

STOCKBROKING PARTLY PAID SECURITY client agreement form

Current Account Details

Client Account Number:

If you wish to buy Partly Paid Securities on your trading account, please email your completed and signed form.

Partly Paid Securities, or Instalment Receipts, are securities for which the holder may have a legal obligation to pay a call or instalment in accordance with the terms of issue for the balance of the previously uncalled issue price.

This form is not required if you want to buy partly paid securities issued by no liability ('NL') companies, as NL companies do not have a contractual right to recover calls on the unpaid issue price of their shares; therefore you have the option of paying the call or forfeiting the shares.

If you would like more information in relation to partly paid securities or would like assistance in completing this agreement, please contact our Broker Services Team on **1300 557 561** between 8:30am and 5:30pm (Sydney time) Monday to Friday.

Client Agreement

1. I/We am/are aware that a Partly Paid Security is a security which may require me/us to make a further payment or payments at some time in the future.
2. I/We am/are aware that it is my/our responsibility to obtain and read a copy of the prospectus, product disclosure statement or information memorandum issued by an Issuer which sets out the particular features of, and rights and obligations attaching to, a Partly Paid Security before I/we place an order to buy a Partly Paid Security.
3. I/We am/are aware that I/we may be liable for further payments on a Partly Paid Security and that a failure to make a further payment by the specified date(s) may result in an Issuer of a Partly Paid Security or their associates or agents taking action, including legal action, against me/us to recover the outstanding payments and/or may result in the forfeiture of my entitlement to the Partly Paid Security.
4. I/We am/are aware that in certain circumstances I/we may be liable to make a further payment on a Partly Paid Security despite the fact that I/we may have disposed of a Partly Paid Security prior to the date that a further payment falls due.
5. I/We am/are aware that I/we should monitor announcements made by the Issuer of a Partly Paid Security and that it is my/our responsibility to inform myself/ourselves of the date/s or circumstances that a further payment falls due and the last day that I/we can dispose of the Partly Paid Security before I/we am/are liable for a further payment.
6. I/We am/are aware that the amount of a further payment may be unrelated to the financial performance of a Partly Paid Security and that the amount of the further payment may exceed the intrinsic value of a Partly Paid Security at the time a further payment falls due.
7. I/We acknowledge that an obligation on me/us in relation to a Partly Paid Security, including an obligation to make a further payment, does not give rise to a claim against ASX or the Securities Exchanges Guarantee Corporation Limited.

Client Account Name _____

Date _____ / _____ / 20_____

Account Holder 1

Account Holder 2

Client Signature(s) _____

Name(s) (printed) _____

Title (if company)
e.g. director, officer, secretary _____

Please email your completed and signed form to forms@cmcmarkets.com.au

Stockbroking services are provided by CMC Markets Stockbroking at the request of your Introducing Adviser. Neither CMC Markets Stockbroking nor your Introducing Adviser are representatives of each other.

CMC Markets Stockbroking is the trading name of CMC Markets Stockbroking Limited (ABN 69 081 002 851, AFSL No.246381), Participant of the ASX Group.

Broker Services

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