

## INTERNATIONAL BROKER TO BROKER TRANSFER form

If you have an International stock holding with another broker and would like to transfer it to CMC Markets Stockbroking Limited, please complete this form and return it with a copy of certified identification for signature verification.

Please email the completed form and documents to [scrip@cmcmarkets.com.au](mailto:scrip@cmcmarkets.com.au).

**Please Note:** The minimum transfer amount for international shares is AUD \$10,000 per holding. Refer to the **Financial Services Guide** for fees associated with this request.

### Certified documentation

In order for your certification to be accepted, it must be signed and dated (within the last three months) and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall.

Below is a full list of acceptable certifiers:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
2. a judge of a court;
3. a magistrate;
4. a chief executive officer of a Commonwealth court;
5. a registrar or deputy registrar of a court;
6. a Justice of the Peace;
7. a notary public (for the purposes of the Statutory Declaration Regulations 1993);
8. a person in a foreign country who is authorised by law in that jurisdiction to administer oaths or affirmations or to authenticate documents;
9. a police officer;
10. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
11. a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
12. an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
13. an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);
14. a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993);
15. an officer with, or authorised representative of, a holder of an Australian Financial Services License, having 2 or more continuous years of service with one or more licensees.
16. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

A copy of one of the following documents will be accepted once certified:

- **Driver's licence** (front and back) – MUST be current
- **Australian passport** – current or expired within the past TWO years
- **Overseas passport** – MUST be current and show the signature page

### Section A – Existing Broker Details

In order to transfer your international shares from your existing broker we require the following information:

Name of Existing Broker \_\_\_\_\_

Broker ID / Counterparty Code \_\_\_\_\_

Account Number at Existing Broker \_\_\_\_\_

Email Address of Existing Broker \_\_\_\_\_

Please email the completed form and documents to [scrip@cmcmarkets.com.au](mailto:scrip@cmcmarkets.com.au)

Proceed to **SECTION B** >

## Section B – Holdings to be Transferred

Please provide details of the Holdings to be transferred:

Security Name	ISIN Code	Quantity

Holding Statement is attached confirming validity of instruction.

Proceed to **SECTION C** >

## Section C – Current Account Details

Client Account Number:

Name of Account Holder 1 \_\_\_\_\_  
(or Company name)

Name of Account Holder 2 \_\_\_\_\_  
(if applicable)

Account Designation < \_\_\_\_\_ >

ACN (if Company) \_\_\_\_\_

Residential Address \_\_\_\_\_  
(PO Box not allowed)

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Proceed to **SECTION D** >

## Section D – Client Agreement

Date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_

**Account Holder 1**

**Account Holder 2**

Client Signature(s) \_\_\_\_\_

Name(s) (printed) \_\_\_\_\_

Title (if company) \_\_\_\_\_  
e.g. director, officer, secretary

Please email your completed and signed form to [scrip@cmcmarkets.com.au](mailto:scrip@cmcmarkets.com.au)