

## WARRANT client agreement form

### Current Account Details

Client Account Number:

### Warrant Information

Before you consider trading in Warrants, you must understand the risks of trading or investing in Warrants. Please ensure you have read and understood the explanatory booklet issued by the relevant Exchange; ASX - 'Understanding Trading and Investment Warrants' or Chi-X 'Investing in Warrants' which can be accessed through our website, or contact us and we will send it to you.

If you would like more information in relation to Warrants, or you would like assistance in completing this agreement, please contact our Client Helpdesk on **1300 360 071** between 7.30am and 5.30pm (Sydney time) Monday to Friday.

### Client Agreement

1. I/We have received and read a copy of the explanatory booklet issued by the relevant Exchange; ASX - 'Understanding Trading and Investment Warrants' or Chi-X - 'Investing in Warrants'.
2. I/We am/are aware that a Warrant has a limited life and cannot be traded after its expiry date.
3. I/We am/are aware that Warrants do not have standardised Terms and Issue and that it is my/our responsibility to become aware of any Terms of Issue of any Warrant that I/we choose to invest.
4. I/We am/are aware that Warrants may be subject to adjustments after their initial issue and it is my/our responsibility to become aware of any adjustments which may have been made to any Warrant in which I/we choose to invest.
5. I/We am/are aware that admission to Trading Status of a Warrant does not imply that an Exchange or Securities Exchanges Guarantee Corporation Limited (SEGC) gives any guarantee or warranty as to the viability of the Warrant-Issuer or any Guarantor.
6. I/We acknowledge that the failure of the Warrant-Issuer or the Guarantor (if applicable) does not give rise to a claim against an Exchange, handling Market Participants or SEGC.

Client Account Name \_\_\_\_\_

Date \_\_\_\_\_ / \_\_\_\_\_ / 20\_\_\_\_\_

**Account Holder 1**

**Account Holder 2**

Client Signature(s) \_\_\_\_\_

\_\_\_\_\_

Name(s) (printed) \_\_\_\_\_

\_\_\_\_\_

Title (if company)  
e.g. director, officer, secretary \_\_\_\_\_

\_\_\_\_\_

Please email your completed and signed form to [stockbroking.forms@cmcmarkets.com](mailto:stockbroking.forms@cmcmarkets.com)