

## **Modern Slavery Statement: year ending 31 March 2019**

This statement is made pursuant to section 54 of the Modern Slavery Act 2015 and sets out the approach taken by CMC Markets plc (registration number 05145017) and all our subsidiaries and branches, including CMC Markets UK plc (registration number 02448409) and CMC Spreadbet plc (registration number 02589529), (“the CMC Group”) to understand all potential modern slavery risks related to our business, and the actions undertaken to mitigate any such risks for the financial year ending 31 March 2019.

The CMC Group is not aware of any slavery or human trafficking in any part of our business or supply chain. The CMC Group is committed to acting ethically and with integrity in all our business dealings and relationships and to improving our practices to combat slavery and human trafficking.

### **OUR BUSINESS**

As a leading global provider of online retail trading, the CMC Group offers clients the opportunity to trade the financial markets via contracts for difference (CFDs), financial spread betting (UK & Ireland only) and Countdowns. The CMC Group also offers a stockbroking service in Australia.

### **OUR SUPPLY CHAINS**

Given the nature of our business, the CMC Group assesses that the risk of modern slavery and human trafficking in our business and supply chain is low. As part of our initiative to identify and mitigate risk, the CMC Group carries out due diligence on all new suppliers, agents, consultants and contractors throughout our supply chain to ensure the potential for modern slavery and human trafficking is significantly reduced. The CMC Group expects organisations with which it does business to adopt and enforce policies to comply with the legislation and does not knowingly support or conduct business with a company involved in slavery or human trafficking.

### **OUR POLICIES ON MODERN SLAVERY AND HUMAN TRAFFICKING**

Our anti-slavery policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our business or supply chains.

The CMC Group has clear employment policies and processes in place, employees’ failure to comply with these can result in disciplinary action. CMC Group also has a whistleblowing policy in place, which encourages employees to report any wrongdoing including those related to modern slavery and human trafficking.

### **ANNUAL REVIEW**

The CMC Group will conduct an annual review of this statement.

Approved by the Board of Directors of CMC Markets plc on 24 July 2019.

Peter Cruddas  
CEO  
CMC Markets plc