# **COMPANY**

# The below needs to be satisfied in order to claim treaty benefits At least 50% (by vote or value) of the company is held by Australian and/or US persons At least 50% of the gross income of the company is being paid to Australian and/or US persons as deductible payments If yes → please proceed to the decision tree to fill the relevant form If no → you will not be eligible for treaty benefits

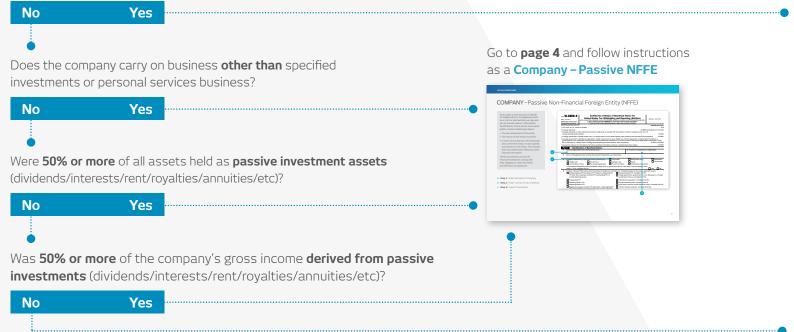
## NFFE is further sub-categorised as Active and Passive:

- **Active NFFE** When at least 50% of the gross income of a company is derived from its primary line of business
- Passive NFFE When at least 50% of the gross income of a company is from passive sources of income and not from their direct line of business

Please use the below chart to help you select the right form as per your requirements.

## How to select the relevant form:

Is the company a Not For Profit (NFP)?



Go to **page 1** and follow instructions as a **Company – Not For Profit (NFP)** 



Go to **page 7** and follow instructions as a **Company – Active NFFE** 



# **COMPANY** – Not For Profit (NFP)

tep 1: Enter exact full name of NFP as it appears on deed tep 2: Enter country of tax residency	Form W-8BEN-E  (Rev. July 2017)  Department of the Treasury Internal Revenue Service  For use by entities. Individuals must use Form W-8BEN. Section references are to the Internal Revenue Code Section Secti	e. OMB No. 1545-1621
tep 4: Check 'Corporation'	Do NOT use this form for:	Instead use Form:
ep 4. Check Corporation	• U.S. entity or U.S. citizen or resident	W-9
ep 5: Check 'Non-profit organisation'	<ul> <li>A foreign individual</li> <li>W-8E</li> <li>A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within th (unless claiming treaty benefits)</li> </ul>	(
	<ul> <li>A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions fo</li> <li>A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign p government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applic 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) to enstruct on the receptions).</li> </ul>	orivate foundation, or ability of section(s) 115(2), W-8ECI or W-8EXP
	Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer)	W-8INIY
<u>a</u> -	Part I Identification of Beneficial Owner  1 Name of organization that is the beneficial owner  2 Country of incorporation	on or organization
	Name of disregarded entity receiving the payment (if applicable, see instructions)	
<b>C</b> -	Grapter 3 Status (entity type) (Must check one box only).  Grantor trust  Grantor trust  Complex trust  Estate  Central Bank of Issue  Tax-exempt organization  Private foundation  International organi.  If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a to claim? If "Yes" complete Part III.	
	5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's a Nonparticipating FFI (including an FFI related to a Reporting IGA FI).  FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).  Participating FFI.  Reporting Model 1 FFI.  Reporting Model 2 FFI.  Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.  See instructions.  Nonreporting IGA FFI. Omplete Part Foreign government, government of a central bank of issue. Complete Part Nonethral Description of a central bank of issue. Complete Part Nonethral Desc	applicable status.)  XII.  I U.S. possession, or foreign  XIII.  Part XIV.  Iart XV.  ial owners. Complete Part XVI.  e Part XVII.  complete Part XVIII.
d	Sponsored FFI. Complete Part IV.  □ Certified deemed-compliant nonregistering local bank. Complete Part XX. □ Certified deemed-compliant FFI with only low-value accounts. □ Complete Part VI. □ Certified deemed-compliant FFI with only low-value accounts. □ Complete Part VI. □ Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. □ Excepted nonfinancial entity in liquida Complete Part XX. □ 501(c) organization. Complete Part XX. □ Nonprofit organization. Complete Part XIII. □ Publicly traded NFFE or NFFE affiliate corporation. Complete Part XXIII. □ Excepted territory NFFE. Complete Part XIII.	ation or bankruptcy.  KI.  t XXII.  e of a publicly traded
	<ul> <li>☐ Certified deemed-compliant limited life debt investment entity.</li> <li>☐ Complete Part XXV.</li> <li>☐ Complete Part XIII.</li> <li>☐ Passive NFFE. Complete Part XXVI.</li> </ul>	

## **COMPANY** – Not For Profit (NFP) (continued)

e. :	Step 6:	Registered office address		Complete Part VIII.		☐ Passive NFFE. Complete F	Part XXVI.		
	•					Excepted inter-affiliate FFI	. Complete Part XXVII.		
T. :	Step 7:	Postal address		Owner-documented FFI. Complete Part X.	<ul><li>Direct reporting NFFE.</li><li>Sponsored direct reporting</li></ul>	NEEE Complete Dort VV/III			
<b>g</b> . !	Step 9b:	Australian Business Number (ABN)/		Restricted distributor. Complete Part XI.	Account that is not a finan				
0.		Tax File Number (TFN) (optional)	6	Permanent residence address (street, apt. or suite					
h. Step 10: Enter client's trading				City or town, state or province. Include postal co	Country				
		account(s) number	<del>7</del>	7 Mailing address (if different from above)					
				City or town, state or province. Include postal co		Country			
			8	U.S. taxpayer identification number (TIN), if required	9a GIIN		<b>b</b> Foreign TIN		
			10	Reference number(s) (see instructions)					
i. !	Step 14:	Check <b>a</b>	Par	t III Claim of Tax Treaty Benefits (i	if applicable). (For ch	napter 3 purposes only.)			
	•			I certify that (check all that apply):	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<u>, , , , , , , , , , , , , , , , , , , </u>			
J.	Step 14:	Enter country of tax residency	а	The beneficial owner is a resident of	<u> </u>	w	thin the meaning of the income tax		
k.	Step 14:	Check <b>b</b>	k b	treaty between the United States and that control of the beneficial owner derives the item (or	•	which the treety benefits are	oloimed and if applicable mosts the		
1.	Step 14:	Check 'Other tax	, i	requirements of the treaty provision dealing be included in an applicable tax treaty (chec	with limitation on benefit	s. The following are types of li	mitation on benefits provisions that may		
		exempt organisation'		Government Tax exempt pension trust or pension fund		ets the ownership and base ere	osion test		
			_	I I I I I I I I I I I I I I I I I I I	I I Company that mea		551011 1551		
					_ ' '				
				Other tax exempt organization  Publicly traded corporation	Company with an	item of income that meets acti			
			D	Other tax exempt organization	Company with an Expression Favorable discretion Other (specify Artic	item of income that meets actionary determination by the U.Scle and paragraph):	ve trade or business test . competent authority received		

## **COMPANY** – Not For Profit (NFP) (continued)

m. Step 36: Check the box



### Part XXII Nonprofit Organization

- I certify that the entity identified in Part I is a nonprofit organization that meets the following requirements.
  - The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes;
  - The entity is exempt from income tax in its country of residence;
  - The entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
  - Neither the applicable laws of the entity's country of residence nor the entity's formation documents permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or noncharitable entity other than pursuant to the conduct of the entity's charitable activities or as payment of reasonable compensation for services rendered or payment representing the fair market value of property which the entity has purchased; and
  - The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to an entity that is a foreign government, an integral part of a foreign government, a controlled entity of a foreign government, or another organization that is described in this part or escheats to the government of the entity's country of residence or any political subdivision thereof.

### **n.** Signature of authorised signatory(ies)

- Enter the name(s) of authorised signatory(ies) here
- p. Date in US format (MM/DD/YYYY)
- **q.** Check certification at bottom of form

#### Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not a U.S. person;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

Sign Here
Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

# COMPANY - Passive Non-Financial Foreign Entity (NFFE)

If you open a new account on behalf of a legal entity or arrangement (such as a trust or partnership), we may ask you to provide various information, certifications, forms and/or documents (within certain timeframes) about:

- The tax residence(s) of the entity
- The nature of the entity's business
- In some circumstances, the individuals who control the entity or have specific connections to the entity. This includes their tax residence(s), TIN(s) and other required information

These requirements ensure all Financial Institutions comply with their obligations under the FATCA and CRS laws and guidance.

- **a. Step 1:** Enter full name of company
- **b. Step 2:** Enter country of tax residency
- c. Step 4: Check 'Corporation'

(Rev. July 2017) Department of the Internal Revenue Se	U For use	Certificate of Status nited States Tax Withho by entities, Individuals must use Form W-8  Go to www.irs.gov/FormW8BENE Give this form to the withholdin	olding and Repo	rting (Entities) are to the Internal Revenue Code. latest information.	OMB No. 1545-1621
Do NOT use this	form for:				Instead use For
• U.S. entity or U	.S. citizen or reside	nt			W
• A foreign individual	dual			W-8BEN	N (Individual) or Form 82
•	•	ng that income is effectively connec	ted with the conduct o	f trade or business within the ${\sf L}$	
(unless claiming	g treaty benefits).				W-8E
<ul> <li>A foreign partner</li> </ul>	ership, a foreign sim	nple trust, or a foreign grantor trust (	unless claiming treaty I	penefits) (see instructions for e	xceptions) W-8I
		l organization, foreign central bank o			
•		claiming that income is effectively co		0 11	• • • • • • • • • • • • • • • • • • • •
, , ,	, , , ,	s claiming treaty benefits) (see instru	· ·	,	
Any person act	ing as an intermedia	ary (including a qualified intermediar	y acting as a qualified	derivatives dealer)	W-8I
Part I	dentification of	f Beneficial Owner			
1 Name of	organization that is	the beneficial owner		2 Country of incorporation	or organization
1 Name of	organization that is	the beneficial owner		2 Country of incorporation	or organization
		the beneficial owner receiving the payment (if applicable,	see instructions)	2 Country of incorporation	or organization
			see instructions)	2 Country of incorporation	or organization
3 Name of	disregarded entity r		see instructions)	2 Country of incorporation	
3 Name of	disregarded entity r	receiving the payment (if applicable,			☐ Partnership
3 Name of 4 Chapter Simp	disregarded entity r	receiving the payment (if applicable,	Corporation	☐ Disregarded entity	☐ Partnership
3 Name of  4 Chapter  Simp  Cent	disregarded entity r  S Status (entity type  le trust ral Bank of Issue	receiving the payment (if applicable,	Corporation Complex trust Private foundation	☐ Disregarded ent ty ☐ Estate ☐ International organizat	☐ Partnership☐ Governmer
3 Name of  4 Chapter  Simp  Cent  If you en	disregarded entity r  S Status (entity type  le trust ral Bank of Issue	receiving the payment (if applicable,  i) (iMust check one box only).  Grantor trust  Tax-exempt organization  intity, partnership, simple trust, or gr	Corporation Complex trust Private foundation	☐ Disregarded ent ty ☐ Estate ☐ International organizat	☐ Partnership☐ Governmer
3 Name of  Chapter Simp Cent If you enclaim? If	disregarded entity r  Status (entity type)  le trust  ral Bank of Issue  tered disregarded e  "Yes" complete Pa	receiving the payment (if applicable,  i) (iMust check one box only).  Grantor trust  Tax-exempt organization  intity, partnership, simple trust, or gr	Corporation Complex trust Private foundation rantor trust above, is the	☐ Disregarded ent ty ☐ Estate ☐ International orcanizate e entity a hybrid making a trea	☐ Partnership☐ Governmedion ty ☐ Yes ☐ No
3 Name of  4 Cirapter  Simp  Cent  If you en claim? If  5 Chapter	disregarded entity rypeole trust ral Bank of Issue tered disregarded e "Yes" complete Pal 4 Status (FATCA status (	eceiving the payment (if applicable,  (if it is	Corporation Complex trust Private foundation rantor trust above, is the	☐ Disregarded ent ty ☐ Estate ☐ International orcanizate e entity a hybrid making a trea	Partnership Government  ion tty Yes No
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3 Name of  4 Chapter  Simp  Cent  If you en claim? If  5 Chapter  Nonp FFI o	disregarded entity rypeole trust ral Bank of Issue tered disregarded e "Yes" complete Par 4 Status (FATCA statastricipating FFI (incorpating FFI (incorpating FFI (incorpating FFI (incorpating FFI (incorpating FFI (incorp	receiving the payment (if applicable,  i) (Whast check one box only).  Grantor trust Tax-exempt organization  intity, partnership, simple trust, or grint III.  atus) (See instructions for details and sluding an FFI related to a Reporting decompliant FFI, participating FFI, or	Corporation Complex trust Private foundation rantor trust above, is the complete the certific IGA Nonreport Foreign ga	☐ Disregarded ent ty ☐ Estate ☐ International organizate entity a hybrid making a treatation below for the entity's apping IGA FFI. Complete Part XII.	Partnership Government  ion  ity Yes No  blicable status.)  S. possession, or foreig
3 Name of  Girapter Simp Cent If you en claim? If Chapter Nonp FFI o exem	disregarded entity rypeole trust ral Bank of Issue tered disregarded e "Yes" complete Par 4 Status (FATCA state participating FFI (incitner than a deemeent beneficial owner participation).	receiving the payment (if applicable,  i) (Whast check one box only).  Grantor trust Tax-exempt organization  intity, partnership, simple trust, or grint III.  atus) (See instructions for details and sluding an FFI related to a Reporting decompliant FFI, participating FFI, or	Corporation Complex trust Private foundation rantor trust above, is the complete the certific IGA Nonreport Foreign go	Disregarded ent ty Estate International organizate entity a hybrid making a treatation below for the entity applies a sping IGA FFI. Complete Part XII. overnment, government of a U.nk of issue. Complete Part XIII.	Partnership Government  ion tty Yes No olicable status.) S. possession, or foreign
3 Name of  Girapter Simp Cent If you en claim? If  Chapter None FFI o exen	disregarded entity rypeole trust ral Bank of Issue tered disregarded e "Yes" complete Par 4 Status (FATCA state participating FFI (incite) ther than a deemed opt beneficial owner cipating FFI.	receiving the payment (if applicable,  i) (Whast check one box only).  Grantor trust Tax-exempt organization  intity, partnership, simple trust, or grint III.  atus) (See instructions for details and sluding an FFI related to a Reporting decompliant FFI, participating FFI, or	Corporation Complex trust Private foundation rantor trust above, is the complete the certific IGA Nonreport Foreign go central ba	Disregarded ent ty Estate International organizate entity a hybrid making a treate ation below for the entity applies a powernment, government of a U.nk of issue. Complete Part XIII.	Partnership Governmer ion tty Yes No olicable status.) . S. possession, or foreig
3 Name of  Girapter Simp Cent If you en claim? If  Chapter None FFI o exerr	disregarded entity rypeole trust ral Bank of Issue tered disregarded e "Yes" complete Par 4 Status (FATCA state participating FFI (incitner than a deemeent beneficial owner participation).	receiving the payment (if applicable,  i) (Whast check one box only).  Grantor trust Tax-exempt organization  intity, partnership, simple trust, or grint III.  atus) (See instructions for details and sluding an FFI related to a Reporting decompliant FFI, participating FFI, or	Corporation Complex trust Private foundation rantor trust above, is the complete the certific IGA Nonreport Foreign go central ba Internation Exempt re	Disregarded ent ty Estate International organizate entity a hybrid making a treatation below for the entity applies a sping IGA FFI. Complete Part XII. overnment, government of a U.nk of issue. Complete Part XIII.	Partnership Governmer ion ity Yes No olicable status.) S. possession, or foreig t XIV.

## **COMPANY** – Passive Non-Financial Foreign Entity (NFFE) (continued)

d.				
	Step 6:	Registered address	Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.	corporation. Complete Part XXIII.
e.	Step 7:	Postal address	Certified deemed-compliant limited life debt investment entity.  Complete Part VIII.	<ul><li>☐ Excepted territory NFFE. Complete Part XXIV.</li><li>☐ Active NFFE. Complete Part XXV.</li><li>☐ Passive NFFE. Complete Part XXVI.</li></ul>
f.	Step 9b:	Australian Business Number (ABN)/Tax File Number (TFN)	Certain investment entities that do not maintain financial accounts.  Complete Part IX.	Passive NFFE. Complete Part XXVI.     Excepted inter-affiliate FFI. Complete Part XXVII.     Direct reporting NFFE.
		(optional)	Owner-documented FFI. Complete Part X.	Sponsored direct reporting NFFE. Complete Part XXVIII.
			Restricted distributor. Complete Part XI.	Account that is not a financial account.
g.	Step 10:	Enter client's trading account(s) number	6 Permanent residence address (street, apt. or suite no., or rural route). <b>Do</b>	<b>not use a P.O. box or in-care-of address</b> (other than a registered address).
		account(s) number	City or town, state or province. Include postal code where appropriat	te. Country
		<b>e</b> -	7 Mailing address (if different from above)	
			City or town, state or province. Include postal code where appropriat	te. Country
			8 U.S. taxpayer identification number (TIN), if required 9a GIIN	<b>b</b> Foreign TIN
		<b>g</b> -		
				(f)
h.	Step 14:	Check <b>a</b>	Part III Claim of Tax Treaty Benefits (if applicable). (Fo	r chapter 3 purposes only.)
	•	Check <b>a</b> Enter country of tax residency	Part III Claim of Tax Treaty Benefits (if applicable). (For 14 I certify that (check all that apply):  a The beneficial owner is a resident of	r chapter 3 purposes only.)  within the meaning of the income tax
i.	Step 14:	Enter country of tax residency	14 I certify that (check all that apply):  a The beneficial owner is a resident of treaty between the United States and that country.	within the meaning of the income tax
i. j.	Step 14: Step 14:	Enter country of tax residency	14 I certify that (check all that apply):  a The beneficial owner is a resident of treaty between the United States and that country.  b The beneficial owner derives the item (or items) of income for	within the meaning of the income tax  or which the treaty benefits are claimed, and, if applicable, meets the nefits. The following are types of limitation on benefits provisions that may

## **COMPANY** – Passive Non-Financial Foreign Entity (NFFE) (continued)

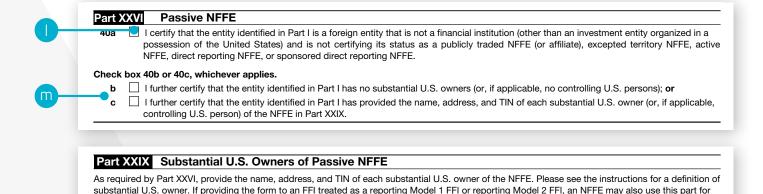
- I. Step 40: Check a
- m. Step 40: Does a US person directly or indirectly owns more than 10% interest (by vote or value) in the company?

If **no**  $\rightarrow$  check **b** 

If yes → check c

### If yes, complete Part XXIX

- i. Enter name of substantial US owner
- ii. Enter residential address
- iii. Enter US Taxpayer Identification Number (TIN)
- n. Signature of authorised signatory(ies)
- Enter the name(s) of authorised signatory(ies) here
- p. Date in US format (MM/DD/YYYY)
- q. Check certification at bottom of form



#### Part XXX Certification

Name

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

• The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;

Address

• The entity identified on line 1 of this form is not a U.S. person;

reporting its controlling U.S. persons under an applicable IGA.

- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 day

y certification on this form becomes incorrect.

Sign Here

Signature of individual authorized to sign for beneficial owner

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

TIN

Date (MM-DD-YYYY)

# **COMPANY** – Active Non-Financial Foreign Entity (NFFE)

If you open a new account on behalf of a legal entity or arrangement (such as a trust or partnership), we may ask you to provide various information, certifications, forms and/or documents (within certain timeframes) about:

- The tax residence(s) of the entity
- The nature of the entity's business
- In some circumstances, the individuals who control the entity or have specific connections to the entity. This includes their tax residence(s), TIN(s) and other required information

These requirements ensure all Financial Institutions comply with their obligations under the FATCA and CRS laws and guidance.

- a. **Step 1:** Enter full name of company
- b. Step 2: Enter country of tax residency
- c. Step 4: Check 'Corporation'

(Rev. Ju	N-8BEN-E uly 2017) ment of the Treasury Revenue Service		nited State by entities. Individu Go to www.	cate of Statues Tax Withhals must use Form Wirs.gov/FormW8BEN form to the withhold	olding -8BEN. ► Se VE for instru	and Repo	rting (Er are to the Inter latest informa	ntities) rnal Revenue Code. ation.	OMB N	lo. 1545-1621
Do NO	T use this form fo	r:							Ins	tead use Fori
• U.S.	entity or U.S. citizer	n or reside	nt							W
• A for	eign individual .							W-8BE	N (Individu	al) or Form 82
	eign individual or er	,	ng that income is	s effectively conne	ected with t	the conduct o	f trade or bus	siness within the l	J.S.	
(unle	ss claiming treaty b	enefits) .								W-8E
• A for	eign partnership, a	foreign sim	nple trust, or a fo	oreign grantor trust	t (unless cla	aiming treaty l	oenefits) (see	instructions for e	exceptions)	W-8IN
	eign government, ir		,	•		0		, , ,		,
	rnment of a U.S. po									( ) ( ) ,
,	c), 892, 895, or 1443	` ' `	0 ,	, ,			,			8ECI or W-8E
• Any p	person acting as an	intermedia	ary (including a c	qualified intermedia	ary acting a	as a qualified (	derivatives de	ealer)		W-8IN
Par	til Identific	cation o	f Beneficial (	Owner						
Par 1	Identific Name of organizat						2 Country	of incorporation	or organiz	ation
							2 Country	of incorporation	or organiz	ation
		ion that is	the beneficial ov	wner	e, see instr	uctions)	2 Country	of incorporation	or organiz	ation
1	Name of organizat	ion that is	the beneficial ov	wner	e, see instri	uctions)	2 Country	of incorporation	or organiz	ation
1	Name of organizat	ion that is	the beneficial overeceiving the pay	wner /ment (if applicable	e, see instru			of incorporation	or organiz	ation  Partnership
1	Name of organizate  Name of disregard	ion that is	the beneficial overeceiving the pay	wner /ment (if applicable	- Corpo			egarded entity	or organiz	Partnership
1	Name of organizate  Name of disregard  Chapter 3 Status	ion that is led entity r	the beneficial overeceiving the pay  (Must check of Grantor tro	wner /ment (if applicable	Corpo	ration	☐ Disre	egarded entity		Partnership
1	Name of organizat  Name of disregard  Chapter 9 Status  Simple trust  Central Bank	led entity r	the beneficial over eceiving the pay	ment (if applicable	Corpoi	ration lex trust e foundation	☐ Disre☐ Esta	egarded entity te national organiza	tior	Partnership
1	Name of organizat  Name of disregard  Chapter 9 Status  Simple trust  Central Bank	ion that is led entity r (entity type of Issue regarded e	the beneficial over eceiving the pay continuous check on Grantor true Tax-exempentity, partnership	wner (if applicable ne box only). ust organization	Corpoi	ration lex trust e foundation	☐ Disre☐ Esta	egarded entity te national organiza	tior	Partnership Governmen
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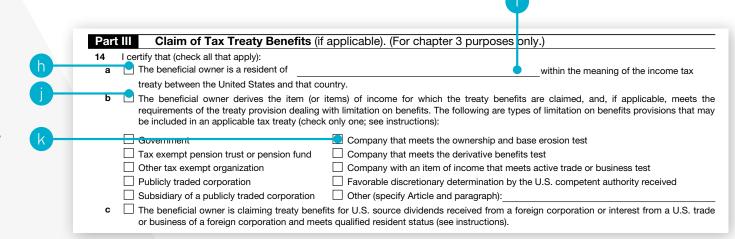
## **COMPANY** – Active Non-Financial Foreign Entity (NFFE) (continued)

d. Step 6	: Registered address		.  Certified deemed-compliant sponsored, clo	sely held investment	corporation. Complete Part		
Step 7	: Postal address		vehicle. Complete Part VII.		Excepted territory NFFE. Co	·	
			Certified deemed-compliant limited life debt in Complete Part VIII.	nvestment entity.	<ul><li></li></ul>		
. Step s	b: Australian Business Number (ABN)/Tax File Number (TFN)		Certain investment entities that do not maintai Complete Part IX.	in financial accounts.	Excepted inter-affiliate FFI.  Direct reporting NFFE.		
	(optional)		Owner-documented FFI. Complete Part X.		☐ Sponsored direct reporting	NFFE. Complete Part XXVIII.	
Chan 1	O. Fatas aliant's trading		Restricted distributor. Complete Part XI.		Account that is not a financi	ial account.	
g. Step 1	<b>0:</b> Enter client's trading account(s) number	6	Permanent residence address (street, apt. or suite	no., or rural route). <b>Do n</b>	ot use a P.O. box or in-care-of add	Iress (other than a registered address)	).
			City or town, state or province. Include postal co	ode where appropriate		Country	
	<b>e</b> -	7	Mailing address (if different from above)				
			City or town, state or province. Include postal or	ode where appropriate		Country	
		8	U.S. taxpayer identification number (TIN), if required	9a GIIN		<b>b</b> Foreign TIN	
	g-	10	Reference number(s) (see instructions)				
		Note:	Please complete remainder of the form including	signing the form in Par	t XXX.		
		For P	aperwork Reduction Act Notice, see separate i	nstructions.	Cat. No. 59689N	Form <b>W-8BEN-E</b> (Rev. 7-20	017)

## **COMPANY** – Active Non-Financial Foreign Entity (NFFE) (continued)

- h. Step 14: Check a
- i. Step 14: Enter country of tax residency
- j. Step 14: Check b
- K. Step 14: Check 'Company that meets the ownership and base erosion test', this being applicable to most trusts/companies. (Note: other items may apply, please consult your US tax adviser to verify.)
- I. Step 39: Check

- m. Signature of authorised signatory(ies)
- n. Enter the name(s) of authorised signatory(ies) here
- o. Date in US format (MM/DD/YYYY)
- p. Check certification at bottom of form



#### Part XXV Active NFFE

- 39 L certify that:
  - The entity identified in Part I is a foreign entity that is not a financial institution;
  - Less than 50% of such entity's gross income for the preceding calendar year is passive income; and
  - Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured guarterly) (see instructions for the definition of passive income).

#### Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not a U.S. person;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

Sign Here
Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

Signature of individual authorized to sign for beneficial owner

Locatify that I have the canacity to sign for the entity identified on line 1 of this form

I certify that I have the capacity to sign for the entity identified on line 1 of this form.