minimum amount \$200 per Fund per month)
Value Growth Trust (VGT)	\$ <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
Global Equities Trust (GET)	\$ <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
Australian Value Trust (AVT)	\$ <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
Global Deep Green Trust (GDG)	\$ <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
Total	\$ <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>

Initial investment payment

Please indicate how your investment amount will be made:

Cheque

Attached is a cheque made payable to **JPM Nominees ACF HH App a/c**

OR

Electronic Funds Transfer (EFT)

Australian investors

Account Name: JPM Nominees ACF HH App a/c
Account Number: 010059612
BSB: 212-200
Bank Name: JPMorgan Chase Bank, N.A.
Address: Level 18, 85 Castlereagh Street
Sydney NSW 2000
SWIFT: CHASAU2X

New Zealand investors

Account Name: JPM/Hunter Hall NZ Apps
Account Number: 0000152-00
BSB: 01-1836
Bank Name: ANZ
Address: 23-29 Albert Street
Auckland
New Zealand
Reference: 017000277

EFT Reference

Deposit Date (dd/mm/yyyy)

 / /

Please note: Application monies paid by cheque or EFT will be available as cleared funds in Hunter Hall's account on the next business day after your account has been debited, unless dishonoured by your financial institution. Units in a Hunter Hall fund will be issued following receipt of a valid Application Form, cleared funds and Investor Identification documents.

Regular Savings Plan

I/We request for Hunter Hall to set up Regular Savings Plan (RSP) and for JP Morgan Nominees Australia Ltd acf Hunter Hall Investment Management Limited (User ID 416480) to debit monthly payments from the account nominated in the Australian Financial Institution Account Details section.

I/We acknowledge that this Direct Debit Request is governed by the Conditions of Operation set out on the Hunter Hall website: www.hunterhall.com.au

If debiting from a joint account, both signatures are required.

7. Distribution Payments

How do you wish to receive your distribution?

Reinvested in additional units (default option)

Direct Credit - credited by EFT to my nominated Australian financial institution account (please note that EFT payments can only be made to an Australian bank, building society or credit union account)

8. Authorised Representatives (for advisors, see section 11)

Complete this section only if you wish to appoint an Authorised Representative to enquire and transact on your account on your behalf. I/We wish to appoint the following as my/our Authorised Representative

Title Given Name(s)

Surname

Email address

Signature of Authorised Representative

Signature of Applicant 1

Signature of Applicant 2 (if a joint account)

Name of Applicant 1

Name of Applicant 2 (if a joint account)

Date (dd/mm/yyyy)

 / /

Date (dd/mm/yyyy)

 / /

9. Investor Communications

Please nominate how you would like to receive communication from Hunter Hall, if no election is made the default option is none. The Annual Report and Monthly Performance Reports for the Hunter Hall Funds will be also be available on our website: www.hunterhall.com.au

Monthly Performance Reports None Email
Marketing mail-outs None Email
Annual Report None Email Post

10. Declaration and Signatures

I/We declare and agree that:

- All details in this application are true and correct;
- Any documents or information whatsoever used for verification purposes in support of my/our application are complete and correct;
- I/We have received and read, or have had the opportunity to read, the current Product Disclosure Statement and Additional Information Booklet (collectively the "PDS") to which this application applies and agree to be bound by the provisions of the PDS and the Constitution governing each of the Hunter Hall Funds. This Application Form does not form part of the PDS;
- I/We understand Hunter Hall will pay my/our financial advisor (if any) up to 4% of my/our gross investment amount deducted as a Contribution Fee in accordance with the terms of the PDS. I/We authorise Hunter Hall to process the Contribution Fee according to the instructions in Section 11 "Contribution Fee Direction Form". I/We direct that the Contribution Fee be deducted from my/our gross investment amount by Hunter Hall acting as agent for my/our advisor. I / We acknowledge that a portion of the Contribution Fee may be rebated to me /us by my / our advisor in accordance with the instructions detailed in the Contribution Fee Direction Form.
- I/We will provide further information that Hunter Hall or its agents may request in order for it to comply with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF).
- I/We agree that you will provide all necessary cooperation and assistance, including the provision of information, waivers and consents, for the Responsible Entity to comply with their obligations under FATCA or any agreement entered into under FATCA.

FATCA means sections 1471 to 1474 of the US Internal Revenue Code of 1986, any associated regulations or other official guidance, any treaty, law, regulation or other official guidance enacted in any other jurisdiction, or relating to an intergovernmental agreement between the US and any other jurisdiction, which (in either case) facilitates the implementation of the above, or any agreement pursuant to the implementation of the above with the US Internal Revenue Service, the US government or any governmental or taxation authority in any other jurisdiction.

I/We acknowledge that:

- It may be a criminal offence to knowingly provide false, forged, altered or falsified comments or misleading information or documents when completing an Application for units in a fund.

Certified documents are attached as required by the AML/CTF Act

Signature of Applicant 1

Signature of Applicant 2 (if a joint account)

Name of Applicant 1

Name of Applicant 2 (if a joint account)

Date (dd/mm/yyyy)

 / /

Date (dd/mm/yyyy)

 / /

Joint holdings: Either to sign OR Both to sign (if no selection is made, 'Either to Sign' will be assumed)

Company holdings: Sole Director to sign OR Joint Directors to sign OR Director and Company Secretary to sign

11. Contribution Fee Direction Form - To be completed by Advisors

Hunter Hall Advisor Code (if known)

New Advisors: Agent ASIC Licence Number

Advisor ASIC Rep Number

Advisor Name

Name of Advisory Company

Mailing Address

Suburb

State

Post Code/ Zip Code

Country

Email address

Telephone (during business hours)

Telephone (mobile)

Advisor Use Only (continued)

As an advisor you are entitled to up to 4% of your client's gross investment amount as a Contribution Fee. You appoint Hunter Hall to collect this amount from your client's gross investment amount as your agent and in accordance with the client's direction. Please indicate the percentage, if any, you would like to rebate to your client, which will be used to purchase additional units.

- 1% (=25% rebate) 2% (=50% rebate) 3% (=75% rebate) 4% (=100% rebate*) Other

*100% rebate means fully invested and no Contribution Fee payable by the client

AML/CTF Certification:**Copy of ID document(s):**

- Not Attached: I confirm that I have completed the AML/CTF identification and verification requirements for this investor as required by the AML/CTF Act.
- Attached: Please provide the required documentation for an individual, Australian company, trust or partnership.

Notifications - New advisors only

- I wish to be notified when the Monthly Performance Reports are updated on the Hunter Hall website (please ensure you have included advisor email address above).

Advisor Signature

Date (dd/mm/yyyy)

Signature of Applicant 1

Date (dd/mm/yyyy)

Advisor Stamp

CMC Markets Stockbroking
 Stock & Share Broker
 ACN 081 002 851 266-2
 Level 13, 130 Pitt Street, Sydney NSW 2000

Signature of Applicant 2 (if a joint account)

Date (dd/mm/yyyy)

Return this completed form to:

FundBPO - Unit Registry
 GPO Box 4968
 Sydney NSW 2001
 Australia

Contact us

Telephone: 1300 133 451
International callers: + 61 2 8259 8888
Facsimile: + 61 2 9251 3525
Email: registry@fundbpo.com

This form accompanies the Product Disclosure Statement (PDS) for the Hunter Hall Funds dated 1 July 2013



INITIAL APPLICATION CHECKLIST

1. All Investors

- Initial Application Form completed and signed
- Cheque attached or Electronic Funds Transfer completed and reference number shown on Initial Application Form

2. Anti-Money Laundering and Counter-Terrorism Financing

Under the Anti Money Laundering (AML) and Counter-Terrorism Financing (CTF) Act 2006 Hunter Hall has an obligation to meet customer identification and verification requirements prior to issuing units to an investor.

Your financial advisor may complete due diligence to verify the identity of a prospective investor on behalf of Hunter Hall. If you applying for units in a Hunter Hall Fund through a financial advisor, please confirm whether they will be supplying the required identification requirements on your behalf.

2a/b. Individual Investors

Individual investors are required to provide certified copies of either one primary document or two secondary documents (with one document from each of Columns A and B) for the purposes of client identification.

Primary document

- Driver's Licence
- Australian Passport (a passport that has expired within the proceeding 2 years is acceptable)
- State or Territory proof of age card
- Foreign Passport or travel documents (containing a passport)

OR Secondary Documents - one document only from each of Columns A and B

Column A

Column B

- | | |
|--|---|
| <input type="checkbox"/> Birth Certificate | <input type="checkbox"/> Commonwealth, State or Territory financial benefits notice (less than 12 months old) |
| <input type="checkbox"/> Australian Citizen Card | <input type="checkbox"/> Tax Notice (less than 12 months old) |
| <input type="checkbox"/> Pension Card issued by Centrelink | <input type="checkbox"/> Local utilities provider notice (less than 3 months old) |
| <input type="checkbox"/> Health Card issued by Centrelink | |

2c. Non-individual Investors - Australian Registered Company

Australian registered companies are required to provide certified copies of the following information for the purposes of client identification.

- The full name of the company as registered by ASIC
- The full address of the company's registered office
- The full address of the company's principal place of business (if applicable)
- The ABN or ACN issued to the company
- Details on whether the company is registered by ASIC as a proprietary or public company
- The names of each Director for proprietary companies

2c(i). Non-individual Investors - New Zealand or other foreign entities

New Zealand or other foreign entities (not registered in Australia as a foreign company) are required to provide certified copies of the following information for the purposes of client identification.

- All requirements listed for an Australian Registered Company (refer to section 2b.)

In addition to:

- Details to enable verification of each beneficial owner

2d. Non-individual Investors - Trustees of a Trust (including Superannuation Funds)

Trustees of a Trust or a Superannuation Fund are required to provide certified copies of the following information for the purposes of client identification.

- Corporate Trustees – All requirements for companies (refer to section 2b. or 2c.) OR
 Individual Trustees – All requirements for individual investors (refer to section 2a.)

In addition to:

- Copy of the trust deed AND
 Details of the type of Trust AND
 The place of establishment AND
 Details of the beneficiaries

2e. Non-individual Investors - Partnerships

Partnerships are required to provide certified copies of the following information for the purposes of client identification.

- All requirements for companies (refer to section 2b. or 2c.)
 All requirements listed for Individual Investors for each of the partners (refer to section 2a.)

In addition to one of the following:

- An extract of the partnership agreement OR
 An extract of minutes of a partnership meeting OR
 Current membership certificate of a professional association OR
 ATO notice issued with the last 12 months OR
 Certificate of Registration of a business name issued by a government of government agency

2f. Non-individual Investors - Other

If you are a non-individual investor that does not fall under any of the categories set out above please contact Hunter Hall to discuss the documentation we will require for verification purposes.

3. Further Questions?

If you have any questions when completing your application form please telephone Hunter Hall's Investor Relations department during business hours (Australian Eastern Time).

Return this completed form to:

FundBPO - Unit Registry
GPO Box 4968
Sydney NSW 2001
Australia

Contact us

Telephone: 1300 133 451
International callers: + 61 2 8259 8888
Facsimile: + 61 2 9251 3525
Email: registry@fundbpo.com

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