Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Treasuries										
Canada Bank 3 Month - Forwards	> 0	20.00%								
Canada Bond 10 YR - Forwards	> 0	20.00%								
Euribor - Cash	> 0	20.00%								
Euribor - Forwards	> 0	20.00%								
Euro Bobl - Cash	> 0	20.00%								
Euro Bobl - Dec 2019	> 0	20.00%								
Euro BTP - Forwards	> 0	20.00%								
Euro BTP 3YR - Forwards	> 0	20.00%								
Euro Bund - Cash	> 0	20.00%								
Euro Bund - Forwards	> 0	20.00%								
Euro Buxl - Cash	> 0	20.00%								
Euro Buxl - Forwards	> 0	20.00%								
Euro OAT - Forwards	> 0	20.00%								
Euro Schatz - Cash	> 0	20.00%								
Euro Schatz - Forwards	> 0	20.00%								
Eurodollar - Cash	> 0	20.00%								
Eurodollar - Forwards	> 0	20.00%								
Japan JGB - Forwards	> 0	20.00%								
UK Gilt - Cash	> 0	20.00%								
UK Gilt - Forwards	> 0	20.00%								
UK Short Sterling - Cash	> 0	20.00%								
UK Short Sterling - Forwards	> 0	20.00%								
US Fed Funds 30 Day - Forwards	> 0	20.00%								
US T-Bond - Cash	> 0	20.00%								
US T-Bond - Forwards	> 0	20.00%								
US T-Bond Ultra - Forwards	> 0	20.00%								
US T-Note 10 YR - Cash	> 0	20.00%								
US T-Note 10 YR - Forwards	> 0	20.00%								
US T-Note 2 YR - Cash	> 0	20.00%								
US T-Note 2 YR - Forwards	> 0	20.00%								
US T-Note 5 YR - Cash	> 0	20.00%								
US T-Note 5 YR - Forwards	> 0	20.00%								
Indices										
Australia 200 - Cash	> 0	5.00%								
Australia 200 - Forwards	> 0	5.00%								
Canada 60 - Cash	> 0	10.00%								
Canada 60 - Forwards	> 0	10.00%								
China A50 - Mar 2019	> 0	10.00%								
Euro 50 - Cash	> 0	5.00%								
Euro 50 - Forwards	> 0	5.00%								
Europe 600 - Forwards	> 0	10.00%								
France 40 - Cash	> 0	5.00%								
France 40 - Forwards	> 0	5.00%								
Germany 30 - Cash	> 0	5.00%								
Germany 30 - Forwards	> 0	5.00%								
Germany Mid 50 - Cash	> 0	10.00%								
Germany Mid 50 - Forwards	> 0	10.00%								
Germany Tech 30 - Cash	> 0	10.00%								
Germany Tech 30 - Forwards	> 0	10.00%								
Hong Kong 50 - Cash	> 0	10.00%								
Hong Kong 50 - Forwards	> 0	10.00%								
Hong Kong China H-shares - Cash	> 0	10.00%								
Hong Kong China H-shares - Forwards	> 0	10.00%								
India 50 - Forwards	> 0	10.00%								
Italy 40 - Cash	> 0	10.00%								
Italy 40 - Forwards	> 0	10.00%								
Japan 225 - Cash	> 0	5.00%								
Japan 225 - Forwards	> 0	5.00%								
Netherlands 25 - Cash	> 0	10.00%								
Netherlands 25 - Forwards	> 0	10.00%								
Norway 25 - Cash	> 0	10.00%								
Norway 25 - Forwards	> 0	10.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Poland 20 - Forwards	> 0	10.00%								
Singapore Free - Forwards	> 0	10.00%								
South Africa 40 - Cash	> 0	10.00%								
South Africa 40 - Forwards	> 0	10.00%								
Spain 35 - Cash	> 0	10.00%								
Spain 35 - Forwards	> 0	10.00%								
Sweden 30 - Cash	> 0	10.00%								
Sweden 30 - Jan 2019	> 0	10.00%								
Switzerland 20 - Cash	> 0	10.00%								
Switzerland 20 - Forwards	> 0	10.00%								
Taiwan 50 - Forwards	> 0	10.00%								
UK 100 - Cash	> 0	5.00%								
UK 100 - Forwards	> 0	5.00%								
US 30 - Cash	> 0	5.00%								
US 30 - Forwards	> 0	5.00%								
US NDAQ 100 - Cash	> 0	5.00%								
US NDAQ 100 - Forwards	> 0	5.00%								
US Small Cap 2000 - Cash	> 0	10.00%								
US Small Cap 2000 - Forwards	> 0	10.00%								
US SPX 500 - Cash	> 0	5.00%								
US SPX 500 - Forwards	> 0	5.00%								
US SPX Midcap 400 - Forwards	> 0	10.00%								
Volatility Index - Forwards	0 - 5,345	10.00%	> 5,345 - 10,690	20.00%	> 10,690 - 16,035	30.00%	> 16,035 - 21,380	50.00%	> 21,380	70.00%
Commodities										
Cocoa Bulk Bean (UK) - Cash	> 0	10.00%								
Cocoa Bulk Bean (UK) - Forwards	> 0	10.00%								
Coffee Arabica - Cash	> 0	10.00%								
Coffee Arabica - Forwards	> 0	10.00%								
Coffee Robusta - Cash	> 0	10.00%								
Coffee Robusta - Forwards	> 0	10.00%								
Copper - Cash	> 0	10.00%								
Copper - Forwards	> 0	10.00%								
Corn - Cash	> 0	10.00%								
Corn - Forwards	> 0	10.00%								
Cotton - Cash	> 0	10.00%								
Cotton - Forwards	> 0	10.00%								
Crude Oil Brent - Cash	> 0	10.00%								
Crude Oil Brent - Forwards	> 0	10.00%								
Crude Oil West Texas - Cash	> 0	10.00%								
Crude Oil West Texas - Forwards	> 0	10.00%								
Feeder Cattle - Cash	> 0	10.00%								
Feeder Cattle - Forwards	> 0	10.00%								
Gasoline - Cash	> 0	10.00%								
Gasoline - Forwards	> 0	10.00%								
Gold - Cash	> 0	5.00%								
Gold - Jun 2019	> 0	5.00%								
Heating Oil - Cash	> 0	10.00%								
Heating Oil - Forwards	> 0	10.00%								
Lean Hogs - Cash	> 0	10.00%								
Lean Hogs - Forwards	> 0	10.00%								
Live Cattle - Cash	> 0	10.00%								
Live Cattle - Forwards	> 0	10.00%								
Low Sulphur Gasoil - Cash	> 0	10.00%								
Low Sulphur Gasoil - Forwards	> 0	10.00%								
Lumber - Cash	> 0	10.00%								
Lumber - Forwards	> 0	10.00%								
Natural Gas - Cash	> 0	10.00%								
Natural Gas - Forwards	> 0	10.00%								
Oats - Cash	> 0	10.00%								
Oats - Forwards	> 0	10.00%								
Orange Juice - Cash	> 0	10.00%								
Orange Juice - Forwards	> 0	10.00%								
Palladium - Cash	> 0	10.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Palladium - Forwards	> 0	10.00%								
Platinum - Cash	> 0	10.00%								
Platinum - Forwards	> 0	10.00%								
Rough Rice - Cash	> 0	10.00%								
Rough Rice - Forwards	> 0	10.00%								
Silver - Cash	> 0	10.00%								
Silver - Forwards	> 0	10.00%								
Soybean - Cash	> 0	10.00%								
Soybean - Forwards	> 0	10.00%								
Soybean Meal - Cash	> 0	10.00%								
Soybean Meal - Forwards	> 0	10.00%								
Soybean Oil - Cash	> 0	10.00%								
Soybean Oil - Forwards	> 0	10.00%								
Sugar Raw - Cash	> 0	10.00%								
Sugar Raw - Forwards	> 0	10.00%								
Sugar White - Cash	> 0	10.00%								
Sugar White - Forwards	> 0	10.00%								
US Cocoa - Cash	> 0	10.00%								
US Cocoa - Forwards	> 0	10.00%								
Wheat - Cash	> 0	10.00%								
Wheat - Forwards	> 0	10.00%								
Currencies										
AUD/CAD	> 0	5.00%								
AUD/CHF	> 0	5.00%								
AUD/CNH	> 0	5.00%								
AUD/CZK	> 0	5.00%								
AUD/DKK	> 0	5.00%								
AUD/EUR	> 0	5.00%								
AUD/GBP	> 0	5.00%								
AUD/HKD	> 0	5.00%								
AUD/HUF	> 0	5.00%								
AUD/JPY	> 0	5.00%								
AUD/MXN	> 0	5.00%								
AUD/NOK	> 0	5.00%								
AUD/NZD	> 0	5.00%								
AUD/PLN	> 0	5.00%								
AUD/SEK	> 0	5.00%								
AUD/SGD	> 0	5.00%								
AUD/TRY	> 0	5.00%								
AUD/USD	> 0	5.00%								
AUD/USD - Forwards	> 0	5.00%								
AUD/ZAR	> 0	5.00%								
CAD/AUD	> 0	5.00%								
CAD/CHF	> 0	3.34%								
CAD/CZK	> 0	5.00%								
CAD/DKK	> 0	5.00%								
CAD/EUR	> 0	3.34%								
CAD/GBP	> 0	3.34%								
CAD/HKD	> 0	5.00%								
CAD/HUF	> 0	5.00%								
CAD/JPY	> 0	3.34%								
CAD/MXN	> 0	5.00%								
CAD/NOK	> 0	5.00%								
CAD/NZD	> 0	5.00%								
CAD/PLN	> 0	5.00%								
CAD/SEK	> 0	5.00%								
CAD/SGD	> 0	5.00%								
CAD/TRY	> 0	5.00%								
CAD/USD	> 0	3.34%								
CAD/USD - Forwards	> 0	3.34%								
CAD/ZAR	> 0	5.00%								
CHF/AUD	> 0	5.00%								
CHF/CAD	> 0	3.34%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
CHF/CZK	> 0	5.00%								
CHF/DKK	> 0	5.00%								
CHF/EUR	> 0	3.34%								
CHF/GBP	> 0	3.34%								
CHF/HKD	> 0	5.00%								
CHF/HUF	> 0	5.00%								
CHF/JPY	> 0	3.34%								
CHF/MXN	> 0	5.00%								
CHF/NOK	> 0	5.00%								
CHF/NZD	> 0	5.00%								
CHF/PLN	> 0	5.00%								
CHF/RUB	> 0	5.00%								
CHF/SEK	> 0	5.00%								
CHF/SGD	> 0	5.00%								
CHF/TRY	> 0	5.00%								
CHF/USD	> 0	3.34%								
CHF/USD - Forwards	> 0	3.34%								
CHF/ZAR	> 0	5.00%								
CNH/JPY	> 0	5.00%								
CZK/AUD	> 0	5.00%								
CZK/CAD	> 0	5.00%								
CZK/CHF	> 0	5.00%								
CZK/DKK	> 0	5.00%								
CZK/EUR	> 0	5.00%								
CZK/GBP	> 0	5.00%								
CZK/HKD	> 0	5.00%								
CZK/HUF	> 0	5.00%								
CZK/JPY	> 0	5.00%								
CZK/MXN	> 0	5.00%								
CZK/NOK	> 0	5.00%								
CZK/NZD	> 0	5.00%								
CZK/PLN	> 0	5.00%								
CZK/SEK	> 0	5.00%								
CZK/SGD	> 0	5.00%								
CZK/TRY	> 0	5.00%								
CZK/USD	> 0	5.00%								
CZK/ZAR	> 0	5.00%								
DKK/AUD	> 0	5.00%								
DKK/CAD	> 0	5.00%								
DKK/CHF	> 0	5.00%								
DKK/CZK	> 0	5.00%								
DKK/EUR	> 0	5.00%								
DKK/GBP	> 0	5.00%								
DKK/HKD	> 0	5.00%								
DKK/HUF	> 0	5.00%								
DKK/JPY	> 0	5.00%								
DKK/MXN	> 0	5.00%								
DKK/NOK	> 0	5.00%								
DKK/NZD	> 0	5.00%								
DKK/PLN	> 0	5.00%								
DKK/SEK	> 0	5.00%								
DKK/SGD	> 0	5.00%								
DKK/TRY	> 0	5.00%								
DKK/USD	> 0	5.00%								
DKK/ZAR	> 0	5.00%								
EUR/AUD	> 0	5.00%								
EUR/CAD	> 0	3.34%								
EUR/CHF	> 0	3.34%								
EUR/CHF - Forwards	> 0	3.34%								
EUR/CNH	> 0	5.00%								
EUR/CZK	> 0	5.00%								
EUR/DKK	> 0	5.00%								
EUR/GBP	> 0	3.34%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
EUR/GBP - Forwards	> 0	3.34%								
EUR/HKD	> 0	5.00%								
EUR/HUF	> 0	5.00%								
EUR/JPY	> 0	3.34%								
EUR/JPY - Forwards	> 0	3.34%								
EUR/MXN	> 0	5.00%								
EUR/NOK	> 0	5.00%								
EUR/NZD	> 0	5.00%								
EUR/PLN	> 0	5.00%								
EUR/RUB	> 0	5.00%								
EUR/SEK	> 0	5.00%								
EUR/SGD	> 0	5.00%								
EUR/TRY	> 0	5.00%								
EUR/USD	> 0	3.34%								
EUR/USD - Forwards	> 0	3.34%								
EUR/ZAR	> 0	5.00%								
GBP/AUD	> 0	5.00%								
GBP/CAD	> 0	3.34%								
GBP/CHF	> 0	3.34%								
GBP/CNH	> 0	5.00%								
GBP/CZK	> 0	5.00%								
GBP/DKK	> 0	5.00%								
GBP/EUR	> 0	3.34%								
GBP/HKD	> 0	5.00%								
GBP/HUF	> 0	5.00%								
GBP/JPY	> 0	3.34%								
GBP/MXN	> 0	5.00%								
GBP/NOK	> 0	5.00%								
GBP/NZD	> 0	5.00%								
GBP/PLN	> 0	5.00%								
GBP/RUB	> 0	5.00%								
GBP/SEK	> 0	5.00%								
GBP/SGD	> 0	5.00%								
GBP/TRY	> 0	5.00%								
GBP/USD	> 0	3.34%								
GBP/USD - Forwards	> 0	3.34%								
GBP/ZAR	> 0	5.00%								
HKD/AUD	> 0	5.00%								
HKD/CAD	> 0	5.00%								
HKD/CHF	> 0	5.00%								
HKD/CZK	> 0	5.00%								
HKD/DKK	> 0	5.00%								
HKD/EUR	> 0	5.00%								
HKD/GBP	> 0	5.00%								
HKD/HUF	> 0	5.00%								
HKD/JPY	> 0	5.00%								
HKD/MXN	> 0	5.00%								
HKD/NOK	> 0	5.00%								
HKD/NZD	> 0	5.00%								
HKD/PLN	> 0	5.00%								
HKD/SEK	> 0	5.00%								
HKD/SGD	> 0	5.00%								
HKD/TRY	> 0	5.00%								
HKD/USD	> 0	5.00%								
HKD/ZAR	> 0	5.00%								
INR/USD - Nov 2019	> 0	5.00%								
MXN/AUD	> 0	5.00%								
MXN/CAD	> 0	5.00%								
MXN/CHF	> 0	5.00%								
MXN/CZK	> 0	5.00%								
MXN/DKK	> 0	5.00%								
MXN/EUR	> 0	5.00%								
MXN/GBP	> 0	5.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
MXN/HKD	> 0	5.00%								
MXN/HUF	> 0	5.00%								
MXN/JPY	> 0	5.00%								
MXN/NOK	> 0	5.00%								
MXN/NZD	> 0	5.00%								
MXN/PLN	> 0	5.00%								
MXN/SEK	> 0	5.00%								
MXN/SGD	> 0	5.00%								
MXN/TRY	> 0	5.00%								
MXN/USD	> 0	5.00%								
MXN/ZAR	> 0	5.00%								
NOK/AUD	> 0	5.00%								
NOK/CAD	> 0	5.00%								
NOK/CHF	> 0	5.00%								
NOK/CZK	> 0	5.00%								
NOK/DKK	> 0	5.00%								
NOK/EUR	> 0	5.00%								
NOK/GBP	> 0	5.00%								
NOK/HKD	> 0	5.00%								
NOK/HUF	> 0	5.00%								
NOK/JPY	> 0	5.00%								
NOK/MXN	> 0	5.00%								
NOK/NZD	> 0	5.00%								
NOK/PLN	> 0	5.00%								
NOK/SEK	> 0	5.00%								
NOK/SGD	> 0	5.00%								
NOK/TRY	> 0	5.00%								
NOK/USD	> 0	5.00%								
NOK/ZAR	> 0	5.00%								
NZD/AUD	> 0	5.00%								
NZD/CAD	> 0	5.00%								
NZD/CHF	> 0	5.00%								
NZD/CNH	> 0	5.00%								
NZD/CZK	> 0	5.00%								
NZD/DKK	> 0	5.00%								
NZD/EUR	> 0	5.00%								
NZD/GBP	> 0	5.00%								
NZD/HKD	> 0	5.00%								
NZD/HUF	> 0	5.00%								
NZD/JPY	> 0	5.00%								
NZD/MXN	> 0	5.00%								
NZD/NOK	> 0	5.00%								
NZD/PLN	> 0	5.00%								
NZD/SEK	> 0	5.00%								
NZD/SGD	> 0	5.00%								
NZD/TRY	> 0	5.00%								
NZD/USD	> 0	5.00%								
NZD/USD - Forwards	> 0	5.00%								
NZD/ZAR	> 0	5.00%								
PLN/AUD	> 0	5.00%								
PLN/CAD	> 0	5.00%								
PLN/CHF	> 0	5.00%								
PLN/CZK	> 0	5.00%								
PLN/DKK	> 0	5.00%								
PLN/EUR	> 0	5.00%								
PLN/GBP	> 0	5.00%								
PLN/HKD	> 0	5.00%								
PLN/HUF	> 0	5.00%								
PLN/JPY	> 0	5.00%								
PLN/MXN	> 0	5.00%								
PLN/NOK	> 0	5.00%								
PLN/NZD	> 0	5.00%								
PLN/SEK	> 0	5.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
PLN/SGD	> 0	5.00%								
PLN/TRY	> 0	5.00%								
PLN/USD	> 0	5.00%								
PLN/ZAR	> 0	5.00%								
SEK/AUD	> 0	5.00%								
SEK/CAD	> 0	5.00%								
SEK/CHF	> 0	5.00%								
SEK/CZK	> 0	5.00%								
SEK/DKK	> 0	5.00%								
SEK/EUR	> 0	5.00%								
SEK/GBP	> 0	5.00%								
SEK/HKD	> 0	5.00%								
SEK/HUF	> 0	5.00%								
SEK/JPY	> 0	5.00%								
SEK/MXN	> 0	5.00%								
SEK/NOK	> 0	5.00%								
SEK/NZD	> 0	5.00%								
SEK/PLN	> 0	5.00%								
SEK/SGD	> 0	5.00%								
SEK/TRY	> 0	5.00%								
SEK/USD	> 0	5.00%								
SEK/ZAR	> 0	5.00%								
SGD/AUD	> 0	5.00%								
SGD/CAD	> 0	5.00%								
SGD/CHF	> 0	5.00%								
SGD/CZK	> 0	5.00%								
SGD/DKK	> 0	5.00%								
SGD/EUR	> 0	5.00%								
SGD/GBP	> 0	5.00%								
SGD/HKD	> 0	5.00%								
SGD/HUF	> 0	5.00%								
SGD/JPY	> 0	5.00%								
SGD/MXN	> 0	5.00%								
SGD/NOK	> 0	5.00%								
SGD/NZD	> 0	5.00%								
SGD/PLN	> 0	5.00%								
SGD/SEK	> 0	5.00%								
SGD/TRY	> 0	5.00%								
SGD/USD	> 0	5.00%								
SGD/ZAR	> 0	5.00%								
TRY/AUD	> 0	5.00%								
TRY/CAD	> 0	5.00%								
TRY/CHF	> 0	5.00%								
TRY/CZK	> 0	5.00%								
TRY/DKK	> 0	5.00%								
TRY/EUR	> 0	5.00%								
TRY/GBP	> 0	5.00%								
TRY/HKD	> 0	5.00%								
TRY/HUF	> 0	5.00%								
TRY/JPY	> 0	5.00%								
TRY/MXN	> 0	5.00%								
TRY/NOK	> 0	5.00%								
TRY/NZD	> 0	5.00%								
TRY/PLN	> 0	5.00%								
TRY/SEK	> 0	5.00%								
TRY/SGD	> 0	5.00%								
TRY/USD	> 0	5.00%								
TRY/ZAR	> 0	5.00%								
USD/AUD	> 0	5.00%								
USD/CAD	> 0	3.34%								
USD/CHF	> 0	3.34%								
USD/CNH	> 0	5.00%								
USD/CZK	> 0	5.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
USD/DKK	> 0	5.00%								
USD/EUR	> 0	3.34%								
USD/GBP	> 0	3.34%								
USD/HKD	> 0	5.00%								
USD/HUF	> 0	5.00%								
USD/JPY	> 0	3.34%								
USD/MXN	> 0	5.00%								
USD/NOK	> 0	5.00%								
USD/NZD	> 0	5.00%								
USD/PLN	> 0	5.00%								
USD/RUB	> 0	5.00%								
USD/SEK	> 0	5.00%								
USD/SGD	> 0	5.00%								
USD/TRY	> 0	5.00%								
USD/ZAR	> 0	5.00%								
ZAR/AUD	> 0	5.00%								
ZAR/CAD	> 0	5.00%								
ZAR/CHF	> 0	5.00%								
ZAR/CZK	> 0	5.00%								
ZAR/DKK	> 0	5.00%								
ZAR/EUR	> 0	5.00%								
ZAR/GBP	> 0	5.00%								
ZAR/HKD	> 0	5.00%								
ZAR/HUF	> 0	5.00%								
ZAR/JPY	> 0	5.00%								
ZAR/MXN	> 0	5.00%								
ZAR/NOK	> 0	5.00%								
ZAR/NZD	> 0	5.00%								
ZAR/PLN	> 0	5.00%								
ZAR/SEK	> 0	5.00%								
ZAR/SGD	> 0	5.00%								
ZAR/TRY	> 0	5.00%								
ZAR/USD	> 0	5.00%								
US Dollar Index - Mar 2019	> 0	5.00%								
Shares										
1-800-Flowers.com Inc	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
1st Source Corp	0 - 100	25.00%	> 100 - 300	40.00%	> 300	90.00%				
21Vianet Group Inc	0 - 5,100	20.00%	> 5,100 - 28,000	25.00%	> 28,000	50.00%				
2U Inc	0 - 1,000	20.00%	> 1,000 - 2,100	55.00%	> 2,100	70.00%				
361 Degrees	0 - 57,000	20.00%	> 57,000 - 150,000	40.00%	> 150,000 - 340,000	45.00%	> 340,000	50.00%		
3D Systems Corp	0 - 6,400	20.00%	> 6,400 - 12,000	40.00%	> 12,000	70.00%				
3i Group	0 - 16,000	20.00%	> 16,000 - 33,000	25.00%	> 33,000	50.00%				
3i Infrastructure	0 - 6,300	30.00%	> 6,300 - 19,000	35.00%	> 19,000 - 51,000	40.00%	> 51,000	50.00%		
3M	0 - 1,600	20.00%	> 1,600 - 3,200	50.00%	> 3,200	70.00%				
3P Learning Ltd	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 45,000	30.00%	> 45,000	50.00%		
3SBio Inc	0 - 14,000	25.00%	> 14,000 - 43,000	30.00%	> 43,000 - 170,000	40.00%	> 170,000	50.00%		
4imprint Group PLC	0 - 900	20.00%	> 900 - 1,800	25.00%	> 1,800	50.00%				
500.com Ltd	0 - 1,000	20.00%	> 1,000 - 2,600	35.00%	> 2,600 - 6,000	40.00%	> 6,000	50.00%		
51job Inc	0 - 1,000	20.00%	> 1,000 - 2,100	60.00%	> 2,100	90.00%				
58.com Inc	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000 - 6,000	30.00%	> 6,000	50.00%		
77 Bank Ltd/The	0 - 3,000	20.00%	> 3,000 - 7,700	50.00%	> 7,700	60.00%				
888 Holdings	0 - 10,000	20.00%	> 10,000 - 61,000	25.00%	> 61,000	50.00%				
8x8 Inc	0 - 1,600	20.00%	> 1,600 - 4,300	25.00%	> 4,300 - 9,700	30.00%	> 9,700	50.00%		
99 Wuxian	0 - 100,000	20.00%	> 100,000 - 200,000	50.00%	> 200,000	60.00%				
A Schulman Inc	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
A&W Revenue Royalties Income Fund	0 - 410	20.00%	> 410 - 820	50.00%	> 820	85.00%				
A10 Networks Inc	0 - 4,000	20.00%	> 4,000 - 9,100	25.00%	> 9,100	50.00%				
A2A	0 - 54,000	20.00%	> 54,000 - 100,000	55.00%	> 100,000	60.00%				
AA Plc	0 - 93,000	20.00%	> 93,000 - 170,000	25.00%	> 170,000	50.00%				
AAC Holdings Inc	0 - 990	20.00%	> 990 - 1,900	55.00%	> 1,900	90.00%				
AAC Technologies Holdings Inc	0 - 7,100	20.00%	> 7,100 - 14,000	70.00%	> 14,000	90.00%				
Aalberts Inds	0 - 2,000	20.00%	> 2,000 - 4,100	40.00%	> 4,100	50.00%				
AAON Inc	0 - 1,200	20.00%	> 1,200 - 3,200	35.00%	> 3,200 - 7,300	40.00%	> 7,300	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
AAR Corp	0 - 1,000	20.00%	> 1,000 - 2,700	25.00%	> 2,700 - 6,200	30.00%	> 6,200	50.00%		
Aareal Bank	0 - 4,300	20.00%	> 4,300 - 8,600	25.00%	> 8,600	50.00%				
AarhusKarlshamn	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Aaron's Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
AB Science SA	0 - 2,000	20.00%	> 2,000 - 5,100	30.00%	> 5,100 - 10,000	35.00%	> 10,000	50.00%		
Abacus Property Group	0 - 4,100	30.00%	> 4,100 - 12,000	35.00%	> 12,000 - 32,000	50.00%	> 32,000	55.00%		
Abaxis Inc	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
ABB	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000	50.00%				
ABB (Sweden)	0 - 4,500	20.00%	> 4,500 - 9,100	65.00%	> 9,100	90.00%				
ABB Ltd	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000	50.00%				
Abbott Labs	0 - 5,200	20.00%	> 5,200 - 10,000	40.00%	> 10,000	60.00%				
AbbVie Inc	0 - 3,400	20.00%	> 3,400 - 6,800	40.00%	> 6,800	60.00%				
ABC Arbitrage	0 - 1,100	20.00%	> 1,100 - 2,400	25.00%	> 2,400 - 4,900	30.00%	> 4,900	50.00%		
Abcam	0 - 5,100	20.00%	> 5,100 - 22,000	30.00%	> 22,000	50.00%				
ABC-Mart Inc	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
Abengoa SA - A	0 - 600,000	20.00%	> 600,000 - 1,200,000	25.00%	> 1,200,000	50.00%				
Abengoa SA - B	0 - 330,000	25.00%	> 330,000 - 990,000	40.00%	> 990,000	90.00%				
Abeona Therapeutics Inc.	0 - 1,200	25.00%	> 1,200 - 3,800	40.00%	> 3,800	90.00%				
Abercrombie	0 - 3,900	20.00%	> 3,900 - 7,900	50.00%	> 7,900	55.00%				
Aberdeen Asian	0 - 1,400	30.00%	> 1,400 - 11,000	35.00%	> 11,000 - 26,000	40.00%	> 26,000	50.00%		
Aberdeen Asian Smaller Companies Investment Trust	0 - 420	30.00%	> 420 - 1,200	35.00%	> 1,200 - 7,500	40.00%	> 7,500	50.00%		
Aberdeen Asia-Pacific Income Fund Inc	0 - 760	35.00%	> 760 - 2,200	45.00%	> 2,200 - 4,500	75.00%	> 4,500	90.00%		
Aberdeen Asia-Pacific Income Investment Co Ltd	0 - 1,100	30.00%	> 1,100 - 13,000	35.00%	> 13,000	50.00%				
Aberdeen Diversified Income & Growth Trust PLC	0 - 2,200	30.00%	> 2,200 - 39,000	35.00%	> 39,000	50.00%				
Aberdeen Global Premier Properties Fund	0 - 520	30.00%	> 520 - 3,100	35.00%	> 3,100 - 6,200	40.00%	> 6,200	50.00%		
Aberdeen New Dawn Investment Trust	0 - 1,100	30.00%	> 1,100 - 3,300	35.00%	> 3,300 - 13,000	40.00%	> 13,000	50.00%		
Aberdeen New India Investments	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500	50.00%				
Aberdeen Total Dynamic Dividend Fund	0 - 370	30.00%	> 370 - 4,700	40.00%	> 4,700	50.00%				
Aberforth Smaller Companies Trust	0 - 540	30.00%	> 540 - 9,800	40.00%	> 9,800	50.00%				
Abertis Infraestructuras	0 - 30,000	20.00%	> 30,000 - 61,000	40.00%	> 61,000	60.00%				
ABF Pan Asia Bond Index Fund	0 - 88	20.00%	> 88 - 350	25.00%	> 350	50.00%				
ABG Sundal Collier Holding ASA	0 - 27,000	20.00%	> 27,000 - 54,000	25.00%	> 54,000	50.00%				
ABIOMED Inc	0 - 1,500	20.00%	> 1,500 - 3,100	40.00%	> 3,100	60.00%				
ABM Industries Inc	0 - 3,100	20.00%	> 3,100 - 6,300	30.00%	> 6,300 - 12,000	35.00%	> 12,000	50.00%		
ABN AMRO Group	0 - 3,800	20.00%	> 3,800 - 7,700	30.00%	> 7,700 - 15,000	40.00%	> 15,000	50.00%		
Abraxas Petroleum Corp	0 - 6,600	20.00%	> 6,600 - 38,000	30.00%	> 38,000	50.00%				
Absolute Software Corp	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600 - 7,200	45.00%	> 7,200	50.00%		
Acacia Communications Inc	0 - 2,800	20.00%	> 2,800 - 5,600	30.00%	> 5,600 - 10,000	45.00%	> 10,000	50.00%		
Acacia Mining PLC	0 - 19,000	20.00%	> 19,000 - 50,000	70.00%	> 50,000	90.00%				
Acacia Research Corp	0 - 2,600	20.00%	> 2,600 - 5,200	70.00%	> 5,200	90.00%				
AcadeMedia AB	0 - 2,000	20.00%	> 2,000 - 5,400	40.00%	> 5,400	50.00%				
Acadia Healthcare Co Inc	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500 - 9,100	30.00%	> 9,100	50.00%		
ACADIA Pharmaceuticals Inc	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Acadia Realty Trust	0 - 1,100	30.00%	> 1,100 - 3,400	35.00%	> 3,400 - 7,100	65.00%	> 7,100	90.00%		
Acando AB	0 - 6,300	20.00%	> 6,300 - 13,000	25.00%	> 13,000	50.00%				
Accelerate Diagnostics Inc	0 - 850	20.00%	> 850 - 5,100	25.00%	> 5,100	50.00%				
Acceleron Pharma Inc	0 - 720	25.00%	> 720 - 2,100	30.00%	> 2,100 - 4,300	50.00%	> 4,300	60.00%		
Accell Group	0 - 960	20.00%	> 960 - 2,500	40.00%	> 2,500 - 5,700	45.00%	> 5,700	50.00%		
Accent Group Ltd	0 - 35,000	20.00%	> 35,000 - 150,000	35.00%	> 150,000	50.00%				
Accenture	0 - 1,100	20.00%	> 1,100 - 2,300	50.00%	> 2,300	80.00%				
Access Co Ltd	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900 - 10,000	30.00%	> 10,000	50.00%		
Acciona	0 - 2,400	20.00%	> 2,400 - 4,900	40.00%	> 4,900	60.00%				
ACCO Brands Corp	0 - 1,500	20.00%	> 1,500 - 4,000	60.00%	> 4,000	80.00%				
Accor	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
Accordia Golf Trust	0 - 22,000	20.00%	> 22,000 - 100,000	25.00%	> 100,000	50.00%				
Accuray Inc	0 - 5,800	20.00%	> 5,800 - 12,000	30.00%	> 12,000	50.00%				
Acea	0 - 2,500	20.00%	> 2,500 - 6,800	45.00%	> 6,800	50.00%				
Acerinox SA	0 - 7,600	20.00%	> 7,600 - 15,000	85.00%	> 15,000	90.00%				
Aceto Corp	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800 - 11,000	30.00%	> 11,000	50.00%		
Achilles Corp	0 - 490	20.00%	> 490	90.00%						
Achillion Pharmaceuticals Inc	0 - 3,400	20.00%	> 3,400 - 9,100	30.00%	> 9,100 - 20,000	35.00%	> 20,000	50.00%		
ACI Worldwide Inc	0 - 3,200	20.00%	> 3,200 - 7,000	30.00%	> 7,000 - 14,000	35.00%	> 14,000	50.00%		
Ackermans & van Haaren NV	0 - 510	20.00%	> 510 - 1,000	65.00%	> 1,000	90.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Acom Co Ltd	0 - 26,000	20.00%	> 26,000 - 53,000	35.00%	> 53,000	50.00%				
Acorda Therapeutics Inc	0 - 3,300	20.00%	> 3,300 - 12,000	35.00%	> 12,000	50.00%				
Acrux	0 - 29,000	30.00%	> 29,000 - 89,000	40.00%	> 89,000	90.00%				
ACS	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	50.00%				
Active Biotech	0 - 18,000	20.00%	> 18,000 - 37,000	30.00%	> 37,000 - 75,000	35.00%	> 75,000	50.00%		
Activia Properties Inc	0 - 7	40.00%	> 7 - 22	55.00%	> 22	90.00%				
Activision	0 - 8,100	20.00%	> 8,100 - 16,000	40.00%	> 16,000	60.00%				
Actua Corp	0 - 9,000	20.00%	> 9,000 - 36,000	30.00%	> 36,000	50.00%				
Actuant Corp	0 - 2,100	20.00%	> 2,100 - 5,600	30.00%	> 5,600 - 12,000	35.00%	> 12,000	50.00%		
Acuity Brands Inc	0 - 740	20.00%	> 740 - 1,400	25.00%	> 1,400 - 2,900	30.00%	> 2,900	50.00%		
Acxiom Corp	0 - 2,300	20.00%	> 2,300 - 4,600	45.00%	> 4,600	50.00%				
Adairs Ltd	0 - 6,800	20.00%	> 6,800 - 18,000	40.00%	> 18,000	60.00%				
Adamas Pharmaceuticals	0 - 1,900	20.00%	> 1,900 - 3,800	70.00%	> 3,800	90.00%				
Adams Express Co	0 - 240	35.00%	> 240 - 720	40.00%	> 720 - 1,900	65.00%	> 1,900	90.00%		
Adastria Holdings Co Ltd	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800 - 12,000	30.00%	> 12,000	50.00%		
Addtech B	0 - 580	20.00%	> 580 - 1,500	50.00%	> 1,500	60.00%				
Adecco	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Adecoagro SA	0 - 1,900	20.00%	> 1,900 - 5,200	25.00%	> 5,200 - 11,000	30.00%	> 11,000	50.00%		
ADEKA Corp	0 - 2,900	20.00%	> 2,900 - 17,000	25.00%	> 17,000	50.00%				
Adelaide Brighton	0 - 13,000	20.00%	> 13,000 - 32,000	25.00%	> 32,000 - 71,000	35.00%	> 71,000	50.00%		
Adidas	0 - 3,000	20.00%	> 3,000 - 6,000	40.00%	> 6,000	60.00%				
Adler Real Estate AG	0 - 290	30.00%	> 290 - 870	35.00%	> 870 - 2,300	55.00%	> 2,300	70.00%		
Admiral Group	0 - 7,800	20.00%	> 7,800 - 15,000	25.00%	> 15,000	50.00%				
ADO Properties SARL	0 - 270	35.00%	> 270 - 810	45.00%	> 810	70.00%				
Adobe Systems	0 - 2,500	20.00%	> 2,500 - 5,100	40.00%	> 5,100	60.00%				
Adocia	0 - 150	25.00%	> 150 - 1,800	35.00%	> 1,800	50.00%				
Adtalem Global Education Inc	0 - 1,500	20.00%	> 1,500 - 3,400	60.00%	> 3,400	90.00%				
ADTRAN Inc	0 - 1,300	20.00%	> 1,300 - 3,600	35.00%	> 3,600 - 8,100	45.00%	> 8,100	50.00%		
ADUX	0 - 2,000	20.00%	> 2,000 - 4,100	40.00%	> 4,100	50.00%				
Adva	0 - 1,500	20.00%	> 1,500 - 4,000	65.00%	> 4,000	90.00%				
Advance Auto Parts Inc	0 - 710	20.00%	> 710 - 1,400	35.00%	> 1,400 - 2,800	45.00%	> 2,800	50.00%		
Advance Residence Investment Corp	0 - 13	30.00%	> 13 - 39	35.00%	> 39 - 78	55.00%	> 78	80.00%		
Advanced Drainage Systems Inc	0 - 4,400	20.00%	> 4,400 - 9,900	25.00%	> 9,900	50.00%				
Advanced Energy Industries Inc	0 - 1,600	20.00%	> 1,600 - 6,700	30.00%	> 6,700	50.00%				
Advanced Medical Solutions Group PLC	0 - 3,900	20.00%	> 3,900 - 10,000	35.00%	> 10,000 - 23,000	40.00%	> 23,000	50.00%		
Advanced Micro Devices	0 - 20,000	20.00%	> 20,000 - 40,000	40.00%	> 40,000	60.00%				
AdvanSix Inc.	0 - 840	20.00%	> 840 - 2,200	30.00%	> 2,200 - 5,000	35.00%	> 5,000	50.00%		
Advantage Oil & Gas Ltd (CA)	0 - 4,400	35.00%	> 4,400 - 13,000	45.00%	> 13,000 - 26,000	80.00%	> 26,000	90.00%		
Advantage Oil & Gas Ltd (US)	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 6,200	40.00%	> 6,200 - 12,000	45.00%	> 12,000	50.00%
Advantest	0 - 1,500	25.00%	> 1,500 - 4,600	30.00%	> 4,600 - 9,200	40.00%	> 9,200 - 18,000	45.00%	> 18,000	50.00%
Advaxis Inc	0 - 2,400	25.00%	> 2,400 - 14,000	35.00%	> 14,000 - 29,000	40.00%	> 29,000	50.00%		
Advent Claymore Convertible Securities and Income Fund	0 - 210	35.00%	> 210 - 650	40.00%	> 650 - 1,300	75.00%	> 1,300	90.00%		
Adveo Group International SA	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
Adverum Biotechnologies Inc	0 - 1,200	25.00%	> 1,200 - 3,800	30.00%	> 3,800 - 8,800	40.00%	> 8,800 - 17,000	45.00%	> 17,000	50.00%
AdvisorShares Newfleet Multi-Sector Income ETF	0 - 210	20.00%	> 210 - 420	50.00%	> 420	60.00%				
AECOM Technology Corp	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100 - 12,000	45.00%	> 12,000	50.00%		
Aecon Group Inc	0 - 9,200	20.00%	> 9,200 - 16,000	25.00%	> 16,000	50.00%				
Aedifica SA	0 - 100	30.00%	> 100 - 840	55.00%	> 840	75.00%				
Aegean Marine	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Aegion Corp	0 - 250	25.00%	> 250 - 770	35.00%	> 770	90.00%				
AEGON	0 - 32,000	20.00%	> 32,000 - 65,000	25.00%	> 65,000	50.00%				
Aegon NV	0 - 4,300	20.00%	> 4,300 - 11,000	35.00%	> 11,000	55.00%				
Aena SA	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600	60.00%				
AEON	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Aeon Credit Service Co Ltd	0 - 9,900	20.00%	> 9,900 - 19,000	25.00%	> 19,000	50.00%				
Aeon Delight Co Ltd	0 - 570	20.00%	> 570 - 1,500	30.00%	> 1,500 - 3,400	45.00%	> 3,400	50.00%		
Aeon Mall Co Ltd	0 - 1,900	25.00%	> 1,900 - 5,700	30.00%	> 5,700 - 11,000	45.00%	> 11,000	55.00%		
AEON REIT Investment Corp	0 - 24	30.00%	> 24 - 150	40.00%	> 150 - 330	45.00%	> 330	50.00%		
AerCap Holdings NV	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
Aerie Pharmaceuticals Inc	0 - 1,300	20.00%	> 1,300 - 5,400	25.00%	> 5,400	50.00%				
Aerojet Rocketdyne Holdings Inc	0 - 2,400	20.00%	> 2,400 - 5,700	35.00%	> 5,700 - 12,000	40.00%	> 12,000	50.00%		
Aeroports Paris	0 - 850	20.00%	> 850 - 1,700	40.00%	> 1,700	60.00%				
Aeropostale	> 0	100.00%								

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Aerovironment Inc	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400	50.00%				
Aetna	0 - 1,700	20.00%	> 1,700 - 3,400	40.00%	> 3,400	60.00%				
AEVI Genomic Medicine Inc	0 - 3,400	25.00%	> 3,400 - 20,000	40.00%	> 20,000 - 41,000	45.00%	> 41,000	50.00%		
ÅF B	0 - 540	25.00%	> 540 - 1,600	30.00%	> 1,600 - 4,300	60.00%	> 4,300	70.00%		
AF Gruppen ASA	0 - 200	25.00%	> 200 - 620	35.00%	> 620	90.00%				
Affiliated Managers Group Inc	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Affine	0 - 170	30.00%	> 170 - 2,100	40.00%	> 2,100	50.00%				
Aflac Inc (JP)	0 - 460	20.00%	> 460 - 930	25.00%	> 930	50.00%				
Aflac Inc (US)	0 - 4,600	20.00%	> 4,600 - 9,300	25.00%	> 9,300	50.00%				
Africa Oil Corp (CA)	0 - 11,000	20.00%	> 11,000 - 22,000	35.00%	> 22,000 - 44,000	45.00%	> 44,000	50.00%		
Africa Oil Corp (Sweden)	0 - 5,800	25.00%	> 5,800 - 17,000	30.00%	> 17,000 - 100,000	40.00%	> 100,000	50.00%		
Afterpay Touch Group Limited	0 - 12,000	20.00%	> 12,000 - 49,000	30.00%	> 49,000	50.00%				
AG Barr	0 - 1,800	20.00%	> 1,800 - 4,900	25.00%	> 4,900 - 11,000	35.00%	> 11,000	50.00%		
AG Growth International Inc	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
AG Mortgage Investment Trust Inc	0 - 240	25.00%	> 240 - 720	30.00%	> 720 - 1,900	35.00%	> 1,900 - 4,300	40.00%	> 4,300	50.00%
AGCO Corp	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500	50.00%				
Ageas	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	60.00%				
Agellan Commercial Real Estate Investment Trust	0 - 310	25.00%	> 310 - 950	30.00%	> 950 - 3,800	40.00%	> 3,800	50.00%		
Agenus Inc	0 - 7,100	20.00%	> 7,100 - 29,000	30.00%	> 29,000	50.00%				
AGF Management Ltd	0 - 8,600	20.00%	> 8,600 - 19,000	25.00%	> 19,000	50.00%				
AGFA-Gevaert NV	0 - 9,800	20.00%	> 9,800 - 42,000	25.00%	> 42,000	50.00%				
Aggreko	0 - 11,000	20.00%	> 11,000 - 22,000	50.00%	> 22,000	60.00%				
Agile Property	0 - 21,000	30.00%	> 21,000 - 63,000	35.00%	> 63,000 - 120,000	55.00%	> 120,000	60.00%		
Agilent Technologies	0 - 1,500	20.00%	> 1,500 - 3,100	30.00%	> 3,100 - 6,200	35.00%	> 6,200	50.00%		
Agios Pharmaceuticals Inc	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200 - 4,400	35.00%	> 4,400	50.00%		
AGL Energy	0 - 6,100	20.00%	> 6,100 - 12,000	35.00%	> 12,000	60.00%				
Agnico Eagle Mines Ltd (CA)	0 - 2,100	20.00%	> 2,100 - 4,300	40.00%	> 4,300	60.00%				
Agnico Eagle Mines Ltd (US)	0 - 2,100	20.00%	> 2,100 - 8,700	30.00%	> 8,700	50.00%				
Agree Realty Corp	0 - 350	30.00%	> 350 - 1,000	35.00%	> 1,000	50.00%				
Agricultural Bank of China	0 - 430,000	20.00%	> 430,000 - 860,000	25.00%	> 860,000	50.00%				
AGT Food & Ingredients	0 - 2,000	20.00%	> 2,000 - 4,200	25.00%	> 4,200	50.00%				
AGTech Holdings Ltd	0 - 140,000	20.00%	> 140,000 - 380,000	35.00%	> 380,000 - 860,000	45.00%	> 860,000	50.00%		
AH Belo Corp	0 - 2,100	20.00%	> 2,100 - 8,600	35.00%	> 8,600	50.00%				
Ahlsell AB	0 - 13,000	20.00%	> 13,000 - 30,000	75.00%	> 30,000	90.00%				
Ahlstrom-Munksjo OYJ	0 - 580	20.00%	> 580 - 3,400	25.00%	> 3,400	50.00%				
Ahtium	> 0	100.00%								
Ai Holdings Corp	0 - 2,700	20.00%	> 2,700 - 6,200	25.00%	> 6,200 - 12,000	35.00%	> 12,000	50.00%		
AIA Group Ltd	0 - 37,000	20.00%	> 37,000 - 75,000	40.00%	> 75,000	60.00%				
AIB Group plc	0 - 34,000	20.00%	> 34,000 - 68,000	40.00%	> 68,000	60.00%				
Aica Kogyo Co Ltd	0 - 1,900	20.00%	> 1,900 - 10,000	35.00%	> 10,000	50.00%				
Aichi Bank Ltd/The	0 - 230	20.00%	> 230 - 550	25.00%	> 550 - 1,100	30.00%	> 1,100	50.00%		
Aichi Corp	0 - 2,300	20.00%	> 2,300 - 6,300	30.00%	> 6,300 - 14,000	40.00%	> 14,000	50.00%		
Aichi Steel Corp	0 - 450	20.00%	> 450 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
Aida Engineering Ltd	0 - 4,600	20.00%	> 4,600 - 16,000	25.00%	> 16,000	50.00%				
Aiful Corp	0 - 32,000	20.00%	> 32,000 - 64,000	35.00%	> 64,000	55.00%				
AIG	0 - 1,800	20.00%	> 1,800 - 3,600	55.00%	> 3,600	90.00%				
Aimia Inc	0 - 7,400	20.00%	> 7,400 - 38,000	25.00%	> 38,000	50.00%				
AIMS AMP Capital Industrial REIT	0 - 3,200	25.00%	> 3,200 - 9,700	30.00%	> 9,700 - 21,000	40.00%	> 21,000	50.00%		
Ain Pharmaciez Inc	0 - 1,300	20.00%	> 1,300	90.00%						
Ainsworth Game Technology	0 - 14,000	20.00%	> 14,000 - 38,000	35.00%	> 38,000 - 82,000	45.00%	> 82,000	50.00%		
Air Berlin	0 - 780,000	20.00%	> 780,000 - 1,500,000	60.00%	> 1,500,000	90.00%				
Air Canada	0 - 2,000	30.00%	> 2,000 - 6,200	50.00%	> 6,200	90.00%				
Air China	0 - 100,000	20.00%	> 100,000 - 210,000	30.00%	> 210,000 - 430,000	35.00%	> 430,000	50.00%		
AIR France-KLM	0 - 79,000	20.00%	> 79,000 - 150,000	40.00%	> 150,000	60.00%				
Air Lease Corp	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	30.00%	> 9,400	50.00%		
Air Liquide	0 - 5,100	20.00%	> 5,100 - 10,000	40.00%	> 10,000	60.00%				
Air New Zealand	0 - 60,000	20.00%	> 60,000 - 130,000	25.00%	> 130,000	50.00%				
Air Products	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Air Transport Services Group Inc	0 - 5,700	20.00%	> 5,700 - 12,000	25.00%	> 12,000	50.00%				
Air Water	0 - 5,000	20.00%	> 5,000 - 21,000	25.00%	> 21,000	50.00%				
AirBoss of America Corp	0 - 860	20.00%	> 860 - 1,700	25.00%	> 1,700 - 3,500	30.00%	> 3,500	50.00%		
Airbus Group	0 - 5,200	20.00%	> 5,200 - 10,000	40.00%	> 10,000	60.00%				
Airbus Group (Spain)	0 - 79	20.00%	> 79 - 170	30.00%	> 170	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Aircastle Ltd	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
Aisan Industry Co Ltd	0 - 1,700	20.00%	> 1,700 - 4,600	30.00%	> 4,600 - 10,000	35.00%	> 10,000	50.00%		
Aisin Seiki	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Aixtron	0 - 6,800	20.00%	> 6,800 - 13,000	30.00%	> 13,000 - 25,000	35.00%	> 25,000	50.00%		
Aizawa Securities Co Ltd	0 - 1,400	20.00%	> 1,400 - 2,800	40.00%	> 2,800	50.00%				
Ajinomoto	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Ajisen China	0 - 24,000	20.00%	> 24,000 - 49,000	30.00%	> 49,000 - 98,000	35.00%	> 98,000	50.00%		
AK Steel Holding	0 - 21,000	20.00%	> 21,000 - 43,000	55.00%	> 43,000	65.00%				
Akamai Tech	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500 - 5,100	30.00%	> 5,100	50.00%		
Akastor ASA	0 - 4,600	20.00%	> 4,600 - 9,300	45.00%	> 9,300	55.00%				
Aker	0 - 1,200	20.00%	> 1,200 - 4,900	25.00%	> 4,900	50.00%				
Aker BP ASA	0 - 2,700	20.00%	> 2,700 - 5,500	40.00%	> 5,500	50.00%				
Aker Solutions ASA	0 - 11,000	20.00%	> 11,000 - 54,000	25.00%	> 54,000	50.00%				
Akita Bank Ltd/The	0 - 370	20.00%	> 370 - 810	25.00%	> 810 - 1,600	30.00%	> 1,600	50.00%		
Akka Technologies	0 - 540	20.00%	> 540 - 1,400	25.00%	> 1,400 - 3,200	30.00%	> 3,200	50.00%		
Akorn Inc	0 - 5,500	20.00%	> 5,500 - 22,000	30.00%	> 22,000	50.00%				
Aktia OYJ	0 - 1,000	20.00%	> 1,000 - 2,800	85.00%	> 2,800	90.00%				
Akwel	0 - 350	20.00%	> 350 - 830	30.00%	> 830 - 1,800	35.00%	> 1,800	50.00%		
Akzo Nobel NV	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Alacer Gold Corp	0 - 15,000	20.00%	> 15,000 - 35,000	25.00%	> 35,000	50.00%				
Alacer Gold Corp	0 - 6,300	20.00%	> 6,300 - 17,000	40.00%	> 17,000 - 38,000	45.00%	> 38,000	50.00%		
Alamo Group Inc	0 - 320	20.00%	> 320 - 850	35.00%	> 850 - 1,900	45.00%	> 1,900	50.00%		
Alamos Gold Inc (CA)	0 - 11,000	20.00%	> 11,000 - 29,000	25.00%	> 29,000 - 65,000	30.00%	> 65,000	50.00%		
Alamos Gold Inc (US)	0 - 7,900	20.00%	> 7,900 - 21,000	45.00%	> 21,000	55.00%				
Alaris Royalty Corp	0 - 1,900	20.00%	> 1,900 - 4,700	45.00%	> 4,700	55.00%				
Alarm.com Holdings Inc	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600 - 9,200	45.00%	> 9,200	50.00%		
Alaska Air Group Inc	0 - 1,600	20.00%	> 1,600 - 3,200	40.00%	> 3,200 - 6,400	45.00%	> 6,400	50.00%		
Albany International Corp	0 - 480	20.00%	> 480 - 1,200	35.00%	> 1,200	50.00%				
Albemarle Corp	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Albioma	0 - 450	20.00%	> 450 - 1,000	30.00%	> 1,000 - 2,300	35.00%	> 2,300	50.00%		
Alcanna Inc	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,800	30.00%	> 5,800	50.00%		
Alcoa Corp	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 8,400	30.00%	> 8,400	50.00%		
ALD SA	0 - 1,500	20.00%	> 1,500 - 4,100	45.00%	> 4,100	65.00%				
Alder Biopharmaceuticals Inc	0 - 1,100	25.00%	> 1,100 - 3,300	30.00%	> 3,300 - 7,500	45.00%	> 7,500	55.00%		
ALE Property	0 - 820	25.00%	> 820 - 2,400	30.00%	> 2,400 - 4,900	40.00%	> 4,900 - 9,800	45.00%	> 9,800	50.00%
Alerian MLP ETF	0 - 9,600	20.00%	> 9,600 - 19,000	55.00%	> 19,000	85.00%				
Alexander & Baldwin Inc	0 - 720	25.00%	> 720 - 2,100	30.00%	> 2,100 - 5,700	35.00%	> 5,700 - 13,000	40.00%	> 13,000	50.00%
Alexander's Inc	0 - 13	30.00%	> 13 - 100	40.00%	> 100 - 230	45.00%	> 230	50.00%		
Alexandria Real Estate Equitie	0 - 770	20.00%	> 770 - 3,100	30.00%	> 3,100	50.00%				
Alexion Pharma	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800	60.00%				
Alfa Laval	0 - 4,100	20.00%	> 4,100 - 8,200	65.00%	> 8,200	90.00%				
Alfresa Holdings	0 - 4,300	20.00%	> 4,300 - 8,600	25.00%	> 8,600 - 17,000	30.00%	> 17,000	50.00%		
Algonquin Power & Utilities Corp	0 - 10,000	20.00%	> 10,000 - 20,000	30.00%	> 20,000 - 41,000	45.00%	> 41,000	50.00%		
Alibaba Group Holding - ADR	0 - 3,400	20.00%	> 3,400 - 6,900	40.00%	> 6,900	60.00%				
Alibaba Health Information Tech	0 - 190,000	20.00%	> 190,000 - 380,000	25.00%	> 380,000	50.00%				
Alibaba Pictures Group Ltd	0 - 830,000	20.00%	> 830,000 - 1,600,000	30.00%	> 1,600,000 - 3,300,000	35.00%	> 3,300,000	50.00%		
Align Technology	0 - 910	20.00%	> 910 - 1,800	40.00%	> 1,800	60.00%				
Alimak Group publ AB	0 - 1,100	20.00%	> 1,100 - 3,000	30.00%	> 3,000 - 6,800	40.00%	> 6,800	50.00%		
Alimentation Couche Tard Inc - B	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
Alio Gold Inc	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%		
Alior Bank SA	0 - 2,700	20.00%	> 2,700 - 7,200	55.00%	> 7,200	70.00%				
ALK-Abello	0 - 240	20.00%	> 240 - 1,400	25.00%	> 1,400	50.00%				
Alkane Resources	0 - 66,000	20.00%	> 66,000 - 130,000	30.00%	> 130,000 - 260,000	35.00%	> 260,000	50.00%		
Alkermes PLC	0 - 740	25.00%	> 740 - 2,200	30.00%	> 2,200 - 4,400	55.00%	> 4,400	60.00%		
All Nippon Airways	0 - 2,800	20.00%	> 2,800 - 5,600	45.00%	> 5,600	65.00%				
Alleghany Corp	0 - 330	20.00%	> 330 - 660	25.00%	> 660	50.00%				
Allegheny Technologies	0 - 7,400	20.00%	> 7,400 - 14,000	25.00%	> 14,000	50.00%				
Allegiant Travel Co	0 - 690	20.00%	> 690 - 1,300	40.00%	> 1,300	50.00%				
Allegion PLC	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,900	40.00%	> 4,900	50.00%		
Allergan plc	0 - 1,800	20.00%	> 1,800 - 3,700	50.00%	> 3,700	65.00%				
ALLETE Inc	0 - 1,200	20.00%	> 1,200 - 2,500	40.00%	> 2,500	60.00%				
Alliance Data Systems Corp	0 - 810	20.00%	> 810 - 1,600	25.00%	> 1,600	50.00%				
Alliance Holdings GP LP	0 - 410	20.00%	> 410 - 1,100	45.00%	> 1,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Alliance Resource Partners LP	0 - 1,400	20.00%	> 1,400 - 3,900	25.00%	> 3,900 - 8,800	35.00%	> 8,800	50.00%		
Alliance Trust	0 - 1,300	35.00%	> 1,300 - 4,100	40.00%	> 4,100 - 10,000	50.00%	> 10,000	60.00%		
AllianceBernstein Global High Income Fund Inc	0 - 280	30.00%	> 280 - 4,200	35.00%	> 4,200	50.00%				
AllianceBernstein Holding LP	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
AllianceBernstein National Municipal Income Fund Inc	0 - 260	30.00%	> 260 - 780	35.00%	> 780 - 3,100	45.00%	> 3,100	50.00%		
Alliant Energy Corp	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200	50.00%				
Allianz SE (DE)	0 - 3,100	20.00%	> 3,100 - 6,200	40.00%	> 6,200	60.00%				
Allianz SE (IT)	0 - 94	20.00%	> 94 - 180	25.00%	> 180	50.00%				
AllianzGI Convertible & Income Fund	0 - 470	30.00%	> 470 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800 - 5,700	45.00%	> 5,700	50.00%
AllianzGI Convertible & Income Fund II	0 - 550	30.00%	> 550 - 1,600	35.00%	> 1,600 - 6,600	40.00%	> 6,600	50.00%		
AllianzGI NFJ Dividend Interest & Premium Strategy Fund	0 - 300	30.00%	> 300 - 900	35.00%	> 900 - 2,400	40.00%	> 2,400 - 5,400	45.00%	> 5,400	50.00%
Allied Minds PLC	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000	50.00%				
Allied Properties HK	0 - 15,000	35.00%	> 15,000 - 46,000	40.00%	> 46,000 - 92,000	75.00%	> 92,000	90.00%		
Allied Properties Real Estate Investment Trust	0 - 900	25.00%	> 900 - 2,700	30.00%	> 2,700 - 5,800	40.00%	> 5,800 - 12,000	45.00%	> 12,000	50.00%
Allison Transmission Holdings	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
Allot Communications Ltd	0 - 1,800	20.00%	> 1,800 - 7,300	25.00%	> 7,300	50.00%				
Allreal Holding AG	0 - 120	25.00%	> 120 - 370	30.00%	> 370 - 2,200	40.00%	> 2,200	50.00%		
Allscripts Healthcare Solution	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Allstate Corporation	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100 - 4,200	40.00%	> 4,200	50.00%		
Ally Financial Inc	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
Alm Brand	0 - 3,300	20.00%	> 3,300 - 20,000	25.00%	> 20,000	50.00%				
Almirall	0 - 4,700	20.00%	> 4,700 - 26,000	30.00%	> 26,000	50.00%				
Alnylam Pharmaceuticals Inc	0 - 920	20.00%	> 920 - 1,800	45.00%	> 1,800	80.00%				
Alpen Co Ltd	0 - 2,600	20.00%	> 2,600 - 5,700	80.00%	> 5,700	90.00%				
Alpha & Omega Semiconductor Ltd	0 - 710	20.00%	> 710 - 4,200	30.00%	> 4,200	50.00%				
Alphabet Inc - Class A	0 - 550	20.00%	> 550 - 1,100	40.00%	> 1,100	60.00%				
Alphabet Inc - Class C	0 - 550	20.00%	> 550 - 1,100	40.00%	> 1,100	60.00%				
Alpine Electronics Inc	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
Alpiq Holding AG	0 - 120	20.00%	> 120 - 250	35.00%	> 250	60.00%				
Alps Electric	0 - 3,600	20.00%	> 3,600 - 14,000	25.00%	> 14,000	50.00%				
ALPS International Sector Dividend Dogs ETF	0 - 720	20.00%	> 720 - 1,400	25.00%	> 1,400	50.00%				
ALPS Sector Dividend Dogs ETF	0 - 700	20.00%	> 700 - 1,500	25.00%	> 1,500	50.00%				
ALS Ltd	0 - 35,000	20.00%	> 35,000 - 70,000	25.00%	> 70,000	50.00%				
Alstom	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
Alstria Office REIT-AG	0 - 2,100	25.00%	> 2,100 - 26,000	30.00%	> 26,000	50.00%				
Altaba Inc	0 - 440	25.00%	> 440 - 1,300	30.00%	> 1,300 - 2,600	40.00%	> 2,600 - 5,300	45.00%	> 5,300	50.00%
AltaGas Ltd	0 - 9,300	20.00%	> 9,300 - 18,000	25.00%	> 18,000	50.00%				
Altamir	0 - 1,300	20.00%	> 1,300 - 8,000	25.00%	> 8,000	50.00%				
Altarea	0 - 19	25.00%	> 19 - 57	30.00%	> 57 - 340	35.00%	> 340	50.00%		
Alten	0 - 1,800	20.00%	> 1,800 - 3,800	25.00%	> 3,800	50.00%				
Altice NV - A	0 - 26,000	20.00%	> 26,000 - 53,000	25.00%	> 53,000 - 100,000	30.00%	> 100,000	50.00%		
Altice NV - B	0 - 2,600	20.00%	> 2,600 - 7,000	50.00%	> 7,000	75.00%				
Altice USA, Inc	0 - 5,200	20.00%	> 5,200 - 10,000	45.00%	> 10,000	65.00%				
Altisource Asset Management Corp	0 - 150	20.00%	> 150 - 310	35.00%	> 310 - 630	40.00%	> 630	50.00%		
Altisource Portfolio Solutions	0 - 690	20.00%	> 690 - 1,800	50.00%	> 1,800	55.00%				
Altium Ltd	0 - 6,200	20.00%	> 6,200 - 12,000	75.00%	> 12,000	90.00%				
Altius Minerals Corp	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,000	30.00%	> 4,000	50.00%		
Altra Holdings Inc	0 - 590	20.00%	> 590 - 1,500	30.00%	> 1,500 - 3,500	35.00%	> 3,500	50.00%		
Altran Technologies	0 - 9,400	20.00%	> 9,400 - 18,000	25.00%	> 18,000 - 37,000	35.00%	> 37,000	50.00%		
Altri SGPS SA	0 - 6,600	20.00%	> 6,600 - 34,000	25.00%	> 34,000	50.00%				
Altria Group	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Altura Mining Ltd	0 - 70,000	20.00%	> 70,000 - 180,000	30.00%	> 180,000 - 400,000	40.00%	> 400,000	50.00%		
Altus Group Ltd/Canada	0 - 1,100	20.00%	> 1,100 - 2,900	40.00%	> 2,900	50.00%				
Alumetal SA	0 - 800	20.00%	> 800 - 1,600	25.00%	> 1,600 - 3,200	30.00%	> 3,200	50.00%		
Alumina	0 - 47,000	20.00%	> 47,000 - 94,000	35.00%	> 94,000	50.00%				
Aluminum Corp of China Ltd	0 - 910	20.00%	> 910 - 1,830	25.00%	> 1,830 - 3,630	30.00%	> 3,630	50.00%		
Aluminum Corporation Of China	0 - 250,000	20.00%	> 250,000 - 500,000	30.00%	> 500,000 - 1,000,000	35.00%	> 1,000,000	50.00%		
Amada Holdings	0 - 10,000	20.00%	> 10,000 - 21,000	30.00%	> 21,000 - 42,000	40.00%	> 42,000	50.00%		
Amadeus Fire AG	0 - 100	20.00%	> 100 - 600	25.00%	> 600	50.00%				
Amadeus IT Holdings SA	0 - 7,800	20.00%	> 7,800 - 15,000	40.00%	> 15,000	60.00%				
AMAG Pharmaceuticals Inc	0 - 720	25.00%	> 720 - 2,100	30.00%	> 2,100 - 4,500	35.00%	> 4,500 - 8,400	40.00%	> 8,400	50.00%
Amano Corp	0 - 7,700	20.00%	> 7,700 - 16,000	25.00%	> 16,000	50.00%				
Amarin Corp PLC	0 - 1,700	25.00%	> 1,700 - 13,000	35.00%	> 13,000 - 31,000	40.00%	> 31,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Amaysim Australia Ltd	0 - 19,000	20.00%	> 19,000 - 38,000	40.00%	> 38,000 - 70,000	45.00%	> 70,000	50.00%		
Amazon.com	0 - 360	20.00%	> 360 - 730	50.00%	> 730	70.00%				
Ambac Financial Group Inc	0 - 3,300	20.00%	> 3,300 - 12,000	25.00%	> 12,000	50.00%				
Ambarella Inc	0 - 2,500	20.00%	> 2,500 - 5,000	45.00%	> 5,000	65.00%				
AmBev	0 - 20,000	20.00%	> 20,000 - 41,000	25.00%	> 41,000 - 82,000	30.00%	> 82,000	50.00%		
Ambu A/S	0 - 2,800	20.00%	> 2,800 - 5,600	45.00%	> 5,600	70.00%				
AMC Entertainment Holdings Inc	0 - 3,500	20.00%	> 3,500 - 9,300	65.00%	> 9,300	90.00%				
AMC Networks Inc	0 - 1,500	20.00%	> 1,500 - 3,100	50.00%	> 3,100	85.00%				
Amcor	0 - 9,300	20.00%	> 9,300 - 18,000	25.00%	> 18,000 - 37,000	35.00%	> 37,000	50.00%		
Amdocs Ltd	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Amedisys Inc	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Amer Sports	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	60.00%				
Amerco Inc	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000	50.00%				
Ameren	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200 - 6,400	35.00%	> 6,400	50.00%		
America First Multifamily Investors LP	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
America Movil	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
American Airlines Group Inc	0 - 8,900	20.00%	> 8,900 - 17,000	40.00%	> 17,000	60.00%				
American Assets Trust Inc	0 - 470	30.00%	> 470 - 3,800	40.00%	> 3,800 - 8,500	45.00%	> 8,500	50.00%		
American Axle & Manufacturing	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
American Campus Communities In	0 - 770	30.00%	> 770 - 2,300	35.00%	> 2,300 - 4,600	55.00%	> 4,600	75.00%		
American Capital Agency Corp	0 - 5,200	20.00%	> 5,200 - 20,000	30.00%	> 20,000	50.00%				
American Eagle Outfitters	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
American Electric Power	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800 - 5,600	45.00%	> 5,600	50.00%		
American Equity Investment Lif	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
American Express	0 - 3,300	20.00%	> 3,300 - 6,600	40.00%	> 6,600	60.00%				
American Financial Group Inc/O	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
American Homes 4 Rent	0 - 1,400	25.00%	> 1,400 - 4,300	30.00%	> 4,300 - 8,700	35.00%	> 8,700 - 17,000	40.00%	> 17,000	50.00%
American Hotel Income Properties REIT LP	0 - 520	25.00%	> 520 - 1,500	30.00%	> 1,500 - 9,100	35.00%	> 9,100	50.00%		
American Midstream Partners LP	0 - 950	20.00%	> 950 - 1,900	25.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%		
American National BankShares Inc	0 - 240	20.00%	> 240 - 980	35.00%	> 980	50.00%				
American National Insurance Co	0 - 890	20.00%	> 890 - 2,000	25.00%	> 2,000	50.00%				
American Outdoor Brands Corp	0 - 8,700	20.00%	> 8,700 - 16,000	25.00%	> 16,000	50.00%				
American Public Education Inc	0 - 670	20.00%	> 670 - 1,700	50.00%	> 1,700	60.00%				
American Railcar Industries In	0 - 280	20.00%	> 280 - 750	60.00%	> 750	85.00%				
American States Water Co	0 - 1,000	20.00%	> 1,000 - 6,000	25.00%	> 6,000	50.00%				
American Tower	0 - 2,300	20.00%	> 2,300 - 4,600	40.00%	> 4,600	60.00%				
American Vanguard Corp	0 - 440	20.00%	> 440 - 2,600	25.00%	> 2,600	50.00%				
American Water Works Co Inc	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
American Woodmark Corp	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
America's Car-Mart Inc/TX	0 - 300	20.00%	> 300 - 820	30.00%	> 820 - 1,700	35.00%	> 1,700	50.00%		
AmeriGas Partners LP	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Ameriprise Financial Inc.	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400 - 2,800	30.00%	> 2,800	50.00%		
Ameris Bancorp	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700 - 7,600	30.00%	> 7,600	50.00%		
AMERISAFE Inc	0 - 390	20.00%	> 390 - 1,000	55.00%	> 1,000	90.00%				
AmerisourceBergen Corporation	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Amerisur Resources	0 - 23,000	25.00%	> 23,000 - 70,000	30.00%	> 70,000 - 160,000	45.00%	> 160,000	55.00%		
AMETEK Inc	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600 - 5,300	40.00%	> 5,300	50.00%		
AMG Advanced Metallurgical Group	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Amgen Inc	0 - 1,600	20.00%	> 1,600 - 3,300	40.00%	> 3,300	60.00%				
Amica SA	0 - 320	20.00%	> 320 - 650	30.00%	> 650 - 1,300	35.00%	> 1,300	50.00%		
Amicus Therapeutics Inc	0 - 6,300	20.00%	> 6,300 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
Amkor Technology Inc	0 - 1,300	25.00%	> 1,300 - 3,900	35.00%	> 3,900	90.00%				
AMN Healthcare Services Inc	0 - 1,200	20.00%	> 1,200 - 6,200	30.00%	> 6,200	50.00%				
Amneal Pharmaceuticals	0 - 4,600	20.00%	> 4,600 - 9,900	35.00%	> 9,900 - 20,000	40.00%	> 20,000	50.00%		
AMP	0 - 240,000	20.00%	> 240,000 - 490,000	40.00%	> 490,000	60.00%				
AMP Ltd	0 - 3,700	20.00%	> 3,700 - 7,400	40.00%	> 7,400	60.00%				
Amphastar Pharmaceuticals Inc	0 - 1,700	20.00%	> 1,700 - 4,700	80.00%	> 4,700	90.00%				
Amphenol Corporation	0 - 1,100	20.00%	> 1,100 - 2,200	55.00%	> 2,200	75.00%				
Ampio Pharmaceuticals Inc	0 - 4,300	20.00%	> 4,300 - 10,000	25.00%	> 10,000 - 19,000	30.00%	> 19,000	50.00%		
Amplifon	0 - 4,900	20.00%	> 4,900 - 9,800	65.00%	> 9,800	85.00%				
Amrest Holdings SE	0 - 340	20.00%	> 340 - 780	25.00%	> 780	50.00%				
ams AG	0 - 1,400	20.00%	> 1,400 - 2,800	45.00%	> 2,800	50.00%				
Amsterdam Commodities NV	0 - 350	20.00%	> 350 - 740	30.00%	> 740 - 1,500	35.00%	> 1,500	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Amtrust Financial Services Inc	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
Amundi ETF CAC 40 UCITS ETF C	0 - 1,000	20.00%	> 1,000 - 2,100	65.00%	> 2,100	70.00%				
Amundi ETF Cash 3 Months Euromts Investment Grade UCITS ETF C	0 - 140	20.00%	> 140 - 280	25.00%	> 280	50.00%				
Amundi ETF Euro Corporates UCITS ETF C	0 - 93	20.00%	> 93 - 190	25.00%	> 190	50.00%				
Amundi ETF Euro Stoxx 50 UCITS ETF	0 - 300	20.00%	> 300 - 610	25.00%	> 610	50.00%				
Amundi ETF Euro Stoxx 50 UCITS ETF C	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Amundi ETF Govt Bond Euromts Broad Investment Grade 7-10 UCITS ETF C	0 - 66	20.00%	> 66 - 130	25.00%	> 130	50.00%				
Amundi ETF Govt Bond Euromts Broad Investment Grade UCITS ETF C	0 - 79	20.00%	> 79 - 160	25.00%	> 160	50.00%				
Amundi ETF Govt Bond Lowest Rated Euromts Investment Grade UCITS ETF C	0 - 160	20.00%	> 160 - 360	25.00%	> 360	50.00%				
Amundi ETF Japan Topix Eur Hedged Daily UCITS ETF	0 - 530	20.00%	> 530 - 970	25.00%	> 970	50.00%				
Amundi ETF MSCI Emerging Markets UCITS ETF - A	0 - 21,000	20.00%	> 21,000 - 42,000	25.00%	> 42,000 - 85,000	30.00%	> 85,000	50.00%		
Amundi ETF MSCI Emerging Markets UCITS ETF - USD	0 - 21,000	20.00%	> 21,000 - 85,000	30.00%	> 85,000	50.00%				
Amundi ETF MSCI Emu UCITS ETF	0 - 410	20.00%	> 410 - 1,500	25.00%	> 1,500	50.00%				
Amundi ETF MSCI Europe Ex Emu UCITS ETF	0 - 74	20.00%	> 74 - 140	25.00%	> 140	50.00%				
Amundi ETF MSCI Germany UCITS ETF	0 - 74	20.00%	> 74 - 140	25.00%	> 140	50.00%				
Amundi ETF MSCI Japan UCITS ETF	0 - 91	20.00%	> 91 - 190	25.00%	> 190 - 360	30.00%	> 360	50.00%		
Amundi ETF MSCI Pacific Ex Japan UCITS ETF	0 - 65	20.00%	> 65 - 110	25.00%	> 110	50.00%				
Amundi ETF MSCI Spain UCITS ETF	0 - 86	20.00%	> 86 - 170	25.00%	> 170	50.00%				
Amundi ETF MSCI Uk UCITS ETF	0 - 87	20.00%	> 87 - 170	25.00%	> 170	50.00%				
Amundi ETF MSCI USA UCITS ETF	0 - 320	20.00%	> 320 - 730	25.00%	> 730	50.00%				
Amundi ETF Stoxx Europe 50 UCITS ETF	0 - 240	20.00%	> 240 - 490	25.00%	> 490	50.00%				
Amundi ETF Stoxx Europe 600 UCITS ETF	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
Amundi Index Solutions - Amundi S&P 500 EUR Hedged Daily UCITS ETF	0 - 790	20.00%	> 790 - 1,700	25.00%	> 1,700	50.00%				
Amundi Index Solutions - Amundi S&P 500 UCITS ETF	0 - 3,400	20.00%	> 3,400 - 7,300	25.00%	> 7,300	50.00%				
Amundi Index Solutions-Amundi MSCI Em Asia UCITS ETF - EUR	0 - 3,600	20.00%	> 3,600 - 8,200	25.00%	> 8,200	50.00%				
Amundi Index Solutions-Amundi MSCI Europe UCITS ETF	0 - 270	20.00%	> 270 - 1,400	25.00%	> 1,400	50.00%				
Amundi Index Solutions-Amundi MSCI Nordic UCITS ETF	0 - 62	20.00%	> 62 - 140	25.00%	> 140	50.00%				
Amundi SA	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Amuse Inc	0 - 320	25.00%	> 320 - 960	35.00%	> 960 - 2,200	65.00%	> 2,200	75.00%		
AMVIG Holdings	0 - 39,000	20.00%	> 39,000 - 78,000	30.00%	> 78,000 - 150,000	35.00%	> 150,000	50.00%		
Anadarko Petro	0 - 4,400	20.00%	> 4,400 - 8,800	40.00%	> 8,800	60.00%				
Analog Devices	0 - 3,300	20.00%	> 3,300 - 6,700	40.00%	> 6,700	60.00%				
Analogic Corp	0 - 710	20.00%	> 710 - 1,600	35.00%	> 1,600 - 3,200	45.00%	> 3,200	50.00%		
Andeavor	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400 - 2,900	40.00%	> 2,900	50.00%		
Andeavor Logistics LP	0 - 3,900	20.00%	> 3,900 - 8,600	25.00%	> 8,600	50.00%				
Andersons Inc/The	0 - 600	20.00%	> 600 - 3,600	25.00%	> 3,600	50.00%				
Andritz AG	0 - 1,800	20.00%	> 1,800 - 3,600	30.00%	> 3,600 - 7,300	35.00%	> 7,300	50.00%		
ANF Immobilier	> 0	100.00%								
Angang Steel	0 - 150,000	20.00%	> 150,000 - 280,000	25.00%	> 280,000	50.00%				
AngioDynamics Inc	0 - 1,100	20.00%	> 1,100 - 6,700	30.00%	> 6,700	50.00%				
Anglo American	0 - 30,000	20.00%	> 30,000 - 60,000	40.00%	> 60,000	60.00%				
Anglo Pacific (UK)	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
AngloGold Ashanti Ltd (US)	0 - 11,000	20.00%	> 11,000 - 46,000	25.00%	> 46,000	50.00%				
Anheuser Busch Inbev NV - ADR	0 - 3,100	20.00%	> 3,100 - 6,300	40.00%	> 6,300	60.00%				
Anheuser-Busch InBev NV (LU)	0 - 6,300	20.00%	> 6,300 - 12,000	40.00%	> 12,000	60.00%				
Anhui Conch	0 - 35,000	20.00%	> 35,000 - 71,000	25.00%	> 71,000	50.00%				
Anhui Expressway	0 - 23,000	20.00%	> 23,000 - 61,000	25.00%	> 61,000 - 120,000	30.00%	> 120,000	50.00%		
ANI Pharmaceuticals Inc	0 - 130	25.00%	> 130 - 1,000	40.00%	> 1,000 - 2,400	45.00%	> 2,400	50.00%		
Anicom Holdings Inc	0 - 2,800	20.00%	> 2,800 - 5,100	25.00%	> 5,100	50.00%				
Anika Therapeutics Inc	0 - 1,000	20.00%	> 1,000 - 2,000	35.00%	> 2,000	55.00%				
Anima Holding SpA	0 - 18,000	20.00%	> 18,000 - 36,000	70.00%	> 36,000	90.00%				
Anixter International Inc	0 - 700	20.00%	> 700 - 1,800	30.00%	> 1,800 - 4,200	40.00%	> 4,200	50.00%		
Annaly Capital Management Inc	0 - 3,100	25.00%	> 3,100 - 9,500	30.00%	> 9,500 - 19,000	60.00%	> 19,000	85.00%		
Anritsu	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000	50.00%				
Ansaldo ST	0 - 850	20.00%	> 850 - 2,200	30.00%	> 2,200 - 5,100	35.00%	> 5,100	50.00%		
Ansell	0 - 9,800	20.00%	> 9,800 - 19,000	25.00%	> 19,000	50.00%				
ANSYS Inc	0 - 550	20.00%	> 550 - 1,100	45.00%	> 1,100	70.00%				
Anta Sports	0 - 37,000	20.00%	> 37,000 - 74,000	25.00%	> 74,000	50.00%				
Antares Pharma Inc	0 - 3,700	20.00%	> 3,700 - 16,000	30.00%	> 16,000	50.00%				
Antena 3 de TV	0 - 8,700	20.00%	> 8,700 - 21,000	65.00%	> 21,000	90.00%				
Antero Midstream Partners LP	0 - 2,200	20.00%	> 2,200 - 5,500	35.00%	> 5,500 - 12,000	40.00%	> 12,000	50.00%		
Antero Resources Corp	0 - 4,500	20.00%	> 4,500 - 9,100	30.00%	> 9,100 - 18,000	35.00%	> 18,000	50.00%		
Anthem Inc	0 - 790	20.00%	> 790 - 1,500	25.00%	> 1,500	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Antofagasta	0 - 52,000	20.00%	> 52,000 - 100,000	40.00%	> 100,000	60.00%				
Anton Oilfield Services Group/	0 - 180,000	20.00%	> 180,000 - 680,000	25.00%	> 680,000	50.00%				
Anworth Mortgage Asset Corp	0 - 700	30.00%	> 700 - 2,100	35.00%	> 2,100 - 5,600	50.00%	> 5,600	60.00%		
AO Smith Corp	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
AO World PLC	0 - 6,500	20.00%	> 6,500 - 39,000	25.00%	> 39,000	50.00%				
AOKI Holdings Inc	0 - 2,100	20.00%	> 2,100 - 5,800	35.00%	> 5,800 - 13,000	45.00%	> 13,000	50.00%		
Aomori Bank Ltd/The	0 - 910	20.00%	> 910 - 2,000	25.00%	> 2,000	50.00%				
Aon	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700	50.00%				
Aoyama Trading Co Ltd	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000	50.00%				
Aozora Bank	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
AP Alternative Assets LP	0 - 30,000	40.00%	> 30,000 - 90,000	50.00%	> 90,000 - 180,000	75.00%	> 180,000	90.00%		
AP Eagers Ltd	0 - 1,500	20.00%	> 1,500 - 3,100	65.00%	> 3,100	90.00%				
AP Moeller - Maersk A/S (A Shares)	0 - 160	20.00%	> 160 - 330	25.00%	> 330	50.00%				
AP Moeller - Maersk A/S (B Shares)	0 - 80	20.00%	> 80 - 150	25.00%	> 150 - 310	30.00%	> 310	50.00%		
APA	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000 - 55,000	30.00%	> 55,000	50.00%		
Apache Corp	0 - 6,900	20.00%	> 6,900 - 13,000	40.00%	> 13,000	60.00%				
Apartment Investment & Management	0 - 770	30.00%	> 770 - 9,300	45.00%	> 9,300	50.00%				
APERAM SA (ES)	0 - 450	20.00%	> 450 - 910	25.00%	> 910	50.00%				
APERAM SA (NL)	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100	50.00%				
APG SGA SA	0 - 27	20.00%	> 27 - 140	25.00%	> 140	50.00%				
Aphria Inc	0 - 37,000	20.00%	> 37,000 - 74,000	40.00%	> 74,000	60.00%				
APN Outdoor Group Ltd	0 - 24,000	20.00%	> 24,000 - 45,000	25.00%	> 45,000	50.00%				
Apogee Enterprises Inc	0 - 1,200	20.00%	> 1,200 - 3,200	55.00%	> 3,200	65.00%				
Apollo Commercial Real Estate Finance Inc	0 - 1,700	30.00%	> 1,700 - 5,300	35.00%	> 5,300 - 10,000	60.00%	> 10,000	70.00%		
Apollo Global Management LLC	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Apollo Investment Corp	0 - 2,000	20.00%	> 2,000 - 12,000	25.00%	> 12,000	50.00%				
Apollo Senior Floating Rate Fund Inc	0 - 200	30.00%	> 200 - 620	35.00%	> 620 - 2,500	40.00%	> 2,500	50.00%		
Apollo Tactical Income Fund Inc	0 - 210	30.00%	> 210 - 1,300	35.00%	> 1,300 - 2,600	40.00%	> 2,600	50.00%		
Appian Corporation	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Apple	0 - 3,400	20.00%	> 3,400 - 6,900	40.00%	> 6,900	60.00%				
Applied Genetic Technologies Corp/DE	0 - 830	25.00%	> 830 - 10,000	30.00%	> 10,000	50.00%				
Applied Industrial Technologie	0 - 890	20.00%	> 890 - 2,200	65.00%	> 2,200	90.00%				
Applied Materials	0 - 7,100	20.00%	> 7,100 - 14,000	40.00%	> 14,000	60.00%				
Applied Optoelectronics Inc	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,100	35.00%	> 5,100	50.00%		
Applus Services SA	0 - 3,500	20.00%	> 3,500 - 9,400	70.00%	> 9,400	90.00%				
Approach Resources Inc	0 - 3,900	20.00%	> 3,900 - 7,900	30.00%	> 7,900 - 15,000	35.00%	> 15,000	50.00%		
April Group	0 - 650	20.00%	> 650 - 1,300	40.00%	> 1,300 - 2,600	45.00%	> 2,600	50.00%		
APT Satellite Holdings Ltd	0 - 25,000	20.00%	> 25,000 - 51,000	55.00%	> 51,000	90.00%				
Aptargroup Inc	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Aptiv PLC	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Aqua America Inc	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400 - 10,000	30.00%	> 10,000	50.00%		
Aramark Corp	0 - 2,500	20.00%	> 2,500 - 5,100	30.00%	> 5,100 - 10,000	35.00%	> 10,000	50.00%		
Arata Corp	0 - 1,000	20.00%	> 1,000 - 2,500	30.00%	> 2,500 - 4,900	35.00%	> 4,900	50.00%		
Aratana Therapeutics Inc	0 - 750	25.00%	> 750 - 2,200	30.00%	> 2,200 - 4,500	45.00%	> 4,500	60.00%		
ARB	0 - 2,100	20.00%	> 2,100 - 5,700	85.00%	> 5,700	90.00%				
Arbonia AG	0 - 1,600	20.00%	> 1,600 - 4,300	60.00%	> 4,300	75.00%				
Arbor Realty Trust Inc	0 - 1,600	30.00%	> 1,600 - 18,000	40.00%	> 18,000	50.00%				
Arbutus Biopharma	0 - 1,500	20.00%	> 1,500 - 4,200	30.00%	> 4,200 - 8,900	35.00%	> 8,900	50.00%		
ARC Document Solutions Inc	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000 - 24,000	30.00%	> 24,000	50.00%		
ARC Resources Ltd	0 - 2,900	25.00%	> 2,900 - 8,700	35.00%	> 8,700 - 17,000	60.00%	> 17,000	80.00%		
Arcadis	0 - 2,500	20.00%	> 2,500 - 6,800	45.00%	> 6,800	80.00%				
ArcBest Corp	0 - 1,800	20.00%	> 1,800 - 3,800	40.00%	> 3,800 - 7,100	45.00%	> 7,100	50.00%		
ArcelorMittal (ES)	0 - 6,700	20.00%	> 6,700 - 13,000	70.00%	> 13,000	90.00%				
ArcelorMittal (NL)	0 - 22,000	20.00%	> 22,000 - 44,000	40.00%	> 44,000	60.00%				
ArcelorMittal (US)	0 - 22,000	20.00%	> 22,000 - 44,000	40.00%	> 44,000	60.00%				
Arch Capital Group Ltd	0 - 3,400	20.00%	> 3,400 - 13,000	25.00%	> 13,000	50.00%				
Archer Daniels Midland	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
Archer Ltd	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 43,000	35.00%	> 43,000	50.00%		
Archrock Inc	0 - 7,700	20.00%	> 7,700 - 15,000	40.00%	> 15,000	55.00%				
Archrock Partners LP	0 - 1,400	20.00%	> 1,400 - 8,800	45.00%	> 8,800	50.00%				
Arcland Service Co Ltd	0 - 2,500	20.00%	> 2,500 - 5,100	40.00%	> 5,100	50.00%				
Arconic Inc	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000	50.00%				
Arcos Dorados Holdings Inc	0 - 1,600	20.00%	> 1,600 - 4,400	50.00%	> 4,400	65.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Arcs Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,600	30.00%	> 5,600 - 12,000	40.00%	> 12,000	50.00%		
Arcus ASA	0 - 1,700	20.00%	> 1,700 - 10,000	25.00%	> 10,000	50.00%				
Ardent Leisure	0 - 49,000	20.00%	> 49,000 - 100,000	25.00%	> 100,000	50.00%				
Ardmore Shipping Corp	0 - 1,200	20.00%	> 1,200 - 2,400	45.00%	> 2,400	70.00%				
Arena Pharmaceuticals Inc	0 - 750	25.00%	> 750 - 2,200	30.00%	> 2,200 - 4,500	40.00%	> 4,500 - 9,000	45.00%	> 9,000	50.00%
Arena REIT	0 - 2,000	30.00%	> 2,000 - 6,100	40.00%	> 6,100 - 12,000	55.00%	> 12,000	65.00%		
Ares Capital Corp	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Ares Commercial Real Estate Corp	0 - 230	25.00%	> 230 - 700	30.00%	> 700 - 3,300	35.00%	> 3,300	50.00%		
Ares Dynamic Credit Allocation Fund Inc	0 - 210	30.00%	> 210 - 630	35.00%	> 630 - 2,500	40.00%	> 2,500	50.00%		
Argan Inc	0 - 790	20.00%	> 790 - 1,900	40.00%	> 1,900	50.00%				
Argo Group International Holdings	0 - 1,300	20.00%	> 1,300 - 3,100	25.00%	> 3,100	50.00%				
Argo Investments	0 - 850	30.00%	> 850 - 2,500	35.00%	> 2,500 - 6,800	40.00%	> 6,800 - 15,000	45.00%	> 15,000	50.00%
Argonaut Gold Inc	0 - 15,000	20.00%	> 15,000 - 35,000	25.00%	> 35,000	50.00%				
Argosy Property trust	0 - 4,500	35.00%	> 4,500 - 13,000	40.00%	> 13,000 - 29,000	65.00%	> 29,000	85.00%		
Ariake Japan Co Ltd	0 - 2,000	20.00%	> 2,000 - 4,400	25.00%	> 4,400	50.00%				
Arista Networks Inc	0 - 1,200	20.00%	> 1,200 - 2,400	40.00%	> 2,400	60.00%				
Aristocrat Leisure	0 - 14,000	20.00%	> 14,000 - 28,000	40.00%	> 28,000	60.00%				
Arizona Mining	0 - 21,025	20.00%	> 21,025 - 42,050	25.00%	> 42,050 - 84,100	30.00%	> 84,100	50.00%		
Arjo AB - B shares	0 - 11,000	20.00%	> 11,000 - 61,000	35.00%	> 61,000	50.00%				
ARK Innovation ETF	0 - 750	20.00%	> 750 - 4,500	30.00%	> 4,500	50.00%				
Arkema	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
Arlington Asset Investment Corp	0 - 4,300	20.00%	> 4,300 - 7,800	25.00%	> 7,800	50.00%				
Armada Hoffler Properties Inc	0 - 690	30.00%	> 690 - 12,000	45.00%	> 12,000	50.00%				
ARMOUR Residential REIT Inc	0 - 580	25.00%	> 580 - 1,700	30.00%	> 1,700 - 4,600	35.00%	> 4,600 - 9,800	40.00%	> 9,800	50.00%
Armstrong World Industries Inc	0 - 2,600	20.00%	> 2,600 - 5,500	25.00%	> 5,500	50.00%				
Arnoldo Mondadori Editore	0 - 12,000	20.00%	> 12,000 - 29,000	25.00%	> 29,000 - 58,000	30.00%	> 58,000	50.00%		
Aroundtown Property Holdings PLC	0 - 3,900	25.00%	> 3,900 - 11,000	30.00%	> 11,000 - 23,000	40.00%	> 23,000 - 47,000	45.00%	> 47,000	50.00%
Array BioPharma Inc	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Arris International PLC	0 - 3,700	20.00%	> 3,700 - 7,500	50.00%	> 7,500	75.00%				
Arrium	> 0	100.00%								
Arrow Dow Jones Global Yield ETF	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
Arrow Electronics Inc	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Arrow Financial Corp	0 - 260	20.00%	> 260 - 1,000	25.00%	> 1,000	50.00%				
Arrow Global Group Plc	0 - 13,000	20.00%	> 13,000 - 48,000	25.00%	> 48,000	50.00%				
Arrowhead Research Corp	0 - 1,900	25.00%	> 1,900 - 5,900	30.00%	> 5,900 - 11,000	50.00%	> 11,000	60.00%		
Artesian Resources Corp	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000	50.00%				
Arthur J Gallagher & Co	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Artis Real Estate Investment Trust	0 - 1,100	25.00%	> 1,100 - 8,900	30.00%	> 8,900 - 20,000	35.00%	> 20,000	50.00%		
Artisan Partners Asset Management Inc	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
Aryzta AG (CH)	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000 - 24,000	30.00%	> 24,000	50.00%		
Aryzta AG (IE)	0 - 680	20.00%	> 680 - 1,300	70.00%	> 1,300	90.00%				
As One Corp	0 - 320	20.00%	> 320 - 850	70.00%	> 850	90.00%				
ASA Gold and Precious Metals Ltd	0 - 320	30.00%	> 320 - 980	35.00%	> 980 - 1,900	40.00%	> 1,900 - 3,900	45.00%	> 3,900	50.00%
Asahi Breweries	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800	50.00%				
Asahi Diamond Industrial Co Ltd	0 - 8,200	20.00%	> 8,200 - 15,000	25.00%	> 15,000	50.00%				
Asahi Glass	0 - 2,500	20.00%	> 2,500 - 5,000	35.00%	> 5,000 - 10,000	45.00%	> 10,000	50.00%		
Asahi Holdings Inc	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800 - 10,000	35.00%	> 10,000	50.00%		
Asahi Intecc Co Ltd	0 - 2,400	20.00%	> 2,400 - 4,900	30.00%	> 4,900 - 9,900	40.00%	> 9,900	50.00%		
Asahi Kasei	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Asaleo Care Ltd	0 - 32,000	20.00%	> 32,000 - 75,000	25.00%	> 75,000 - 140,000	30.00%	> 140,000	50.00%		
Asanko Gold Inc (CA)	0 - 8,800	20.00%	> 8,800 - 17,000	55.00%	> 17,000	90.00%				
Asbury Automotive Group Inc	0 - 930	20.00%	> 930 - 2,400	55.00%	> 2,400	65.00%				
Ascena Retail Group Inc	0 - 18,000	20.00%	> 18,000 - 36,000	25.00%	> 36,000 - 67,000	30.00%	> 67,000	50.00%		
Ascendas Hospitality Trust	0 - 7,200	35.00%	> 7,200 - 21,000	45.00%	> 21,000	90.00%				
Ascendas India Trust	0 - 4,100	25.00%	> 4,100 - 12,000	30.00%	> 12,000 - 30,000	35.00%	> 30,000 - 65,000	40.00%	> 65,000	50.00%
Ascendas Real Estate Investment Trust	0 - 16,000	25.00%	> 16,000 - 50,000	30.00%	> 50,000 - 100,000	35.00%	> 100,000 - 200,000	40.00%	> 200,000	50.00%
Ascent Capital Group Inc	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
Ascom Holding AG	0 - 1,500	20.00%	> 1,500 - 8,900	25.00%	> 8,900	50.00%				
Ascopiave	0 - 2,900	20.00%	> 2,900 - 7,400	25.00%	> 7,400 - 16,000	30.00%	> 16,000	50.00%		
Ascott Residence Trust	0 - 13,000	25.00%	> 13,000 - 41,000	30.00%	> 41,000 - 100,000	35.00%	> 100,000 - 240,000	40.00%	> 240,000	50.00%
ASE Industrial Holding	0 - 2,600	20.00%	> 2,600 - 7,100	35.00%	> 7,100 - 16,000	40.00%	> 16,000	50.00%		
ASGN Inc	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,800	45.00%	> 4,800	50.00%		
Ashford Hospitality Trust Inc	0 - 1,200	25.00%	> 1,200 - 3,600	30.00%	> 3,600 - 22,000	35.00%	> 22,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Ashford Inc	0 - 150	20.00%	> 150 - 300	35.00%	> 300	50.00%				
Ashikaga Holdings Co Ltd	0 - 30,000	20.00%	> 30,000 - 61,000	35.00%	> 61,000 - 120,000	40.00%	> 120,000	50.00%		
Ashland	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,900	30.00%	> 4,900	50.00%		
Ashmore Group	0 - 41,000	20.00%	> 41,000 - 84,000	30.00%	> 84,000	50.00%				
Ashtead Group	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
Asia Energy Logistics	0 - 830,000	20.00%	> 830,000 - 3,300,000	35.00%	> 3,300,000	50.00%				
Asian Citrus Holdings Ltd	> 0	100.00%								
Asian Pay Television Trust	0 - 110,000	20.00%	> 110,000 - 260,000	25.00%	> 260,000	50.00%				
Asics Corp	0 - 6,100	20.00%	> 6,100 - 12,000	40.00%	> 12,000 - 24,000	45.00%	> 24,000	50.00%		
ASKA Pharmaceutical Co Ltd	0 - 2,500	20.00%	> 2,500 - 5,000	30.00%	> 5,000 - 9,200	35.00%	> 9,200	50.00%		
ASKUL Corp	0 - 3,600	20.00%	> 3,600 - 7,300	40.00%	> 7,300	55.00%				
ASL Marine Holdings	0 - 160,000	20.00%	> 160,000 - 330,000	30.00%	> 330,000 - 670,000	35.00%	> 670,000	50.00%		
ASM International NV (NL)	0 - 590	30.00%	> 590 - 1,700	40.00%	> 1,700 - 3,500	70.00%	> 3,500	85.00%		
ASM Pacific Tech	0 - 2,600	25.00%	> 2,600 - 15,000	40.00%	> 15,000 - 31,000	45.00%	> 31,000	50.00%		
ASML	0 - 1,600	20.00%	> 1,600 - 3,300	40.00%	> 3,300	60.00%				
ASML Holding NV	0 - 490	20.00%	> 490 - 990	35.00%	> 990	50.00%				
Asos	0 - 1,300	20.00%	> 1,300 - 2,600	30.00%	> 2,600 - 5,200	35.00%	> 5,200	50.00%		
Aspen	0 - 4,700	30.00%	> 4,700 - 14,000	35.00%	> 14,000 - 28,000	45.00%	> 28,000	50.00%		
Aspen Insurance Holdings Ltd	0 - 1,700	20.00%	> 1,700 - 4,200	30.00%	> 4,200 - 9,200	35.00%	> 9,200	50.00%		
Aspen Technology Inc	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000 - 4,000	35.00%	> 4,000	50.00%		
ASR Nederland NV	0 - 2,300	20.00%	> 2,300 - 9,500	25.00%	> 9,500	50.00%				
Assa Abloy B	0 - 9,700	20.00%	> 9,700 - 19,000	25.00%	> 19,000	50.00%				
Asseco Poland SA	0 - 2,900	20.00%	> 2,900 - 7,800	25.00%	> 7,800 - 17,000	30.00%	> 17,000	50.00%		
Associated Banc-Corp	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 14,000	30.00%	> 14,000	50.00%		
Associated British Foods	0 - 20,000	20.00%	> 20,000 - 41,000	40.00%	> 41,000	60.00%				
Assura Group	0 - 18,000	25.00%	> 18,000 - 56,000	30.00%	> 56,000 - 150,000	40.00%	> 150,000	55.00%		
Assurant	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	60.00%				
Assured Guaranty Ltd	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400 - 10,000	30.00%	> 10,000	50.00%		
Assystem	0 - 300	20.00%	> 300 - 1,200	25.00%	> 1,200	50.00%				
Astaldi SpA	0 - 7,800	20.00%	> 7,800 - 28,000	30.00%	> 28,000	50.00%				
Astec Industries Inc	0 - 690	20.00%	> 690 - 1,800	75.00%	> 1,800	90.00%				
Astellas Pharma	0 - 6,200	20.00%	> 6,200 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
AstraZeneca	0 - 4,500	20.00%	> 4,500 - 9,100	40.00%	> 9,100	60.00%				
AstraZeneca (Sweden)	0 - 9,000	20.00%	> 9,000 - 18,000	40.00%	> 18,000	60.00%				
AstraZeneca (US)	0 - 2,600	20.00%	> 2,600 - 5,300	30.00%	> 5,300	50.00%				
Astronics Corp	0 - 640	20.00%	> 640 - 1,700	30.00%	> 1,700	50.00%				
ASX	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
AT&T	0 - 20,000	20.00%	> 20,000 - 41,000	40.00%	> 41,000	60.00%				
Atara Biotherapeutics Inc	0 - 830	25.00%	> 830 - 2,400	30.00%	> 2,400 - 4,900	50.00%	> 4,900	55.00%		
Atco Ltd/Canada	0 - 2,400	20.00%	> 2,400 - 5,600	40.00%	> 5,600 - 12,000	45.00%	> 12,000	50.00%		
Atea	0 - 4,900	20.00%	> 4,900 - 11,000	25.00%	> 11,000	50.00%				
Athabasca Oil Corp	0 - 12,000	25.00%	> 12,000 - 78,000	35.00%	> 78,000 - 140,000	40.00%	> 140,000	50.00%		
athenahealth Inc	0 - 610	20.00%	> 610 - 1,200	40.00%	> 1,200 - 2,400	45.00%	> 2,400	50.00%		
Athersys Inc	0 - 1,600	25.00%	> 1,600 - 4,800	30.00%	> 4,800	50.00%				
Atlantia SpA	0 - 6,600	20.00%	> 6,600 - 13,000	50.00%	> 13,000	75.00%				
Atlantic Power (US)	0 - 9,000	20.00%	> 9,000 - 18,000	25.00%	> 18,000	50.00%				
Atlantic Power Corp	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000 - 18,000	30.00%	> 18,000	50.00%		
Atlantic Tele-Network Inc	0 - 420	20.00%	> 420 - 1,100	25.00%	> 1,100 - 2,500	30.00%	> 2,500	50.00%		
Atlantica Yield PLC	0 - 1,200	20.00%	> 1,200 - 3,200	35.00%	> 3,200 - 7,200	40.00%	> 7,200	50.00%		
Atlas Air Worldwide Holdings I	0 - 2,400	20.00%	> 2,400 - 5,200	25.00%	> 5,200	50.00%				
Atlas Arteria Ltd	0 - 20,000	20.00%	> 20,000 - 82,000	30.00%	> 82,000	50.00%				
Atlas Copco A	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
Atlas Copco B	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Atlas Financial Holdings Inc	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%		
Atlas Iron	0 - 690,000	20.00%	> 690,000 - 1,300,000	70.00%	> 1,300,000	90.00%				
Atlassian	0 - 1,400	20.00%	> 1,400 - 2,900	55.00%	> 2,900	60.00%				
Atmos Energy Corp	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100 - 4,300	30.00%	> 4,300	50.00%		
Atom Corp	0 - 6,600	20.00%	> 6,600 - 14,000	25.00%	> 14,000	50.00%				
Atos Origin	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Atria PLC	0 - 880	20.00%	> 880 - 1,700	35.00%	> 1,700 - 3,500	40.00%	> 3,500	50.00%		
AtriCure Inc	0 - 2,500	20.00%	> 2,500 - 5,800	25.00%	> 5,800	50.00%				
Atrion Corp	0 - 220	20.00%	> 220 - 450	25.00%	> 450	50.00%				
Atrium European (AT)	0 - 760	30.00%	> 760 - 9,100	40.00%	> 9,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Atrium Ljungberg B	0 - 290	25.00%	> 290 - 880	30.00%	> 880 - 5,300	35.00%	> 5,300	50.00%		
Atrium Mortgage Investment Corp	0 - 990	20.00%	> 990 - 3,900	25.00%	> 3,900	50.00%				
ATS Automation Tooling Systems Inc	0 - 3,700	20.00%	> 3,700 - 21,000	25.00%	> 21,000	50.00%				
Attendo AB	0 - 10,000	20.00%	> 10,000 - 22,000	25.00%	> 22,000	50.00%				
AU Optronics Corp	0 - 3,200	20.00%	> 3,200 - 8,500	30.00%	> 8,500 - 19,000	45.00%	> 19,000	50.00%		
Auckland International Airport (NZ)	0 - 15,000	20.00%	> 15,000 - 37,000	55.00%	> 37,000	90.00%				
Auckland International Airport Ltd	0 - 2,900	20.00%	> 2,900 - 17,000	25.00%	> 17,000	50.00%				
AudioCodes Ltd	0 - 410	25.00%	> 410 - 1,200	40.00%	> 1,200 - 2,500	70.00%	> 2,500	75.00%		
Aurelius AG	0 - 1,400	20.00%	> 1,400 - 3,000	60.00%	> 3,000	90.00%				
AURIZON HOLDINGS	0 - 30,000	20.00%	> 30,000 - 61,000	30.00%	> 61,000 - 120,000	35.00%	> 120,000	50.00%		
Aurora Cannabis Inc.	0 - 30,000	20.00%	> 30,000 - 60,000	65.00%	> 60,000	90.00%				
Aurubis	0 - 1,300	20.00%	> 1,300 - 2,600	55.00%	> 2,600	60.00%				
Ausdrill	0 - 53,000	20.00%	> 53,000 - 97,000	25.00%	> 97,000	50.00%				
AusNet Services	0 - 83,000	20.00%	> 83,000 - 160,000	25.00%	> 160,000 - 330,000	30.00%	> 330,000	50.00%		
Austal	0 - 7,500	20.00%	> 7,500 - 15,000	45.00%	> 15,000	65.00%				
Austbrokers Holdings	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,000	30.00%	> 4,000	50.00%		
Austevoll Sea	0 - 5,800	20.00%	> 5,800 - 28,000	25.00%	> 28,000	50.00%				
Austin Engineering	0 - 63,000	20.00%	> 63,000 - 120,000	30.00%	> 120,000 - 250,000	35.00%	> 250,000	50.00%		
Australia And New Zealand Banking (Australia)	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	60.00%				
Australia And New Zealand Banking (NZ)	0 - 920	20.00%	> 920 - 1,800	25.00%	> 1,800	50.00%				
Australian Agricultural	0 - 46,000	20.00%	> 46,000 - 100,000	25.00%	> 100,000	50.00%				
Australian Foundation Investment	0 - 1,600	35.00%	> 1,600 - 4,900	40.00%	> 4,900 - 13,000	50.00%	> 13,000	55.00%		
Australian Leaders Fund Ltd	0 - 4,300	30.00%	> 4,300 - 13,000	35.00%	> 13,000 - 52,000	40.00%	> 52,000	50.00%		
Australian Pharmaceutical Industries Ltd	0 - 64,000	20.00%	> 64,000 - 120,000	30.00%	> 120,000	50.00%				
Austria Technologie & Systemtechnik AG (AT)	0 - 2,500	20.00%	> 2,500 - 5,200	35.00%	> 5,200 - 9,800	45.00%	> 9,800	50.00%		
Auto Trader Group PLC	0 - 17,000	20.00%	> 17,000 - 70,000	35.00%	> 70,000	50.00%				
Autobacs Seven Co Ltd	0 - 2,500	20.00%	> 2,500 - 6,600	40.00%	> 6,600 - 15,000	45.00%	> 15,000	50.00%		
AutoCanada Inc	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400 - 8,200	30.00%	> 8,200	50.00%		
Autodesk	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Autogrill	0 - 8,400	20.00%	> 8,400 - 16,000	35.00%	> 16,000	55.00%				
Autohome Inc	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900	50.00%				
Autoliv	0 - 97	20.00%	> 97 - 384	30.00%	> 384	50.00%				
Autoliv Inc	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Automatic Data	0 - 720	20.00%	> 720 - 2,800	25.00%	> 2,800	50.00%				
Automotive Holdings	0 - 20,000	20.00%	> 20,000 - 45,000	25.00%	> 45,000 - 87,000	30.00%	> 87,000	50.00%		
AutoNation	0 - 2,000	20.00%	> 2,000 - 4,000	30.00%	> 4,000 - 8,100	40.00%	> 8,100	50.00%		
Autoneum Holding AG	0 - 270	20.00%	> 270 - 590	35.00%	> 590 - 1,100	40.00%	> 1,100	50.00%		
Autostrada	0 - 2,300	20.00%	> 2,300 - 6,200	25.00%	> 6,200 - 13,000	30.00%	> 13,000	50.00%		
AutoZone Inc	0 - 280	20.00%	> 280 - 570	25.00%	> 570	50.00%				
AV Homes Inc	0 - 1,200	20.00%	> 1,200 - 5,700	25.00%	> 5,700	50.00%				
Avadel Pharmaceuticals	0 - 1,300	20.00%	> 1,300 - 3,100	30.00%	> 3,100 - 6,600	35.00%	> 6,600	50.00%		
AvalonBay Communities	0 - 190	25.00%	> 190 - 570	30.00%	> 570 - 1,100	50.00%	> 1,100	60.00%		
Avance Gas Holding Ltd	0 - 8,800	20.00%	> 8,800 - 16,000	25.00%	> 16,000	50.00%				
Avanti Communications	0 - 150,000	20.00%	> 150,000 - 620,000	40.00%	> 620,000	50.00%				
Avanza Bank Holding	0 - 390	25.00%	> 390 - 1,100	35.00%	> 1,100	90.00%				
Avenue Income Credit Strategies Fund	0 - 230	35.00%	> 230	50.00%						
Aveo Group	0 - 10,000	25.00%	> 10,000 - 75,000	30.00%	> 75,000 - 150,000	35.00%	> 150,000	50.00%		
AVEO Pharmaceuticals Inc	0 - 6,400	20.00%	> 6,400 - 25,000	35.00%	> 25,000	50.00%				
Avery Dennison	0 - 1,900	20.00%	> 1,900 - 3,800	35.00%	> 3,800	50.00%				
Aveva Group	0 - 5,500	20.00%	> 5,500 - 11,000	30.00%	> 11,000	50.00%				
Avex Group Holdings Inc	0 - 2,100	20.00%	> 2,100 - 11,000	25.00%	> 11,000	50.00%				
AveXis Inc	0 - 450	20.00%	> 450 - 910	50.00%	> 910	60.00%				
Avianca Holdings SA	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,200	30.00%	> 6,200	50.00%		
AVIC International	0 - 330,000	20.00%	> 330,000 - 680,000	50.00%	> 680,000	70.00%				
AVIC International Holdings	0 - 18,000	20.00%	> 18,000 - 37,000	50.00%	> 37,000	55.00%				
AviChina	0 - 160,000	20.00%	> 160,000 - 330,000	30.00%	> 330,000 - 660,000	35.00%	> 660,000	50.00%		
Avid Bioservices Inc	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 7,000	45.00%	> 7,000	50.00%		
Avid Technology Inc	0 - 1,800	20.00%	> 1,800 - 3,700	60.00%	> 3,700	90.00%				
Avigilon Corp	0 - 3,100	20.00%	> 3,100 - 6,500	50.00%	> 6,500	80.00%				
Avis Budget Group Inc	0 - 3,100	20.00%	> 3,100 - 6,200	60.00%	> 6,200	90.00%				
Avista Corp	0 - 1,900	20.00%	> 1,900 - 3,900	45.00%	> 3,900	55.00%				
Aviva	0 - 100,000	20.00%	> 100,000 - 200,000	40.00%	> 200,000	60.00%				
Avnet Inc	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Avocet Mining	> 0	100.00%								
Avon Products	0 - 34,000	20.00%	> 34,000 - 71,000	75.00%	> 71,000	90.00%				
Avon Rubber PLC	0 - 610	20.00%	> 610 - 1,600	55.00%	> 1,600	75.00%				
AVX Corp	0 - 940	20.00%	> 940 - 5,600	35.00%	> 5,600	50.00%				
Awa Bank Ltd/The	0 - 6,600	20.00%	> 6,600 - 12,000	25.00%	> 12,000	50.00%				
AWE	0 - 5,000	40.00%	> 5,000 - 15,000	55.00%	> 15,000	90.00%				
Awilco Drilling PLC	0 - 600	25.00%	> 600 - 7,200	35.00%	> 7,200	50.00%				
AXA SA (FR)	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	75.00%				
AXA SA (IT)	0 - 810	20.00%	> 810 - 1,600	30.00%	> 1,600	50.00%				
Axactor	0 - 7,400	20.00%	> 7,400 - 19,000	30.00%	> 19,000 - 38,000	35.00%	> 38,000	50.00%		
Axalta Coating Systems Ltd	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
Axcelis Technologies Inc	0 - 1,300	20.00%	> 1,300 - 3,600	40.00%	> 3,600	65.00%				
Axel Springer	0 - 1,200	20.00%	> 1,200 - 2,500	35.00%	> 2,500	55.00%				
Axfood	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500 - 19,000	30.00%	> 19,000	50.00%		
Axia Real Estate SOCIMI SA	0 - 150	30.00%	> 150 - 1,100	40.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%		
Axial Retailing Inc	0 - 310	20.00%	> 310 - 850	25.00%	> 850 - 1,900	35.00%	> 1,900	50.00%		
Axis	0 - 250	20.00%	> 250 - 510	25.00%	> 510 - 1,000	35.00%	> 1,000	50.00%		
Axis Capital Holdings Ltd	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
Axon Enterprise Inc	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,800	30.00%	> 5,800	50.00%		
Azbil Corp	0 - 760	25.00%	> 760 - 2,300	40.00%	> 2,300	90.00%				
Azimut	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
AZZ Inc	0 - 560	20.00%	> 560 - 1,400	25.00%	> 1,400 - 3,300	35.00%	> 3,300	50.00%		
B Sky B	0 - 4,800	20.00%	> 4,800 - 9,700	25.00%	> 9,700 - 19,000	30.00%	> 19,000	50.00%		
B&G Foods Inc	0 - 6,300	20.00%	> 6,300 - 13,000	25.00%	> 13,000	50.00%				
B&M European Value Retail SA	0 - 17,000	20.00%	> 17,000 - 35,000	35.00%	> 35,000 - 71,000	40.00%	> 71,000	50.00%		
B2Gold Corp (CA)	0 - 64,000	20.00%	> 64,000 - 140,000	25.00%	> 140,000	50.00%				
B2Gold Corp (US)	0 - 4,900	20.00%	> 4,900 - 13,000	40.00%	> 13,000 - 29,000	45.00%	> 29,000	50.00%		
Babcock International	0 - 9,200	20.00%	> 9,200 - 18,000	30.00%	> 18,000 - 37,000	35.00%	> 37,000	50.00%		
Babson Capital Global Short Duration High Yield Fund	0 - 170	30.00%	> 170 - 530	35.00%	> 530 - 1,000	45.00%	> 1,000	50.00%		
Baby Bunting Group Limited	0 - 18,000	20.00%	> 18,000 - 36,000	25.00%	> 36,000	50.00%				
Bachem Holding AG	0 - 38	25.00%	> 38 - 110	30.00%	> 110 - 300	50.00%	> 300	70.00%		
Badger Daylighting Ltd	0 - 1,900	20.00%	> 1,900 - 9,500	25.00%	> 9,500	50.00%				
Badger Meter Inc	0 - 530	20.00%	> 530 - 1,400	35.00%	> 1,400	50.00%				
BAE Systems	0 - 37,000	20.00%	> 37,000 - 74,000	40.00%	> 74,000	60.00%				
BAIC Motor Corp Ltd	0 - 230,000	20.00%	> 230,000 - 470,000	25.00%	> 470,000	50.00%				
Baidu.com	0 - 2,400	20.00%	> 2,400 - 4,900	40.00%	> 4,900	60.00%				
Baillie Gifford Japan Trust	0 - 1,700	20.00%	> 1,700 - 4,700	30.00%	> 4,700 - 10,000	35.00%	> 10,000	50.00%		
Baillie Gifford Shin Nippon PLC	0 - 29,000	20.00%	> 29,000 - 60,000	25.00%	> 60,000	50.00%				
Baker Hughes A GE CO	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
Bakkafrost P/F	0 - 1,300	20.00%	> 1,300 - 6,600	30.00%	> 6,600	50.00%				
Balchem Corp	0 - 1,800	20.00%	> 1,800 - 3,800	25.00%	> 3,800	50.00%				
Baldwin & Lyons Inc	0 - 810	20.00%	> 810 - 1,600	25.00%	> 1,600	50.00%				
Balfour Beatty	0 - 51,000	20.00%	> 51,000 - 100,000	25.00%	> 100,000	50.00%				
Ball Corporation	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Ballard Power Systems Inc (CA)	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
Ballard Power Systems Inc (US)	0 - 3,500	20.00%	> 3,500 - 7,100	50.00%	> 7,100	65.00%				
Baloise Holding	0 - 670	20.00%	> 670 - 1,300	45.00%	> 1,300	60.00%				
BAM Group	0 - 19,000	20.00%	> 19,000 - 39,000	35.00%	> 39,000 - 72,000	45.00%	> 72,000	50.00%		
Banc of California Inc	0 - 4,000	20.00%	> 4,000 - 9,000	25.00%	> 9,000	50.00%				
Banca Carige	0 - 4,100,000	20.00%	> 4,100,000 - 15,000,000	25.00%	> 15,000,000	50.00%				
Banca Farmafactoring	0 - 5,100	20.00%	> 5,100 - 13,000	65.00%	> 13,000	90.00%				
Banca Generali	0 - 3,800	20.00%	> 3,800 - 15,000	25.00%	> 15,000	50.00%				
Banca IFIS SpA	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400 - 12,000	30.00%	> 12,000	50.00%		
Banca Mediolanum Spa	0 - 14,000	20.00%	> 14,000 - 29,000	30.00%	> 29,000 - 58,000	35.00%	> 58,000	50.00%		
Banca Monte dei Paschi di Siena	0 - 34,000	20.00%	> 34,000 - 69,000	25.00%	> 69,000 - 130,000	30.00%	> 130,000	50.00%		
Banca Piccolo Credito Valtellinese Sc	0 - 520,000	20.00%	> 520,000	90.00%						
Banca Popolare dell'Emilia Romagna Srcl	0 - 18,000	20.00%	> 18,000 - 36,000	70.00%	> 36,000	90.00%				
Banca Popolare di Sondrio SCAR	0 - 13,000	20.00%	> 13,000 - 35,000	35.00%	> 35,000 - 80,000	45.00%	> 80,000	50.00%		
Banca Profilo	0 - 43,000	20.00%	> 43,000 - 170,000	30.00%	> 170,000	50.00%				
BancFirst Corp	0 - 270	20.00%	> 270 - 720	50.00%	> 720	90.00%				
Banco BPI	0 - 5,800	20.00%	> 5,800 - 29,000	25.00%	> 29,000	50.00%				
Banco BPM SpA	0 - 31,000	20.00%	> 31,000 - 62,000	30.00%	> 62,000 - 120,000	45.00%	> 120,000	50.00%		
Banco Bradesco	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000 - 53,000	35.00%	> 53,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Banco Comercial Portugues SA	0 - 1,000,000	20.00%	> 1,000,000 - 2,100,000	45.00%	> 2,100,000	65.00%				
Banco de Chile	0 - 420	20.00%	> 420 - 960	30.00%	> 960	50.00%				
Banco de Sabadell	0 - 390,000	20.00%	> 390,000 - 790,000	40.00%	> 790,000	60.00%				
Banco di Desio e della Brianza	0 - 3,800	20.00%	> 3,800 - 15,000	30.00%	> 15,000	50.00%				
Banco Latinoamericano de Comer	0 - 140	25.00%	> 140 - 420	30.00%	> 420 - 1,100	50.00%	> 1,100	55.00%		
Banco Macro SA	0 - 1,200	20.00%	> 1,200 - 2,700	30.00%	> 2,700 - 5,900	35.00%	> 5,900	50.00%		
Banco Santander (Mexico) S.A.B de C.V.	0 - 4,100	20.00%	> 4,100 - 10,000	40.00%	> 10,000	50.00%				
Banco Santander Brasil	0 - 2,800	30.00%	> 2,800 - 8,600	35.00%	> 8,600 - 20,000	50.00%	> 20,000	60.00%		
Banco Santander Chile	0 - 1,600	20.00%	> 1,600 - 4,500	40.00%	> 4,500	55.00%				
Banco Santander SA - ADR	0 - 36,000	20.00%	> 36,000 - 73,000	25.00%	> 73,000	50.00%				
Banco Santander SA (ES)	0 - 120,000	20.00%	> 120,000 - 240,000	40.00%	> 240,000	60.00%				
Banco Santander SA (GB)	0 - 17,000	20.00%	> 17,000 - 40,000	25.00%	> 40,000	50.00%				
Banco Santander SA (PT)	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
Bancolombia SA	0 - 1,200	20.00%	> 1,200 - 7,300	25.00%	> 7,300	50.00%				
Bancorp Inc/DE	0 - 1,500	20.00%	> 1,500 - 4,200	25.00%	> 4,200 - 9,400	30.00%	> 9,400	50.00%		
BancorpSouth Inc	0 - 2,900	20.00%	> 2,900 - 5,900	50.00%	> 5,900	60.00%				
Bandai Namco Holdings Inc	0 - 5,000	20.00%	> 5,000 - 10,000	30.00%	> 10,000	50.00%				
Bando Chemical Industries Ltd	0 - 950	20.00%	> 950 - 3,800	25.00%	> 3,800	50.00%				
Bang & Olufsen A/S	0 - 1,000	20.00%	> 1,000 - 6,100	25.00%	> 6,100	50.00%				
Bank East Asia	0 - 22,000	20.00%	> 22,000 - 47,000	25.00%	> 47,000 - 97,000	30.00%	> 97,000	50.00%		
Bank Handlowy w Warszawie SA	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
Bank Millennium SA	0 - 4,800	20.00%	> 4,800 - 9,600	30.00%	> 9,600 - 19,200	35.00%	> 19,200	50.00%		
Bank of America Corp	0 - 23,000	20.00%	> 23,000 - 46,000	40.00%	> 46,000	60.00%				
Bank of China	0 - 1,400,000	20.00%	> 1,400,000 - 2,800,000	40.00%	> 2,800,000	60.00%				
Bank of Chongqing Co Ltd	0 - 28,000	20.00%	> 28,000 - 170,000	25.00%	> 170,000	50.00%				
Bank of Communications	0 - 280,000	20.00%	> 280,000 - 560,000	25.00%	> 560,000	50.00%				
Bank of Cyprus Holdings	0 - 3,700	20.00%	> 3,700 - 9,200	25.00%	> 9,200 - 20,000	30.00%	> 20,000	50.00%		
Bank of Georgia Holdings PLC	0 - 1,700	20.00%	> 1,700 - 4,700	25.00%	> 4,700 - 10,000	30.00%	> 10,000	50.00%		
Bank of Hawaii Corp	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200 - 4,600	30.00%	> 4,600	50.00%		
Bank of Ireland (GB)	0 - 41,000	20.00%	> 41,000 - 83,000	40.00%	> 83,000	60.00%				
Bank of Ireland (IE)	0 - 83,000	20.00%	> 83,000 - 160,000	40.00%	> 160,000	60.00%				
Bank of Iwate Ltd/The	0 - 260	20.00%	> 260 - 630	30.00%	> 630 - 1,300	35.00%	> 1,300	50.00%		
Bank of Kyoto	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				
Bank of Marin Bancorp	0 - 200	20.00%	> 200 - 540	25.00%	> 540 - 1,200	30.00%	> 1,200	50.00%		
Bank of Montreal (CA)	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Bank of Montreal (US)	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Bank of Nagoya Ltd/The	0 - 920	20.00%	> 920 - 2,000	25.00%	> 2,000	50.00%				
Bank of Nova Scotia/The (CA)	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Bank of Nova Scotia/The (US)	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Bank of Okinawa Ltd/The	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
Bank Of Queensland	0 - 41,000	20.00%	> 41,000 - 83,000	40.00%	> 83,000	60.00%				
Bank of Saga Ltd/The	0 - 480	20.00%	> 480 - 1,900	25.00%	> 1,900	50.00%				
Bank of the Ozarks Inc	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800 - 9,600	30.00%	> 9,600	50.00%		
Bank of the Ryukyus Ltd	0 - 840	20.00%	> 840 - 2,200	35.00%	> 2,200 - 5,000	45.00%	> 5,000	50.00%		
Bank Pekao SA	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
Bank Zachodni WBK SA	0 - 1,900	20.00%	> 1,900 - 4,100	25.00%	> 4,100	50.00%				
Bankers Investment Trust	0 - 570	30.00%	> 570 - 1,700	35.00%	> 1,700 - 4,600	45.00%	> 4,600	50.00%		
Bankia SA	0 - 53,000	20.00%	> 53,000 - 100,000	25.00%	> 100,000	50.00%				
BankInter	0 - 19,000	20.00%	> 19,000 - 39,000	30.00%	> 39,000	50.00%				
BankUnited Inc	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Banner Corp	0 - 2,800	20.00%	> 2,800 - 6,100	25.00%	> 6,100	50.00%				
Banque Cantonale Vaudoise	0 - 79	20.00%	> 79 - 470	25.00%	> 470	50.00%				
Baoxin Auto Group Ltd	0 - 100,000	20.00%	> 100,000 - 240,000	25.00%	> 240,000	50.00%				
Baoye Group	0 - 16,000	20.00%	> 16,000 - 36,000	60.00%	> 36,000	90.00%				
Baozun Inc	0 - 1,600	20.00%	> 1,600 - 6,500	25.00%	> 6,500	50.00%				
Bapcor Ltd	0 - 11,000	20.00%	> 11,000 - 29,000	55.00%	> 29,000	80.00%				
Barclays	0 - 250,000	20.00%	> 250,000 - 510,000	40.00%	> 510,000	60.00%				
Barclays (US)	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Barclays ETN+ ETNs Linked to the S&P 500 Dynamic VEQTORTM TotaL Return Index	0 - 60	20.00%	> 60 - 120	25.00%	> 120 - 240	35.00%	> 240	50.00%		
Barclays ETN+ Select MLP ETNs	0 - 970	20.00%	> 970 - 1,900	25.00%	> 1,900	50.00%				
Barco NV	0 - 380	20.00%	> 380 - 1,000	25.00%	> 1,000 - 2,300	30.00%	> 2,300	50.00%		
Baring Emerging Europe	0 - 350	30.00%	> 350 - 1,000	35.00%	> 1,000 - 4,200	40.00%	> 4,200	50.00%		
Barnes & Noble Inc	0 - 9,000	20.00%	> 9,000 - 19,000	25.00%	> 19,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Barnes Group Inc	0 - 1,200	20.00%	> 1,200 - 2,800	40.00%	> 2,800	50.00%				
Baron de Ley	0 - 76	20.00%	> 76 - 150	25.00%	> 150 - 300	35.00%	> 300	50.00%		
Barratt Developments	0 - 96,000	20.00%	> 96,000 - 190,000	40.00%	> 190,000	60.00%				
Barrett Business Services Inc	0 - 220	20.00%	> 220 - 590	25.00%	> 590 - 1,300	35.00%	> 1,300	50.00%		
Barrick Gold Corp (CA)	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000 - 30,000	35.00%	> 30,000	50.00%		
Barrick Gold Corp (US)	0 - 7,700	20.00%	> 7,700 - 15,000	35.00%	> 15,000 - 30,000	40.00%	> 30,000	50.00%		
Barron's 400 ETF	0 - 220	20.00%	> 220 - 450	40.00%	> 450 - 900	45.00%	> 900	50.00%		
Barry Callebaut AG	0 - 110	20.00%	> 110 - 220	25.00%	> 220	50.00%				
Basellandschaftliche Kantonalbank	0 - 11	20.00%	> 11 - 22	25.00%	> 22 - 44	35.00%	> 44	50.00%		
BASF SE (CH)	0 - 520	20.00%	> 520 - 1,300	40.00%	> 1,300	65.00%				
BASF SE (DE)	0 - 6,900	20.00%	> 6,900 - 13,000	40.00%	> 13,000	60.00%				
BASF SE (GB)	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Basic Energy Services Inc	0 - 3,600	20.00%	> 3,600 - 6,600	25.00%	> 6,600	50.00%				
Basic-Fit NV	0 - 1,200	20.00%	> 1,200 - 3,400	55.00%	> 3,400	70.00%				
Basilea Pharmaceutica	0 - 570	20.00%	> 570 - 2,700	35.00%	> 2,700	50.00%				
Basler Kantonalbank	0 - 260	20.00%	> 260 - 520	25.00%	> 520	50.00%				
Bassett Furniture Industries Inc	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
BasWare OYJ	0 - 240	20.00%	> 240 - 480	25.00%	> 480 - 960	35.00%	> 960	50.00%		
Bauer	0 - 560	20.00%	> 560 - 1,500	25.00%	> 1,500 - 3,400	30.00%	> 3,400	50.00%		
Bavarian Nordic A/S	0 - 780	25.00%	> 780 - 2,300	30.00%	> 2,300 - 4,700	50.00%	> 4,700	55.00%		
Baxter	0 - 1,300	20.00%	> 1,300 - 2,600	60.00%	> 2,600	90.00%				
Bayer AG (DE)	0 - 6,000	20.00%	> 6,000 - 12,000	40.00%	> 12,000	60.00%				
Bayer AG (IT)	0 - 180	20.00%	> 180 - 360	25.00%	> 360	50.00%				
Bayerische Motoren Werke AG (IT)	0 - 210	20.00%	> 210 - 420	25.00%	> 420	50.00%				
Bayerische Motoren Werke AG (Preference)	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Baytex Energy Corp (CA)	0 - 17,000	20.00%	> 17,000 - 63,000	30.00%	> 63,000	50.00%				
Baytex Energy Corp (US)	0 - 2,600	25.00%	> 2,600 - 8,000	35.00%	> 8,000 - 21,000	50.00%	> 21,000	55.00%		
BayWa Vink	0 - 890	20.00%	> 890 - 2,000	25.00%	> 2,000	50.00%				
BB Biotech (Germany)	0 - 100	30.00%	> 100 - 830	35.00%	> 830 - 1,800	40.00%	> 1,800	50.00%		
BB Biotech (Italy)	0 - 49	30.00%	> 49 - 140	35.00%	> 140 - 860	40.00%	> 860	50.00%		
BB Biotech (Switzerland)	0 - 370	30.00%	> 370 - 1,100	35.00%	> 1,100 - 5,500	40.00%	> 5,500	50.00%		
BB&T	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800	50.00%				
BBA Aviation	0 - 19,000	20.00%	> 19,000 - 85,000	25.00%	> 85,000	50.00%				
BBGI SICAV SA/Fund	0 - 5,700	30.00%	> 5,700 - 100,000	40.00%	> 100,000	50.00%				
BBMG Corp	0 - 360,000	20.00%	> 360,000 - 660,000	25.00%	> 660,000	50.00%				
BBVA Accion Dow Jones Euro STOXX 50	0 - 230	20.00%	> 230 - 470	35.00%	> 470 - 950	40.00%	> 950	50.00%		
BBVA Accion Ibex 35 ETF	0 - 3,400	20.00%	> 3,400 - 6,300	25.00%	> 6,300	50.00%				
BBVA Banco Frances SA	0 - 4,600	20.00%	> 4,600 - 10,000	25.00%	> 10,000	50.00%				
BBVA SA - ADR	0 - 25,000	20.00%	> 25,000 - 53,000	25.00%	> 53,000	50.00%				
BBVA SA (ES)	0 - 46,000	20.00%	> 46,000 - 93,000	40.00%	> 93,000	60.00%				
BBVA SA (GB)	0 - 28,000	20.00%	> 28,000 - 57,000	25.00%	> 57,000	50.00%				
BBX Capital Corp	0 - 4,100	20.00%	> 4,100 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
BCE Inc (CA)	0 - 4,600	20.00%	> 4,600 - 9,300	25.00%	> 9,300	50.00%				
BCE Inc (US)	0 - 4,600	20.00%	> 4,600 - 9,300	25.00%	> 9,300	50.00%				
BE Semiconductor Industries NV	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700 - 15,000	30.00%	> 15,000	50.00%		
Beach Energy	0 - 24,000	25.00%	> 24,000 - 73,000	35.00%	> 73,000 - 140,000	50.00%	> 140,000	55.00%		
Beacon Lighting Group Ltd	0 - 9,200	20.00%	> 9,200 - 18,000	35.00%	> 18,000 - 37,000	40.00%	> 37,000	50.00%		
Beacon Roofing Supply Inc	0 - 2,400	20.00%	> 2,400 - 4,900	35.00%	> 4,900 - 9,900	40.00%	> 9,900	50.00%		
Beadell Resources	0 - 240,000	20.00%	> 240,000 - 490,000	30.00%	> 490,000 - 990,000	45.00%	> 990,000	50.00%		
Beazer Homes USA Inc	0 - 1,500	20.00%	> 1,500 - 3,800	60.00%	> 3,800	65.00%				
Beazley	0 - 13,000	20.00%	> 13,000 - 26,000	30.00%	> 26,000 - 52,000	40.00%	> 52,000	50.00%		
Bechtle	0 - 2,100	20.00%	> 2,100 - 4,400	25.00%	> 4,400	50.00%				
Becton Dickinson	0 - 2,600	20.00%	> 2,600 - 5,300	40.00%	> 5,300	60.00%				
Bed Bath & Beyond	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Befimmo SA	0 - 84	30.00%	> 84 - 250	35.00%	> 250 - 660	65.00%	> 660	80.00%		
Bega Cheese Ltd	0 - 12,000	20.00%	> 12,000 - 28,000	25.00%	> 28,000	50.00%				
Beiersdorf	0 - 2,800	20.00%	> 2,800 - 5,700	40.00%	> 5,700	60.00%				
Beijer Alma B	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Beijer Ref B	0 - 2,200	20.00%	> 2,200 - 13,000	25.00%	> 13,000	50.00%				
Beijing Airport	0 - 170,000	20.00%	> 170,000 - 350,000	25.00%	> 350,000	50.00%				
Beijing Beida Jade Bird Universal Sci-Tech Co Ltd	0 - 86,000	20.00%	> 86,000 - 170,000	45.00%	> 170,000	80.00%				
Beijing Capital Land	0 - 19,000	35.00%	> 19,000 - 58,000	40.00%	> 58,000 - 150,000	60.00%	> 150,000	70.00%		
Beijing Enterprise	0 - 20,000	20.00%	> 20,000 - 41,000	30.00%	> 41,000 - 82,000	40.00%	> 82,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Beijing Enterprises Medical and Health Industry Group Ltd	0 - 200,000	20.00%	> 200,000 - 470,000	35.00%	> 470,000 - 1,000,000	40.00%	> 1,000,000	50.00%		
Beijing Enterprises Water Grou	0 - 180,000	20.00%	> 180,000 - 360,000	30.00%	> 360,000 - 720,000	35.00%	> 720,000	50.00%		
Beijing Gas Blue Sky Power Holdings Ltd	0 - 410,000	20.00%	> 410,000 - 1,100,000	30.00%	> 1,100,000 - 2,400,000	35.00%	> 2,400,000	50.00%		
Beijing Jingcheng Machinery Electric Company Ltd	0 - 45,000	20.00%	> 45,000 - 90,000	55.00%	> 90,000	90.00%				
Beijing Jingneng Clean Energy Co Ltd	0 - 78,000	20.00%	> 78,000 - 470,000	35.00%	> 470,000	50.00%				
Beijing North Star Company	0 - 10,000	25.00%	> 10,000 - 30,000	30.00%	> 30,000 - 76,000	40.00%	> 76,000 - 160,000	45.00%	> 160,000	50.00%
Beijing Properties Holdings Ltd	0 - 63,000	30.00%	> 63,000 - 190,000	35.00%	> 190,000 - 380,000	45.00%	> 380,000	50.00%		
Beijing Tong Ren Tang Chinese Medicine Co Ltd	0 - 14,000	20.00%	> 14,000 - 39,000	40.00%	> 39,000	50.00%				
Beijing Urban Construction Design & Development Group Co Ltd	0 - 23,000	20.00%	> 23,000 - 92,000	30.00%	> 92,000	50.00%				
Bel Fuse Inc	0 - 480	20.00%	> 480 - 970	30.00%	> 970 - 1,900	35.00%	> 1,900	50.00%		
Belc Co Ltd	0 - 210	20.00%	> 210 - 1,100	35.00%	> 1,100	50.00%				
Belden Inc	0 - 1,500	20.00%	> 1,500 - 3,000	30.00%	> 3,000 - 6,000	35.00%	> 6,000	50.00%		
Belimo Holding AG	0 - 6	20.00%	> 6 - 16	30.00%	> 16 - 35	40.00%	> 35	50.00%		
Bell AG	0 - 550	20.00%	> 550 - 1,100	25.00%	> 1,100	50.00%				
Bellamy's Australia Ltd	0 - 9,500	20.00%	> 9,500 - 19,000	25.00%	> 19,000 - 35,000	30.00%	> 35,000	50.00%		
Bellatrix Exploration Ltd (CA)	0 - 3,400	30.00%	> 3,400 - 10,000	40.00%	> 10,000 - 20,000	85.00%	> 20,000	90.00%		
Bellatrix Exploration Ltd (US)	0 - 3,400	30.00%	> 3,400 - 20,000	45.00%	> 20,000	50.00%				
Bellicum Pharmaceuticals Inc	0 - 1,000	25.00%	> 1,000 - 3,000	30.00%	> 3,000 - 6,100	45.00%	> 6,100	50.00%		
Bellsystem24 Holdings Inc	0 - 4,400	20.00%	> 4,400 - 10,000	65.00%	> 10,000	90.00%				
Belluna Co Ltd	0 - 3,700	20.00%	> 3,700 - 21,000	30.00%	> 21,000	50.00%				
Bellway	0 - 2,500	20.00%	> 2,500 - 10,000	30.00%	> 10,000	50.00%				
Belmond Ltd	0 - 1,600	20.00%	> 1,600 - 4,500	25.00%	> 4,500 - 10,000	30.00%	> 10,000	50.00%		
Bemis Co Inc	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600 - 9,300	30.00%	> 9,300	50.00%		
Benchmark Electronics Inc	0 - 1,300	20.00%	> 1,300 - 3,700	25.00%	> 3,700 - 8,300	35.00%	> 8,300	50.00%		
Bendigo & Adelaide	0 - 39,000	20.00%	> 39,000 - 79,000	40.00%	> 79,000	60.00%				
Beneficial Bancorp Inc	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
Benefit One Inc	0 - 2,000	20.00%	> 2,000 - 12,000	25.00%	> 12,000	50.00%				
Benefit Systems SA	0 - 37	20.00%	> 37 - 86	30.00%	> 86 - 180	35.00%	> 180	50.00%		
Benefitfocus Inc	0 - 1,200	20.00%	> 1,200 - 3,300	25.00%	> 3,300 - 7,100	30.00%	> 7,100	50.00%		
Benesse Holdings Inc	0 - 2,800	20.00%	> 2,800 - 5,600	50.00%	> 5,600	65.00%				
Beneteau	0 - 1,600	20.00%	> 1,600 - 10,000	25.00%	> 10,000	50.00%				
Beni Stabili	0 - 19,000	30.00%	> 19,000 - 150,000	45.00%	> 150,000	55.00%				
Bergman & Beving Aktiebolag	0 - 930	20.00%	> 930 - 2,400	25.00%	> 2,400 - 5,500	30.00%	> 5,500	50.00%		
Berkeley Group	0 - 6,900	20.00%	> 6,900 - 13,000	40.00%	> 13,000	60.00%				
Berkshire Hathaway Inc - B	0 - 3,400	20.00%	> 3,400 - 6,900	40.00%	> 6,900	60.00%				
Berkshire Hills Bancorp Inc	0 - 4,900	20.00%	> 4,900 - 9,800	25.00%	> 9,800	50.00%				
Berner Kantonalbank AG	0 - 140	20.00%	> 140 - 320	25.00%	> 320	50.00%				
Berry Plastics Group Inc	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
Bertrandt AG	0 - 210	20.00%	> 210 - 560	25.00%	> 560 - 1,200	30.00%	> 1,200	50.00%		
Best Buy	0 - 1,300	20.00%	> 1,300 - 2,600	30.00%	> 2,600 - 5,200	40.00%	> 5,200	50.00%		
Best World International	0 - 39,000	20.00%	> 39,000 - 78,000	35.00%	> 78,000 - 140,000	45.00%	> 140,000	50.00%		
BetaShares Australian High Interest Cash ETF	0 - 1,900	20.00%	> 1,900 - 3,800	55.00%	> 3,800	80.00%				
BetaShares Australian Top 20 Equity Yield Maximiser Fund	0 - 4,000	20.00%	> 4,000 - 9,000	25.00%	> 9,000	50.00%				
BetaShares US Dollar ETF	0 - 3,200	20.00%	> 3,200 - 7,300	25.00%	> 7,300	50.00%				
Beter Bed Holding NV	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Betsson B	0 - 8,200	20.00%	> 8,200 - 16,000	35.00%	> 16,000 - 30,000	40.00%	> 30,000	50.00%		
BGC Partners Inc	0 - 2,900	30.00%	> 2,900 - 8,900	70.00%	> 8,900	90.00%				
BH Macro Ltd (GBP)	0 - 130	30.00%	> 130 - 410	35.00%	> 410 - 2,400	45.00%	> 2,400	50.00%		
BHP Billiton Ltd - ADR	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
BHP Billiton Ltd (Australia)	0 - 26,000	20.00%	> 26,000 - 53,000	40.00%	> 53,000	60.00%				
BHP Billiton PLC - ADR	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000	50.00%				
BHP Billiton PLC (GB)	0 - 30,000	20.00%	> 30,000 - 61,000	40.00%	> 61,000	60.00%				
Bic Camera Inc	0 - 6,300	20.00%	> 6,300 - 12,000	30.00%	> 12,000 - 25,000	40.00%	> 25,000	50.00%		
Biesse SpA	0 - 1,100	20.00%	> 1,100 - 6,500	25.00%	> 6,500	50.00%				
Biffa PLC	0 - 13,000	20.00%	> 13,000 - 65,000	25.00%	> 65,000	50.00%				
Big 5 Sporting Goods Corp	0 - 1,500	20.00%	> 1,500 - 3,300	25.00%	> 3,300 - 6,300	40.00%	> 6,300	50.00%		
Big Lots	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	50.00%				
Big Yellow	0 - 1,600	30.00%	> 1,600 - 4,800	35.00%	> 4,800 - 12,000	55.00%	> 12,000	65.00%		
Bijou Brigitte AG	0 - 200	20.00%	> 200 - 800	25.00%	> 800	50.00%				
Bilfinger Berger	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900 - 7,800	30.00%	> 7,800	50.00%		
Bilia A	0 - 10,000	20.00%	> 10,000 - 22,000	25.00%	> 22,000	50.00%				
BillerudKorsnäs	0 - 7,100	20.00%	> 7,100 - 14,000	35.00%	> 14,000 - 28,000	45.00%	> 28,000	50.00%		
Binckbank	0 - 2,500	20.00%	> 2,500 - 6,900	25.00%	> 6,900 - 15,000	30.00%	> 15,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Bingo Industries Ltd	0 - 13,000	20.00%	> 13,000 - 78,000	25.00%	> 78,000	50.00%				
BioCryst Pharmaceuticals Inc	0 - 3,200	20.00%	> 3,200 - 8,700	30.00%	> 8,700 - 19,000	35.00%	> 19,000	50.00%		
BioDelivery Sciences International Inc	0 - 3,400	20.00%	> 3,400 - 6,800	45.00%	> 6,800	50.00%				
BioGaia B	0 - 280	20.00%	> 280 - 750	40.00%	> 750 - 1,600	45.00%	> 1,600	50.00%		
Biogen IDEC	0 - 950	20.00%	> 950 - 1,900	40.00%	> 1,900	60.00%				
BioMarin Pharmaceutical Inc	0 - 960	20.00%	> 960 - 1,900	30.00%	> 1,900 - 3,800	35.00%	> 3,800	50.00%		
BioMerieux	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
Bio-Rad Laboratories Inc	0 - 320	20.00%	> 320 - 650	35.00%	> 650 - 1,300	40.00%	> 1,300	50.00%		
BioScrip Inc	0 - 3,300	20.00%	> 3,300 - 7,300	25.00%	> 7,300 - 15,000	35.00%	> 15,000	50.00%		
Biotage AB	0 - 3,000	20.00%	> 3,000 - 7,400	25.00%	> 7,400 - 14,000	30.00%	> 14,000	50.00%		
Biotech Growth Trust PLC/The	0 - 310	35.00%	> 310 - 940	40.00%	> 940 - 2,500	50.00%	> 2,500	55.00%		
BioTelemetry Inc	0 - 1,100	20.00%	> 1,100 - 3,000	80.00%	> 3,000	90.00%				
Biotest AG	0 - 110	25.00%	> 110 - 330	30.00%	> 330 - 670	40.00%	> 670	50.00%		
Biotest AG (Preference)	0 - 350	20.00%	> 350 - 710	30.00%	> 710 - 1,400	35.00%	> 1,400	50.00%		
BioTime Inc	0 - 1,400	25.00%	> 1,400 - 4,200	30.00%	> 4,200 - 8,500	55.00%	> 8,500	70.00%		
Birchcliff Energy Ltd	0 - 6,200	25.00%	> 6,200 - 37,000	45.00%	> 37,000	50.00%				
Bird Construction Inc	0 - 2,300	20.00%	> 2,300 - 10,000	30.00%	> 10,000	50.00%				
Bitauto Holdings Ltd	0 - 4,200	20.00%	> 4,200 - 8,400	70.00%	> 8,400	90.00%				
Bittium OYJ	0 - 1,700	20.00%	> 1,700 - 6,800	40.00%	> 6,800	50.00%				
BJ's Restaurants Inc	0 - 1,500	20.00%	> 1,500 - 5,600	25.00%	> 5,600	50.00%				
BKI Investment	0 - 2,800	35.00%	> 2,800 - 17,000	50.00%	> 17,000	55.00%				
BKW AG	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Black Box Corp	0 - 4,300	20.00%	> 4,300 - 8,700	35.00%	> 8,700 - 17,000	40.00%	> 17,000	50.00%		
Black Diamond Group Ltd	0 - 1,100	25.00%	> 1,100 - 3,300	35.00%	> 3,300	90.00%				
Black Hills Corp	0 - 1,600	20.00%	> 1,600 - 3,200	50.00%	> 3,200	70.00%				
Black Knight Inc	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
Blackbaud Inc	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Blackberry	0 - 9,700	20.00%	> 9,700 - 39,000	25.00%	> 39,000	50.00%				
BlackBerry Ltd	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
Blackhawk Network Holdings Inc - A	0 - 1,600	20.00%	> 1,600 - 3,800	35.00%	> 3,800 - 8,200	40.00%	> 8,200	50.00%		
Blackmores	0 - 910	20.00%	> 910 - 1,800	40.00%	> 1,800	60.00%				
BlackPearl Resources Inc	0 - 2,900	25.00%	> 2,900 - 8,900	30.00%	> 8,900 - 17,000	40.00%	> 17,000 - 35,000	45.00%	> 35,000	50.00%
BlackPearl Resources Inc (CA)	0 - 3,000	25.00%	> 3,000 - 9,100	30.00%	> 9,100 - 18,000	45.00%	> 18,000	55.00%		
Blackrock	0 - 1,300	20.00%	> 1,300 - 2,600	40.00%	> 2,600	60.00%				
BlackRock Build America Bond Trust	0 - 150	35.00%	> 150 - 450	40.00%	> 450	50.00%				
BlackRock Commodities	0 - 3,100	30.00%	> 3,100 - 9,300	35.00%	> 9,300 - 18,000	40.00%	> 18,000 - 37,000	45.00%	> 37,000	50.00%
BlackRock Core Bond Trust	0 - 250	30.00%	> 250 - 770	35.00%	> 770 - 3,500	40.00%	> 3,500	50.00%		
BlackRock Corporate High Yield Fund Inc	0 - 310	30.00%	> 310 - 4,800	35.00%	> 4,800	50.00%				
BlackRock Credit Allocation Income Trust	0 - 270	30.00%	> 270 - 4,100	35.00%	> 4,100	50.00%				
BlackRock Debt Strategies Fund Inc	0 - 290	30.00%	> 290 - 3,500	35.00%	> 3,500	50.00%				
BlackRock Energy and Resources Trust	0 - 210	30.00%	> 210 - 2,500	35.00%	> 2,500	50.00%				
BlackRock Enhanced Capital and Income Fund Inc	0 - 200	30.00%	> 200 - 2,400	35.00%	> 2,400	50.00%				
BlackRock Enhanced Equity Dividend Trust	0 - 370	30.00%	> 370 - 2,900	40.00%	> 2,900 - 6,700	45.00%	> 6,700	50.00%		
BlackRock Floating Rate Income Strategies Fund Inc	0 - 230	30.00%	> 230 - 710	35.00%	> 710 - 3,700	40.00%	> 3,700	50.00%		
BlackRock Floating Rate Income Trust	0 - 250	30.00%	> 250 - 3,000	35.00%	> 3,000	50.00%				
BlackRock Frontiers Investment Trust PLC	0 - 2,000	30.00%	> 2,000 - 36,000	35.00%	> 36,000	50.00%				
BlackRock Global Opportunities Equity Trust	0 - 300	30.00%	> 300 - 900	35.00%	> 900 - 4,100	40.00%	> 4,100	50.00%		
BlackRock Greater Europe Investment	0 - 1,000	30.00%	> 1,000 - 3,200	35.00%	> 3,200 - 8,700	45.00%	> 8,700	55.00%		
BlackRock Health Sciences Trust	0 - 81	30.00%	> 81 - 240	35.00%	> 240 - 960	40.00%	> 960	50.00%		
BlackRock International Growth and Income Trust	0 - 570	30.00%	> 570 - 1,700	35.00%	> 1,700 - 6,900	40.00%	> 6,900	50.00%		
BlackRock Investment Quality Municipal Trust Inc	0 - 250	30.00%	> 250 - 760	35.00%	> 760 - 3,000	40.00%	> 3,000	50.00%		
BlackRock Kelso Capital Corp	0 - 3,400	20.00%	> 3,400 - 7,000	25.00%	> 7,000	50.00%				
BlackRock Latin	0 - 600	30.00%	> 600 - 1,800	35.00%	> 1,800 - 3,600	45.00%	> 3,600	50.00%		
BlackRock Limited Duration Income Trust	0 - 220	30.00%	> 220 - 660	35.00%	> 660 - 1,300	45.00%	> 1,300	55.00%		
BlackRock Long-Term Municipal Advantage Trust	0 - 290	30.00%	> 290 - 3,500	35.00%	> 3,500	50.00%				
BlackRock Multi-Sector Income Trust	0 - 190	30.00%	> 190 - 2,700	40.00%	> 2,700	50.00%				
BlackRock Muni Intermediate Duration Fund Inc	0 - 260	30.00%	> 260 - 3,200	35.00%	> 3,200	50.00%				
BlackRock MuniAssets Fund Inc	0 - 250	30.00%	> 250 - 1,500	35.00%	> 1,500 - 3,000	40.00%	> 3,000	50.00%		
BlackRock Municipal 2018 Term Trust	0 - 220	30.00%	> 220 - 1,300	35.00%	> 1,300 - 2,600	40.00%	> 2,600	50.00%		
BlackRock Municipal 2020 Term Trust	0 - 220	30.00%	> 220 - 2,600	35.00%	> 2,600	50.00%				
BlackRock Municipal Bond Trust	0 - 230	30.00%	> 230 - 2,700	35.00%	> 2,700	50.00%				
BlackRock Municipal Income Quality Trust	0 - 250	30.00%	> 250 - 3,000	35.00%	> 3,000	50.00%				
BlackRock Municipal Income Trust	0 - 250	30.00%	> 250 - 1,500	35.00%	> 1,500 - 3,000	40.00%	> 3,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
BlackRock Municipal Income Trust II	0 - 240	30.00%	> 240 - 2,900	35.00%	> 2,900	50.00%				
BlackRock Municipal Target Term Trust	0 - 150	30.00%	> 150 - 980	35.00%	> 980 - 2,000	40.00%	> 2,000	50.00%		
BlackRock MuniEnhanced Fund Inc	0 - 310	30.00%	> 310 - 1,900	35.00%	> 1,900 - 3,800	40.00%	> 3,800	50.00%		
BlackRock MuniHoldings California Quality Fund Inc	0 - 250	30.00%	> 250 - 1,500	35.00%	> 1,500 - 3,000	40.00%	> 3,000	50.00%		
BlackRock MuniHoldings Fund II Inc	0 - 260	30.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
BlackRock MuniHoldings Fund Inc	0 - 220	30.00%	> 220 - 1,300	35.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%		
BlackRock MuniHoldings Investment Quality Fund	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
BlackRock MuniHoldings New Jersey Quality Fund Inc	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
BlackRock MuniHoldings New York Quality Fund Inc	0 - 260	30.00%	> 260 - 3,200	35.00%	> 3,200	50.00%				
BlackRock MuniHoldings Quality Fund II Inc	0 - 270	30.00%	> 270 - 3,200	35.00%	> 3,200	50.00%				
BlackRock MuniHoldings Quality Fund Inc	0 - 290	30.00%	> 290 - 880	35.00%	> 880 - 3,500	40.00%	> 3,500	50.00%		
BlackRock MuniVest Fund II Inc	0 - 230	30.00%	> 230 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800	50.00%		
BlackRock MuniVest Fund Inc	0 - 370	30.00%	> 370 - 2,200	35.00%	> 2,200 - 4,500	40.00%	> 4,500	50.00%		
BlackRock MuniYield California Fund Inc	0 - 240	30.00%	> 240 - 2,900	35.00%	> 2,900	50.00%				
BlackRock MuniYield California Quality Fund Inc	0 - 250	30.00%	> 250 - 1,500	35.00%	> 1,500 - 3,000	40.00%	> 3,000	50.00%		
BlackRock MuniYield Fund Inc	0 - 240	30.00%	> 240 - 2,900	35.00%	> 2,900	50.00%				
BlackRock MuniYield Investment Fund	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
BlackRock MuniYield Michigan Quality Fund Inc	0 - 250	30.00%	> 250 - 3,000	35.00%	> 3,000	50.00%				
BlackRock MuniYield New York Quality Fund Inc	0 - 270	30.00%	> 270 - 1,600	35.00%	> 1,600 - 3,300	40.00%	> 3,300	50.00%		
BlackRock MuniYield Quality Fund II Inc	0 - 270	30.00%	> 270 - 3,300	35.00%	> 3,300	50.00%				
BlackRock MuniYield Quality Fund III Inc	0 - 260	30.00%	> 260 - 2,000	35.00%	> 2,000 - 4,600	40.00%	> 4,600	50.00%		
BlackRock MuniYield Quality Fund Inc	0 - 240	30.00%	> 240 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800	50.00%		
BlackRock Resources & Commodities Strategy Trust	0 - 360	30.00%	> 360 - 2,200	35.00%	> 2,200 - 4,400	40.00%	> 4,400	50.00%		
Blackrock Science & Technology Trust	0 - 100	35.00%	> 100 - 300	40.00%	> 300 - 740	65.00%	> 740	85.00%		
BlackRock Smaller Companies	0 - 320	30.00%	> 320 - 960	35.00%	> 960 - 5,700	40.00%	> 5,700	50.00%		
Blackrock Throgmorton Trust PLC	0 - 600	30.00%	> 600 - 1,800	35.00%	> 1,800 - 10,000	45.00%	> 10,000	50.00%		
BlackRock Utility and Infrastructure Trust	0 - 160	30.00%	> 160 - 1,900	40.00%	> 1,900	50.00%				
BlackRock World Mining Trust	0 - 1,300	30.00%	> 1,300 - 24,000	35.00%	> 24,000	50.00%				
Blackstone	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Blackstone / GSO Senior Floating Rate Term Fund	0 - 200	30.00%	> 200 - 600	35.00%	> 600 - 1,200	40.00%	> 1,200 - 2,400	45.00%	> 2,400	50.00%
Blackstone / GSO Strategic Credit Fund	0 - 200	30.00%	> 200 - 2,900	35.00%	> 2,900	50.00%				
Blackstone Mortgage Trust Inc	0 - 1,000	25.00%	> 1,000 - 12,000	30.00%	> 12,000	50.00%				
Blackstone/GSO Long-Short Credit Income Fund	0 - 200	30.00%	> 200 - 2,400	35.00%	> 2,400	50.00%				
Bloomin' Brands Inc	0 - 9,400	20.00%	> 9,400 - 18,000	25.00%	> 18,000	50.00%				
Blucora Inc	0 - 2,600	20.00%	> 2,600 - 5,200	45.00%	> 5,200	50.00%				
Blue Hills Bancorp Inc	0 - 450	20.00%	> 450 - 910	25.00%	> 910 - 1,800	35.00%	> 1,800	50.00%		
Blue Ribbon Income Fund	0 - 490	35.00%	> 490 - 1,400	45.00%	> 1,400	60.00%				
Bluebird Bio Inc	0 - 550	20.00%	> 550 - 1,100	45.00%	> 1,100	75.00%				
Bluefield Solar Income Fund Ltd	0 - 2,000	35.00%	> 2,000 - 6,100	45.00%	> 6,100 - 13,000	60.00%	> 13,000	70.00%		
Blueprint Medicines Corp	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900	50.00%				
Bluerock Residential Growth REIT Inc	0 - 360	35.00%	> 360 - 1,000	40.00%	> 1,000 - 2,100	60.00%	> 2,100	70.00%		
BlueScope Steel	0 - 7,200	20.00%	> 7,200 - 28,000	30.00%	> 28,000	50.00%				
BMC Stock Holdings Inc	0 - 1,400	20.00%	> 1,400 - 3,800	30.00%	> 3,800 - 8,700	35.00%	> 8,700	50.00%		
BML Inc	0 - 2,500	20.00%	> 2,500 - 5,800	25.00%	> 5,800	50.00%				
BMO Aggregate Bond Index ETF	0 - 1,200	20.00%	> 1,200 - 3,200	30.00%	> 3,200 - 7,200	45.00%	> 7,200	50.00%		
BMO Canadian Dividend ETF	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
BMO Covered Call Canadian Banks ETF	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
BMO Covered Call DJIA Hedged to CAD ETF	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
BMO Covered Call Utilities ETF	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
BMO Equal Weight REITs Index ETF	0 - 190	25.00%	> 190 - 2,300	30.00%	> 2,300	50.00%				
BMO Equal Weight US Banks Hedged to CAD Index ETF	0 - 2,400	20.00%	> 2,400 - 5,200	25.00%	> 5,200	50.00%				
BMO Equal Weight US Banks Index ETF	0 - 470	20.00%	> 470 - 2,600	25.00%	> 2,600	50.00%				
BMO Equal Weight US Health Care Hedged to CAD Index ETF	0 - 240	20.00%	> 240 - 480	25.00%	> 480 - 970	30.00%	> 970	50.00%		
BMO Equal Weight Utilities Index ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
BMO Global Infrastructure Index ETF	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400	50.00%				
BMO High Yield US Corporate Bond Hedged to CAD Index ETF	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
BMO Low Volatility Canadian Equity ETF	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
BMO Low Volatility US Equity ETF	0 - 800	20.00%	> 800 - 1,600	25.00%	> 1,600	50.00%				
BMO Mid Corporate Bond Index ETF	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
BMO Mid-Term US IG Corporate Bond Index ETF	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
BMO MSCI EAFE Hedged to CAD Index ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
BMO MSCI EAFE Index ETF	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
BMO Nasdaq 100 Equity Hedged To CAD Index ETF	0 - 1,800	20.00%	> 1,800 - 3,300	25.00%	> 3,300	50.00%				
BMO S&P 500 ETF	0 - 2,400	20.00%	> 2,400 - 5,500	25.00%	> 5,500	50.00%				
BMO S&P 500 Hedged to CAD Index ETF	0 - 610	20.00%	> 610 - 1,200	25.00%	> 1,200	50.00%				
BMO S&P/TSX Capped Composite Index ETF	0 - 2,500	20.00%	> 2,500 - 5,700	25.00%	> 5,700	50.00%				
BMO S&P/TSX Equal Weight Banks Index ETF	0 - 6,900	20.00%	> 6,900 - 12,000	25.00%	> 12,000	50.00%				
BMO S&P/TSX Laddered Preferred Share Index ETF	0 - 2,600	20.00%	> 2,600 - 5,500	25.00%	> 5,500	50.00%				
BMO Short Corporate Bond Index ETF	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
BMO US Dividend ETF	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600	50.00%				
BMO US High Dividend Covered Call ETF	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
BMW	0 - 7,100	20.00%	> 7,100 - 14,000	40.00%	> 14,000	60.00%				
BNN Technology PLC	> 0	100.00%								
BNP Paribas Easy CAC 40 UCITS ETF	0 - 930	20.00%	> 930 - 1,800	25.00%	> 1,800 - 3,700	30.00%	> 3,700	50.00%		
BNP Paribas Easy Stoxx Europe 600 UCITS ETF C	0 - 2,900	20.00%	> 2,900 - 6,700	25.00%	> 6,700	50.00%				
BNP Paribas SA (FR)	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
BNP Paribas SA (IT)	0 - 530	20.00%	> 530 - 1,200	25.00%	> 1,200	50.00%				
Boardwalk Pipeline Partners LP	0 - 5,200	20.00%	> 5,200 - 13,000	35.00%	> 13,000	55.00%				
Boardwalk Real Estate Investment Trust	0 - 520	25.00%	> 520 - 1,500	30.00%	> 1,500 - 4,200	40.00%	> 4,200 - 9,400	45.00%	> 9,400	50.00%
Boart Longyear	0 - 1,300,000	20.00%	> 1,300,000 - 2,600,000	35.00%	> 2,600,000 - 5,300,000	40.00%	> 5,300,000	50.00%		
Bobst Group SA	0 - 84	25.00%	> 84 - 250	40.00%	> 250 - 670	80.00%	> 670	90.00%		
BOC Hong Kong	0 - 43,000	20.00%	> 43,000 - 86,000	25.00%	> 86,000	50.00%				
Bodycote	0 - 6,400	20.00%	> 6,400 - 13,000	25.00%	> 13,000 - 28,000	30.00%	> 28,000	50.00%		
BOE Varitronix Ltd	0 - 67,000	20.00%	> 67,000 - 130,000	25.00%	> 130,000	50.00%				
Boeing	0 - 1,800	20.00%	> 1,800 - 3,700	40.00%	> 3,700	60.00%				
Boer Power Holdings Ltd	0 - 86,000	20.00%	> 86,000 - 340,000	30.00%	> 340,000	50.00%				
Bofl Holding Inc	0 - 2,400	20.00%	> 2,400 - 4,900	45.00%	> 4,900	55.00%				
Boingo Wireless Inc	0 - 2,500	20.00%	> 2,500 - 5,600	35.00%	> 5,600 - 10,000	45.00%	> 10,000	50.00%		
Boiron	0 - 110	20.00%	> 110 - 260	35.00%	> 260 - 560	40.00%	> 560	50.00%		
Boise Cascade Co	0 - 3,800	20.00%	> 3,800 - 8,100	30.00%	> 8,100	50.00%				
BOK Financial Corp	0 - 1,000	20.00%	> 1,000 - 2,000	35.00%	> 2,000	50.00%				
Boliden	0 - 6,400	20.00%	> 6,400 - 12,000	25.00%	> 12,000	50.00%				
Bollore	0 - 43,000	20.00%	> 43,000 - 87,000	25.00%	> 87,000	50.00%				
Bolsas y Mercados Españoles	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000	50.00%				
Bombardier - B	0 - 48,000	20.00%	> 48,000 - 96,000	25.00%	> 96,000	50.00%				
Bonanza Creek Energy Inc	0 - 520	25.00%	> 520 - 1,500	30.00%	> 1,500 - 3,100	35.00%	> 3,100 - 5,800	40.00%	> 5,800	50.00%
Bonava AB (B)	0 - 580	25.00%	> 580 - 1,700	40.00%	> 1,700	90.00%				
Bonavista Energy Corp	0 - 10,000	20.00%	> 10,000 - 29,000	35.00%	> 29,000 - 60,000	40.00%	> 60,000	50.00%		
Bonduelle	0 - 260	20.00%	> 260 - 1,200	30.00%	> 1,200	50.00%				
Bonheur	0 - 730	20.00%	> 730 - 1,400	30.00%	> 1,400 - 2,900	35.00%	> 2,900	50.00%		
BONJOUR HOLD	0 - 230,000	20.00%	> 230,000 - 940,000	30.00%	> 940,000	50.00%				
Bonterra Energy Corp	0 - 440	25.00%	> 440 - 3,500	35.00%	> 3,500 - 7,500	40.00%	> 7,500	50.00%		
Boohoo.Com Ltd	0 - 74,000	20.00%	> 74,000 - 140,000	40.00%	> 140,000	60.00%				
Booking Holdings Inc	0 - 160	20.00%	> 160 - 320	40.00%	> 320	60.00%				
Boot Barn Holdings	0 - 1,900	20.00%	> 1,900 - 3,800	50.00%	> 3,800	55.00%				
Boot Henry PLC	0 - 2,700	20.00%	> 2,700 - 5,400	50.00%	> 5,400	65.00%				
Booz Allen Hamilton Holding Co	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 8,500	35.00%	> 8,500	50.00%		
Boral	0 - 41,000	20.00%	> 41,000 - 82,000	25.00%	> 82,000	50.00%				
Boralex Inc	0 - 3,700	20.00%	> 3,700 - 9,700	35.00%	> 9,700	60.00%				
BorgWarner	0 - 2,200	20.00%	> 2,200 - 4,400	30.00%	> 4,400 - 8,800	40.00%	> 8,800	50.00%		
Borr Drilling Limited	0 - 4,000	25.00%	> 4,000 - 72,000	35.00%	> 72,000	50.00%				
Borregaard ASA	0 - 2,500	20.00%	> 2,500 - 6,900	30.00%	> 6,900 - 15,000	40.00%	> 15,000	50.00%		
Borussia Dortmund GmbH & Co KGaA	0 - 1,400	20.00%	> 1,400 - 3,700	30.00%	> 3,700 - 8,300	40.00%	> 8,300	50.00%		
Boryszew SA	0 - 5,500	20.00%	> 5,500 - 22,000	25.00%	> 22,000	50.00%				
Bosideng International	0 - 340,000	20.00%	> 340,000 - 2,000,000	25.00%	> 2,000,000	50.00%				
Boskalis Westminster	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600 - 13,000	30.00%	> 13,000	50.00%		
Bossard Holding AG	0 - 210	20.00%	> 210 - 570	30.00%	> 570 - 1,200	40.00%	> 1,200	50.00%		
Boston Beer Co Inc/The	0 - 300	20.00%	> 300 - 610	25.00%	> 610 - 1,200	30.00%	> 1,200	50.00%		
Boston Pizza Royalties Income Fund	0 - 670	20.00%	> 670 - 1,300	30.00%	> 1,300 - 2,700	45.00%	> 2,700	50.00%		
Boston Private Financial Holdi	0 - 4,700	20.00%	> 4,700 - 10,000	30.00%	> 10,000	50.00%				
Boston Properties	0 - 780	20.00%	> 780 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Boston Scientific	0 - 19,000	20.00%	> 19,000 - 39,000	40.00%	> 39,000	60.00%				
Bottomline Technologies de Inc	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600 - 7,300	30.00%	> 7,300	50.00%		
Bourbon	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,700	35.00%	> 7,700	50.00%		
Boustead Singapore Ltd	0 - 16,000	20.00%	> 16,000 - 33,000	35.00%	> 33,000 - 67,000	45.00%	> 67,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Bouygues	0 - 4,400	20.00%	> 4,400 - 8,900	30.00%	> 8,900	50.00%				
Bovis Homes Group	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
BowLeven	0 - 6,300	25.00%	> 6,300 - 19,000	30.00%	> 19,000 - 38,000	40.00%	> 38,000	50.00%		
Box Inc	0 - 7,500	20.00%	> 7,500 - 15,000	40.00%	> 15,000	60.00%				
Boyaa Interactive International Ltd	0 - 34,000	20.00%	> 34,000 - 68,000	50.00%	> 68,000	75.00%				
Boyd Gaming Corp	0 - 2,600	20.00%	> 2,600 - 5,200	35.00%	> 5,200 - 10,000	40.00%	> 10,000	50.00%		
Boyd Group Income Fund	0 - 520	20.00%	> 520 - 1,400	25.00%	> 1,400 - 3,100	30.00%	> 3,100	50.00%		
BP	0 - 44,000	20.00%	> 44,000 - 88,000	45.00%	> 88,000	60.00%				
BP (US)	0 - 14,000	20.00%	> 14,000 - 29,000	40.00%	> 29,000	60.00%				
BP Prudhoe Bay Royalty Trust	0 - 1,500	20.00%	> 1,500 - 3,500	25.00%	> 3,500	50.00%				
bpost SA	0 - 6,800	20.00%	> 6,800 - 13,000	45.00%	> 13,000	65.00%				
Brady Corp	0 - 2,800	20.00%	> 2,800 - 6,400	25.00%	> 6,400	50.00%				
Braemar Hotels & Resorts	0 - 390	25.00%	> 390 - 1,100	30.00%	> 1,100 - 7,100	35.00%	> 7,100	50.00%		
Brambles	0 - 14,000	20.00%	> 14,000 - 28,000	30.00%	> 28,000 - 56,000	45.00%	> 56,000	50.00%		
Brandywine Global Income Opportunities Fund Inc	0 - 290	35.00%	> 290 - 1,700	55.00%	> 1,700	75.00%				
Brandywine Realty Trust	0 - 1,900	25.00%	> 1,900 - 11,000	30.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Braskem SA	0 - 5,500	20.00%	> 5,500 - 12,000	25.00%	> 12,000	50.00%				
Bravida Holding AB	0 - 8,500	20.00%	> 8,500 - 20,000	25.00%	> 20,000 - 45,000	30.00%	> 45,000	50.00%		
Brederode SA	0 - 150	20.00%	> 150 - 620	25.00%	> 620	50.00%				
Breedon Aggregates Ltd	0 - 89,000	20.00%	> 89,000 - 200,000	25.00%	> 200,000	50.00%				
Brembo	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
Brenntag	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Breville Group	0 - 3,400	20.00%	> 3,400 - 9,100	25.00%	> 9,100 - 20,000	30.00%	> 20,000	50.00%		
Brewin Dolphin	0 - 16,000	20.00%	> 16,000 - 37,000	25.00%	> 37,000	50.00%				
BRF SA	0 - 10,000	20.00%	> 10,000 - 27,000	45.00%	> 27,000	50.00%				
Brickworks	0 - 4,400	20.00%	> 4,400 - 10,000	25.00%	> 10,000	50.00%				
Bridgepoint Education Inc	0 - 1,900	20.00%	> 1,900 - 7,500	25.00%	> 7,500	50.00%				
Bridgestone	0 - 2,700	20.00%	> 2,700 - 5,400	40.00%	> 5,400	50.00%				
Briggs & Stratton Corp	0 - 1,200	20.00%	> 1,200 - 3,200	25.00%	> 3,200 - 7,300	30.00%	> 7,300	50.00%		
Bright Horizons Family Solutions Inc	0 - 910	20.00%	> 910 - 1,800	40.00%	> 1,800	50.00%				
Brightcove Inc	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200 - 4,600	30.00%	> 4,600	50.00%		
Brighthouse Financial Inc	0 - 2,400	20.00%	> 2,400 - 4,900	60.00%	> 4,900	90.00%				
Brightoil Petroleum Holdings L	> 0	100.00%								
BrightSphere Investment Group PLC	0 - 6,000	20.00%	> 6,000 - 13,000	25.00%	> 13,000	50.00%				
Brilliance China	0 - 130,000	20.00%	> 130,000 - 270,000	30.00%	> 270,000	50.00%				
Brinker International Inc	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000 - 8,100	30.00%	> 8,100	50.00%		
Brink's Co/The	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,700	35.00%	> 4,700	50.00%		
Brioschi Sviluppo Immobiliare	0 - 45,000	30.00%	> 45,000 - 130,000	35.00%	> 130,000 - 270,000	45.00%	> 270,000	55.00%		
Bristol Myers	0 - 5,800	20.00%	> 5,800 - 11,000	40.00%	> 11,000	60.00%				
Bristow Group Inc	0 - 2,500	20.00%	> 2,500 - 5,000	40.00%	> 5,000	55.00%				
British American Tobacco PLC - ADR	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
British American Tobacco PLC (GB)	0 - 3,800	20.00%	> 3,800 - 7,700	70.00%	> 7,700	90.00%				
British Empire Securities	0 - 800	30.00%	> 800 - 2,400	35.00%	> 2,400 - 6,400	45.00%	> 6,400	50.00%		
British Land	0 - 11,000	20.00%	> 11,000 - 45,000	25.00%	> 45,000	50.00%				
Britvic	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000	50.00%				
Brixmor Property Group Inc	0 - 1,900	25.00%	> 1,900 - 5,700	30.00%	> 5,700 - 22,000	40.00%	> 22,000	50.00%		
Broadcom Ltd	0 - 1,600	20.00%	> 1,600 - 3,200	40.00%	> 3,200	60.00%				
Broadleaf Co Ltd	0 - 6,700	20.00%	> 6,700 - 24,000	25.00%	> 24,000	50.00%				
Broadridge Financial Solutions	0 - 840	20.00%	> 840 - 1,600	30.00%	> 1,600 - 3,300	40.00%	> 3,300	50.00%		
Brockman Mining	0 - 400,000	20.00%	> 400,000 - 810,000	50.00%	> 810,000	60.00%				
Bronco Billy Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,400	45.00%	> 2,400	50.00%				
Brookdale Senior Living Inc	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 41,000	30.00%	> 41,000	50.00%		
Brookfield Asset Management Inc (CA)	0 - 2,300	20.00%	> 2,300 - 4,700	45.00%	> 4,700	60.00%				
Brookfield Asset Management Inc (US)	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
Brookfield Global Listed Infrastructure Income Fund Inc	0 - 260	30.00%	> 260 - 790	35.00%	> 790 - 1,500	40.00%	> 1,500 - 3,100	45.00%	> 3,100	50.00%
Brookfield Infrastructure Partners LP (CA)	0 - 4,500	20.00%	> 4,500 - 9,400	25.00%	> 9,400	50.00%				
Brookfield Infrastructure Partners LP (US)	0 - 2,400	20.00%	> 2,400 - 5,400	25.00%	> 5,400	50.00%				
Brookfield Property Partners LP (CA)	0 - 540	25.00%	> 540 - 1,600	30.00%	> 1,600 - 4,300	35.00%	> 4,300 - 9,700	40.00%	> 9,700	50.00%
Brookfield Property Partners LP (US)	0 - 210	25.00%	> 210 - 650	30.00%	> 650 - 3,900	35.00%	> 3,900	50.00%		
Brookfield Renewable Energy Partners LP/CA	0 - 3,900	20.00%	> 3,900 - 8,900	25.00%	> 8,900	50.00%				
Brookfield Renewable Energy Partners LP/CA	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500	50.00%				
Brookline Bancorp Inc	0 - 4,700	20.00%	> 4,700 - 10,000	25.00%	> 10,000	50.00%				
Brooks Automation Inc	0 - 3,000	20.00%	> 3,000 - 6,100	35.00%	> 6,100 - 12,000	40.00%	> 12,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Brookside Energy Ltd	0 - 310,000	30.00%	> 310,000 - 950,000	35.00%	> 950,000 - 1,900,000	60.00%	> 1,900,000	70.00%		
Brother Industries Ltd	0 - 5,100	20.00%	> 5,100 - 10,000	50.00%	> 10,000	60.00%				
Brown & Brown Inc	0 - 6,900	20.00%	> 6,900 - 13,000	30.00%	> 13,000	50.00%				
Brown-Forman Corp - B	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700	50.00%				
BRP Inc/CA	0 - 2,000	20.00%	> 2,000 - 4,000	45.00%	> 4,000	65.00%				
Bruker Corp	0 - 2,800	20.00%	> 2,800 - 6,200	25.00%	> 6,200 - 13,000	30.00%	> 13,000	50.00%		
Brunel International NV	0 - 1,300	20.00%	> 1,300 - 3,600	35.00%	> 3,600 - 8,100	45.00%	> 8,100	50.00%		
Brunello Cucinelli SpA	0 - 2,000	20.00%	> 2,000 - 4,400	25.00%	> 4,400 - 9,200	30.00%	> 9,200	50.00%		
Brunner Investment Trust PLC/The	0 - 320	35.00%	> 320 - 1,900	50.00%	> 1,900	70.00%				
Brunswick Corp/DE	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Bryn Mawr Bank Corp	0 - 340	20.00%	> 340 - 920	35.00%	> 920	50.00%				
BT Group	0 - 110,000	20.00%	> 110,000 - 220,000	40.00%	> 220,000	60.00%				
BT Group (US)	0 - 4,800	20.00%	> 4,800 - 25,000	25.00%	> 25,000	50.00%				
BTG	0 - 14,000	20.00%	> 14,000 - 30,000	40.00%	> 30,000	70.00%				
Bucher Industries AG	0 - 300	20.00%	> 300	90.00%						
Buckeye Partners LP	0 - 2,700	20.00%	> 2,700 - 10,000	25.00%	> 10,000	50.00%				
Buckle Inc/The	0 - 1,700	20.00%	> 1,700 - 10,000	25.00%	> 10,000	50.00%				
Budimex SA	0 - 320	20.00%	> 320 - 650	35.00%	> 650 - 1,300	40.00%	> 1,300	50.00%		
Buenaventura	0 - 6,300	20.00%	> 6,300 - 14,000	35.00%	> 14,000 - 30,000	45.00%	> 30,000	50.00%		
Bufab Holding	0 - 840	20.00%	> 840 - 2,200	30.00%	> 2,200 - 5,000	35.00%	> 5,000	50.00%		
Build-A-Bear Workshop Inc	0 - 1,200	20.00%	> 1,200 - 4,900	25.00%	> 4,900	50.00%				
Builders FirstSource Inc	0 - 4,700	20.00%	> 4,700 - 10,000	50.00%	> 10,000	70.00%				
Bukit Sembawang	0 - 840	30.00%	> 840 - 2,500	35.00%	> 2,500 - 6,400	40.00%	> 6,400 - 14,000	45.00%	> 14,000	50.00%
Bulten	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Bumitama Agri Ltd	0 - 20,000	20.00%	> 20,000 - 83,000	25.00%	> 83,000	50.00%				
Bund Center Investment	0 - 7,000	30.00%	> 7,000 - 21,000	35.00%	> 21,000 - 42,000	40.00%	> 42,000 - 84,000	45.00%	> 84,000	50.00%
Bunge	0 - 2,900	20.00%	> 2,900 - 5,800	40.00%	> 5,800	60.00%				
Bunka Shutter Co Ltd	0 - 1,400	20.00%	> 1,400 - 3,900	30.00%	> 3,900 - 8,900	40.00%	> 8,900	50.00%		
Bunnings Warehouse Property Trust	0 - 6,700	30.00%	> 6,700 - 53,000	50.00%	> 53,000	60.00%				
Bunzl	0 - 6,500	20.00%	> 6,500 - 13,000	25.00%	> 13,000	50.00%				
Burberry Group	0 - 23,000	20.00%	> 23,000 - 47,000	40.00%	> 47,000	60.00%				
Burckhardt Compression Holding AG	0 - 150	20.00%	> 150 - 410	35.00%	> 410 - 820	40.00%	> 820	50.00%		
Bure Equity	0 - 2,600	20.00%	> 2,600 - 7,100	35.00%	> 7,100 - 15,000	40.00%	> 15,000	50.00%		
Bureau Veritas	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
Burkhalter Holding AG	0 - 530	20.00%	> 530 - 1,100	25.00%	> 1,100	50.00%				
Burlington Stores Inc	0 - 650	20.00%	> 650 - 1,300	25.00%	> 1,300 - 2,600	40.00%	> 2,600	50.00%		
Buru Energy	0 - 15,000	25.00%	> 15,000 - 46,000	30.00%	> 46,000 - 180,000	40.00%	> 180,000	50.00%		
BUWOG AG (AT)	0 - 920	30.00%	> 920 - 2,700	35.00%	> 2,700 - 5,700	50.00%	> 5,700	55.00%		
Buzzi Unicem	0 - 4,000	20.00%	> 4,000 - 16,000	25.00%	> 16,000	50.00%				
Buzzi Unicem SpA	0 - 1,200	20.00%	> 1,200 - 3,300	35.00%	> 3,300 - 7,400	40.00%	> 7,400	50.00%		
BW LPG Ltd	0 - 20,000	20.00%	> 20,000 - 38,000	25.00%	> 38,000	50.00%				
BW Offshore Limited	0 - 13,000	20.00%	> 13,000 - 27,000	35.00%	> 27,000 - 50,000	40.00%	> 50,000	50.00%		
BWX Ltd	0 - 9,500	20.00%	> 9,500 - 19,000	55.00%	> 19,000	85.00%				
BWX Technologies Inc	0 - 1,500	20.00%	> 1,500 - 3,100	55.00%	> 3,100	90.00%				
BYD	0 - 34,000	20.00%	> 34,000 - 69,000	40.00%	> 69,000	60.00%				
BYD Electronic	0 - 150,000	20.00%	> 150,000 - 300,000	25.00%	> 300,000	50.00%				
Byggmax Group	0 - 4,000	20.00%	> 4,000 - 8,800	25.00%	> 8,800 - 16,000	30.00%	> 16,000	50.00%		
C C Land Holdings	0 - 44,000	20.00%	> 44,000 - 89,000	40.00%	> 89,000 - 170,000	45.00%	> 170,000	50.00%		
C Uyemura & Co Ltd	0 - 130	20.00%	> 130 - 270	25.00%	> 270 - 540	30.00%	> 540	50.00%		
C&C Group PLC (GB)	0 - 2,400	20.00%	> 2,400 - 4,800	30.00%	> 4,800 - 9,700	35.00%	> 9,700	50.00%		
C&C Group PLC (IE)	0 - 35,000	20.00%	> 35,000 - 72,000	25.00%	> 72,000	50.00%				
C.banner International Holdings Ltd	0 - 45,000	20.00%	> 45,000 - 270,000	25.00%	> 270,000	50.00%				
CA Immobilien Anlagen	0 - 640	30.00%	> 640 - 1,900	35.00%	> 1,900 - 4,800	60.00%	> 4,800	80.00%		
Cabcharge Australia	0 - 5,800	20.00%	> 5,800 - 24,000	25.00%	> 24,000	50.00%				
Cabot Corp	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300	50.00%				
Cabot Microelectronics Corp	0 - 870	20.00%	> 870 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		
Cabot Oil	0 - 4,200	20.00%	> 4,200 - 8,400	35.00%	> 8,400 - 16,000	40.00%	> 16,000	50.00%		
Cache Logistics Trust	0 - 7,200	30.00%	> 7,200 - 130,000	40.00%	> 130,000	50.00%				
CACI International Inc	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Cadence Capital Ltd	0 - 3,500	30.00%	> 3,500 - 10,000	35.00%	> 10,000 - 42,000	45.00%	> 42,000	50.00%		
Cadence Design	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
Cadiz Inc	0 - 750	20.00%	> 750 - 1,800	25.00%	> 1,800 - 4,000	30.00%	> 4,000	50.00%		
CAE Inc (CA)	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500 - 19,000	30.00%	> 19,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Caesars Entertainment Corp	0 - 8,600	20.00%	> 8,600 - 17,000	35.00%	> 17,000	60.00%				
Caesarstone Sdot-Yam Ltd	0 - 1,100	20.00%	> 1,100 - 3,100	45.00%	> 3,100	65.00%				
Cafe De Coral	0 - 7,300	20.00%	> 7,300 - 19,000	60.00%	> 19,000	90.00%				
CAI International Inc	0 - 2,100	20.00%	> 2,100 - 4,600	25.00%	> 4,600	50.00%				
Cairn Energy	0 - 30,000	20.00%	> 30,000 - 120,000	30.00%	> 120,000	50.00%				
Cairn Homes	0 - 21,000	20.00%	> 21,000 - 48,000	25.00%	> 48,000	50.00%				
Cairo Communication	0 - 10,000	20.00%	> 10,000 - 23,000	25.00%	> 23,000	50.00%				
Caisse Regionale de Credit Agricole Mutuel Brie Picardie	0 - 350	20.00%	> 350 - 1,400	25.00%	> 1,400	50.00%				
Caisse Regionale de Credit Agricole Mutuel de Paris et d'Ile-de-France	0 - 180	20.00%	> 180 - 360	25.00%	> 360	50.00%				
Caisse Regionale de Credit Agricole Mutuel Nord de France	0 - 840	20.00%	> 840 - 1,600	25.00%	> 1,600	50.00%				
CaixaBank SA	0 - 150,000	20.00%	> 150,000 - 300,000	40.00%	> 300,000	60.00%				
Calamos Convertible and High Income Fund	0 - 250	30.00%	> 250 - 760	35.00%	> 760 - 3,000	40.00%	> 3,000	50.00%		
Calamos Convertible Opportunities and Income Fund	0 - 270	35.00%	> 270 - 830	40.00%	> 830 - 1,600	65.00%	> 1,600	75.00%		
Calamos Global Dynamic Income Fund	0 - 370	30.00%	> 370 - 1,100	35.00%	> 1,100 - 2,200	40.00%	> 2,200 - 4,400	45.00%	> 4,400	50.00%
Calamos Strategic Total Return Fund	0 - 250	30.00%	> 250 - 760	35.00%	> 760 - 1,600	40.00%	> 1,600 - 3,400	45.00%	> 3,400	50.00%
CalAmp Corp	0 - 1,600	20.00%	> 1,600 - 4,300	30.00%	> 4,300 - 8,800	35.00%	> 8,800	50.00%		
Calavo Growers Inc	0 - 1,900	20.00%	> 1,900 - 4,000	25.00%	> 4,000	50.00%				
Calbee Inc	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500 - 11,000	30.00%	> 11,000	50.00%		
Caledonia Investments	0 - 160	35.00%	> 160 - 1,300	50.00%	> 1,300	60.00%				
Caleres Inc	0 - 4,900	20.00%	> 4,900 - 10,000	25.00%	> 10,000	50.00%				
Calfrac Well Services Ltd	0 - 9,900	20.00%	> 9,900 - 19,000	30.00%	> 19,000 - 36,000	45.00%	> 36,000	50.00%		
California Resources Corp	0 - 820	25.00%	> 820 - 2,400	30.00%	> 2,400 - 4,900	45.00%	> 4,900	50.00%		
California Water Service Group	0 - 920	20.00%	> 920 - 2,400	55.00%	> 2,400	70.00%				
Calithera Biosciences Inc	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 8,400	30.00%	> 8,400	50.00%		
Calix Inc	0 - 1,200	20.00%	> 1,200 - 2,500	70.00%	> 2,500	90.00%				
Callaway Golf Co	0 - 4,800	20.00%	> 4,800 - 10,000	45.00%	> 10,000	70.00%				
Callidus Capital Corp	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900 - 13,000	30.00%	> 13,000	50.00%		
Callon Petroleum Co	0 - 3,000	25.00%	> 3,000 - 9,100	35.00%	> 9,100 - 18,000	75.00%	> 18,000	90.00%		
Cal-Maine Foods Inc	0 - 1,600	20.00%	> 1,600 - 8,100	25.00%	> 8,100	50.00%				
Caltex Australia	0 - 4,300	20.00%	> 4,300 - 8,600	35.00%	> 8,600 - 17,000	40.00%	> 17,000	50.00%		
Calumet Specialty Products Par	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Cambian Group PLC	0 - 4,000	20.00%	> 4,000 - 8,000	40.00%	> 8,000 - 16,000	45.00%	> 16,000	50.00%		
Cambrex Corp	0 - 360	25.00%	> 360 - 2,800	40.00%	> 2,800 - 6,500	45.00%	> 6,500	50.00%		
Cambria Shareholder Yield ETF	0 - 250	20.00%	> 250 - 510	35.00%	> 510 - 1,000	45.00%	> 1,000	50.00%		
Camden National Corp	0 - 540	20.00%	> 540 - 1,100	25.00%	> 1,100	50.00%				
Camden Property Trust	0 - 1,000	20.00%	> 1,000 - 4,300	35.00%	> 4,300	50.00%				
Cameco Corp	0 - 16,000	20.00%	> 16,000 - 34,000	25.00%	> 34,000	50.00%				
Cameco Corp	0 - 9,000	20.00%	> 9,000 - 18,000	45.00%	> 18,000	55.00%				
Campbell Soup	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800	50.00%				
Camurus AB	0 - 900	20.00%	> 900 - 1,800	50.00%	> 1,800	60.00%				
Canaccord Genuity Group Inc	0 - 3,800	20.00%	> 3,800 - 10,000	40.00%	> 10,000	65.00%				
Canacol Energy Ltd	0 - 1,000	25.00%	> 1,000 - 3,000	30.00%	> 3,000 - 7,300	45.00%	> 7,300	65.00%		
Canada Goose Holdings Inc (CA)	0 - 1,600	20.00%	> 1,600 - 6,500	25.00%	> 6,500	50.00%				
Canada Goose Holdings Inc (US)	0 - 1,600	20.00%	> 1,600 - 3,200	35.00%	> 3,200	55.00%				
Canadian Apartment Properties REIT	0 - 1,000	30.00%	> 1,000 - 6,000	50.00%	> 6,000	70.00%				
Canadian Energy Services & Technology Corp	0 - 33,000	20.00%	> 33,000 - 65,000	25.00%	> 65,000	50.00%				
Canadian Imperial Bank of Commerce (CA)	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Canadian Imperial Bank of Commerce (US)	0 - 2,000	20.00%	> 2,000 - 4,300	25.00%	> 4,300	50.00%				
Canadian National Railway Co (CA)	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Canadian National Railway Co (US)	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Canadian Natural Resources Ltd (CA)	0 - 2,600	20.00%	> 2,600 - 10,000	25.00%	> 10,000	50.00%				
Canadian Natural Resources Ltd (US)	0 - 2,600	20.00%	> 2,600 - 10,000	30.00%	> 10,000	50.00%				
Canadian Pacific Railway Ltd (CA)	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Canadian Pacific Railway Ltd (US)	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Canadian Solar Inc	0 - 8,300	20.00%	> 8,300 - 15,000	25.00%	> 15,000	50.00%				
Canadian Tire Corp Ltd	0 - 750	20.00%	> 750 - 1,500	40.00%	> 1,500 - 3,000	45.00%	> 3,000	50.00%		
Canadian Utilities Ltd	0 - 7,800	20.00%	> 7,800 - 15,000	25.00%	> 15,000	50.00%				
Canadian Western Bank	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
CANCOM SE	0 - 1,700	20.00%	> 1,700 - 3,500	40.00%	> 3,500	55.00%				
Canfor Corp	0 - 7,900	20.00%	> 7,900 - 16,000	25.00%	> 16,000	50.00%				
Canfor Pulp Products Inc	0 - 1,700	20.00%	> 1,700 - 10,000	25.00%	> 10,000	50.00%				
Cannae Holdings Inc	0 - 5,000	20.00%	> 5,000 - 18,000	25.00%	> 18,000	50.00%				
Canon	0 - 3,100	20.00%	> 3,100 - 6,300	30.00%	> 6,300	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Canon Electronics Inc	0 - 800	20.00%	> 800 - 2,100	25.00%	> 2,100 - 4,800	30.00%	> 4,800	50.00%		
Canon Inc	0 - 4,400	20.00%	> 4,400 - 9,900	25.00%	> 9,900	50.00%				
Canon Marketing Japan	0 - 9,500	20.00%	> 9,500 - 19,000	25.00%	> 19,000	50.00%				
Canopy Growth Corp	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Cantel Medical Corp	0 - 1,000	20.00%	> 1,000 - 2,100	60.00%	> 2,100	70.00%				
Canvest Environment Protection Group Co Ltd	0 - 43,000	20.00%	> 43,000 - 110,000	35.00%	> 110,000	55.00%				
Cap Gemini	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Capcom Co Ltd	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400 - 16,000	30.00%	> 16,000	50.00%		
Capella Education Co	0 - 360	20.00%	> 360 - 960	25.00%	> 960 - 2,100	30.00%	> 2,100	50.00%		
Capio publ AB	0 - 4,600	20.00%	> 4,600 - 12,000	35.00%	> 12,000 - 26,000	40.00%	> 26,000	50.00%		
Capita Group	0 - 44,000	20.00%	> 44,000 - 88,000	30.00%	> 88,000 - 170,000	35.00%	> 170,000	50.00%		
Capital & Counties Properties	0 - 8,700	25.00%	> 8,700 - 52,000	30.00%	> 52,000 - 100,000	35.00%	> 100,000	50.00%		
Capital & Regional PLC	0 - 5,000	30.00%	> 5,000 - 85,000	40.00%	> 85,000	50.00%				
Capital Environment Holdings Ltd	0 - 360,000	20.00%	> 360,000 - 1,400,000	30.00%	> 1,400,000	50.00%				
Capital One	0 - 1,000	20.00%	> 1,000 - 4,200	25.00%	> 4,200	50.00%				
Capital Power Corp	0 - 2,600	20.00%	> 2,600 - 6,900	25.00%	> 6,900 - 15,000	30.00%	> 15,000	50.00%		
Capital Product Partners LP	0 - 6,500	20.00%	> 6,500 - 13,000	25.00%	> 13,000	50.00%				
Capital Senior Living Corp	0 - 960	20.00%	> 960 - 5,800	25.00%	> 5,800	50.00%				
Capital Southwest Corp	0 - 540	20.00%	> 540 - 1,000	45.00%	> 1,000	60.00%				
Capitala Finance Corp	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
CapitaLand	0 - 44,000	20.00%	> 44,000 - 170,000	30.00%	> 170,000	50.00%				
CapitaLand Commercial Trust	0 - 26,000	35.00%	> 26,000 - 78,000	45.00%	> 78,000	90.00%				
CapitaLand Mall Trust	0 - 21,000	25.00%	> 21,000 - 63,000	30.00%	> 63,000 - 250,000	35.00%	> 250,000	50.00%		
CapitaLand Retail China Trust	0 - 5,000	25.00%	> 5,000 - 15,000	30.00%	> 15,000 - 90,000	35.00%	> 90,000	50.00%		
Capitol Federal Financial Inc	0 - 1,900	20.00%	> 1,900 - 5,200	40.00%	> 5,200	50.00%				
Capitol Health Ltd	0 - 89,000	20.00%	> 89,000 - 170,000	25.00%	> 170,000	50.00%				
Capstead Mortgage Corp	0 - 1,000	30.00%	> 1,000 - 3,100	35.00%	> 3,100 - 8,400	60.00%	> 8,400	75.00%		
Capstone Mining Corp	0 - 27,000	20.00%	> 27,000 - 55,000	25.00%	> 55,000	50.00%				
Capstone Turbine Corp	0 - 6,300	20.00%	> 6,300 - 12,000	45.00%	> 12,000	60.00%				
CAR Inc	0 - 110,000	20.00%	> 110,000 - 220,000	25.00%	> 220,000 - 440,000	30.00%	> 440,000	50.00%		
Cara Therapeutics	0 - 2,200	20.00%	> 2,200 - 4,400	50.00%	> 4,400	65.00%				
Carador Income Fund PLC	0 - 5,000	40.00%	> 5,000 - 15,000	50.00%	> 15,000 - 33,000	85.00%	> 33,000	90.00%		
CARBO Ceramics Inc	0 - 3,400	20.00%	> 3,400 - 6,500	25.00%	> 6,500	50.00%				
Carbonite Inc	0 - 3,400	20.00%	> 3,400 - 6,700	25.00%	> 6,700	50.00%				
Carbures Europe SA	0 - 24,000	20.00%	> 24,000 - 49,000	35.00%	> 49,000 - 99,000	45.00%	> 99,000	50.00%		
Carclo PLC	0 - 6,800	20.00%	> 6,800 - 13,000	35.00%	> 13,000 - 27,000	40.00%	> 27,000	50.00%		
Card Factory PLC	0 - 16,000	20.00%	> 16,000 - 40,000	60.00%	> 40,000	90.00%				
Cardinal Energy Ltd	0 - 2,400	25.00%	> 2,400 - 7,400	30.00%	> 7,400 - 15,000	55.00%	> 15,000	80.00%		
Cardinal Health	0 - 1,900	20.00%	> 1,900 - 7,800	25.00%	> 7,800	50.00%				
Cardiovascular Systems Inc	0 - 1,200	20.00%	> 1,200 - 3,300	45.00%	> 3,300	70.00%				
Cardno	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 46,000	35.00%	> 46,000	50.00%		
Cardtronics Inc	0 - 2,700	20.00%	> 2,700 - 6,000	40.00%	> 6,000	60.00%				
Career Education Corp	0 - 2,100	20.00%	> 2,100 - 12,000	25.00%	> 12,000	50.00%				
CareTrust REIT Inc	0 - 1,000	30.00%	> 1,000 - 3,200	35.00%	> 3,200 - 8,600	60.00%	> 8,600	75.00%		
Cargotec	0 - 1,900	20.00%	> 1,900 - 3,900	30.00%	> 3,900 - 8,000	40.00%	> 8,000	50.00%		
Carillion	> 0	100.00%								
Carl Zeiss Meditec	0 - 1,200	20.00%	> 1,200	90.00%						
Carlisle Cos Inc	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Carlsberg	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Carlyle Group LP/The	0 - 3,300	20.00%	> 3,300 - 7,400	25.00%	> 7,400	50.00%				
Carmat	0 - 400	20.00%	> 400 - 810	25.00%	> 810 - 1,600	30.00%	> 1,600	50.00%		
Carmax Inc	0 - 1,200	20.00%	> 1,200 - 2,500	30.00%	> 2,500 - 5,100	40.00%	> 5,100	50.00%		
Carnival	0 - 5,800	20.00%	> 5,800 - 11,000	40.00%	> 11,000	60.00%				
Carnival (US)	0 - 1,700	20.00%	> 1,700 - 3,400	35.00%	> 3,400 - 6,800	45.00%	> 6,800	50.00%		
Carnival Corp	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Carnival Group International Holdings Ltd	0 - 83,000	30.00%	> 83,000 - 510,000	40.00%	> 510,000 - 1,000,000	45.00%	> 1,000,000	50.00%		
Carpenter Technology Corp	0 - 1,600	20.00%	> 1,600 - 3,300	30.00%	> 3,300 - 6,900	40.00%	> 6,900	50.00%		
Carpetright	0 - 26,000	20.00%	> 26,000 - 53,000	35.00%	> 53,000	60.00%				
Carrefour	0 - 12,000	20.00%	> 12,000 - 25,000	75.00%	> 25,000	90.00%				
Carriage Services Inc	0 - 430	20.00%	> 430 - 1,100	30.00%	> 1,100 - 2,600	45.00%	> 2,600	50.00%		
Carrizo Oil & Gas Inc	0 - 3,400	20.00%	> 3,400 - 6,900	35.00%	> 6,900 - 13,000	45.00%	> 13,000	50.00%		
Carrols Restaurant Group Inc	0 - 1,000	20.00%	> 1,000 - 2,800	45.00%	> 2,800	60.00%				
carsales.com	0 - 9,000	20.00%	> 9,000 - 18,000	45.00%	> 18,000	70.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Carter's Inc	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700 - 3,500	35.00%	> 3,500	50.00%		
Cascades Inc	0 - 2,400	20.00%	> 2,400 - 6,600	25.00%	> 6,600 - 14,000	35.00%	> 14,000	50.00%		
Casella Waste Systems Inc	0 - 1,000	20.00%	> 1,000 - 2,800	35.00%	> 2,800	50.00%				
Casey's General Stores Inc	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800 - 3,600	30.00%	> 3,600	50.00%		
Cash Converters	0 - 41,000	20.00%	> 41,000 - 83,000	35.00%	> 83,000 - 160,000	45.00%	> 160,000	50.00%		
Casino Guichard Perrachon	0 - 2,500	20.00%	> 2,500 - 5,000	35.00%	> 5,000 - 10,000	40.00%	> 10,000	50.00%		
Casio Computer	0 - 6,100	20.00%	> 6,100 - 24,000	25.00%	> 24,000	50.00%				
Cass Information Systems Inc	0 - 370	20.00%	> 370 - 980	35.00%	> 980	50.00%				
Castellum	0 - 1,800	25.00%	> 1,800 - 5,600	30.00%	> 5,600 - 22,000	40.00%	> 22,000	50.00%		
Castings	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Castle Private Equity Ltd	0 - 200	30.00%	> 200 - 600	35.00%	> 600 - 2,400	45.00%	> 2,400	50.00%		
Catalent Inc	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100	50.00%				
Catalyst Pharmaceutical Partners Inc	0 - 3,300	20.00%	> 3,300 - 8,900	30.00%	> 8,900 - 20,000	35.00%	> 20,000	50.00%		
CatchMark Timber Trust Inc	0 - 590	45.00%	> 590 - 1,700	60.00%	> 1,700	90.00%				
Catena AB	0 - 150	30.00%	> 150 - 1,000	40.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%		
Catena Media Plc	0 - 3,200	20.00%	> 3,200 - 7,200	25.00%	> 7,200 - 13,000	30.00%	> 13,000	50.00%		
Catering International	0 - 500	20.00%	> 500 - 1,000	35.00%	> 1,000 - 2,000	45.00%	> 2,000	50.00%		
Caterpillar	0 - 4,700	20.00%	> 4,700 - 9,400	40.00%	> 9,400	60.00%				
Cathay General Bancorp	0 - 2,400	20.00%	> 2,400 - 9,800	25.00%	> 9,800	50.00%				
Cathay Pacific Airways	0 - 51,000	20.00%	> 51,000 - 240,000	25.00%	> 240,000	50.00%				
Cato Corp/The	0 - 1,800	20.00%	> 1,800 - 3,900	25.00%	> 3,900 - 7,400	30.00%	> 7,400	50.00%		
Cavco Industries Inc	0 - 390	20.00%	> 390 - 850	45.00%	> 850	55.00%				
Caverion Corp	0 - 2,300	20.00%	> 2,300 - 6,200	25.00%	> 6,200 - 14,000	30.00%	> 14,000	50.00%		
Cavium Inc	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,600	35.00%	> 4,600	50.00%		
Cawachi Ltd	0 - 840	20.00%	> 840 - 5,000	30.00%	> 5,000	50.00%				
CBIZ Inc	0 - 1,100	20.00%	> 1,100 - 3,100	65.00%	> 3,100	90.00%				
CBL & Associates Properties Inc	0 - 4,200	25.00%	> 4,200 - 12,000	30.00%	> 12,000 - 47,000	40.00%	> 47,000	50.00%		
CBOE Holdings Inc	0 - 1,900	20.00%	> 1,900 - 3,800	45.00%	> 3,800	75.00%				
CBRE Clarion Global Real Estate Income Fund	0 - 430	30.00%	> 430 - 1,300	35.00%	> 1,300 - 2,600	45.00%	> 2,600	55.00%		
CBRE Group	0 - 2,000	20.00%	> 2,000 - 8,100	30.00%	> 8,100	50.00%				
CBS	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
CCC SA	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
CCL Industries Inc	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000 - 8,000	30.00%	> 8,000	50.00%		
CD Projekt SA	0 - 1,900	20.00%	> 1,900 - 3,800	45.00%	> 3,800	55.00%				
CDK Global Inc	0 - 1,400	20.00%	> 1,400 - 5,900	25.00%	> 5,900	50.00%				
CDL Hospitality Trusts	0 - 16,000	25.00%	> 16,000 - 48,000	30.00%	> 48,000 - 120,000	35.00%	> 120,000 - 270,000	40.00%	> 270,000	50.00%
CDW Corp/DE	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Ceco Environmental Corp	0 - 1,400	20.00%	> 1,400 - 5,900	25.00%	> 5,900	50.00%				
Ceconomy AG	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000 - 47,000	30.00%	> 47,000	50.00%		
Ceconomy AG (Preference)	0 - 1,100	20.00%	> 1,100 - 2,300	60.00%	> 2,300	90.00%				
Cedar Fair LP	0 - 430	20.00%	> 430 - 1,100	40.00%	> 1,100 - 2,600	45.00%	> 2,600	50.00%		
Cedar Realty Trust Inc	0 - 690	25.00%	> 690 - 2,000	30.00%	> 2,000 - 12,000	35.00%	> 12,000	50.00%		
Cedar Woods Properties	0 - 750	30.00%	> 750 - 9,000	40.00%	> 9,000	50.00%				
Cegedim	0 - 250	20.00%	> 250 - 1,000	25.00%	> 1,000	50.00%				
Celadon Group Inc	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700 - 11,000	30.00%	> 11,000	50.00%		
Celanese Corp	0 - 890	20.00%	> 890	90.00%						
Celestial Nutrifoods	> 0	100.00%								
Celestica Inc (CA)	0 - 6,600	20.00%	> 6,600 - 14,000	25.00%	> 14,000	50.00%				
Celestica Inc (US)	0 - 1,800	20.00%	> 1,800 - 4,800	25.00%	> 4,800 - 10,000	35.00%	> 10,000	50.00%		
Celgene Corp	0 - 3,800	20.00%	> 3,800 - 7,700	40.00%	> 7,700	60.00%				
CellaVision AB	0 - 500	20.00%	> 500 - 1,300	40.00%	> 1,300	55.00%				
Celldex Therapeutics Inc	0 - 6,300	25.00%	> 6,300 - 19,000	30.00%	> 19,000 - 38,000	45.00%	> 38,000	50.00%		
Cellectis	0 - 250	30.00%	> 250 - 750	40.00%	> 750	90.00%				
Cellnex	0 - 3,700	20.00%	> 3,700 - 7,500	50.00%	> 7,500	70.00%				
Celyad SA	0 - 900	20.00%	> 900 - 1,800	30.00%	> 1,800 - 3,300	40.00%	> 3,300	50.00%		
Cembra Money Bank AG	0 - 1,200	20.00%	> 1,200 - 2,400	40.00%	> 2,400	50.00%				
Cementir	0 - 6,000	20.00%	> 6,000 - 13,000	25.00%	> 13,000	50.00%				
Cementos Pacasmayo SAA	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700 - 3,500	30.00%	> 3,500	50.00%		
CEMEX	0 - 14,000	20.00%	> 14,000 - 58,000	25.00%	> 58,000	50.00%				
Cenovus Energy Inc (CA)	0 - 9,400	20.00%	> 9,400 - 18,000	30.00%	> 18,000	50.00%				
Cenovus Energy Inc (US)	0 - 9,400	20.00%	> 9,400 - 18,000	30.00%	> 18,000 - 37,000	40.00%	> 37,000	50.00%		
Centamin Egypt	0 - 65,000	20.00%	> 65,000 - 130,000	35.00%	> 130,000 - 260,000	45.00%	> 260,000	50.00%		
Centene Corp	0 - 730	20.00%	> 730 - 1,400	35.00%	> 1,400	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Centennial Resource Development Inc	0 - 1,800	25.00%	> 1,800 - 5,500	30.00%	> 5,500 - 11,000	50.00%	> 11,000	75.00%		
Center Coast MLP & Infrastructure Fund	0 - 360	35.00%	> 360 - 1,000	40.00%	> 1,000	50.00%				
CenterPoint Energy	0 - 7,100	20.00%	> 7,100 - 14,000	25.00%	> 14,000	50.00%				
Centerra Gold Inc	0 - 28,000	20.00%	> 28,000 - 64,000	25.00%	> 64,000	50.00%				
Centerstate Banks Inc	0 - 3,300	20.00%	> 3,300 - 6,700	35.00%	> 6,700 - 13,000	40.00%	> 13,000	50.00%		
Central Asia Metals PLC	0 - 9,800	20.00%	> 9,800 - 23,000	25.00%	> 23,000 - 45,000	30.00%	> 45,000	50.00%		
Central China Securities Co Ltd	0 - 35,000	20.00%	> 35,000 - 76,000	25.00%	> 76,000 - 150,000	30.00%	> 150,000	50.00%		
Central European Media Enterprises Ltd	0 - 4,900	20.00%	> 4,900 - 9,800	30.00%	> 9,800	50.00%				
Central Garden and Pet Co	0 - 520	20.00%	> 520 - 3,100	25.00%	> 3,100	50.00%				
Central Garden and Pet Co	0 - 1,300	20.00%	> 1,300 - 3,400	25.00%	> 3,400 - 7,800	30.00%	> 7,800	50.00%		
Central Glass Co Ltd	0 - 1,600	20.00%	> 1,600 - 4,200	25.00%	> 4,200 - 8,500	30.00%	> 8,500	50.00%		
Central Japan Railway	0 - 500	20.00%	> 500 - 1,000	25.00%	> 1,000 - 2,000	30.00%	> 2,000	50.00%		
Central Pacific Financial Corp	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Centrica	0 - 310,000	20.00%	> 310,000 - 620,000	40.00%	> 620,000	60.00%				
Centron Telecom	> 0	100.00%								
Centuria Industrial REIT	0 - 1,700	30.00%	> 1,700 - 5,100	35.00%	> 5,100 - 10,000	55.00%	> 10,000	65.00%		
Century Aluminum Co	0 - 13,000	20.00%	> 13,000 - 25,000	25.00%	> 25,000	50.00%				
Century Communities Inc	0 - 1,100	20.00%	> 1,100 - 3,000	55.00%	> 3,000	65.00%				
Century Tokyo Leasing Corp	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,700	30.00%	> 7,700	50.00%		
CenturyLink	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Cerner	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200 - 6,400	40.00%	> 6,400	50.00%		
Cerus Corp	0 - 4,100	20.00%	> 4,100 - 10,000	25.00%	> 10,000 - 24,000	30.00%	> 24,000	50.00%		
Cerved Information Solutions SpA	0 - 7,100	20.00%	> 7,100 - 16,000	55.00%	> 16,000	80.00%				
Cervus Equipment Corp	0 - 900	20.00%	> 900 - 3,600	25.00%	> 3,600	50.00%				
CEVA Inc	0 - 630	20.00%	> 630 - 1,600	35.00%	> 1,600 - 3,700	40.00%	> 3,700	50.00%		
Cewe Stiftung & Co KGAA	0 - 220	20.00%	> 220 - 1,300	25.00%	> 1,300	50.00%				
CF Industries	0 - 2,300	20.00%	> 2,300 - 4,700	35.00%	> 4,700 - 9,400	40.00%	> 9,400	50.00%		
CGI Group Inc (CA)	0 - 1,500	20.00%	> 1,500 - 3,000	30.00%	> 3,000 - 6,000	35.00%	> 6,000	50.00%		
CGI Group Inc (US)	0 - 2,200	20.00%	> 2,200 - 5,100	25.00%	> 5,100	50.00%				
CGN Meiya Power Holdings Co Ltd	0 - 390,000	20.00%	> 390,000 - 880,000	25.00%	> 880,000	50.00%				
CGN Power Co Ltd	0 - 770,000	20.00%	> 770,000 - 1,500,000	25.00%	> 1,500,000	50.00%				
CH Robinson Worldwide	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Challenger Finan	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 44,000	30.00%	> 44,000	50.00%		
Champion REIT	0 - 11,000	25.00%	> 11,000 - 34,000	30.00%	> 34,000 - 200,000	40.00%	> 200,000	50.00%		
Changyou.com Ltd	0 - 1,300	20.00%	> 1,300 - 7,800	25.00%	> 7,800	50.00%				
ChannelAdvisor Corp	0 - 650	20.00%	> 650 - 1,400	30.00%	> 1,400 - 3,000	40.00%	> 3,000	50.00%		
Chaowei Power Holdings Ltd	0 - 45,000	20.00%	> 45,000 - 120,000	55.00%	> 120,000	80.00%				
Charles River Laboratories Int	0 - 280	50.00%	> 280 - 850	70.00%	> 850	90.00%				
Charles Schwab	0 - 6,500	20.00%	> 6,500 - 13,000	40.00%	> 13,000	60.00%				
Chart Industries Inc	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000 - 6,000	30.00%	> 6,000	50.00%		
Charter Communications Inc	0 - 1,000	20.00%	> 1,000 - 2,100	40.00%	> 2,100	60.00%				
Charter Financial Corp/MD	0 - 500	20.00%	> 500 - 1,300	30.00%	> 1,300 - 3,000	40.00%	> 3,000	50.00%		
Charter Hall Group	0 - 6,700	30.00%	> 6,700 - 40,000	45.00%	> 40,000	50.00%				
Charter Hall Retail REIT	0 - 5,200	25.00%	> 5,200 - 15,000	30.00%	> 15,000 - 92,000	40.00%	> 92,000	50.00%		
Chartwell Retirement Residences	0 - 1,000	25.00%	> 1,000 - 18,000	30.00%	> 18,000	50.00%				
Chase Corp	0 - 170	20.00%	> 170 - 340	25.00%	> 340	50.00%				
Chatham Lodging Trust	0 - 410	30.00%	> 410 - 7,400	45.00%	> 7,400	50.00%				
Check Point Software Technologies	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Cheesecake Factory Inc/The	0 - 1,700	20.00%	> 1,700 - 3,400	30.00%	> 3,400 - 6,900	45.00%	> 6,900	50.00%		
Cheetah Mobile Inc	0 - 5,000	20.00%	> 5,000 - 13,000	30.00%	> 13,000 - 30,000	40.00%	> 30,000	50.00%		
Chefs' Warehouse Inc/The	0 - 590	20.00%	> 590 - 1,500	40.00%	> 1,500 - 3,500	45.00%	> 3,500	50.00%		
Chegg Inc	0 - 3,400	20.00%	> 3,400 - 6,800	55.00%	> 6,800	90.00%				
Chemed Corp	0 - 300	20.00%	> 300 - 610	30.00%	> 610 - 1,200	35.00%	> 1,200	50.00%		
Chemical Financial Corp	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
ChemoCentryx Inc	0 - 600	25.00%	> 600 - 4,800	35.00%	> 4,800 - 10,000	40.00%	> 10,000	50.00%		
Chemours	0 - 2,200	20.00%	> 2,200 - 4,400	50.00%	> 4,400	70.00%				
Chemring Group PLC	0 - 4,200	20.00%	> 4,200 - 11,000	45.00%	> 11,000	70.00%				
Chemtrade Logistics Income Fund	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
Cheniere Energy Inc	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400	50.00%				
Cheniere Energy Partners LP	0 - 5,400	20.00%	> 5,400 - 11,000	25.00%	> 11,000	50.00%				
Cheniere Energy Partners LP Holdings LLC	0 - 1,400	20.00%	> 1,400 - 3,100	25.00%	> 3,100	50.00%				
Cherry AB	0 - 4,200	20.00%	> 4,200 - 23,000	25.00%	> 23,000	50.00%				
Chesapeake Energy	0 - 6,300	25.00%	> 6,300 - 76,000	40.00%	> 76,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Chesapeake Granite Wash Trust	0 - 6,200	20.00%	> 6,200 - 12,000	35.00%	> 12,000 - 24,000	40.00%	> 24,000	50.00%		
Chesapeake Lodging Trust	0 - 580	30.00%	> 580 - 1,700	35.00%	> 1,700 - 4,600	55.00%	> 4,600	65.00%		
Chesapeake Utilities Corp	0 - 700	20.00%	> 700 - 1,500	25.00%	> 1,500	50.00%				
Chesnara	0 - 5,700	20.00%	> 5,700 - 13,000	25.00%	> 13,000	50.00%				
Cheung Kong Infrastructure	0 - 84,000	20.00%	> 84,000 - 160,000	40.00%	> 160,000	60.00%				
Cheung Kong Property Holdings Ltd	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000 - 51,000	30.00%	> 51,000	50.00%		
Chevron	0 - 5,300	20.00%	> 5,300 - 10,000	40.00%	> 10,000	60.00%				
Chiba Bank	0 - 15,000	20.00%	> 15,000 - 30,000	35.00%	> 30,000 - 61,000	45.00%	> 61,000	50.00%		
Chiba Kogyo Bank Ltd/The	0 - 2,500	20.00%	> 2,500 - 5,700	25.00%	> 5,700 - 12,000	30.00%	> 12,000	50.00%		
Chicago Bridge & Iron Co NV	0 - 6,000	20.00%	> 6,000 - 24,000	35.00%	> 24,000	50.00%				
Chicago Mercantile Exchange	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	60.00%				
Chico's FAS Inc	0 - 20,000	20.00%	> 20,000 - 36,000	25.00%	> 36,000	50.00%				
Chiho-Tiande Group Ltd	0 - 26,000	20.00%	> 26,000 - 52,000	45.00%	> 52,000	65.00%				
Children's Place Retail Stores	0 - 850	20.00%	> 850 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		
Chimera Investment Corp	0 - 1,100	30.00%	> 1,100 - 19,000	45.00%	> 19,000	50.00%				
Chimerix Inc	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 8,400	30.00%	> 8,400	50.00%		
China Aerospace International	0 - 110,000	20.00%	> 110,000 - 220,000	40.00%	> 220,000 - 450,000	45.00%	> 450,000	50.00%		
China Agri-Industries	0 - 260,000	20.00%	> 260,000 - 520,000	25.00%	> 520,000 - 1,000,000	30.00%	> 1,000,000	50.00%		
China Aircraft Leasing Group Holdings Ltd	0 - 12,000	20.00%	> 12,000 - 33,000	25.00%	> 33,000 - 75,000	30.00%	> 75,000	50.00%		
China All Access	0 - 150,000	20.00%	> 150,000 - 300,000	30.00%	> 300,000 - 560,000	35.00%	> 560,000	50.00%		
China Animal Healthcare Ltd	> 0	100.00%								
China Aoyuan Property Group Ltd	0 - 48,000	25.00%	> 48,000 - 570,000	30.00%	> 570,000	50.00%				
China Aviation Oil (Singapore)	0 - 9,300	20.00%	> 9,300 - 18,000	45.00%	> 18,000	65.00%				
China Biologic Products Inc	0 - 980	20.00%	> 980 - 1,900	35.00%	> 1,900 - 3,900	40.00%	> 3,900	50.00%		
China Bio-Med Regeneration Technology Ltd	0 - 250,000	25.00%	> 250,000 - 3,000,000	35.00%	> 3,000,000	50.00%				
China BlueChemical	0 - 100,000	20.00%	> 100,000 - 260,000	40.00%	> 260,000	50.00%				
China Cinda Asset Management Co Ltd	0 - 360,000	20.00%	> 360,000 - 730,000	25.00%	> 730,000 - 1,400,000	30.00%	> 1,400,000	50.00%		
China Citic Bank	0 - 160,000	20.00%	> 160,000 - 330,000	25.00%	> 330,000 - 660,000	30.00%	> 660,000	50.00%		
China Coal Energy	0 - 250,000	20.00%	> 250,000 - 510,000	25.00%	> 510,000 - 1,000,000	30.00%	> 1,000,000	50.00%		
China Communications Construction	0 - 100,000	20.00%	> 100,000 - 210,000	25.00%	> 210,000 - 430,000	30.00%	> 430,000	50.00%		
China Communications Services	0 - 210,000	20.00%	> 210,000 - 480,000	30.00%	> 480,000	50.00%				
China Conch Venture Holdings Ltd	0 - 28,000	20.00%	> 28,000 - 110,000	25.00%	> 110,000	50.00%				
China Construction Bank	0 - 750,000	20.00%	> 750,000 - 1,500,000	40.00%	> 1,500,000	60.00%				
China CosCo Holdings	0 - 200,000	20.00%	> 200,000 - 400,000	25.00%	> 400,000 - 730,000	30.00%	> 730,000	50.00%		
China Datang Corp Renewable Po	0 - 77,000	20.00%	> 77,000 - 200,000	30.00%	> 200,000 - 460,000	35.00%	> 460,000	50.00%		
China Distance Education Holdings Ltd	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,600	35.00%	> 5,600	50.00%		
China Dongxiang Group Co	0 - 110,000	20.00%	> 110,000 - 300,000	35.00%	> 300,000 - 690,000	40.00%	> 690,000	50.00%		
China Dynamics Holdings Ltd	0 - 690,000	20.00%	> 690,000 - 2,700,000	30.00%	> 2,700,000	50.00%				
China Eastern Airlines Corp Ltd (HK)	0 - 51,000	25.00%	> 51,000 - 150,000	40.00%	> 150,000	90.00%				
China Eastern Airlines Corp Ltd (US)	0 - 300	20.00%	> 300 - 1,200	30.00%	> 1,200	50.00%				
China E-Learning Group Ltd	0 - 300,000	20.00%	> 300,000 - 610,000	35.00%	> 610,000 - 1,200,000	40.00%	> 1,200,000	50.00%		
China Electronics Corp Holdings Co Ltd	0 - 28,000	25.00%	> 28,000 - 170,000	35.00%	> 170,000 - 340,000	40.00%	> 340,000	50.00%		
China Energine	0 - 320,000	20.00%	> 320,000 - 1,300,000	35.00%	> 1,300,000	50.00%				
China Everbright	0 - 44,000	20.00%	> 44,000 - 210,000	25.00%	> 210,000	50.00%				
China Everbright Bank Co Ltd	0 - 180,000	20.00%	> 180,000 - 420,000	35.00%	> 420,000 - 900,000	45.00%	> 900,000	50.00%		
China Everbright International	0 - 81,000	20.00%	> 81,000 - 160,000	25.00%	> 160,000 - 320,000	30.00%	> 320,000	50.00%		
China Everbright Water LTD	0 - 34,000	20.00%	> 34,000 - 68,000	30.00%	> 68,000 - 130,000	35.00%	> 130,000	50.00%		
China E-Wallet Payment Group	0 - 280,000	20.00%	> 280,000 - 570,000	45.00%	> 570,000	55.00%				
China Fiber Optic Network System Group Ltd	> 0	100.00%								
China Financial International Investments Ltd	0 - 340,000	20.00%	> 340,000 - 690,000	25.00%	> 690,000 - 1,300,000	30.00%	> 1,300,000	50.00%		
China First Capital - Parallel	0 - 170,000	20.00%	> 170,000 - 350,000	30.00%	> 350,000 - 700,000	35.00%	> 700,000	50.00%		
China Fishery	> 0	100.00%								
China Foods	0 - 240,000	20.00%	> 240,000 - 540,000	25.00%	> 540,000	50.00%				
China Fund Inc/The	0 - 480	20.00%	> 480 - 1,900	30.00%	> 1,900	50.00%				
China Galaxy Securities Co	0 - 400,000	20.00%	> 400,000 - 800,000	25.00%	> 800,000	50.00%				
China Gas	0 - 44,000	20.00%	> 44,000 - 89,000	25.00%	> 89,000	50.00%				
China Gold International Resources Corp Ltd (CA)	0 - 5,900	20.00%	> 5,900 - 11,000	35.00%	> 11,000 - 23,000	40.00%	> 23,000	50.00%		
China Gold International Resources Corp Ltd (HK)	0 - 13,000	20.00%	> 13,000 - 35,000	45.00%	> 35,000	50.00%				
China Goldjoy Group Ltd	0 - 180,000	20.00%	> 180,000	50.00%						
China Green Holdings	0 - 4,100,000	20.00%	> 4,100,000 - 8,200,000	40.00%	> 8,200,000	50.00%				
China Greenland Broad Greenstate Group Co Ltd	0 - 27,000	25.00%	> 27,000 - 83,000	35.00%	> 83,000 - 160,000	85.00%	> 160,000	90.00%		
China Hanking Holdings Ltd	0 - 87,000	20.00%	> 87,000 - 170,000	45.00%	> 170,000	55.00%				
China Harmony Auto Holding Ltd	0 - 42,000	20.00%	> 42,000 - 110,000	45.00%	> 110,000	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
China Healthwise Holdings Ltd	0 - 1,000,000	20.00%	> 1,000,000 - 4,200,000	35.00%	> 4,200,000	50.00%				
China High Speed Transmission Equipment	0 - 27,000	20.00%	> 27,000 - 72,000	55.00%	> 72,000	80.00%				
China Hongqiao Group Ltd	0 - 82,000	20.00%	> 82,000 - 180,000	35.00%	> 180,000 - 380,000	45.00%	> 380,000	50.00%		
China Hongxing	> 0	100.00%								
China Huarong Asset Management Co Ltd	0 - 780,000	20.00%	> 780,000 - 1,500,000	25.00%	> 1,500,000	50.00%				
China Huishan Dairy Holdings Co Ltd	> 0	100.00%								
China Huiyuan Juice	> 0	100.00%								
China Innovative Finance Group	0 - 310,000	20.00%	> 310,000 - 620,000	30.00%	> 620,000 - 1,200,000	35.00%	> 1,200,000	50.00%		
China International Capital Corp Ltd	0 - 59,000	20.00%	> 59,000 - 110,000	40.00%	> 110,000	55.00%				
China International Marine Containers Group Co Ltd	0 - 54,000	20.00%	> 54,000 - 130,000	30.00%	> 130,000 - 310,000	40.00%	> 310,000	50.00%		
China Investment Development Ltd	0 - 1,200,000	25.00%	> 1,200,000 - 3,700,000	30.00%	> 3,700,000 - 7,400,000	70.00%	> 7,400,000	90.00%		
China Jinmao Holdings Group	0 - 67,000	35.00%	> 67,000 - 200,000	45.00%	> 200,000 - 400,000	80.00%	> 400,000	90.00%		
China Lesso Group Holdings Ltd	0 - 100,000	20.00%	> 100,000 - 570,000	35.00%	> 570,000	50.00%				
China Life Insurance (HK)	0 - 78,000	20.00%	> 78,000 - 150,000	25.00%	> 150,000	50.00%				
China Life Insurance (US)	0 - 5,600	20.00%	> 5,600 - 12,000	25.00%	> 12,000	50.00%				
China Lilang Ltd	0 - 42,000	20.00%	> 42,000 - 250,000	25.00%	> 250,000	50.00%				
China LNG Group Ltd	0 - 86,140	20.00%	> 86,140 - 229,700	30.00%	> 229,700 - 516,820	35.00%	> 516,820	50.00%		
China Lodging Group Ltd	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
China Longyuan	0 - 110,000	20.00%	> 110,000 - 230,000	35.00%	> 230,000 - 470,000	40.00%	> 470,000	50.00%		
China LotSynergy Holdings Ltd	0 - 610,000	20.00%	> 610,000 - 1,200,000	30.00%	> 1,200,000 - 2,400,000	35.00%	> 2,400,000	50.00%		
China Machinery Engineering Co	0 - 40,000	20.00%	> 40,000 - 100,000	40.00%	> 100,000	55.00%				
China Maple Leaf Educational Systems Ltd	0 - 110,000	20.00%	> 110,000 - 220,000	30.00%	> 220,000 - 440,000	35.00%	> 440,000	50.00%		
China Medical System Holdings	0 - 50,000	20.00%	> 50,000 - 100,000	45.00%	> 100,000	70.00%				
China Merchants	0 - 50,000	20.00%	> 50,000 - 100,000	30.00%	> 100,000 - 200,000	40.00%	> 200,000	50.00%		
China Merchants Bank	0 - 27,000	20.00%	> 27,000 - 55,000	30.00%	> 55,000 - 110,000	40.00%	> 110,000	50.00%		
China Merchants China Direct Investments Ltd	0 - 7,200	20.00%	> 7,200 - 29,000	25.00%	> 29,000	50.00%				
China Merchants Land Ltd	0 - 20,000	30.00%	> 20,000 - 60,000	35.00%	> 60,000 - 140,000	45.00%	> 140,000	50.00%		
China Minsheng Financial Holding Corp Ltd	0 - 400,000	20.00%	> 400,000 - 1,000,000	25.00%	> 1,000,000 - 2,400,000	30.00%	> 2,400,000	50.00%		
China Mobile	0 - 75,000	20.00%	> 75,000 - 150,000	40.00%	> 150,000	60.00%				
China Mobile Ltd	0 - 2,200	20.00%	> 2,200 - 4,500	35.00%	> 4,500 - 9,000	40.00%	> 9,000	50.00%		
China Modern Dairy Holdings	0 - 130,000	20.00%	> 130,000 - 280,000	25.00%	> 280,000	50.00%				
China Molybdenum	0 - 200,000	20.00%	> 200,000 - 410,000	30.00%	> 410,000 - 830,000	35.00%	> 830,000	50.00%		
China National Building Material	0 - 100,000	20.00%	> 100,000 - 200,000	30.00%	> 200,000	50.00%				
China New City Commercial Development Ltd	0 - 67,000	20.00%	> 67,000 - 130,000	35.00%	> 130,000 - 270,000	40.00%	> 270,000	50.00%		
China NT Pharma Group Co Ltd	0 - 76,000	20.00%	> 76,000 - 190,000	35.00%	> 190,000 - 400,000	40.00%	> 400,000	50.00%		
China Ocean Shipbuilding Industry Group Ltd	0 - 1,600,000	20.00%	> 1,600,000 - 3,300,000	55.00%	> 3,300,000	60.00%				
China Oceanwide Holdings Ltd	0 - 65,000	35.00%	> 65,000 - 190,000	45.00%	> 190,000	90.00%				
China Oil & Gas Group Ltd	0 - 130,000	20.00%	> 130,000 - 520,000	30.00%	> 520,000	50.00%				
China Oilfield	0 - 110,000	20.00%	> 110,000 - 440,000	25.00%	> 440,000	50.00%				
China Overseas Grand Oceans Gr	0 - 39,000	35.00%	> 39,000 - 110,000	45.00%	> 110,000 - 310,000	65.00%	> 310,000	75.00%		
China Overseas Land & Investment	0 - 10,000	25.00%	> 10,000 - 30,000	30.00%	> 30,000 - 61,000	45.00%	> 61,000	55.00%		
China Pacific Insurance	0 - 53,000	20.00%	> 53,000 - 100,000	25.00%	> 100,000	50.00%				
China Petroleum & Chemical Corp	0 - 1,900	20.00%	> 1,900 - 4,100	25.00%	> 4,100	50.00%				
China Petroleum and Chemical	0 - 220,000	20.00%	> 220,000 - 440,000	25.00%	> 440,000	50.00%				
China Pioneer Pharma Holdings Ltd	0 - 34,000	20.00%	> 34,000 - 69,000	30.00%	> 69,000 - 130,000	35.00%	> 130,000	50.00%		
China Power International Development	0 - 450,000	20.00%	> 450,000 - 900,000	30.00%	> 900,000 - 1,800,000	35.00%	> 1,800,000	50.00%		
China Railway Construction	0 - 97,000	20.00%	> 97,000 - 400,000	25.00%	> 400,000	50.00%				
China Railway Group	0 - 260,000	20.00%	> 260,000 - 530,000	25.00%	> 530,000	50.00%				
China Railway Signal & Communication Corp Ltd	0 - 290,000	20.00%	> 290,000 - 580,000	25.00%	> 580,000	50.00%				
China Rare Earth Holdings Ltd	0 - 190,000	20.00%	> 190,000 - 380,000	30.00%	> 380,000 - 760,000	35.00%	> 760,000	50.00%		
China Reinsurance Group Corp	0 - 490,000	20.00%	> 490,000 - 1,900,000	25.00%	> 1,900,000	50.00%				
China Resources and Transportation Group Ltd	0 - 690,000	20.00%	> 690,000 - 1,300,000	30.00%	> 1,300,000 - 2,700,000	35.00%	> 2,700,000	50.00%		
China Resources Beer Holdings Company Ltd	0 - 42,000	20.00%	> 42,000 - 84,000	25.00%	> 84,000	50.00%				
China Resources Cement Holding	0 - 100,000	20.00%	> 100,000 - 400,000	25.00%	> 400,000	50.00%				
China Resources Gas	0 - 21,000	20.00%	> 21,000 - 43,000	30.00%	> 43,000 - 86,000	40.00%	> 86,000	50.00%		
China Resources Land	0 - 9,500	25.00%	> 9,500 - 57,000	40.00%	> 57,000	50.00%				
China Resources Power	0 - 100,000	20.00%	> 100,000 - 210,000	25.00%	> 210,000	50.00%				
China Rongsheng Heavy Industries Group Holdings Ltd	0 - 230,000	25.00%	> 230,000 - 690,000	30.00%	> 690,000 - 1,300,000	80.00%	> 1,300,000	90.00%		
China Sanjiang Fine Chemicals Co Ltd	0 - 33,000	20.00%	> 33,000 - 80,000	30.00%	> 80,000 - 170,000	40.00%	> 170,000	50.00%		
China SCE Property Holdings Ltd	0 - 51,000	30.00%	> 51,000 - 150,000	35.00%	> 150,000 - 380,000	45.00%	> 380,000	50.00%		
China Shengmu Organic Milk Ltd	0 - 140,000	20.00%	> 140,000 - 280,000	35.00%	> 280,000 - 560,000	40.00%	> 560,000	50.00%		
China Shenhua	0 - 45,000	20.00%	> 45,000 - 91,000	35.00%	> 91,000	50.00%				
China Shineway Pharmaceutical	0 - 35,000	20.00%	> 35,000 - 190,000	25.00%	> 190,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
China Shipping Development	0 - 100,000	20.00%	> 100,000 - 200,000	35.00%	> 200,000 - 370,000	40.00%	> 370,000	50.00%		
China Singyes Solar Technologi	0 - 34,000	20.00%	> 34,000 - 93,000	25.00%	> 93,000 - 190,000	30.00%	> 190,000	50.00%		
China Smarter Energy Group	0 - 120,000	20.00%	> 120,000 - 340,000	30.00%	> 340,000 - 760,000	35.00%	> 760,000	50.00%		
China Smartpay Group Holdings Ltd	0 - 89,000	20.00%	> 89,000 - 170,000	45.00%	> 170,000	55.00%				
China South City Holdings Ltd	0 - 140,000	30.00%	> 140,000 - 420,000	35.00%	> 420,000 - 1,900,000	45.00%	> 1,900,000	50.00%		
China Southern Airlines (HK)	0 - 140,000	20.00%	> 140,000 - 280,000	30.00%	> 280,000 - 560,000	35.00%	> 560,000	50.00%		
China Southern Airlines (US)	0 - 280	20.00%	> 280 - 1,700	35.00%	> 1,700	50.00%				
China State Construction International	0 - 100,000	20.00%	> 100,000 - 200,000	35.00%	> 200,000 - 400,000	40.00%	> 400,000	50.00%		
China Strategic Holdings Ltd	0 - 980,000	20.00%	> 980,000 - 1,900,000	30.00%	> 1,900,000 - 3,900,000	40.00%	> 3,900,000	50.00%		
China Suntien Green Energy Corp Ltd	0 - 180,000	20.00%	> 180,000 - 440,000	30.00%	> 440,000 - 870,000	35.00%	> 870,000	50.00%		
China Taiping	0 - 30,000	20.00%	> 30,000 - 120,000	25.00%	> 120,000	50.00%				
China Telecom	0 - 430,000	20.00%	> 430,000 - 870,000	25.00%	> 870,000	50.00%				
China Telecom Corp Ltd	0 - 220	20.00%	> 220 - 580	25.00%	> 580 - 1,300	30.00%	> 1,300	50.00%		
China Traditional Chinese Medicine Co Ltd	0 - 110,000	20.00%	> 110,000 - 220,000	25.00%	> 220,000 - 450,000	30.00%	> 450,000	50.00%		
China Travel International	0 - 240,000	20.00%	> 240,000 - 480,000	25.00%	> 480,000 - 970,000	30.00%	> 970,000	50.00%		
China Unicom HK	0 - 160,000	20.00%	> 160,000 - 330,000	25.00%	> 330,000	50.00%				
China Unicom Hong Kong Ltd	0 - 3,500	20.00%	> 3,500 - 7,900	25.00%	> 7,900	50.00%				
China Vanguard You Champion Holdings Ltd	0 - 180,000	20.00%	> 180,000 - 430,000	30.00%	> 430,000 - 850,000	35.00%	> 850,000	50.00%		
China Vanke Co Ltd	0 - 10,000	35.00%	> 10,000 - 30,000	45.00%	> 30,000 - 61,000	75.00%	> 61,000	90.00%		
China Water	0 - 120,000	20.00%	> 120,000 - 280,000	25.00%	> 280,000	50.00%				
China Water Industry Group Ltd	0 - 54,000	20.00%	> 54,000 - 100,000	30.00%	> 100,000 - 210,000	35.00%	> 210,000	50.00%		
China Yongda Automobiles Services Holdings Ltd	0 - 100,000	20.00%	> 100,000 - 200,000	30.00%	> 200,000 - 410,000	35.00%	> 410,000	50.00%		
China Youzan Ltd	0 - 910,000	20.00%	> 910,000 - 1,800,000	65.00%	> 1,800,000	80.00%				
China Yuchai International Ltd	0 - 500	20.00%	> 500 - 1,300	40.00%	> 1,300 - 3,000	45.00%	> 3,000	50.00%		
China Yurun Food	0 - 65,000	20.00%	> 65,000 - 130,000	45.00%	> 130,000	75.00%				
China ZhengTong Auto Services	0 - 130,000	20.00%	> 130,000 - 290,000	35.00%	> 290,000 - 580,000	40.00%	> 580,000	50.00%		
China Zhongwang Holdings Ltd	0 - 140,000	20.00%	> 140,000 - 340,000	40.00%	> 340,000	55.00%				
ChinaAMC ETF Series - ChinaAMC CSI 300 Index ETF	0 - 18,000	20.00%	> 18,000 - 37,000	30.00%	> 37,000 - 72,000	45.00%	> 72,000	50.00%		
Chinasoft International Ltd	0 - 240,000	20.00%	> 240,000 - 480,000	25.00%	> 480,000	50.00%				
Chinese Estates	0 - 4,300	30.00%	> 4,300 - 13,000	35.00%	> 13,000 - 35,000	55.00%	> 35,000	70.00%		
Chip Eng Seng	0 - 5,700	30.00%	> 5,700 - 17,000	35.00%	> 17,000 - 35,000	45.00%	> 35,000	55.00%		
ChipMOS TECHNOLOGIES Bermuda Ltd	0 - 680	20.00%	> 680 - 1,300	30.00%	> 1,300 - 2,700	35.00%	> 2,700	50.00%		
Chipotle	0 - 720	20.00%	> 720 - 1,400	40.00%	> 1,400	60.00%				
Chiyoda	0 - 12,000	20.00%	> 12,000 - 24,000	30.00%	> 24,000 - 49,000	35.00%	> 49,000	50.00%		
Chiyoda Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,900	40.00%	> 2,900	55.00%				
Chiyoda Integre Co Ltd	0 - 460	20.00%	> 460 - 2,200	35.00%	> 2,200	50.00%				
Chocoladefabriken Lindt & Sprungli AG - PC	0 - 15	20.00%	> 15 - 60	30.00%	> 60	50.00%				
Chocoladefabriken Lindt & Sprungli AG - REG	0 - 3	20.00%	> 3 - 5	25.00%	> 5	50.00%				
Chofu Seisakusho Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
Choice Hotels International In	0 - 2,300	20.00%	> 2,300 - 4,800	30.00%	> 4,800	50.00%				
Choice Properties REIT	0 - 3,500	25.00%	> 3,500 - 10,000	30.00%	> 10,000 - 21,000	35.00%	> 21,000 - 42,000	40.00%	> 42,000	50.00%
Chong Hing Bank	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Chongqing Iron & Steel Co Ltd	0 - 130,000	20.00%	> 130,000 - 260,000	25.00%	> 260,000	50.00%				
Chongqing Machinery & Electric Co Ltd	0 - 110,000	20.00%	> 110,000 - 230,000	60.00%	> 230,000	90.00%				
Chongqing Rural Commercial Ban	0 - 160,000	20.00%	> 160,000 - 700,000	25.00%	> 700,000	50.00%				
Chorus Aviation Inc - B	0 - 6,900	20.00%	> 6,900 - 17,000	25.00%	> 17,000 - 34,000	35.00%	> 34,000	50.00%		
Chorus Ltd (AUS)	0 - 20,000	20.00%	> 20,000 - 47,000	25.00%	> 47,000	50.00%				
Chorus Ltd (NZ)	0 - 5,200	20.00%	> 5,200 - 14,000	25.00%	> 14,000 - 31,000	30.00%	> 31,000	50.00%		
Chow Sang Sang Holdings Intern	0 - 5,200	20.00%	> 5,200 - 12,000	25.00%	> 12,000 - 25,000	30.00%	> 25,000	50.00%		
Chow Tai Fook Jewellery Group	0 - 160,000	20.00%	> 160,000 - 340,000	25.00%	> 340,000	50.00%				
Chr Hansen Holding A/S	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
Christian Dior SA	0 - 470	20.00%	> 470 - 940	25.00%	> 940	50.00%				
Christopher & Banks Corp	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
Chu Kong Ship	0 - 41,000	20.00%	> 41,000 - 83,000	80.00%	> 83,000	90.00%				
Chubb Ltd	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
Chubu Electric Power	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
Chudenko Corp	0 - 2,200	20.00%	> 2,200 - 5,000	25.00%	> 5,000	50.00%				
Chugai Pharmaceutical	0 - 1,900	20.00%	> 1,900 - 3,900	30.00%	> 3,900 - 7,900	45.00%	> 7,900	50.00%		
Chugoku Bank	0 - 6,100	20.00%	> 6,100 - 36,000	25.00%	> 36,000	50.00%				
Chugoku Electric Power	0 - 7,800	20.00%	> 7,800 - 15,000	30.00%	> 15,000 - 31,000	40.00%	> 31,000	50.00%		
Chugoku Marine Paints Ltd	0 - 8,700	20.00%	> 8,700 - 16,000	25.00%	> 16,000	50.00%				
Chukyo Bank Ltd	0 - 480	20.00%	> 480 - 1,200	30.00%	> 1,200 - 2,800	40.00%	> 2,800	50.00%		
Chunghwa Telecom Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Chuo Mitsui Trust and Banking	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Church & Dwight Co Inc	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
Churchill Downs Inc	0 - 320	20.00%	> 320 - 650	25.00%	> 650 - 1,300	30.00%	> 1,300	50.00%		
Chuy's Holdings Inc	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
CI Financial Corp	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%		
Ci:z HoldingsCo Ltd	0 - 2,100	20.00%	> 2,100 - 4,300	30.00%	> 4,300 - 8,600	40.00%	> 8,600	50.00%		
Cia Cervecerias Unidas SA	0 - 580	20.00%	> 580 - 1,500	40.00%	> 1,500	55.00%				
Cia de Distribucion Integral Logista Holdings SAU	0 - 3,100	20.00%	> 3,100 - 6,900	45.00%	> 6,900	65.00%				
Cia de Saneamento Basico do Estado de Sao Paulo	0 - 23,000	20.00%	> 23,000 - 53,000	25.00%	> 53,000	50.00%				
Cia Paranaense de Energia	0 - 6,300	20.00%	> 6,300 - 14,000	25.00%	> 14,000	50.00%				
Cia Siderurgica Nacional SA	0 - 11,000	20.00%	> 11,000 - 30,000	45.00%	> 30,000	60.00%				
CIE Automotive	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000	50.00%				
Cie de Saint-Gobain	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500 - 9,100	35.00%	> 9,100	50.00%		
Cie d'Entreprises CFE	0 - 220	20.00%	> 220 - 590	45.00%	> 590	55.00%				
Cie du Bois Sauvage SA	0 - 43	20.00%	> 43 - 86	25.00%	> 86	50.00%				
Ciech SA	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
Ciena	0 - 3,600	20.00%	> 3,600 - 7,300	30.00%	> 7,300 - 14,000	45.00%	> 14,000	50.00%		
CIFI Holdings Group Co Ltd	0 - 55,000	25.00%	> 55,000 - 160,000	30.00%	> 160,000 - 330,000	35.00%	> 330,000 - 660,000	40.00%	> 660,000	50.00%
Cigna	0 - 570	20.00%	> 570 - 1,100	25.00%	> 1,100 - 2,200	35.00%	> 2,200	50.00%		
Cimarex Energy Co	0 - 990	20.00%	> 990 - 1,900	35.00%	> 1,900 - 3,900	45.00%	> 3,900	50.00%		
CIMC Enric Holdings Ltd	0 - 89,000	20.00%	> 89,000 - 180,000	40.00%	> 180,000	55.00%				
Cimic Ltd	0 - 6,300	20.00%	> 6,300 - 12,000	25.00%	> 12,000	50.00%				
Cimpress NV	0 - 650	20.00%	> 650 - 1,300	40.00%	> 1,300	65.00%				
Cincinnati Bell Inc	0 - 2,300	20.00%	> 2,300 - 5,500	35.00%	> 5,500	50.00%				
Cincinnati Financial	0 - 1,400	20.00%	> 1,400 - 2,800	40.00%	> 2,800	60.00%				
Cinemark Holdings Inc	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400 - 10,000	30.00%	> 10,000	50.00%		
Cineplex Inc	0 - 2,700	20.00%	> 2,700 - 14,000	25.00%	> 14,000	50.00%				
Cineworld	0 - 27,000	20.00%	> 27,000 - 54,000	25.00%	> 54,000 - 100,000	35.00%	> 100,000	50.00%		
Cineworld Group PLC - Nil	0 - 7,700	50.00%	> 7,700	90.00%						
Cintas	0 - 500	20.00%	> 500 - 1,000	30.00%	> 1,000	60.00%				
Cipher Pharmaceuticals Inc	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200 - 18,000	30.00%	> 18,000	50.00%		
CIR	0 - 8,200	20.00%	> 8,200 - 20,000	45.00%	> 20,000	50.00%				
CIRCOR International Inc	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%				
Cirrus Logic Inc	0 - 820	25.00%	> 820 - 2,400	30.00%	> 2,400 - 4,900	35.00%	> 4,900 - 9,800	40.00%	> 9,800	50.00%
Cisco Systems	0 - 7,900	20.00%	> 7,900 - 15,000	40.00%	> 15,000	60.00%				
CIT Group	0 - 1,900	20.00%	> 1,900 - 7,600	25.00%	> 7,600	50.00%				
Citi Trends Inc	0 - 680	20.00%	> 680 - 1,700	25.00%	> 1,700 - 3,400	30.00%	> 3,400	50.00%		
CITIC Ltd	0 - 140,000	20.00%	> 140,000 - 290,000	25.00%	> 290,000	50.00%				
CITIC Resources Holdings	0 - 230,000	20.00%	> 230,000 - 510,000	25.00%	> 510,000	50.00%				
CITIC Securities Co Ltd	0 - 51,000	20.00%	> 51,000 - 100,000	30.00%	> 100,000 - 200,000	35.00%	> 200,000	50.00%		
Citic Telecom International Holdings	0 - 42,000	20.00%	> 42,000 - 110,000	35.00%	> 110,000 - 250,000	45.00%	> 250,000	50.00%		
Citigroup Inc	0 - 9,900	20.00%	> 9,900 - 19,000	40.00%	> 19,000	60.00%				
Citizen Holdings	0 - 32,000	20.00%	> 32,000 - 64,000	25.00%	> 64,000	50.00%				
Citizens & Northern Corp	0 - 370	20.00%	> 370 - 740	30.00%	> 740 - 1,400	35.00%	> 1,400	50.00%		
Citizens Financial Group Inc	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Citizens Inc/TX	> 0	99.00%								
Citrix Systems	0 - 900	20.00%	> 900 - 1,800	30.00%	> 1,800 - 3,600	35.00%	> 3,600	50.00%		
City Developments	0 - 93,000	20.00%	> 93,000 - 180,000	40.00%	> 180,000	60.00%				
City Holding Co	0 - 820	20.00%	> 820 - 1,800	25.00%	> 1,800	50.00%				
City Merchants High Yield Trust	0 - 1,300	30.00%	> 1,300 - 3,900	35.00%	> 3,900 - 7,800	45.00%	> 7,800	50.00%		
City Natural Resources High Yield Trust	0 - 2,100	30.00%	> 2,100 - 26,000	35.00%	> 26,000	50.00%				
City of London Trust	0 - 2,300	30.00%	> 2,300 - 6,900	35.00%	> 6,900 - 18,000	40.00%	> 18,000 - 41,000	45.00%	> 41,000	50.00%
Citychamp Watch & Jewellery Gr	0 - 100,000	20.00%	> 100,000 - 220,000	25.00%	> 220,000	50.00%				
Citycon	0 - 4,600	25.00%	> 4,600 - 14,000	30.00%	> 14,000 - 37,000	35.00%	> 37,000 - 84,000	40.00%	> 84,000	50.00%
Civeo Corp	0 - 2,200	20.00%	> 2,200 - 5,800	25.00%	> 5,800 - 13,000	40.00%	> 13,000	50.00%		
Civitas Solutions Inc	0 - 600	20.00%	> 600 - 2,700	40.00%	> 2,700	50.00%				
CK Hutchison Holdings LTD	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
CK Life Sciences International Holdings Inc	0 - 50,000	25.00%	> 50,000 - 600,000	30.00%	> 600,000	50.00%				
CKD Corp	0 - 9,100	20.00%	> 9,100 - 16,000	25.00%	> 16,000	50.00%				
Clariant AG	0 - 14,000	20.00%	> 14,000 - 28,000	45.00%	> 28,000	65.00%				
Clarion	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300 - 15,000	30.00%	> 15,000	50.00%		
Clarkson	0 - 470	20.00%	> 470 - 1,200	30.00%	> 1,200 - 2,800	35.00%	> 2,800	50.00%		
Clarus Corp	0 - 1,100	20.00%	> 1,100 - 2,900	35.00%	> 2,900	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Clas Ohlson B	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400 - 15,000	30.00%	> 15,000	50.00%		
Clean Energy Fuels Corp	0 - 13,000	20.00%	> 13,000 - 34,000	45.00%	> 34,000	50.00%				
Clean Harbors Inc	0 - 1,700	20.00%	> 1,700 - 3,400	45.00%	> 3,400	60.00%				
Cleanaway Waste Management	0 - 67,000	20.00%	> 67,000 - 140,000	25.00%	> 140,000 - 290,000	30.00%	> 290,000	50.00%		
Clear Channel Outdoor Holdings	0 - 2,200	20.00%	> 2,200 - 4,500	30.00%	> 4,500 - 9,000	35.00%	> 9,000	50.00%		
ClearBridge American Energy MLP Fund Inc	0 - 430	35.00%	> 430 - 1,300	45.00%	> 1,300 - 2,600	60.00%	> 2,600	65.00%		
ClearBridge Energy MLP Fund Inc	0 - 230	30.00%	> 230 - 700	35.00%	> 700 - 2,800	45.00%	> 2,800	50.00%		
ClearBridge Energy MLP Opportunity Fund Inc	0 - 300	35.00%	> 300 - 1,800	45.00%	> 1,800	50.00%				
Clearfield Inc	0 - 890	20.00%	> 890 - 1,700	25.00%	> 1,700 - 3,500	30.00%	> 3,500	50.00%		
Clearwater Paper Corp	0 - 690	20.00%	> 690 - 1,800	35.00%	> 1,800 - 3,900	40.00%	> 3,900	50.00%		
Clearwater Seafoods Inc	0 - 2,300	20.00%	> 2,300 - 4,700	70.00%	> 4,700	90.00%				
Cleveland-Cliffs Inc.	0 - 11,000	20.00%	> 11,000 - 23,000	45.00%	> 23,000	90.00%				
Clifton Bancorp Inc	0 - 1,400	20.00%	> 1,400 - 3,000	25.00%	> 3,000	50.00%				
Clinigen Group Plc	0 - 3,900	20.00%	> 3,900 - 10,000	45.00%	> 10,000	60.00%				
Clipper Logistics PLC	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600 - 7,300	35.00%	> 7,300	50.00%		
Cloetta B	0 - 6,900	20.00%	> 6,900 - 18,000	25.00%	> 18,000 - 41,000	30.00%	> 41,000	50.00%		
Clorox	0 - 740	20.00%	> 740 - 1,400	30.00%	> 1,400 - 2,900	40.00%	> 2,900	50.00%		
Close Brothers	0 - 4,900	20.00%	> 4,900 - 19,000	25.00%	> 19,000	50.00%				
Cloud Peak Energy Inc	0 - 3,000	20.00%	> 3,000 - 7,500	25.00%	> 7,500 - 16,000	30.00%	> 16,000	50.00%		
Cloudera Inc	0 - 6,700	20.00%	> 6,700 - 13,000	60.00%	> 13,000	80.00%				
Clough Global Allocation Fund	0 - 260	35.00%	> 260 - 800	45.00%	> 800 - 1,600	85.00%	> 1,600	90.00%		
Clough Global Equity Fund	0 - 220	30.00%	> 220 - 1,300	35.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%		
Clough Global Opportunities Fund	0 - 290	30.00%	> 290 - 890	35.00%	> 890 - 3,500	40.00%	> 3,500	50.00%		
Clovis Oncology Inc	0 - 2,000	20.00%	> 2,000 - 8,200	25.00%	> 8,200	50.00%				
CLP Holdings	0 - 8,800	20.00%	> 8,800 - 35,000	30.00%	> 35,000	50.00%				
CLS Holdings	0 - 1,100	25.00%	> 1,100 - 3,300	30.00%	> 3,300 - 13,000	35.00%	> 13,000	50.00%		
CLX Communications AB	0 - 1,000	20.00%	> 1,000 - 2,100	30.00%	> 2,100 - 4,200	40.00%	> 4,200	50.00%		
CMS Energy	0 - 2,000	20.00%	> 2,000 - 4,100	35.00%	> 4,100 - 8,300	45.00%	> 8,300	50.00%		
CNA Financial Corp	0 - 2,300	20.00%	> 2,300 - 5,100	25.00%	> 5,100	50.00%				
CNB Financial Corp/PA	0 - 650	20.00%	> 650 - 1,300	25.00%	> 1,300	50.00%				
CNH Industrial (Italy)	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000	50.00%				
CNH Industrial (US)	0 - 14,000	20.00%	> 14,000 - 33,000	25.00%	> 33,000	50.00%				
CNO Financial Group Inc	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Cnooc	0 - 60,000	20.00%	> 60,000 - 120,000	50.00%	> 120,000	60.00%				
CNOOC Ltd (US)	0 - 600	20.00%	> 600 - 1,200	30.00%	> 1,200 - 2,400	35.00%	> 2,400	50.00%		
CNP Assurances	0 - 8,700	20.00%	> 8,700 - 17,000	25.00%	> 17,000	50.00%				
CNX Midstream Partners LP	0 - 650	20.00%	> 650 - 1,700	25.00%	> 1,700 - 3,900	30.00%	> 3,900	50.00%		
CNX Resources Corp	0 - 1,900	25.00%	> 1,900 - 11,000	40.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%		
Cobalt International Energy In	0 - 8,800	35.00%	> 8,800 - 26,000	50.00%	> 26,000 - 52,000	85.00%	> 52,000	90.00%		
Cobham	0 - 58,000	20.00%	> 58,000	90.00%						
CoBiz Financial Inc	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Coca-Cola Amatil	0 - 13,000	20.00%	> 13,000 - 27,000	45.00%	> 27,000	55.00%				
Coca-Cola Bottling Co Consolid	0 - 300	20.00%	> 300 - 800	30.00%	> 800 - 1,800	40.00%	> 1,800	50.00%		
Coca-Cola Company	0 - 7,400	20.00%	> 7,400 - 14,000	40.00%	> 14,000	65.00%				
Coca-Cola European Partners	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
Coca-Cola Femsa SAB de CV	0 - 300	20.00%	> 300 - 810	25.00%	> 810 - 1,800	35.00%	> 1,800	50.00%		
Coca-Cola HBC AG	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000	50.00%				
COCA-COLA WEST	0 - 2,500	20.00%	> 2,500 - 10,000	30.00%	> 10,000	50.00%				
Cochlear	0 - 4,400	20.00%	> 4,400 - 8,800	40.00%	> 8,800	60.00%				
Cocokara fine Inc	0 - 2,600	20.00%	> 2,600 - 5,500	25.00%	> 5,500	50.00%				
Coeur d'Alene Mines Corp	0 - 10,000	20.00%	> 10,000 - 22,000	35.00%	> 22,000	55.00%				
Coface SA	0 - 3,200	20.00%	> 3,200 - 8,600	50.00%	> 8,600	70.00%				
Cofinimmo SA	0 - 240	25.00%	> 240 - 720	30.00%	> 720 - 3,000	40.00%	> 3,000	50.00%		
Cogeco Cable Inc	0 - 3,000	20.00%	> 3,000 - 6,600	25.00%	> 6,600	50.00%				
Cogeco Inc	0 - 200	20.00%	> 200 - 430	25.00%	> 430 - 900	30.00%	> 900	50.00%		
Cogent Communications Group In	0 - 1,300	20.00%	> 1,300 - 3,100	25.00%	> 3,100 - 6,800	30.00%	> 6,800	50.00%		
Cognex Corp	0 - 2,100	20.00%	> 2,100 - 4,300	30.00%	> 4,300 - 8,700	35.00%	> 8,700	50.00%		
Cognizant Tech	0 - 4,000	20.00%	> 4,000 - 8,100	40.00%	> 8,100	60.00%				
Cogobuy Group	0 - 41,000	20.00%	> 41,000 - 110,000	30.00%	> 110,000	50.00%				
Cohen & Steers Closed-End Opportunity Fund Inc	0 - 250	35.00%	> 250 - 760	45.00%	> 760	90.00%				
Cohen & Steers Global Income Builder Inc	0 - 340	30.00%	> 340 - 1,000	35.00%	> 1,000 - 4,100	40.00%	> 4,100	50.00%		
Cohen & Steers Inc	0 - 1,700	20.00%	> 1,700 - 3,900	25.00%	> 3,900	50.00%				
Cohen & Steers Infrastructure Fund Inc	0 - 180	30.00%	> 180 - 540	35.00%	> 540 - 1,400	40.00%	> 1,400 - 3,200	45.00%	> 3,200	50.00%

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Cohen & Steers Limited Duration Preferred and Income Fund Inc	0 - 130	30.00%	> 130 - 390	35.00%	> 390 - 790	40.00%	> 790 - 1,500	45.00%	> 1,500	50.00%
Cohen & Steers Quality Income Realty Fund Inc	0 - 270	30.00%	> 270 - 1,700	35.00%	> 1,700 - 3,500	40.00%	> 3,500	50.00%		
Cohen & Steers Select Preferred and Income Fund Inc	0 - 120	30.00%	> 120 - 360	35.00%	> 360 - 1,400	40.00%	> 1,400	50.00%		
Cohen & Steers Total Return Realty Fund Inc	0 - 260	30.00%	> 260 - 3,200	40.00%	> 3,200	50.00%				
Coherent Inc	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Coherus Biosciences Inc	0 - 3,100	20.00%	> 3,100 - 7,900	55.00%	> 7,900	75.00%				
Cohu Inc	0 - 480	30.00%	> 480 - 1,400	35.00%	> 1,400 - 3,500	60.00%	> 3,500	85.00%		
Colfax Corp	0 - 3,200	20.00%	> 3,200 - 6,400	40.00%	> 6,400	55.00%				
Colgate Palmolive	0 - 5,000	20.00%	> 5,000 - 10,000	40.00%	> 10,000	60.00%				
Collection House Ltd	0 - 9,600	20.00%	> 9,600 - 38,000	25.00%	> 38,000	50.00%				
Collector AB	0 - 1,400	20.00%	> 1,400 - 3,800	30.00%	> 3,800 - 8,400	35.00%	> 8,400	50.00%		
Collectors Universe Inc	0 - 720	20.00%	> 720 - 1,400	30.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%		
Colliers International Group Inc	0 - 120	20.00%	> 120 - 240	55.00%	> 240	90.00%				
Collins Foods Ltd	0 - 4,500	20.00%	> 4,500 - 12,000	25.00%	> 12,000 - 27,000	35.00%	> 27,000	50.00%		
Colony NorthStar Inc	0 - 5,100	30.00%	> 5,100 - 15,000	35.00%	> 15,000 - 31,000	50.00%	> 31,000	55.00%		
COLOPL Inc	0 - 9,200	20.00%	> 9,200 - 19,000	25.00%	> 19,000 - 35,000	30.00%	> 35,000	50.00%		
Coloplast	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%				
Colour Life Services Group Co Ltd	0 - 29,000	30.00%	> 29,000 - 170,000	45.00%	> 170,000	55.00%				
Colowide Co Ltd	0 - 2,400	20.00%	> 2,400 - 6,400	30.00%	> 6,400 - 14,000	35.00%	> 14,000	50.00%		
Colruyt SA	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Coltene Holding AG	0 - 91	20.00%	> 91 - 180	30.00%	> 180 - 360	35.00%	> 360	50.00%		
Columbia Banking System Inc	0 - 4,300	20.00%	> 4,300 - 9,200	25.00%	> 9,200	50.00%				
Columbia Property Trust Inc	0 - 1,200	25.00%	> 1,200 - 3,700	30.00%	> 3,700 - 16,000	40.00%	> 16,000	50.00%		
Columbia Seligman Premium Technology Growth Fund Inc	0 - 150	30.00%	> 150 - 450	35.00%	> 450 - 1,800	40.00%	> 1,800	50.00%		
Columbia Sportswear Co	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100 - 4,300	30.00%	> 4,300	50.00%		
Columbus McKinnon Corp/NY	0 - 830	20.00%	> 830 - 5,000	25.00%	> 5,000	50.00%				
Com Hem Holding	0 - 5,300	20.00%	> 5,300 - 23,000	25.00%	> 23,000	50.00%				
Comarch	0 - 470	20.00%	> 470 - 940	25.00%	> 940	50.00%				
Comba	0 - 92,000	20.00%	> 92,000 - 240,000	35.00%	> 240,000 - 550,000	40.00%	> 550,000	50.00%		
Comcast	0 - 9,500	20.00%	> 9,500 - 19,000	40.00%	> 19,000	60.00%				
Comdirect	0 - 690	20.00%	> 690 - 1,300	25.00%	> 1,300 - 2,700	30.00%	> 2,700	50.00%		
Comerica	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
Comet Holding AG	0 - 550	20.00%	> 550 - 1,100	30.00%	> 1,100 - 2,000	35.00%	> 2,000	50.00%		
Comfort Systems USA Inc	0 - 870	20.00%	> 870 - 2,300	35.00%	> 2,300	65.00%				
ComfortDelGro	0 - 57,000	20.00%	> 57,000 - 110,000	30.00%	> 110,000 - 230,000	35.00%	> 230,000	50.00%		
Cominar Real Estate Investment Trust	0 - 2,000	25.00%	> 2,000 - 6,100	30.00%	> 6,100 - 16,000	35.00%	> 16,000 - 36,000	40.00%	> 36,000	50.00%
Commerce Bancshares Inc/MO	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,800	35.00%	> 5,800	50.00%		
Commercial Metals Co	0 - 9,000	20.00%	> 9,000 - 18,000	25.00%	> 18,000	50.00%				
Commercial Vehicle Group Inc	0 - 1,300	20.00%	> 1,300 - 3,200	35.00%	> 3,200 - 7,000	45.00%	> 7,000	50.00%		
Commerzbank AG (DE)	0 - 33,000	20.00%	> 33,000 - 66,000	45.00%	> 66,000	65.00%				
Commerzbank AG (GB)	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%		
Commonwealth Bank Australia	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	60.00%				
CommonWealth REIT	0 - 1,000	30.00%	> 1,000 - 6,200	45.00%	> 6,200	50.00%				
CommScope Holding Co Inc	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
Community Bank System Inc	0 - 1,100	20.00%	> 1,100 - 6,000	30.00%	> 6,000	50.00%				
Community Health Systems Inc	0 - 9,600	20.00%	> 9,600 - 19,000	25.00%	> 19,000 - 35,000	30.00%	> 35,000	50.00%		
Community Trust Bancorp Inc	0 - 240	20.00%	> 240 - 640	85.00%	> 640	90.00%				
CommVault Systems Inc	0 - 1,400	20.00%	> 1,400 - 2,800	50.00%	> 2,800	85.00%				
Compagnie des Alpes	0 - 290	20.00%	> 290 - 590	65.00%	> 590	90.00%				
Compagnie Generale de Geophysique Veritas	0 - 36,000	20.00%	> 36,000 - 140,000	25.00%	> 140,000	50.00%				
Companhia Brasileira de Distribuicao	0 - 6,600	20.00%	> 6,600 - 14,000	25.00%	> 14,000	50.00%				
Companhia Energetica De Minas Gerais Cemig	0 - 17,000	20.00%	> 17,000 - 46,000	45.00%	> 46,000	60.00%				
Compass Diversified Holdings	0 - 550	20.00%	> 550 - 1,300	50.00%	> 1,300	75.00%				
Compass Group	0 - 30,000	20.00%	> 30,000 - 60,000	40.00%	> 60,000	60.00%				
Compass Minerals	0 - 2,500	20.00%	> 2,500 - 5,500	25.00%	> 5,500	50.00%				
Compugen Ltd	0 - 1,000	25.00%	> 1,000 - 6,000	40.00%	> 6,000	55.00%				
CompuGroup Medical AG	0 - 1,000	20.00%	> 1,000 - 2,800	30.00%	> 2,800 - 6,400	45.00%	> 6,400	50.00%		
Computacenter	0 - 4,700	20.00%	> 4,700 - 18,000	35.00%	> 18,000	50.00%				
Computer Associates	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000	50.00%				
Computer Modelling Group Ltd	0 - 1,600	20.00%	> 1,600 - 4,300	30.00%	> 4,300 - 9,700	40.00%	> 9,700	50.00%		
Computer Programs & Systems In	0 - 470	20.00%	> 470 - 2,800	25.00%	> 2,800	50.00%				
Computershare	0 - 7,400	20.00%	> 7,400 - 14,000	40.00%	> 14,000	85.00%				
Comstock Resources Inc	0 - 1,000	20.00%	> 1,000 - 3,900	35.00%	> 3,900	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
COMSYS Holdings	0 - 4,000	20.00%	> 4,000 - 8,000	60.00%	> 8,000	75.00%				
Comtech Telecommunications Cor	0 - 1,000	20.00%	> 1,000 - 2,600	65.00%	> 2,600	90.00%				
Cona Resources	0 - 1,700	30.00%	> 1,700 - 5,100	35.00%	> 5,100 - 10,000	50.00%	> 10,000	55.00%		
ConAgra Foods	0 - 2,700	20.00%	> 2,700 - 5,500	35.00%	> 5,500	55.00%				
Concentric	0 - 630	20.00%	> 630 - 1,600	25.00%	> 1,600 - 3,800	30.00%	> 3,800	50.00%		
Concho Resources Inc	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400	60.00%				
Concord New Energy Group Ltd	0 - 220,000	20.00%	> 220,000 - 450,000	30.00%	> 450,000 - 900,000	35.00%	> 900,000	50.00%		
Concordia Healthcare Corp (CA)	0 - 16,000	25.00%	> 16,000 - 50,000	30.00%	> 50,000 - 100,000	45.00%	> 100,000	55.00%		
Conduent Inc	0 - 1,700	25.00%	> 1,700 - 5,300	45.00%	> 5,300	90.00%				
CONEXIO Corp	0 - 840	20.00%	> 840 - 5,000	30.00%	> 5,000	50.00%				
CONMED Corp	0 - 1,000	20.00%	> 1,000 - 2,300	35.00%	> 2,300 - 5,000	40.00%	> 5,000	50.00%		
Connect Group PLC	0 - 25,000	20.00%	> 25,000 - 50,000	40.00%	> 50,000	50.00%				
Connecticut Water Service Inc	0 - 450	20.00%	> 450 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
ConnectOne Bancorp Inc	0 - 630	20.00%	> 630 - 1,600	75.00%	> 1,600	90.00%				
Conn's Inc	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 7,700	30.00%	> 7,700	50.00%		
ConocoPhillips	0 - 4,600	20.00%	> 4,600 - 9,200	40.00%	> 9,200	60.00%				
Consol Edison	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Consolidated Communications Ho	0 - 8,500	20.00%	> 8,500 - 17,000	25.00%	> 17,000	50.00%				
Consolidated-Tomoka Land Co	0 - 56	30.00%	> 56 - 160	35.00%	> 160 - 330	50.00%	> 330	55.00%		
Consort Medical	0 - 670	20.00%	> 670 - 1,300	25.00%	> 1,300 - 2,600	30.00%	> 2,600	50.00%		
Constellation	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	60.00%				
Constellation Software Inc/Canada	0 - 230	20.00%	> 230 - 470	25.00%	> 470	50.00%				
Constellium NV	0 - 3,100	20.00%	> 3,100 - 18,000	30.00%	> 18,000	50.00%				
Construcciones y Auxiliar de Ferrocarriles	0 - 530	20.00%	> 530 - 1,400	35.00%	> 1,400 - 3,200	40.00%	> 3,200	50.00%		
Consumer Discretionary Select Sector SPDR Fund	0 - 5,800	20.00%	> 5,800 - 11,000	40.00%	> 11,000	60.00%				
Consumer Staples Select Sector SPDR Fund	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Consun Pharmaceutical Group Ltd	0 - 65,000	20.00%	> 65,000 - 230,000	25.00%	> 230,000	50.00%				
Contact Energy	0 - 10,000	20.00%	> 10,000 - 60,000	35.00%	> 60,000	50.00%				
Container Store Group Inc/The	0 - 1,200	20.00%	> 1,200 - 6,900	25.00%	> 6,900	50.00%				
Contango Oil & Gas Co	0 - 550	30.00%	> 550 - 1,600	35.00%	> 1,600 - 3,400	60.00%	> 3,400	80.00%		
Continental	0 - 2,800	20.00%	> 2,800 - 5,600	40.00%	> 5,600	60.00%				
Continental Building Products Inc	0 - 810	20.00%	> 810 - 2,100	70.00%	> 2,100	90.00%				
Continental Gold Ltd	0 - 4,700	20.00%	> 4,700 - 28,000	25.00%	> 28,000	50.00%				
Continental Resources Inc/OK	0 - 1,500	20.00%	> 1,500 - 6,300	45.00%	> 6,300	50.00%				
Control4 Corp	0 - 1,300	20.00%	> 1,300 - 3,100	30.00%	> 3,100 - 6,300	40.00%	> 6,300	50.00%		
Convatec Group Plc	0 - 36,000	20.00%	> 36,000 - 72,000	50.00%	> 72,000	65.00%				
Convergys	0 - 3,900	20.00%	> 3,900 - 7,800	40.00%	> 7,800	80.00%				
Conviviality PLC	> 0	90.00%								
Convoy Global Holdings Ltd	> 0	100.00%								
Conzzeta AG	0 - 64	20.00%	> 64 - 140	25.00%	> 140	50.00%				
COOKPAD Inc	0 - 8,100	20.00%	> 8,100 - 30,000	25.00%	> 30,000	50.00%				
Coolpad Group Ltd	> 0	100.00%								
Cooper Cos Inc/The	0 - 400	20.00%	> 400 - 800	35.00%	> 800	50.00%				
Cooper Tire & Rubber Co	0 - 3,500	20.00%	> 3,500 - 13,000	25.00%	> 13,000	50.00%				
Cooper-Standard Holding Inc	0 - 590	20.00%	> 590 - 1,300	35.00%	> 1,300 - 2,800	40.00%	> 2,800	50.00%		
Coor Service Management Holding AB	0 - 1,300	20.00%	> 1,300 - 8,000	25.00%	> 8,000	50.00%				
Copa Holdings SA	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Copart Inc	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Copper Mountain Mining Corp	0 - 24,000	20.00%	> 24,000 - 47,000	25.00%	> 47,000	50.00%				
Corbion NV	0 - 1,600	20.00%	> 1,600 - 4,200	30.00%	> 4,200 - 9,600	35.00%	> 9,600	50.00%		
Corby Spirit and Wine Ltd	0 - 620	20.00%	> 620 - 1,200	30.00%	> 1,200 - 2,500	35.00%	> 2,500	50.00%		
Corcept Therapeutics Inc	0 - 6,500	20.00%	> 6,500 - 13,000	25.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%		
Core Laboratories NV	0 - 840	20.00%	> 840 - 3,300	30.00%	> 3,300	50.00%				
CoreCivic	0 - 1,300	30.00%	> 1,300 - 4,000	35.00%	> 4,000 - 8,000	70.00%	> 8,000	90.00%		
CoreLogic Inc/United States	0 - 1,800	20.00%	> 1,800 - 3,700	55.00%	> 3,700	90.00%				
Core-Mark Holding Co Inc	0 - 2,500	20.00%	> 2,500 - 5,800	30.00%	> 5,800 - 11,000	40.00%	> 11,000	50.00%		
CorEnergy Infrastructure Trust Inc	0 - 88	30.00%	> 88 - 260	35.00%	> 260 - 700	50.00%	> 700	55.00%		
CoreSite Realty Corp	0 - 290	25.00%	> 290 - 870	30.00%	> 870 - 1,700	35.00%	> 1,700 - 3,500	40.00%	> 3,500	50.00%
Cornerstone OnDemand Inc	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	30.00%	> 7,600	50.00%		
Cornerstone Strategic Value Fund Inc	0 - 230	35.00%	> 230 - 700	40.00%	> 700 - 1,800	60.00%	> 1,800	85.00%		
Corning Inc	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900 - 13,000	30.00%	> 13,000	50.00%		
Coro Energy PLC	0 - 220,000	20.00%	> 220,000 - 890,000	30.00%	> 890,000	50.00%				
Corporacion Financiera Alba SA	0 - 230	20.00%	> 230 - 620	40.00%	> 620	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Corporate Office Properties Tr	0 - 1,100	25.00%	> 1,100 - 6,700	30.00%	> 6,700 - 13,000	35.00%	> 13,000	50.00%		
Corporate Travel Management Ltd	0 - 8,900	20.00%	> 8,900 - 18,000	25.00%	> 18,000	50.00%				
Corus Entertainment Inc	0 - 16,000	20.00%	> 16,000 - 60,000	25.00%	> 60,000	50.00%				
CorVel Corp	0 - 210	20.00%	> 210 - 580	35.00%	> 580	50.00%				
Cosan Ltd	0 - 4,200	20.00%	> 4,200 - 11,000	45.00%	> 11,000	60.00%				
COSCO	0 - 100,000	20.00%	> 100,000 - 260,000	30.00%	> 260,000 - 530,000	35.00%	> 530,000	50.00%		
COSCO International Holdings	0 - 27,000	20.00%	> 27,000 - 54,000	50.00%	> 54,000	65.00%				
Cosco Shipping Development Co LTD	0 - 290,000	20.00%	> 290,000 - 580,000	40.00%	> 580,000	55.00%				
COSCO Shipping Ports LTD	0 - 130,000	20.00%	> 130,000 - 300,000	25.00%	> 300,000	50.00%				
Cosel Co Ltd	0 - 2,100	20.00%	> 2,100 - 9,300	25.00%	> 9,300	50.00%				
Cosmo Energy Holdings Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,000	30.00%	> 6,000 - 12,000	35.00%	> 12,000	50.00%		
Cosmo Lady China Holdings Co Ltd	0 - 19,000	20.00%	> 19,000 - 48,000	35.00%	> 48,000 - 100,000	45.00%	> 100,000	50.00%		
Cosmo Pharmaceuticals SpA	0 - 310	20.00%	> 310 - 840	40.00%	> 840	55.00%				
Cosmos Pharmaceutical Corp	0 - 430	20.00%	> 430 - 870	45.00%	> 870	75.00%				
Costa Group Holdings Ltd	0 - 17,000	20.00%	> 17,000 - 34,000	35.00%	> 34,000	60.00%				
Costain Group PLC	0 - 4,800	20.00%	> 4,800 - 10,000	25.00%	> 10,000	50.00%				
Costamare Inc	0 - 2,100	20.00%	> 2,100 - 5,800	25.00%	> 5,800 - 13,000	30.00%	> 13,000	50.00%		
CoStar Group Inc	0 - 460	20.00%	> 460 - 930	25.00%	> 930	50.00%				
Costco Wholesale	0 - 1,500	20.00%	> 1,500 - 3,000	45.00%	> 3,000	60.00%				
Cott Corp (CA)	0 - 2,300	20.00%	> 2,300 - 6,300	25.00%	> 6,300 - 14,000	30.00%	> 14,000	50.00%		
Cott Corp (US)	0 - 1,600	20.00%	> 1,600 - 4,500	25.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Coty Inc	0 - 13,000	20.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%				
Country Garden	0 - 20,000	25.00%	> 20,000 - 61,000	30.00%	> 61,000 - 120,000	45.00%	> 120,000	50.00%		
Countryside Properties	0 - 19,000	20.00%	> 19,000 - 41,000	35.00%	> 41,000 - 85,000	40.00%	> 85,000	50.00%		
Countrywide PLC	0 - 5,500	30.00%	> 5,500 - 33,000	40.00%	> 33,000 - 63,000	45.00%	> 63,000	50.00%		
Cousins Properties Inc	0 - 3,400	30.00%	> 3,400 - 10,000	35.00%	> 10,000 - 20,000	55.00%	> 20,000	60.00%		
Covanta Holding Corp	0 - 5,700	20.00%	> 5,700 - 12,000	25.00%	> 12,000	50.00%				
Covenant Transportation Group Inc	0 - 980	20.00%	> 980 - 2,400	30.00%	> 2,400 - 4,800	35.00%	> 4,800	50.00%		
Covestro AG	0 - 3,700	20.00%	> 3,700 - 7,400	40.00%	> 7,400	60.00%				
Covivio SA	0 - 960	20.00%	> 960 - 3,800	35.00%	> 3,800	50.00%				
Cowen Group Inc	0 - 2,300	20.00%	> 2,300 - 5,300	25.00%	> 5,300	50.00%				
CP Pokphand Co Ltd	0 - 100,000	20.00%	> 100,000 - 220,000	35.00%	> 220,000 - 450,000	45.00%	> 450,000	50.00%		
CPFL Energia SA	0 - 870	20.00%	> 870 - 1,700	25.00%	> 1,700 - 3,400	35.00%	> 3,400	50.00%		
CPMC Holdings Ltd	0 - 52,000	20.00%	> 52,000 - 130,000	45.00%	> 130,000	80.00%				
CRA International Inc	0 - 280	20.00%	> 280 - 760	30.00%	> 760 - 1,700	35.00%	> 1,700	50.00%		
Cracker Barrel Old Country Sto	0 - 660	20.00%	> 660 - 2,600	30.00%	> 2,600	50.00%				
Cramo	0 - 1,500	20.00%	> 1,500 - 4,000	25.00%	> 4,000 - 9,000	30.00%	> 9,000	50.00%		
Crane Co	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,800	35.00%	> 4,800	50.00%		
Cranswick	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000 - 8,500	30.00%	> 8,500	50.00%		
Cray Inc	0 - 2,500	20.00%	> 2,500 - 5,600	25.00%	> 5,600	50.00%				
CRCC High-Tech Equipment Corp Ltd	0 - 48,000	20.00%	> 48,000 - 97,000	30.00%	> 97,000 - 190,000	40.00%	> 190,000	50.00%		
Create Restaurants Holdings Inc	0 - 4,900	20.00%	> 4,900 - 12,000	55.00%	> 12,000	90.00%				
CREATE SD HOLDINGS Co Ltd	0 - 2,700	20.00%	> 2,700 - 6,600	40.00%	> 6,600	55.00%				
Credicorp Ltd	0 - 870	20.00%	> 870 - 1,700	25.00%	> 1,700	50.00%				
Credit Acceptance Corp	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000	50.00%				
Credit Agricole	0 - 24,000	20.00%	> 24,000 - 49,000	40.00%	> 49,000	60.00%				
Credit China Holdings Ltd	0 - 2,000,000	20.00%	> 2,000,000 - 4,100,000	25.00%	> 4,100,000	50.00%				
Credit Corp Group	0 - 3,400	20.00%	> 3,400 - 12,000	25.00%	> 12,000	50.00%				
Credit Saison	0 - 6,700	20.00%	> 6,700 - 13,000	30.00%	> 13,000 - 27,000	35.00%	> 27,000	50.00%		
Credit Suisse	0 - 43,000	20.00%	> 43,000 - 87,000	40.00%	> 87,000	60.00%				
Credit Suisse Group AG	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
Credit Suisse High Yield Bond Fund	0 - 1,200	35.00%	> 1,200 - 7,500	50.00%	> 7,500	55.00%				
Credit Suisse Real Estate Fund Interswiss	0 - 17	30.00%	> 17 - 100	35.00%	> 100 - 200	40.00%	> 200	50.00%		
Credit Suisse Real Estate Fund Siat	0 - 18	30.00%	> 18 - 100	35.00%	> 100 - 210	40.00%	> 210	50.00%		
Credito Emiliano	0 - 4,900	20.00%	> 4,900 - 13,000	30.00%	> 13,000 - 29,000	35.00%	> 29,000	50.00%		
Cree Inc	0 - 2,100	20.00%	> 2,100 - 8,700	25.00%	> 8,700	50.00%				
Crescent Point Energy Corp (CA)	0 - 4,200	25.00%	> 4,200 - 12,000	30.00%	> 12,000 - 25,000	50.00%	> 25,000	60.00%		
Crescent Point Energy Corp (US)	0 - 1,600	25.00%	> 1,600 - 4,800	30.00%	> 4,800 - 13,000	45.00%	> 13,000	50.00%		
Crest Nicholson Holdings plc	0 - 19,000	20.00%	> 19,000 - 39,000	35.00%	> 39,000 - 72,000	40.00%	> 72,000	50.00%		
Crestwood Equity Partners LP	0 - 2,900	20.00%	> 2,900 - 5,900	30.00%	> 5,900 - 11,000	45.00%	> 11,000	50.00%		
Cresud SACIF y A	0 - 200	30.00%	> 200 - 3,200	40.00%	> 3,200	50.00%				
Crew Energy Inc	0 - 3,200	25.00%	> 3,200 - 9,700	30.00%	> 9,700 - 38,000	35.00%	> 38,000	50.00%		
CRH (IE)	0 - 5,500	20.00%	> 5,500 - 11,000	40.00%	> 11,000	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
CRH (UK)	0 - 20,000	20.00%	> 20,000 - 41,000	40.00%	> 41,000	60.00%				
CRH PLC	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Criteo SA	0 - 2,900	20.00%	> 2,900 - 5,800	35.00%	> 5,800 - 11,000	40.00%	> 11,000	50.00%		
Crocs	0 - 4,300	20.00%	> 4,300 - 9,500	45.00%	> 9,500	75.00%				
Croda	0 - 1,500	20.00%	> 1,500 - 3,000	45.00%	> 3,000	70.00%				
Crombie Real Estate Investment Trust	0 - 560	25.00%	> 560 - 1,700	30.00%	> 1,700 - 10,000	35.00%	> 10,000	50.00%		
Cromwell	0 - 17,000	25.00%	> 17,000 - 130,000	30.00%	> 130,000 - 310,000	35.00%	> 310,000	50.00%		
Cross Country Healthcare Inc	0 - 3,200	20.00%	> 3,200 - 7,300	25.00%	> 7,300	50.00%				
CrossAmerica Partners LP	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
Cross-Harbour Holdings Ltd/The	0 - 5,900	20.00%	> 5,900 - 11,000	50.00%	> 11,000	60.00%				
Crown Castle International Cor	0 - 890	20.00%	> 890 - 1,700	40.00%	> 1,700	65.00%				
Crown Holdings Inc	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
Crown Resorts Ltd	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
CRRC Corporation Ltd	0 - 250,000	20.00%	> 250,000 - 510,000	25.00%	> 510,000	50.00%				
CryoLife Inc	0 - 750	20.00%	> 750 - 2,000	30.00%	> 2,000 - 4,500	45.00%	> 4,500	50.00%		
CSE Global	0 - 30,000	20.00%	> 30,000 - 120,000	25.00%	> 120,000	50.00%				
CSG	0 - 62,000	20.00%	> 62,000 - 120,000	40.00%	> 120,000	60.00%				
CSG Systems International Inc	0 - 1,000	20.00%	> 1,000 - 2,900	25.00%	> 2,900 - 6,500	30.00%	> 6,500	50.00%		
CSI Compressco LP	0 - 580	25.00%	> 580 - 1,700	35.00%	> 1,700	90.00%				
CSI Properties Ltd	0 - 59,000	35.00%	> 59,000 - 170,000	40.00%	> 170,000	55.00%				
CSL	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400	60.00%				
CSOP FTSE China A50 ETF	0 - 110,000	20.00%	> 110,000 - 230,000	25.00%	> 230,000	50.00%				
CSPC Pharmaceutical Group	0 - 69,000	20.00%	> 69,000 - 130,000	25.00%	> 130,000	50.00%				
CSR	0 - 59,000	20.00%	> 59,000 - 110,000	25.00%	> 110,000	50.00%				
CSRA Inc.	0 - 4,800	20.00%	> 4,800 - 9,600	25.00%	> 9,600	50.00%				
CSS Industries Inc	0 - 720	20.00%	> 720 - 1,400	30.00%	> 1,400 - 2,600	40.00%	> 2,600	50.00%		
CSSC Offshore & Marine	0 - 9,600	20.00%	> 9,600 - 25,000	25.00%	> 25,000 - 58,000	30.00%	> 58,000	50.00%		
CSW Industrials	0 - 450	20.00%	> 450 - 2,700	25.00%	> 2,700	50.00%				
CSX	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
CT Environmental Group Ltd	0 - 220,000	20.00%	> 220,000 - 590,000	25.00%	> 590,000 - 1,300,000	30.00%	> 1,300,000	50.00%		
CT Real Estate Investment Trust	0 - 340	25.00%	> 340 - 1,000	30.00%	> 1,000 - 2,600	60.00%	> 2,600	85.00%		
CTI BioPharma Corp (US)	0 - 4,300	20.00%	> 4,300 - 19,000	30.00%	> 19,000	50.00%				
Ctrip.Com International	0 - 14,000	20.00%	> 14,000 - 29,000	40.00%	> 29,000	60.00%				
CTS Corp	0 - 580	20.00%	> 580 - 1,500	40.00%	> 1,500	65.00%				
CTS Eventim	0 - 1,500	20.00%	> 1,500 - 7,800	25.00%	> 7,800	50.00%				
CTT-Correios de Portugal SA	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 40,000	35.00%	> 40,000	50.00%		
CubeSmart	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 6,200	40.00%	> 6,200 - 12,000	45.00%	> 12,000	50.00%
Cubic Corp	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Cudeco	> 0	100.00%								
Cullen/Frost Bankers Inc	0 - 890	20.00%	> 890 - 1,700	40.00%	> 1,700 - 3,500	45.00%	> 3,500	50.00%		
Culp Inc	0 - 400	20.00%	> 400 - 1,600	25.00%	> 1,600	50.00%				
Cummins	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800	60.00%				
Curis Inc	0 - 1,700	25.00%	> 1,700 - 10,000	40.00%	> 10,000 - 21,000	45.00%	> 21,000	50.00%		
CurrencyShares Euro Trust	0 - 390	20.00%	> 390 - 720	25.00%	> 720	50.00%				
Curtiss-Wright Corp	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Custodian Reit PLC	0 - 2,700	25.00%	> 2,700 - 8,300	30.00%	> 8,300 - 50,000	40.00%	> 50,000	50.00%		
Customers Bancorp Inc	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
Cutera Inc	0 - 960	20.00%	> 960 - 3,500	25.00%	> 3,500	50.00%				
CVB Financial Corp	0 - 2,800	20.00%	> 2,800 - 7,200	50.00%	> 7,200	65.00%				
CVC Credit Partners European Opportunities Ltd	0 - 2,100	35.00%	> 2,100 - 15,000	45.00%	> 15,000	50.00%				
CVR Energy Inc	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
CVR Partners LP	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300 - 10,000	40.00%	> 10,000	50.00%		
CVR Refining LP	0 - 2,500	20.00%	> 2,500 - 15,000	35.00%	> 15,000	50.00%				
CVS Care	0 - 4,800	20.00%	> 4,800 - 9,600	40.00%	> 9,600	60.00%				
CVS Group PLC	0 - 2,500	20.00%	> 2,500 - 6,900	30.00%	> 6,900 - 15,000	40.00%	> 15,000	50.00%		
CW Group Holdings Ltd	0 - 330,000	20.00%	> 330,000 - 670,000	50.00%	> 670,000	80.00%				
CyberAgent Inc	0 - 1,600	20.00%	> 1,600 - 3,200	45.00%	> 3,200	90.00%				
CyberArk Software Ltd/Israel	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,900	35.00%	> 5,900	50.00%		
CYBERDYNE Inc	0 - 8,100	20.00%	> 8,100	90.00%						
CYBG PLC	0 - 22,000	20.00%	> 22,000 - 45,000	30.00%	> 45,000 - 90,000	35.00%	> 90,000	50.00%		
CYBG PLC	0 - 22,000	20.00%	> 22,000 - 90,000	25.00%	> 90,000	50.00%				
Cyfrowy Polsat SA	0 - 13,000	20.00%	> 13,000 - 28,000	40.00%	> 28,000	60.00%				
CymaBay Therapeutics Inc	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200 - 16,000	30.00%	> 16,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Cypress Semiconductor Corp	0 - 2,000	25.00%	> 2,000 - 6,000	30.00%	> 6,000 - 12,000	50.00%	> 12,000	60.00%		
CyrusOne Inc	0 - 530	30.00%	> 530 - 6,400	45.00%	> 6,400	50.00%				
CYS Investments Inc	0 - 1,500	25.00%	> 1,500 - 4,700	30.00%	> 4,700 - 12,000	40.00%	> 12,000 - 28,000	45.00%	> 28,000	50.00%
Cytokinetics Inc	0 - 580	25.00%	> 580 - 4,600	35.00%	> 4,600 - 10,000	40.00%	> 10,000	50.00%		
D Carnegie & Co AB	0 - 520	35.00%	> 520 - 1,500	40.00%	> 1,500 - 4,200	75.00%	> 4,200	90.00%		
D R Horton	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
Daejan	0 - 43	30.00%	> 43 - 130	35.00%	> 130 - 260	50.00%	> 260	55.00%		
Daetwyler Holding AG	0 - 510	20.00%	> 510 - 1,100	25.00%	> 1,100	50.00%				
Dah Chong Hong Holdings	0 - 32,000	20.00%	> 32,000 - 86,000	25.00%	> 86,000 - 190,000	30.00%	> 190,000	50.00%		
Dah Sing Banking Group Ltd	0 - 6,500	20.00%	> 6,500 - 17,000	25.00%	> 17,000 - 39,000	35.00%	> 39,000	50.00%		
Dah Sing Financial	0 - 8,200	20.00%	> 8,200 - 18,000	25.00%	> 18,000	50.00%				
Dai Nippon Printing	0 - 9,400	20.00%	> 9,400 - 18,000	25.00%	> 18,000	50.00%				
Dai Nippon Toryo Co Ltd	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600 - 3,300	35.00%	> 3,300	50.00%		
Daibiru Corp	0 - 690	30.00%	> 690 - 12,000	40.00%	> 12,000	50.00%				
Daicel Chemical Industries	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Daido Metal Co Ltd	0 - 1,600	20.00%	> 1,600 - 4,400	40.00%	> 4,400	65.00%				
Daido Steel Co Ltd	0 - 1,800	20.00%	> 1,800 - 4,100	25.00%	> 4,100 - 8,600	30.00%	> 8,600	50.00%		
Daifuku Co Ltd	0 - 2,300	20.00%	> 2,300 - 9,400	25.00%	> 9,400	50.00%				
Daihen Corp	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700	55.00%				
Daiho Corp	0 - 1,700	20.00%	> 1,700 - 3,400	35.00%	> 3,400	55.00%				
Daiichi Jitsugyo Co Ltd	0 - 330	20.00%	> 330 - 680	35.00%	> 680 - 1,400	45.00%	> 1,400	50.00%		
Dai-ichi Life Insurance	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Daiichi Sankyo	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,900	35.00%	> 9,900	50.00%		
Daiichikosho Co Ltd	0 - 3,700	20.00%	> 3,700 - 8,000	25.00%	> 8,000	50.00%				
Daikin Industries	0 - 860	20.00%	> 860 - 1,700	25.00%	> 1,700 - 3,400	35.00%	> 3,400	50.00%		
Daikokutenbussan Co Ltd	0 - 290	20.00%	> 290 - 780	25.00%	> 780 - 1,700	30.00%	> 1,700	50.00%		
Daikyo Inc	0 - 850	30.00%	> 850 - 6,800	45.00%	> 6,800	50.00%				
Daikyo Nishikawa Corp	0 - 3,000	20.00%	> 3,000 - 8,000	25.00%	> 8,000 - 17,000	30.00%	> 17,000	50.00%		
Daily Journal Corp	0 - 43	20.00%	> 43 - 86	40.00%	> 86	55.00%				
Daily Mail Trust	0 - 9,900	20.00%	> 9,900 - 19,000	25.00%	> 19,000 - 39,000	30.00%	> 39,000	50.00%		
Daimler AG (DE)	0 - 9,900	20.00%	> 9,900 - 19,000	40.00%	> 19,000	70.00%				
Daimler AG (IT)	0 - 290	20.00%	> 290 - 590	25.00%	> 590	50.00%				
Dainichiseika Color & Chemicals Manufacturing Co Ltd	0 - 460	20.00%	> 460 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
Dainippon Screen Manufacturing	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,700	40.00%	> 5,700	50.00%		
Daio Paper Corp	0 - 4,000	20.00%	> 4,000 - 10,000	30.00%	> 10,000 - 24,000	35.00%	> 24,000	50.00%		
Dairy Crest	0 - 20,000	20.00%	> 20,000 - 41,000	25.00%	> 41,000	50.00%				
Dairy Farm International	0 - 10,000	20.00%	> 10,000 - 43,000	25.00%	> 43,000	50.00%				
Daiseki Co Ltd	0 - 4,400	20.00%	> 4,400 - 9,800	25.00%	> 9,800	50.00%				
Daishi Bank Ltd/The	0 - 740	20.00%	> 740 - 4,400	25.00%	> 4,400	50.00%				
Daito Bank Ltd	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,000	30.00%	> 4,000	50.00%		
Daito Pharmaceutical Co Ltd	0 - 520	20.00%	> 520 - 1,300	25.00%	> 1,300 - 3,000	30.00%	> 3,000	50.00%		
Daito Trust Construction Co Lt	0 - 200	25.00%	> 200 - 1,200	40.00%	> 1,200	50.00%				
Daiwa ETF - JPX Nikkei 400	0 - 72	20.00%	> 72 - 320	25.00%	> 320	50.00%				
Daiwa ETF - Nikkei 225	0 - 970	20.00%	> 970 - 1,900	25.00%	> 1,900	50.00%				
Daiwa ETF - TOPIX	0 - 8,200	20.00%	> 8,200 - 18,000	25.00%	> 18,000	50.00%				
Daiwa House	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Daiwa Industries Ltd	0 - 900	20.00%	> 900 - 4,300	25.00%	> 4,300	50.00%				
Daiwa Securities	0 - 34,000	20.00%	> 34,000 - 68,000	25.00%	> 68,000	50.00%				
Daiwabo Holdings Co Ltd	0 - 1,400	20.00%	> 1,400 - 5,400	25.00%	> 5,400	50.00%				
Daktronics Inc	0 - 1,200	20.00%	> 1,200 - 7,200	25.00%	> 7,200	50.00%				
Dalata Hotel Group PLC (IE)	0 - 4,100	20.00%	> 4,100 - 11,000	35.00%	> 11,000	60.00%				
Dali Foods Group Co Ltd	0 - 230,000	20.00%	> 230,000 - 460,000	25.00%	> 460,000	50.00%				
Dalian Port	0 - 69,000	20.00%	> 69,000 - 130,000	30.00%	> 130,000 - 270,000	35.00%	> 270,000	50.00%		
d'Amico International	0 - 46,000	20.00%	> 46,000 - 93,000	55.00%	> 93,000	90.00%				
Dana Holding Corp	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500 - 19,000	30.00%	> 19,000	50.00%		
Danaher Corp	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Danieli	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
Danieli & C Officine Meccaniche SpA	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000	50.00%				
Danone	0 - 8,700	20.00%	> 8,700 - 17,000	40.00%	> 17,000	60.00%				
Danske Bank	0 - 6,500	20.00%	> 6,500 - 13,000	25.00%	> 13,000	50.00%				
Daphne International	0 - 200,000	20.00%	> 200,000 - 820,000	25.00%	> 820,000	50.00%				
Darden Restaurants	0 - 880	20.00%	> 880 - 1,700	30.00%	> 1,700 - 3,500	35.00%	> 3,500	50.00%		
Darling International Inc	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Dart Group PLC	0 - 3,000	20.00%	> 3,000 - 8,000	25.00%	> 8,000 - 18,000	30.00%	> 18,000	50.00%		
Dassault Aviation SA	0 - 50	20.00%	> 50 - 100	30.00%	> 100 - 200	45.00%	> 200	50.00%		
Dassault Systemes	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Datalogic	0 - 1,100	20.00%	> 1,100 - 6,600	30.00%	> 6,600	50.00%				
Datang Power	0 - 300,000	20.00%	> 300,000 - 660,000	30.00%	> 660,000 - 1,300,000	40.00%	> 1,300,000	50.00%		
Dave & Buster's Entertainment Inc	0 - 2,000	20.00%	> 2,000 - 4,000	35.00%	> 4,000 - 8,000	45.00%	> 8,000	50.00%		
Davide Campari	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	65.00%				
DaVita	0 - 1,300	20.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%				
Dawnrays Pharmaceutical Holdings Ltd	0 - 16,000	20.00%	> 16,000 - 97,000	25.00%	> 97,000	50.00%				
db Physical Gold ETC	0 - 230	20.00%	> 230 - 610	40.00%	> 610	65.00%				
db x-trackers - FTSE 250 UCITS ETF DR	0 - 710	20.00%	> 710 - 1,400	25.00%	> 1,400	50.00%				
db x-trackers CSI300 Index UCITS ETF-EUR	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900	50.00%				
db x-trackers CSI300 UCITS ETF	0 - 10,000	20.00%	> 10,000 - 43,000	25.00%	> 43,000	50.00%				
db x-trackers DAX® UCITS ETF	0 - 210	20.00%	> 210 - 480	25.00%	> 480	50.00%				
db x-trackers Euro Stoxx 50 UCITS ETF DR - 1C	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
db x-trackers EURO STOXX 50 UCITS ETF DR - 1D	0 - 460	20.00%	> 460 - 920	25.00%	> 920	50.00%				
db x-trackers FTSE 100 UCITS ETF DR Income - 1D	0 - 2,000	20.00%	> 2,000 - 3,900	25.00%	> 3,900	50.00%				
db x-trackers FTSE All-Share UCITS ETF DR	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
db x-trackers FTSE China 50 UCITS ETF DR	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000	50.00%				
db x-trackers FTSE EPRA/NAREIT Developed Europe Real Estate UCITS ETF DR - 1C	0 - 630	20.00%	> 630 - 1,200	25.00%	> 1,200	50.00%				
db x-trackers FTSE MIB Index UCITS ETF DR	0 - 740	20.00%	> 740 - 1,400	25.00%	> 1,400	50.00%				
db x-trackers FTSE Vietnam UCITS ETF	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
db x-trackers Harvest CSI300 INDEX UCITS ETF DR	0 - 970	20.00%	> 970 - 1,900	25.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%		
db x-trackers IBEX 35 Index UCITS ETF DR	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400 - 2,500	30.00%	> 2,500	50.00%		
db x-trackers II - FED Funds Effective Rate UCITS ETF	0 - 300	20.00%	> 300 - 560	25.00%	> 560	50.00%				
db x-trackers II - iBoxx Global Inflation-Linked UCITS ETF-EUR	0 - 75	20.00%	> 75 - 140	25.00%	> 140	50.00%				
db x-trackers II Emerging Markets Liquid Eurobond UCITS ETF	0 - 27	20.00%	> 27 - 100	25.00%	> 100	50.00%				
db x-trackers II iBoxx Euro Inflation-Linked UCITS ETF	0 - 78	20.00%	> 78 - 150	25.00%	> 150	50.00%				
db x-trackers II iBoxx Sovereigns Eurozone 3-5 UCITS ETF	0 - 190	20.00%	> 190 - 350	25.00%	> 350	50.00%				
db x-trackers II iBoxx Sovereigns Eurozone UCITS ETF	0 - 250	20.00%	> 250 - 570	25.00%	> 570	50.00%				
db x-trackers II IBOXX SOVEREIGNS EUROZONE YIELD PLUS 1-3 UCITS ETF	0 - 160	20.00%	> 160 - 360	25.00%	> 360	50.00%				
db x-trackers II MTS Ex-Bank of Italy BOT UCITS ETF	0 - 530	20.00%	> 530 - 1,000	25.00%	> 1,000	50.00%				
db x-trackers LPX MM Private Equity UCITS ETF	0 - 300	20.00%	> 300 - 600	25.00%	> 600	50.00%				
db x-trackers MSCI AC Asia ex Japan TRN Index UCITS ETF	0 - 440	20.00%	> 440 - 890	25.00%	> 890	50.00%				
db x-trackers MSCI China TRN Index UCITS ETF DR - 1C	0 - 1,700	20.00%	> 1,700 - 4,000	25.00%	> 4,000	50.00%				
db x-trackers MSCI China TRN Index UCITS ETF DR - 1C	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
db x-trackers MSCI Emerging Markets Index UCITS ETF - 1C	0 - 530	20.00%	> 530 - 3,200	25.00%	> 3,200	50.00%				
db x-trackers MSCI EUROPE INDEX UCITS ETF DR	0 - 140	20.00%	> 140 - 760	25.00%	> 760	50.00%				
db x-trackers MSCI Russia Capped Index UCITS ETF	0 - 110	25.00%	> 110 - 1,400	30.00%	> 1,400	50.00%				
db x-trackers MSCI USA Index UCITS ETF	0 - 260	20.00%	> 260 - 520	25.00%	> 520	50.00%				
db x-trackers MSCI USA Index UCITS ETF DR - 1C	0 - 690	20.00%	> 690 - 1,800	30.00%	> 1,800 - 4,100	45.00%	> 4,100	50.00%		
db x-trackers MSCI World Index UCITS ETF - 1C	0 - 330	20.00%	> 330 - 660	25.00%	> 660	50.00%				
db x-trackers S&P 500 Equal Weight UCITS ETF DR - 1C	0 - 440	20.00%	> 440 - 960	25.00%	> 960	50.00%				
db x-trackers S&P 500 UCITS ETF - 1C	0 - 3,400	20.00%	> 3,400 - 7,400	25.00%	> 7,400	50.00%				
db x-trackers SMI UCITS ETF DR	0 - 320	20.00%	> 320 - 720	25.00%	> 720	50.00%				
DBS Group	0 - 17,000	20.00%	> 17,000 - 34,000	40.00%	> 34,000	60.00%				
DBV Technologies SA - ADR	0 - 540	20.00%	> 540 - 1,400	65.00%	> 1,400	90.00%				
DBV Technologies SA (FR)	0 - 2,900	20.00%	> 2,900 - 6,200	25.00%	> 6,200	50.00%				
DCC PLC (GB)	0 - 2,100	20.00%	> 2,100 - 4,200	50.00%	> 4,200	90.00%				
DCM Holdings Co Ltd	0 - 18,000	20.00%	> 18,000 - 36,000	25.00%	> 36,000	50.00%				
DCP Midstream Partners LP	0 - 1,500	20.00%	> 1,500 - 8,600	25.00%	> 8,600	50.00%				
DCT Industrial Trust Inc	0 - 490	25.00%	> 490 - 1,400	30.00%	> 1,400 - 2,900	35.00%	> 2,900 - 5,900	40.00%	> 5,900	50.00%
DDR Corp	0 - 2,200	25.00%	> 2,200 - 6,800	30.00%	> 6,800 - 27,000	35.00%	> 27,000	50.00%		
De La Rue	0 - 9,200	20.00%	> 9,200 - 20,000	25.00%	> 20,000	50.00%				
DeA Capital SpA	0 - 7,200	20.00%	> 7,200 - 19,000	30.00%	> 19,000 - 43,000	45.00%	> 43,000	50.00%		
Dean Foods	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Debenhams	0 - 90,000	20.00%	> 90,000 - 180,000	45.00%	> 180,000	70.00%				
Deceuninck NV	0 - 3,200	20.00%	> 3,200 - 6,500	40.00%	> 6,500	50.00%				
Dechra Pharma	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Deckers Outdoor Corp	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Decmil Group	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	55.00%				
Deere	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800	60.00%				
Del Frisco's Restaurant Group Inc	0 - 850	20.00%	> 850 - 4,300	25.00%	> 4,300	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Del Monte Pacific Ltd	0 - 76,000	20.00%	> 76,000 - 150,000	40.00%	> 150,000	50.00%				
Delaware Enhanced Global Dividend & Income Fund	0 - 300	35.00%	> 300 - 1,800	60.00%	> 1,800	80.00%				
Delek Logistics Partners LP	0 - 350	20.00%	> 350 - 1,400	30.00%	> 1,400	50.00%				
Delek US Holdings Inc	0 - 2,000	20.00%	> 2,000 - 8,300	25.00%	> 8,300	50.00%				
Delfi Ltd	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 45,000	35.00%	> 45,000	50.00%		
Dell Technologies	0 - 1,000	20.00%	> 1,000 - 2,100	35.00%	> 2,100 - 4,200	40.00%	> 4,200	50.00%		
De'Longhi	0 - 1,500	20.00%	> 1,500 - 4,100	30.00%	> 4,100 - 9,300	45.00%	> 9,300	50.00%		
Delphi Energy Corp	0 - 4,700	25.00%	> 4,700 - 28,000	40.00%	> 28,000 - 57,000	45.00%	> 57,000	50.00%		
Delphi Techonologies PLC	0 - 2,200	20.00%	> 2,200 - 9,000	30.00%	> 9,000	50.00%				
Delta Air Lines Inc	0 - 13,000	20.00%	> 13,000 - 26,000	40.00%	> 26,000	60.00%				
Deluxe Corp	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000 - 6,000	35.00%	> 6,000	50.00%		
Dena Co Ltd	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Denali Therapeutics Inc.	0 - 960	25.00%	> 960 - 2,880	30.00%	> 2,880 - 7,675	40.00%	> 7,675 - 17,265	45.00%	> 17,265	50.00%
Denbury Resources	0 - 6,700	25.00%	> 6,700 - 20,000	30.00%	> 20,000 - 40,000	45.00%	> 40,000	50.00%		
Denison Mines Corp (CA)	0 - 20,000	20.00%	> 20,000 - 40,000	45.00%	> 40,000	50.00%				
Denka Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100 - 12,000	45.00%	> 12,000	50.00%		
Denki Kogyo Co Ltd	0 - 470	20.00%	> 470 - 2,800	25.00%	> 2,800	50.00%				
Denny's Corp	0 - 1,400	20.00%	> 1,400 - 3,800	30.00%	> 3,800 - 8,700	35.00%	> 8,700	50.00%		
Denso	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,300	40.00%	> 8,300	50.00%		
Dentsply International	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
Dentsu	0 - 4,400	20.00%	> 4,400 - 8,900	30.00%	> 8,900	50.00%				
Deoleo SA	0 - 46,000	20.00%	> 46,000 - 180,000	25.00%	> 180,000	50.00%				
Depomed Inc	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	50.00%				
Derichebourg	0 - 12,000	20.00%	> 12,000 - 24,000	65.00%	> 24,000	90.00%				
Dermira Inc	0 - 790	25.00%	> 790 - 2,300	30.00%	> 2,300 - 4,700	50.00%	> 4,700	70.00%		
Derwent London	0 - 820	35.00%	> 820 - 2,400	45.00%	> 2,400	90.00%				
Descartes Systems Group Inc/The (CA)	0 - 4,800	20.00%	> 4,800 - 10,000	25.00%	> 10,000	50.00%				
Descartes Systems Group Inc/The (US)	0 - 290	20.00%	> 290 - 670	25.00%	> 670 - 1,400	30.00%	> 1,400	50.00%		
Descente Ltd	0 - 2,100	20.00%	> 2,100 - 5,600	25.00%	> 5,600 - 12,000	30.00%	> 12,000	50.00%		
Destination Maternity Corp	0 - 1,700	20.00%	> 1,700 - 3,400	40.00%	> 3,400 - 6,900	45.00%	> 6,900	50.00%		
Detour Gold Corp	0 - 10,000	20.00%	> 10,000 - 21,000	85.00%	> 21,000	90.00%				
Deutsche Bank AG (DE)	0 - 27,000	20.00%	> 27,000 - 54,000	55.00%	> 54,000	90.00%				
Deutsche Bank AG (US)	0 - 59,000	20.00%	> 59,000 - 110,000	40.00%	> 110,000	60.00%				
Deutsche Beteiligungs AG	0 - 610	20.00%	> 610 - 1,600	30.00%	> 1,600 - 3,500	35.00%	> 3,500	50.00%		
Deutsche Boerse	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Deutsche Euroshop	0 - 930	25.00%	> 930 - 2,800	30.00%	> 2,800 - 11,000	35.00%	> 11,000	50.00%		
Deutsche Municipal Income Trust	0 - 300	30.00%	> 300 - 900	35.00%	> 900 - 3,600	40.00%	> 3,600	50.00%		
Deutsche Pfandbriefbank AG	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
Deutsche Post	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Deutsche Telekom AG (DE)	0 - 41,000	20.00%	> 41,000 - 82,000	40.00%	> 82,000	60.00%				
Deutsche Telekom AG (IT)	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Deutsche Wohnen	0 - 2,000	20.00%	> 2,000 - 8,200	30.00%	> 8,200	50.00%				
Deutsche X-trackers Harvest CSI 300 China A-Shares ETF	0 - 1,500	20.00%	> 1,500 - 5,800	25.00%	> 5,800	50.00%				
Deutsche X-trackers MSCI EAFE Hedged Equity ETF	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Deutsche X-trackers MSCI Europe Hedged Equity ETF	0 - 4,700	20.00%	> 4,700 - 10,000	25.00%	> 10,000	50.00%				
Deutsche X-trackers MSCI Japan Hedged Equity ETF	0 - 610	20.00%	> 610 - 1,600	30.00%	> 1,600 - 3,600	35.00%	> 3,600	50.00%		
Deutz	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
Devon Energy	0 - 7,400	20.00%	> 7,400 - 14,000	40.00%	> 14,000	60.00%				
Devoteam SA	0 - 84	20.00%	> 84 - 220	30.00%	> 220 - 500	40.00%	> 500	50.00%		
Devro	0 - 3,600	20.00%	> 3,600 - 15,000	25.00%	> 15,000	50.00%				
DexCom Inc	0 - 990	20.00%	> 990 - 1,900	30.00%	> 1,900	50.00%				
Dexerials Corp	0 - 4,300	20.00%	> 4,300 - 8,600	65.00%	> 8,600	90.00%				
Dexion Absolute	> 0	100.00%								
Dexus Property	0 - 4,500	25.00%	> 4,500 - 13,000	30.00%	> 13,000 - 27,000	50.00%	> 27,000	60.00%		
DFDS	0 - 1,600	20.00%	> 1,600 - 3,200	65.00%	> 3,200	75.00%				
DFS Furniture Ltd	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000	50.00%				
DHT Holdings Inc	0 - 3,700	20.00%	> 3,700 - 9,800	45.00%	> 9,800	65.00%				
Diageo PLC (GB)	0 - 17,000	20.00%	> 17,000 - 35,000	40.00%	> 35,000	60.00%				
Diageo PLC (US)	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Dialight PLC	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
Dialog Semiconductor	0 - 5,000	20.00%	> 5,000 - 10,000	50.00%	> 10,000	75.00%				
Diamond Hill Investment Group Inc	0 - 70	20.00%	> 70 - 180	60.00%	> 180	80.00%				
Diamond Offshore	0 - 4,800	20.00%	> 4,800 - 19,000	30.00%	> 19,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Diamondback Energy Inc	0 - 2,500	20.00%	> 2,500 - 5,000	40.00%	> 5,000	60.00%				
DiamondRock Hospitality Co	0 - 2,600	30.00%	> 2,600 - 8,000	35.00%	> 8,000 - 16,000	50.00%	> 16,000	60.00%		
Diana Shipping	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,700	30.00%	> 8,700	50.00%		
DiaSorin	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
DIC	0 - 3,200	20.00%	> 3,200 - 13,000	25.00%	> 13,000	50.00%				
DIC Asset	0 - 520	30.00%	> 520 - 1,500	35.00%	> 1,500 - 4,200	65.00%	> 4,200	85.00%		
Dice Holdings Inc	0 - 4,600	20.00%	> 4,600 - 9,200	45.00%	> 9,200	55.00%				
Dicerna Pharmaceuticals Inc	0 - 1,300	25.00%	> 1,300 - 4,000	30.00%	> 4,000 - 8,000	55.00%	> 8,000	70.00%		
Dick's Sporting Goods Inc	0 - 2,800	20.00%	> 2,800 - 5,700	40.00%	> 5,700	50.00%				
Diebold Inc	0 - 3,200	20.00%	> 3,200 - 8,700	25.00%	> 8,700 - 18,000	30.00%	> 18,000	50.00%		
Diebold Nixdorf	0 - 330	20.00%	> 330 - 890	50.00%	> 890	75.00%				
D'ieteren SA/NV	0 - 1,100	20.00%	> 1,100 - 6,900	25.00%	> 6,900	50.00%				
Digi International Inc	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Digimarc Corp	0 - 320	20.00%	> 320 - 870	35.00%	> 870 - 1,900	45.00%	> 1,900	50.00%		
Digital China	0 - 31,000	20.00%	> 31,000 - 84,000	50.00%	> 84,000	65.00%				
Digital Domain Holdings Ltd	0 - 670,000	20.00%	> 670,000 - 1,400,000	40.00%	> 1,400,000	55.00%				
Digital Garage Inc	0 - 2,500	20.00%	> 2,500 - 5,100	40.00%	> 5,100	55.00%				
Digital Realty Trust Inc	0 - 280	25.00%	> 280 - 850	30.00%	> 850 - 1,700	50.00%	> 1,700	65.00%		
Dignity	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400 - 13,000	30.00%	> 13,000	50.00%		
Dillard's	0 - 1,100	20.00%	> 1,100 - 2,300	75.00%	> 2,300	90.00%				
Dime Community Bancshares Inc	0 - 670	20.00%	> 670 - 1,700	45.00%	> 1,700	60.00%				
DineEquity Inc	0 - 1,300	20.00%	> 1,300 - 5,000	25.00%	> 5,000	50.00%				
Dino Polska SA	0 - 3,500	20.00%	> 3,500 - 7,100	35.00%	> 7,100 - 14,000	40.00%	> 14,000	50.00%		
Diodes Inc	0 - 1,600	20.00%	> 1,600 - 9,800	30.00%	> 9,800	50.00%				
Diös Fastigheter	0 - 880	25.00%	> 880 - 2,600	30.00%	> 2,600 - 7,000	35.00%	> 7,000 - 15,000	40.00%	> 15,000	50.00%
Diploma	0 - 1,400	20.00%	> 1,400 - 3,900	75.00%	> 3,900	90.00%				
Diplomat Pharmacy Inc	0 - 2,700	20.00%	> 2,700 - 6,500	25.00%	> 6,500 - 14,000	30.00%	> 14,000	50.00%		
Direct Line Insurance Group	0 - 44,000	20.00%	> 44,000 - 88,000	25.00%	> 88,000	50.00%				
DIRTT Environmental Solutions	0 - 2,100	20.00%	> 2,100 - 5,500	25.00%	> 5,500 - 12,000	30.00%	> 12,000	50.00%		
Disco	0 - 550	20.00%	> 550 - 1,100	30.00%	> 1,100 - 2,200	35.00%	> 2,200	50.00%		
Discover Financial Services	0 - 470	25.00%	> 470 - 1,400	40.00%	> 1,400 - 2,800	85.00%	> 2,800	90.00%		
DiscoverIE Group PLC	0 - 2,500	20.00%	> 2,500 - 15,000	25.00%	> 15,000	50.00%				
Discovery	0 - 3,600	20.00%	> 3,600 - 7,200	30.00%	> 7,200 - 14,000	35.00%	> 14,000	50.00%		
Discovery Communications Inc	0 - 7,800	20.00%	> 7,800 - 15,000	25.00%	> 15,000	50.00%				
DISH Network	0 - 2,900	20.00%	> 2,900 - 5,900	35.00%	> 5,900	55.00%				
Distribuidora Internacional de Alimentacion SA	0 - 39,000	20.00%	> 39,000 - 78,000	30.00%	> 78,000 - 150,000	45.00%	> 150,000	50.00%		
Ditech Holdings Corp	0 - 1,700	20.00%	> 1,700 - 3,500	30.00%	> 3,500 - 7,100	35.00%	> 7,100	50.00%		
Diverse Income Trust Plc	0 - 2,400	45.00%	> 2,400 - 7,200	60.00%	> 7,200	90.00%				
Dixons Carphone PLC	0 - 80,000	20.00%	> 80,000 - 160,000	25.00%	> 160,000	50.00%				
Djerriwarrh Investments	0 - 1,200	35.00%	> 1,200 - 3,800	40.00%	> 3,800 - 7,700	70.00%	> 7,700	90.00%		
DKSH Holding AG	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800 - 5,600	40.00%	> 5,600	50.00%		
DMG Mori Co Ltd	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
DMX Technologies	> 0	100.00%								
DNB ASA	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000	50.00%				
DNB ASA (NO)	0 - 17,000	20.00%	> 17,000 - 34,000	40.00%	> 34,000	60.00%				
DNO ASA	0 - 1,700	25.00%	> 1,700 - 5,100	30.00%	> 5,100 - 10,000	40.00%	> 10,000	50.00%		
DNO ASA (NO)	0 - 100,000	20.00%	> 100,000 - 200,000	40.00%	> 200,000	60.00%				
DNP Select Income Fund Inc	0 - 300	35.00%	> 300 - 910	40.00%	> 910 - 1,900	50.00%	> 1,900	60.00%		
DO & CO AG	0 - 570	20.00%	> 570 - 1,100	40.00%	> 1,100	60.00%				
DOF	0 - 21,000	20.00%	> 21,000 - 43,000	35.00%	> 43,000 - 79,000	45.00%	> 79,000	50.00%		
Dolby Laboratories Inc	0 - 2,600	20.00%	> 2,600 - 5,700	25.00%	> 5,700	50.00%				
Dollar General	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Dollar Tree	0 - 3,800	20.00%	> 3,800 - 7,600	40.00%	> 7,600	60.00%				
Dollarama Inc	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300 - 10,000	45.00%	> 10,000	50.00%		
Domain Holdings	0 - 16,000	20.00%	> 16,000 - 44,000	25.00%	> 44,000 - 100,000	30.00%	> 100,000	50.00%		
Dometic Group AB	0 - 10,000	20.00%	> 10,000 - 21,000	30.00%	> 21,000	55.00%				
Dominion Midstream Partners LP	0 - 3,900	20.00%	> 3,900 - 22,000	25.00%	> 22,000	50.00%				
Dominion Resources	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,600	45.00%	> 5,600	50.00%		
Domino UK & IRL	0 - 42,000	20.00%	> 42,000 - 85,000	25.00%	> 85,000	50.00%				
Dominos Pizza	0 - 9,200	20.00%	> 9,200 - 18,000	40.00%	> 18,000	60.00%				
Domino's Pizza Inc	0 - 350	20.00%	> 350 - 700	25.00%	> 700 - 1,400	40.00%	> 1,400	50.00%		
Domtar Corp	0 - 750	20.00%	> 750 - 2,000	40.00%	> 2,000	60.00%				
Domtar Corp	0 - 2,000	20.00%	> 2,000 - 4,100	30.00%	> 4,100 - 8,200	45.00%	> 8,200	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Don Quijote Holdings Co Ltd	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,200	40.00%	> 8,200	50.00%		
Donaco International Ltd	0 - 80,000	20.00%	> 80,000 - 160,000	30.00%	> 160,000 - 320,000	35.00%	> 320,000	50.00%		
Donaldson Co Inc	0 - 2,100	20.00%	> 2,100 - 4,300	45.00%	> 4,300	60.00%				
Dongfang Electric	0 - 25,000	20.00%	> 25,000 - 51,000	25.00%	> 51,000 - 95,000	30.00%	> 95,000	50.00%		
Dongfeng Motor Group	0 - 200,000	20.00%	> 200,000 - 400,000	25.00%	> 400,000	50.00%				
Dongjiang Environmental	0 - 13,000	20.00%	> 13,000 - 27,000	30.00%	> 27,000 - 50,000	35.00%	> 50,000	50.00%		
Dongyue Group	0 - 120,000	20.00%	> 120,000 - 250,000	40.00%	> 250,000	65.00%				
Dorchester Minerals LP	0 - 170	25.00%	> 170 - 510	30.00%	> 510 - 1,000	45.00%	> 1,000	50.00%		
Dorel Industries Inc	0 - 630	20.00%	> 630 - 1,600	25.00%	> 1,600 - 3,800	30.00%	> 3,800	50.00%		
Dorian LPG Ltd	0 - 1,200	20.00%	> 1,200 - 7,000	30.00%	> 7,000	50.00%				
Dorma and Kaba Holding AG	0 - 130	20.00%	> 130 - 550	35.00%	> 550	50.00%				
Dorman Products Inc	0 - 710	20.00%	> 710 - 1,900	25.00%	> 1,900 - 4,200	35.00%	> 4,200	50.00%		
Doro AB	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,300	30.00%	> 8,300	50.00%		
Doshisha Co Ltd	0 - 960	20.00%	> 960 - 2,500	40.00%	> 2,500	55.00%				
DoubleLine Income Solutions Fund	0 - 300	45.00%	> 300 - 920	55.00%	> 920	90.00%				
DoubleLine Opportunistic Credit Fund	0 - 150	30.00%	> 150 - 940	35.00%	> 940 - 1,800	40.00%	> 1,800	50.00%		
Douglas Dynamics Inc	0 - 750	20.00%	> 750 - 1,600	25.00%	> 1,600	50.00%				
Douglas Emmett Inc	0 - 820	25.00%	> 820 - 4,900	45.00%	> 4,900	65.00%				
Doutor Nichires Holdings Co Lt	0 - 5,200	20.00%	> 5,200 - 11,000	25.00%	> 11,000	50.00%				
Dover	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Dowa Holdings	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
DowDuPont	0 - 9,900	20.00%	> 9,900 - 19,000	40.00%	> 19,000	60.00%				
Downer EDI	0 - 18,000	20.00%	> 18,000 - 37,000	30.00%	> 37,000 - 74,000	35.00%	> 74,000	50.00%		
Dr Pepper Snapple Group	0 - 4,100	20.00%	> 4,100 - 8,200	30.00%	> 8,200	60.00%				
Dr Reddy's Laboratories Ltd	0 - 2,400	20.00%	> 2,400 - 5,400	25.00%	> 5,400 - 11,000	30.00%	> 11,000	50.00%		
Draegerwerk	0 - 430	20.00%	> 430 - 970	30.00%	> 970 - 1,800	35.00%	> 1,800	50.00%		
Draegerwerk AG & Co KGaA	0 - 160	20.00%	> 160 - 330	35.00%	> 330	55.00%				
Drax Group	0 - 17,000	20.00%	> 17,000 - 38,000	35.00%	> 38,000 - 80,000	45.00%	> 80,000	50.00%		
Dream Global Real Estate Investment Trust	0 - 2,700	25.00%	> 2,700 - 8,100	30.00%	> 8,100 - 17,000	35.00%	> 17,000 - 35,000	40.00%	> 35,000	50.00%
Dream Hard Asset Alternatives Trust	0 - 620	25.00%	> 620 - 1,800	30.00%	> 1,800 - 3,700	35.00%	> 3,700 - 7,500	40.00%	> 7,500	50.00%
Dream Industrial Real Estate Investment Trust	0 - 1,000	25.00%	> 1,000 - 8,100	30.00%	> 8,100 - 17,000	35.00%	> 17,000	50.00%		
Dream Office Real Estate Investment Trust	0 - 1,100	25.00%	> 1,100 - 3,400	30.00%	> 3,400 - 15,000	35.00%	> 15,000	50.00%		
Dream Unlimited Corp	0 - 460	25.00%	> 460 - 1,300	30.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%		
Dreyfus High Yield Strategies Fund	0 - 1,000	35.00%	> 1,000 - 12,000	45.00%	> 12,000	50.00%				
Dreyfus Municipal Bond Infrastructure Fund Inc	0 - 260	30.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
Dreyfus Municipal Income Inc	0 - 410	30.00%	> 410 - 1,200	35.00%	> 1,200 - 4,900	40.00%	> 4,900	50.00%		
Dreyfus Strategic Municipal Bond Fund Inc	0 - 430	30.00%	> 430 - 1,300	35.00%	> 1,300 - 2,600	40.00%	> 2,600 - 5,200	45.00%	> 5,200	50.00%
Dreyfus Strategic Municipals Inc	0 - 430	30.00%	> 430 - 5,100	40.00%	> 5,100	50.00%				
Drillisch	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400 - 6,800	35.00%	> 6,800	50.00%		
Dril-Quip Inc	0 - 3,100	20.00%	> 3,100 - 6,700	25.00%	> 6,700	50.00%				
Drive Shack Inc	0 - 2,800	20.00%	> 2,800 - 7,700	30.00%	> 7,700 - 16,000	35.00%	> 16,000	50.00%		
Dropbox Inc.	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
DryShips	0 - 4,300	20.00%	> 4,300 - 11,000	30.00%	> 11,000 - 25,000	40.00%	> 25,000	50.00%		
DS Smith	0 - 30,000	20.00%	> 30,000 - 61,000	25.00%	> 61,000	50.00%				
DSM	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
DSP Group Inc	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900 - 5,600	30.00%	> 5,600	50.00%		
DST Systems Inc	0 - 1,100	20.00%	> 1,100 - 2,300	35.00%	> 2,300 - 4,700	45.00%	> 4,700	50.00%		
DSV	0 - 1,200	20.00%	> 1,200 - 5,000	25.00%	> 5,000	50.00%				
DSW Inc	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000	50.00%				
DTE Energy	0 - 930	20.00%	> 930 - 1,800	30.00%	> 1,800 - 3,700	40.00%	> 3,700	50.00%		
DTS Corp	0 - 2,500	20.00%	> 2,500 - 5,400	25.00%	> 5,400	50.00%				
Ducommun Inc	0 - 95	45.00%	> 95 - 280	70.00%	> 280	90.00%				
Duerr	0 - 2,200	20.00%	> 2,200 - 4,400	30.00%	> 4,400 - 8,800	40.00%	> 8,800	50.00%		
Duff & Phelps Global Utility Income Fund Inc	0 - 230	35.00%	> 230 - 2,700	45.00%	> 2,700	50.00%				
Duff & Phelps Select Energy MLP Fund Inc	0 - 590	30.00%	> 590 - 1,700	35.00%	> 1,700 - 7,000	40.00%	> 7,000	50.00%		
Duff & Phelps Utility and Corporate Bond Trust Inc	0 - 390	35.00%	> 390 - 2,300	45.00%	> 2,300	50.00%				
Dufry AG	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
Duke Energy	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Duke Realty Corp	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800 - 13,000	30.00%	> 13,000	50.00%		
DuluxGroup	0 - 34,000	20.00%	> 34,000 - 69,000	25.00%	> 69,000	50.00%				
Dun & Bradstreet	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
Dundee Corp	0 - 9,200	20.00%	> 9,200 - 18,000	35.00%	> 18,000	55.00%				
Dundee Precious Metals Inc	0 - 4,400	20.00%	> 4,400 - 8,800	35.00%	> 8,800 - 17,000	45.00%	> 17,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Dunedin Income Growth Investment Trust	0 - 970	40.00%	> 970 - 2,900	45.00%	> 2,900 - 6,600	65.00%	> 6,600	70.00%		
Dunelm Group	0 - 8,000	20.00%	> 8,000 - 46,000	25.00%	> 46,000	50.00%				
Duni	0 - 760	20.00%	> 760 - 1,600	35.00%	> 1,600 - 3,500	40.00%	> 3,500	50.00%		
Dunkin' Brands Group Inc	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
Duro Felguera	0 - 230,000	20.00%	> 230,000 - 1,300,000	25.00%	> 1,300,000	50.00%				
Duskin Co Ltd	0 - 1,700	20.00%	> 1,700 - 4,600	80.00%	> 4,600	90.00%				
Dustin Group AB	0 - 3,300	20.00%	> 3,300 - 18,000	25.00%	> 18,000	50.00%				
DXC Technologies	0 - 1,100	20.00%	> 1,100 - 2,300	80.00%	> 2,300	90.00%				
DXP Enterprises Inc	0 - 510	20.00%	> 510 - 1,300	25.00%	> 1,300 - 3,000	30.00%	> 3,000	50.00%		
Dycom Industries Inc	0 - 1,000	20.00%	> 1,000 - 4,000	25.00%	> 4,000	50.00%				
Dydo Drinco Inc	0 - 960	20.00%	> 960 - 2,200	60.00%	> 2,200	70.00%				
Dynagas LNG Partners LP	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Dynagreen Environmental Protection Group Co Ltd	0 - 29,000	20.00%	> 29,000 - 100,000	30.00%	> 100,000	50.00%				
Dynam Japan Holdings Co Ltd	0 - 10,000	20.00%	> 10,000 - 27,000	35.00%	> 27,000	50.00%				
Dynamic Materials Corp	0 - 670	20.00%	> 670 - 1,700	25.00%	> 1,700 - 3,500	30.00%	> 3,500	50.00%		
Dynasty Fine Wines	> 0	100.00%								
Dynavax Technologies Corp	0 - 4,800	20.00%	> 4,800 - 17,000	25.00%	> 17,000	50.00%				
Dynegy Inc	0 - 7,700	20.00%	> 7,700 - 15,000	65.00%	> 15,000	90.00%				
Dynex Capital Inc	0 - 510	35.00%	> 510 - 1,500	45.00%	> 1,500	90.00%				
E.ON SE (DE)	0 - 29,000	20.00%	> 29,000 - 58,000	40.00%	> 58,000	60.00%				
E.ON SE (IT)	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
E.W. Scripps	0 - 2,100	20.00%	> 2,100 - 5,600	25.00%	> 5,600 - 12,000	30.00%	> 12,000	50.00%		
Eagle Bancorp Inc	0 - 650	20.00%	> 650 - 1,700	25.00%	> 1,700 - 3,900	35.00%	> 3,900	50.00%		
Eagle Bulk Shipping Inc	0 - 1,900	20.00%	> 1,900 - 5,300	40.00%	> 5,300	75.00%				
Eagle Industry Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,900	65.00%	> 2,900	75.00%				
Eagle Materials Inc	0 - 920	20.00%	> 920 - 1,800	30.00%	> 1,800 - 3,700	35.00%	> 3,700	50.00%		
Earth Chemical Co Ltd	0 - 530	20.00%	> 530 - 1,400	50.00%	> 1,400	75.00%				
Earthport PLC	0 - 75,000	20.00%	> 75,000 - 150,000	25.00%	> 150,000 - 300,000	30.00%	> 300,000	50.00%		
East Japan Railway	0 - 1,100	20.00%	> 1,100 - 2,200	55.00%	> 2,200	90.00%				
East West Bancorp Inc	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
EastGroup Properties Inc	0 - 340	25.00%	> 340 - 1,000	30.00%	> 1,000 - 2,000	40.00%	> 2,000 - 4,100	45.00%	> 4,100	50.00%
Eastman Chemical	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Eastman Kodak Co	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000 - 10,000	35.00%	> 10,000	50.00%		
Eastnine AB	0 - 930	20.00%	> 930 - 1,800	65.00%	> 1,800	90.00%				
EasyETF FTSE EPRA Eurozone	0 - 4,600	20.00%	> 4,600 - 8,900	25.00%	> 8,900	50.00%				
EasyJet	0 - 30,000	20.00%	> 30,000 - 61,000	40.00%	> 61,000	60.00%				
Eaton	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Eaton Vance California Municipal Bond Fund	0 - 320	35.00%	> 320 - 1,900	50.00%	> 1,900	55.00%				
Eaton Vance Corp	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
Eaton Vance Enhanced Equity Income Fund	0 - 210	30.00%	> 210 - 630	35.00%	> 630 - 2,500	40.00%	> 2,500	50.00%		
Eaton Vance Enhanced Equity Income Fund II	0 - 190	30.00%	> 190 - 570	35.00%	> 570 - 1,400	40.00%	> 1,400 - 3,000	45.00%	> 3,000	50.00%
Eaton Vance Floating-Rate Income Trust	0 - 230	30.00%	> 230 - 2,700	40.00%	> 2,700	50.00%				
Eaton Vance Limited Duration Income Fund	0 - 260	30.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
Eaton Vance Municipal Bond Fund	0 - 280	30.00%	> 280 - 3,300	35.00%	> 3,300	50.00%				
Eaton Vance Municipal Income 2028 Term Trust	0 - 170	30.00%	> 170 - 1,000	35.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%		
Eaton Vance Municipal Income Trust	0 - 270	30.00%	> 270 - 3,300	40.00%	> 3,300	50.00%				
Eaton Vance National Municipal Opportunities Trust	0 - 160	30.00%	> 160 - 980	35.00%	> 980 - 1,900	40.00%	> 1,900	50.00%		
Eaton Vance Risk-Managed Diversified Equity Income Fund	0 - 340	30.00%	> 340 - 2,400	35.00%	> 2,400 - 5,300	40.00%	> 5,300	50.00%		
Eaton Vance Senior Floating-Rate Trust	0 - 230	30.00%	> 230 - 2,800	40.00%	> 2,800	50.00%				
Eaton Vance Senior Income Trust	0 - 520	30.00%	> 520 - 6,200	35.00%	> 6,200	50.00%				
Eaton Vance Short Duration Diversified Income Fund	0 - 250	30.00%	> 250 - 3,000	35.00%	> 3,000	50.00%				
Eaton Vance Tax Managed Global Buy Write Opportunities Fund	0 - 270	30.00%	> 270 - 4,200	35.00%	> 4,200	50.00%				
Eaton Vance Tax-Advantaged Dividend Income Fund	0 - 140	30.00%	> 140 - 1,900	40.00%	> 1,900	50.00%				
Eaton Vance Tax-Advantaged Global Dividend Income Fund	0 - 190	30.00%	> 190 - 570	35.00%	> 570 - 2,300	40.00%	> 2,300	50.00%		
Eaton Vance Tax-Managed Buy-Write Income Fund	0 - 200	30.00%	> 200 - 620	35.00%	> 620 - 2,400	40.00%	> 2,400	50.00%		
Eaton Vance Tax-Managed Buy-Write Opportunities Fund	0 - 240	30.00%	> 240 - 4,400	35.00%	> 4,400	50.00%				
Eaton Vance Tax-Managed Diversified Equity Income Fund	0 - 320	30.00%	> 320 - 5,700	35.00%	> 5,700	50.00%				
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	0 - 570	30.00%	> 570 - 1,700	35.00%	> 1,700 - 4,600	40.00%	> 4,600 - 10,000	45.00%	> 10,000	50.00%
Ebara	0 - 6,400	20.00%	> 6,400 - 12,000	25.00%	> 12,000	50.00%				
eBay	0 - 17,000	20.00%	> 17,000 - 35,000	40.00%	> 35,000	60.00%				
Ebix Inc	0 - 800	20.00%	> 800 - 2,000	30.00%	> 2,000 - 4,400	35.00%	> 4,400	50.00%		
Ebos Group	0 - 910	20.00%	> 910 - 2,400	25.00%	> 2,400 - 5,400	30.00%	> 5,400	50.00%		
Ebro Foods	0 - 6,000	20.00%	> 6,000 - 13,000	25.00%	> 13,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Echo Global Logistics Inc	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Echo Investment SA	0 - 2,800	30.00%	> 2,800 - 8,500	35.00%	> 8,500 - 17,000	55.00%	> 17,000	80.00%		
EchoStar Corp	0 - 1,900	20.00%	> 1,900 - 4,000	35.00%	> 4,000 - 8,200	45.00%	> 8,200	50.00%		
Eclipse Resources Corp	0 - 5,500	20.00%	> 5,500 - 11,000	30.00%	> 11,000 - 24,000	35.00%	> 24,000	50.00%		
Eclipx Group Ltd	0 - 20,000	20.00%	> 20,000 - 44,000	45.00%	> 44,000	50.00%				
ECN Capital Corp	0 - 14,000	20.00%	> 14,000 - 75,000	35.00%	> 75,000	50.00%				
Ecofin Global Utilities and Infrastructure Trust PLC	0 - 2,000	35.00%	> 2,000 - 24,000	45.00%	> 24,000	50.00%				
Ecolab	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Econocom Group SA/NV	0 - 22,000	20.00%	> 22,000 - 51,000	25.00%	> 51,000	50.00%				
Ecopetrol SA	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500 - 19,000	30.00%	> 19,000	50.00%		
EDAG Engineering Group AG	0 - 520	20.00%	> 520 - 2,000	25.00%	> 2,000	50.00%				
Edenred	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
EDF	0 - 46,000	20.00%	> 46,000 - 92,000	40.00%	> 92,000	60.00%				
Edinburgh Dragon Trust	0 - 1,200	30.00%	> 1,200 - 3,600	35.00%	> 3,600 - 21,000	45.00%	> 21,000	50.00%		
Edinburgh Investment Trust	0 - 1,700	30.00%	> 1,700 - 13,000	35.00%	> 13,000 - 31,000	40.00%	> 31,000	50.00%		
Edinburgh Worldwide Investment Trust PLC	0 - 700	30.00%	> 700 - 12,000	35.00%	> 12,000	50.00%				
EDION Corp	0 - 7,800	20.00%	> 7,800 - 15,000	35.00%	> 15,000 - 29,000	45.00%	> 29,000	50.00%		
Edison International	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
EDP	0 - 160,000	20.00%	> 160,000 - 320,000	40.00%	> 320,000	60.00%				
EDP Renovaveis	0 - 2,900	20.00%	> 2,900 - 7,800	25.00%	> 7,800 - 17,000	40.00%	> 17,000	50.00%		
eDreams ODIGEO SA	0 - 5,300	20.00%	> 5,300 - 12,000	30.00%	> 12,000 - 24,000	40.00%	> 24,000	50.00%		
Education Realty Trust Inc	0 - 790	25.00%	> 790 - 2,300	30.00%	> 2,300 - 4,700	45.00%	> 4,700	60.00%		
Edwards Lifesciences	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
EF Realisation Company Ltd	0 - 4,300	35.00%	> 4,300 - 26,000	45.00%	> 26,000	50.00%				
EFG International AG	0 - 4,800	20.00%	> 4,800 - 29,000	30.00%	> 29,000	50.00%				
EGShares Beyond BRICs ETF	0 - 180	25.00%	> 180 - 560	30.00%	> 560 - 1,100	45.00%	> 1,100	50.00%		
EGShares Emerging Markets Consumer ETF	0 - 390	20.00%	> 390 - 1,500	30.00%	> 1,500	50.00%				
eHealth Inc	0 - 740	20.00%	> 740 - 1,900	50.00%	> 1,900	90.00%				
Ehime Bank Ltd/The	0 - 900	20.00%	> 900 - 2,000	40.00%	> 2,000	60.00%				
EI Group plc	0 - 34,000	20.00%	> 34,000 - 76,000	25.00%	> 76,000	50.00%				
Ei Towers SpA	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Eiffage	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Eiger BioPharmaceuticals Inc	0 - 880	20.00%	> 880 - 1,900	35.00%	> 1,900 - 3,700	40.00%	> 3,700	50.00%		
Eighteenth Bank Ltd/The	0 - 4,000	20.00%	> 4,000 - 8,100	80.00%	> 8,100	90.00%				
Eiken Chemical Co Ltd	0 - 560	25.00%	> 560 - 4,200	40.00%	> 4,200 - 8,600	45.00%	> 8,600	50.00%		
Eisai	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000 - 4,100	40.00%	> 4,100	50.00%		
Eizo Corp	0 - 1,100	20.00%	> 1,100 - 2,600	35.00%	> 2,600	50.00%				
Ekornes ASA	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500 - 10,000	30.00%	> 10,000	50.00%		
E-L Financial Corp Ltd	0 - 39	20.00%	> 39 - 85	25.00%	> 85	50.00%				
El Paso Electric Co	0 - 1,000	20.00%	> 1,000 - 2,700	35.00%	> 2,700	65.00%				
El Pollo Loco Holding	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Elbit Systems Ltd	0 - 350	20.00%	> 350 - 950	35.00%	> 950 - 2,100	45.00%	> 2,100	50.00%		
Elders Ltd	0 - 19,000	20.00%	> 19,000 - 36,000	25.00%	> 36,000	50.00%				
Eldorado Gold Corp (CA)	0 - 19,000	20.00%	> 19,000 - 52,000	25.00%	> 52,000 - 110,000	30.00%	> 110,000	50.00%		
Eldorado Gold Corp (US)	0 - 13,000	20.00%	> 13,000 - 34,000	55.00%	> 34,000	90.00%				
Elecom Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,600	25.00%	> 2,600 - 6,000	30.00%	> 6,000	50.00%		
Electra Private Equity PLC	0 - 270	35.00%	> 270 - 830	40.00%	> 830 - 1,900	55.00%	> 1,900	60.00%		
Electric Power Development Co	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Electro Scientific Industries Inc	0 - 4,700	20.00%	> 4,700 - 8,600	25.00%	> 8,600	50.00%				
Electrocomponents	0 - 10,000	20.00%	> 10,000 - 20,000	55.00%	> 20,000	80.00%				
Electrolux B	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
Electronic Arts	0 - 4,400	20.00%	> 4,400 - 8,900	40.00%	> 8,900	60.00%				
Electronics for Imaging Inc	0 - 2,400	20.00%	> 2,400 - 10,000	25.00%	> 10,000	50.00%				
Elekta B	0 - 7,200	20.00%	> 7,200 - 14,000	75.00%	> 14,000	90.00%				
Element Financial Corp	0 - 21,000	20.00%	> 21,000 - 43,000	30.00%	> 43,000 - 86,000	40.00%	> 86,000	50.00%		
Elementis	0 - 14,000	20.00%	> 14,000 - 38,000	65.00%	> 38,000	90.00%				
ELEMENTS Linked to the Rogers International Commodity Index - Total Return	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600 - 7,200	30.00%	> 7,200	50.00%		
Eli Lilly	0 - 3,600	20.00%	> 3,600 - 7,300	40.00%	> 7,300	60.00%				
Elia System Operator SA/NV	0 - 400	20.00%	> 400 - 1,000	25.00%	> 1,000 - 2,400	40.00%	> 2,400	50.00%		
Elior Participations SCA	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
Elis Services SA	0 - 4,300	20.00%	> 4,300 - 8,600	45.00%	> 8,600	60.00%				
Elisa	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
Ellie Mae Inc	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Ellington Financial LLC	0 - 630	20.00%	> 630 - 1,200	40.00%	> 1,200	60.00%				
Elmos Semiconductor AG	0 - 420	20.00%	> 420 - 1,000	25.00%	> 1,000 - 2,300	30.00%	> 2,300	50.00%		
ElringKlinger	0 - 530	30.00%	> 530 - 1,500	45.00%	> 1,500 - 4,200	80.00%	> 4,200	85.00%		
Eltel AB	0 - 5,000	20.00%	> 5,000 - 13,000	35.00%	> 13,000 - 30,000	45.00%	> 30,000	50.00%		
Embraer	0 - 4,500	20.00%	> 4,500 - 9,100	80.00%	> 9,100	90.00%				
EMC Insurance Group Inc	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400	50.00%				
EMCOR Group Inc	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Emeco	0 - 100,000	20.00%	> 100,000 - 280,000	35.00%	> 280,000	50.00%				
Emera Inc	0 - 3,000	20.00%	> 3,000 - 6,100	55.00%	> 6,100	90.00%				
Emerchants Ltd	0 - 26,000	20.00%	> 26,000 - 56,000	25.00%	> 56,000	50.00%				
Emerge Energy Services LP	0 - 1,400	20.00%	> 1,400 - 2,900	40.00%	> 2,900	65.00%				
Emergent Biosolutions Inc	0 - 600	30.00%	> 600 - 1,800	35.00%	> 1,800 - 3,600	55.00%	> 3,600	65.00%		
Emerson Electric	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,600	35.00%	> 5,600	50.00%		
EMGS	0 - 26,000	20.00%	> 26,000 - 52,000	30.00%	> 52,000 - 100,000	40.00%	> 100,000	50.00%		
EMIS Group PLC	0 - 2,800	20.00%	> 2,800 - 5,700	65.00%	> 5,700	90.00%				
Emmi AG	0 - 130	20.00%	> 130 - 310	25.00%	> 310	50.00%				
Emperor Capital Group Ltd	0 - 320,000	20.00%	> 320,000 - 650,000	25.00%	> 650,000	50.00%				
Emperor International Holdings Ltd	0 - 34,000	20.00%	> 34,000 - 130,000	30.00%	> 130,000	50.00%				
Emperor Watch & Jewellery Ltd	0 - 220,000	20.00%	> 220,000 - 1,300,000	25.00%	> 1,300,000	50.00%				
Empire Co Ltd	0 - 4,900	20.00%	> 4,900 - 9,800	25.00%	> 9,800 - 19,000	30.00%	> 19,000	50.00%		
Empire State Realty Trust Inc	0 - 1,900	25.00%	> 1,900 - 5,700	30.00%	> 5,700 - 11,000	45.00%	> 11,000	70.00%		
Empiric Student Property PLC	0 - 4,200	25.00%	> 4,200 - 12,000	30.00%	> 12,000 - 76,000	35.00%	> 76,000	50.00%		
Employers Holdings Inc	0 - 570	20.00%	> 570 - 1,500	25.00%	> 1,500 - 3,400	30.00%	> 3,400	50.00%		
Empresa Nacional de Electricidad SA/Chile	0 - 480	20.00%	> 480 - 1,900	30.00%	> 1,900	50.00%				
EMS-Chemie Holding AG	0 - 150	20.00%	> 150 - 310	35.00%	> 310	60.00%				
Enable Midstream Partners LP	0 - 5,700	20.00%	> 5,700 - 11,000	35.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%		
Enagas	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000	50.00%				
Enanta Pharmaceuticals Inc	0 - 800	20.00%	> 800 - 1,600	25.00%	> 1,600 - 3,200	30.00%	> 3,200	50.00%		
Enav SpA	0 - 10,000	20.00%	> 10,000 - 29,000	35.00%	> 29,000	55.00%				
Enbridge Energy Management LLC	0 - 5,100	20.00%	> 5,100 - 11,000	25.00%	> 11,000	50.00%				
Enbridge Energy Partners LP	0 - 6,700	20.00%	> 6,700 - 15,000	50.00%	> 15,000	70.00%				
Enbridge Inc (CA)	0 - 9,300	20.00%	> 9,300 - 18,000	45.00%	> 18,000	60.00%				
Enbridge Inc (US)	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Enbridge Income Fund Holdings Inc	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
Encana Corp (CA)	0 - 7,500	20.00%	> 7,500 - 30,000	25.00%	> 30,000	50.00%				
Encana Corp (US)	0 - 7,500	20.00%	> 7,500 - 15,000	35.00%	> 15,000 - 30,000	40.00%	> 30,000	50.00%		
Encavis AG	0 - 2,200	20.00%	> 2,200 - 5,900	30.00%	> 5,900 - 13,000	35.00%	> 13,000	50.00%		
Ence Energia Y Celulosa	0 - 21,000	20.00%	> 21,000 - 42,000	25.00%	> 42,000	50.00%				
Encompass Health Corp	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Encore Capital Group Inc	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
Encore Wire Corp	0 - 410	20.00%	> 410 - 1,100	35.00%	> 1,100	55.00%				
Endeavour Mining Corp	0 - 3,400	20.00%	> 3,400 - 9,100	30.00%	> 9,100 - 20,000	40.00%	> 20,000	50.00%		
Endeavour Silver Corp (CA)	0 - 3,900	20.00%	> 3,900 - 10,000	40.00%	> 10,000	50.00%				
Endeavour Silver Corp (US)	0 - 4,300	20.00%	> 4,300 - 11,000	60.00%	> 11,000	80.00%				
Endesa	0 - 14,000	20.00%	> 14,000 - 28,000	50.00%	> 28,000	70.00%				
Endo Health Solutions Inc	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000	50.00%				
Endocyte	0 - 1,400	30.00%	> 1,400 - 4,200	35.00%	> 4,200 - 8,500	60.00%	> 8,500	80.00%		
Endologix Inc	0 - 2,500	20.00%	> 2,500 - 6,800	60.00%	> 6,800	90.00%				
Endurance International Group Holdings Inc	0 - 2,800	20.00%	> 2,800 - 7,500	80.00%	> 7,500	90.00%				
Enea SA	0 - 7,200	20.00%	> 7,200 - 19,000	55.00%	> 19,000	80.00%				
Enel	0 - 110,000	20.00%	> 110,000 - 230,000	40.00%	> 230,000	60.00%				
EnerCare Inc	0 - 6,800	20.00%	> 6,800 - 15,000	25.00%	> 15,000	50.00%				
Enerchina Holdings Ltd	0 - 170,000	20.00%	> 170,000 - 370,000	35.00%	> 370,000 - 780,000	45.00%	> 780,000	50.00%		
Enerflex Ltd	0 - 2,400	20.00%	> 2,400 - 6,400	55.00%	> 6,400	70.00%				
Energa SA	0 - 9,900	20.00%	> 9,900 - 26,000	25.00%	> 26,000 - 59,000	30.00%	> 59,000	50.00%		
Energen Corp	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Energizer Holdings Inc	0 - 1,500	20.00%	> 1,500 - 6,300	25.00%	> 6,300	50.00%				
Energous Corp	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500 - 6,400	35.00%	> 6,400	50.00%		
Energy Resources Of Australia	0 - 10,000	25.00%	> 10,000 - 31,000	35.00%	> 31,000 - 62,000	75.00%	> 62,000	90.00%		
Energy Select Sector SPDR Fund	0 - 4,300	20.00%	> 4,300 - 8,600	40.00%	> 8,600	60.00%				
Energy Transfer Equity LP	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Energy Transfer Partners LP	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Energy World Corporation	0 - 81,000	20.00%	> 81,000 - 160,000	30.00%	> 160,000 - 320,000	35.00%	> 320,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Enerplus Corp (CA)	0 - 2,500	30.00%	> 2,500 - 7,500	35.00%	> 7,500 - 15,000	50.00%	> 15,000	60.00%		
Enerplus Corp (US)	0 - 1,400	25.00%	> 1,400 - 4,200	30.00%	> 4,200 - 25,000	35.00%	> 25,000	50.00%		
Enersis Americas SA	0 - 10,000	20.00%	> 10,000 - 23,000	25.00%	> 23,000	50.00%				
EnerSys Inc	0 - 1,100	20.00%	> 1,100 - 2,400	30.00%	> 2,400 - 4,900	40.00%	> 4,900	50.00%		
Enghouse Systems Ltd	0 - 1,900	20.00%	> 1,900 - 4,400	25.00%	> 4,400	50.00%				
Engie	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000 - 25,000	30.00%	> 25,000	50.00%		
Engility Holdings Inc	0 - 630	20.00%	> 630 - 1,600	25.00%	> 1,600 - 3,800	30.00%	> 3,800	50.00%		
ENI	0 - 34,000	20.00%	> 34,000 - 69,000	40.00%	> 69,000	60.00%				
Eni SpA	0 - 670	20.00%	> 670 - 1,700	45.00%	> 1,700	60.00%				
Eniro	0 - 42,000	20.00%	> 42,000 - 85,000	25.00%	> 85,000	50.00%				
en-japan Inc	0 - 2,100	20.00%	> 2,100 - 4,200	50.00%	> 4,200	80.00%				
EnLink Midstream LLC	0 - 430	50.00%	> 430	90.00%						
EnLink Midstream Partners LP	0 - 3,200	20.00%	> 3,200 - 19,000	25.00%	> 19,000	50.00%				
ENN Energy Holdings Ltd	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Ennis Inc	0 - 500	20.00%	> 500 - 1,100	75.00%	> 1,100	85.00%				
Enova International Inc	0 - 1,500	20.00%	> 1,500 - 4,000	30.00%	> 4,000 - 8,200	40.00%	> 8,200	50.00%		
Enphase Energy Inc	0 - 7,300	20.00%	> 7,300 - 27,000	30.00%	> 27,000	50.00%				
Enplas Corp	0 - 200	30.00%	> 200 - 610	35.00%	> 610 - 1,500	65.00%	> 1,500	90.00%		
EnPro Industries Inc	0 - 710	20.00%	> 710 - 4,200	25.00%	> 4,200	50.00%				
EnQuest PLC	0 - 17,000	25.00%	> 17,000 - 52,000	35.00%	> 52,000 - 130,000	50.00%	> 130,000	60.00%		
EnQuest PLC (GB)	0 - 29,000	25.00%	> 29,000 - 170,000	40.00%	> 170,000 - 320,000	45.00%	> 320,000	50.00%		
Ensco	0 - 4,300	25.00%	> 4,300 - 13,000	30.00%	> 13,000 - 26,000	50.00%	> 26,000	65.00%		
Ensign Energy Services Inc	0 - 1,000	25.00%	> 1,000 - 3,000	30.00%	> 3,000 - 18,000	45.00%	> 18,000	50.00%		
Ensign Group Inc/The	0 - 1,700	20.00%	> 1,700 - 4,400	25.00%	> 4,400 - 9,700	30.00%	> 9,700	50.00%		
Enstar Group Ltd	0 - 690	20.00%	> 690 - 1,500	25.00%	> 1,500	50.00%				
Entegris Inc	0 - 2,800	20.00%	> 2,800 - 5,600	30.00%	> 5,600 - 11,000	35.00%	> 11,000	50.00%		
Entercom Communications Corp	0 - 4,600	20.00%	> 4,600 - 12,000	30.00%	> 12,000 - 28,000	35.00%	> 28,000	50.00%		
Entergy	0 - 1,200	20.00%	> 1,200 - 2,400	35.00%	> 2,400	55.00%				
Enterprise Financial Services Corp	0 - 1,300	20.00%	> 1,300 - 3,100	25.00%	> 3,100	50.00%				
Enterprise Products Partners L	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
Entertainment One Ltd	0 - 34,000	20.00%	> 34,000 - 73,000	25.00%	> 73,000	50.00%				
Entra ASA	0 - 840	30.00%	> 840 - 6,700	50.00%	> 6,700	65.00%				
Entravision Communications Corp	0 - 4,400	20.00%	> 4,400 - 9,300	25.00%	> 9,300	50.00%				
Envestnet Inc	0 - 1,300	20.00%	> 1,300 - 3,100	45.00%	> 3,100	65.00%				
Envision Healthcare Holdings Inc	0 - 2,200	20.00%	> 2,200 - 4,400	30.00%	> 4,400 - 8,900	35.00%	> 8,900	50.00%		
EOG Resources	0 - 2,600	20.00%	> 2,600 - 5,200	40.00%	> 5,200	60.00%				
EP Energy Corp	0 - 1,300	25.00%	> 1,300 - 11,000	30.00%	> 11,000 - 24,000	35.00%	> 24,000	50.00%		
EPAM Systems Inc	0 - 740	20.00%	> 740 - 1,400	45.00%	> 1,400	60.00%				
Epiroc Aktiebolag	0 - 1,730	20.00%	> 1,730 - 4,610	25.00%	> 4,610 - 7,490	40.00%	> 7,490	75.00%		
Epizyme Inc	0 - 1,600	20.00%	> 1,600 - 4,300	25.00%	> 4,300 - 9,600	30.00%	> 9,600	50.00%		
ePlus Inc.	0 - 500	20.00%	> 500 - 1,300	70.00%	> 1,300	90.00%				
EPR Properties	0 - 500	25.00%	> 500 - 1,500	30.00%	> 1,500 - 6,000	40.00%	> 6,000	50.00%		
EPS Holdings Inc	0 - 1,900	20.00%	> 1,900 - 5,200	25.00%	> 5,200 - 11,000	30.00%	> 11,000	50.00%		
EQT	0 - 5,900	20.00%	> 5,900 - 11,000	40.00%	> 11,000	60.00%				
EQT Midstream Partners LP	0 - 1,700	20.00%	> 1,700 - 3,500	35.00%	> 3,500 - 7,200	40.00%	> 7,200	50.00%		
Equifax	0 - 780	20.00%	> 780 - 1,500	30.00%	> 1,500 - 3,100	35.00%	> 3,100	50.00%		
Equinix Inc	0 - 220	20.00%	> 220 - 440	50.00%	> 440	60.00%				
Equinor ASA	0 - 740	20.00%	> 740 - 1,400	25.00%	> 1,400	50.00%				
Equinor ASA - ADR	0 - 7,400	20.00%	> 7,400 - 14,000	25.00%	> 14,000	50.00%				
Equinor ASA (NO)	0 - 24,000	20.00%	> 24,000 - 49,000	40.00%	> 49,000	60.00%				
Equitable Group Inc	0 - 980	20.00%	> 980 - 2,200	25.00%	> 2,200	50.00%				
Equity Lifestyle Properties In	0 - 350	25.00%	> 350 - 1,000	30.00%	> 1,000 - 2,100	65.00%	> 2,100	90.00%		
Equity Resident	0 - 1,500	20.00%	> 1,500 - 3,000	30.00%	> 3,000 - 6,100	35.00%	> 6,100	50.00%		
Era Group Inc	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
Eramet	0 - 780	20.00%	> 780 - 3,100	35.00%	> 3,100	50.00%				
ERG	0 - 4,300	20.00%	> 4,300 - 8,700	30.00%	> 8,700 - 17,000	35.00%	> 17,000	50.00%		
Ericsson (US)	0 - 11,000	20.00%	> 11,000 - 24,000	40.00%	> 24,000	75.00%				
Ericsson A (Sweden)	0 - 1,200	20.00%	> 1,200 - 2,500	45.00%	> 2,500	70.00%				
Ericsson B (Sweden)	0 - 42,000	20.00%	> 42,000 - 85,000	40.00%	> 85,000	60.00%				
Erie Indemnity Co	0 - 330	20.00%	> 330 - 900	45.00%	> 900	65.00%				
ERM Power Ltd	0 - 10,000	20.00%	> 10,000 - 23,000	25.00%	> 23,000 - 49,000	30.00%	> 49,000	50.00%		
Eros International PLC	0 - 3,100	20.00%	> 3,100 - 7,100	25.00%	> 7,100	50.00%				
Erste Group	0 - 2,400	20.00%	> 2,400 - 4,800	45.00%	> 4,800	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
ESCO Technologies Inc	0 - 430	20.00%	> 430 - 1,100	35.00%	> 1,100 - 2,600	40.00%	> 2,600	50.00%		
ESPEC Corp	0 - 1,700	20.00%	> 1,700 - 3,400	35.00%	> 3,400 - 6,400	45.00%	> 6,400	50.00%		
Esperion Therapeutics	0 - 1,900	20.00%	> 1,900 - 3,800	35.00%	> 3,800 - 7,100	40.00%	> 7,100	50.00%		
Espirito Santo Financial Group	> 0	100.00%								
Esprinet	0 - 3,800	20.00%	> 3,800 - 7,600	75.00%	> 7,600	90.00%				
Esprit	0 - 97,000	20.00%	> 97,000 - 250,000	35.00%	> 250,000	55.00%				
ESR REIT	0 - 9,300	30.00%	> 9,300 - 28,000	35.00%	> 28,000 - 75,000	50.00%	> 75,000	60.00%		
Essendant Inc	0 - 1,900	20.00%	> 1,900 - 4,600	45.00%	> 4,600	60.00%				
Essent Group Ltd	0 - 2,700	20.00%	> 2,700	90.00%						
Essentra PLC	0 - 7,700	20.00%	> 7,700 - 20,000	35.00%	> 20,000	55.00%				
Essex Property Trust Inc	0 - 130	25.00%	> 130 - 410	30.00%	> 410 - 830	55.00%	> 830	85.00%		
Essilor International	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Essity Aktiebolag A	0 - 390	20.00%	> 390 - 1,500	25.00%	> 1,500	50.00%				
Essity Aktiebolag B	0 - 8,000	20.00%	> 8,000 - 16,000	25.00%	> 16,000	50.00%				
Esso	0 - 360	20.00%	> 360 - 720	25.00%	> 720	50.00%				
Estee Lauder	0 - 4,700	20.00%	> 4,700 - 9,400	40.00%	> 9,400	60.00%				
Esterline Technologies Corp	0 - 780	20.00%	> 780 - 2,100	25.00%	> 2,100 - 4,700	35.00%	> 4,700	50.00%		
Estia Health Ltd	0 - 11,000	20.00%	> 11,000 - 31,000	45.00%	> 31,000	70.00%				
esure Group PLC	0 - 17,000	20.00%	> 17,000 - 46,000	30.00%	> 46,000 - 100,000	35.00%	> 100,000	50.00%		
ETFS All Commodities	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
ETFS Brent 1mth Oil Securities	0 - 730	20.00%	> 730 - 1,600	25.00%	> 1,600	50.00%				
ETFS Brent 1mth Oil Securities	0 - 320	20.00%	> 320 - 1,800	25.00%	> 1,800	50.00%				
ETFS Copper	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
ETFS Gold Trust	0 - 420	20.00%	> 420 - 950	25.00%	> 950	50.00%				
ETFS Metal (Gold ETF)	0 - 97	20.00%	> 97 - 580	25.00%	> 580	50.00%				
ETFS Physical Gold/Jersey	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
ETFS Physical Gold/Jersey	0 - 170	20.00%	> 170 - 360	25.00%	> 360	50.00%				
ETFS Physical Palladium	0 - 110	20.00%	> 110 - 220	25.00%	> 220 - 440	30.00%	> 440	50.00%		
ETFS Physical Palladium	0 - 220	20.00%	> 220 - 440	25.00%	> 440	50.00%				
ETFS Physical Palladium Shares	0 - 220	20.00%	> 220 - 440	25.00%	> 440	50.00%				
ETFS Physical Platinum	0 - 250	20.00%	> 250 - 500	25.00%	> 500	50.00%				
ETFS Physical Platinum Shares	0 - 210	20.00%	> 210 - 560	25.00%	> 560 - 1,200	30.00%	> 1,200	50.00%		
ETFS Physical Precious Metal Basket Shares	0 - 320	20.00%	> 320 - 650	25.00%	> 650	50.00%				
ETFS Physical Silver	0 - 1,300	20.00%	> 1,300 - 2,600	30.00%	> 2,600	50.00%				
ETFS Physical Silver	0 - 8,200	20.00%	> 8,200 - 15,000	25.00%	> 15,000	50.00%				
ETFS Physical Silver Shares	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
ETFS Physical Swiss Gold	0 - 530	20.00%	> 530 - 1,200	25.00%	> 1,200	50.00%				
ETFS WTI Crude Oil	0 - 4,400	20.00%	> 4,400 - 16,000	25.00%	> 16,000	50.00%				
ETFS WTI Crude Oil	0 - 980	20.00%	> 980 - 3,900	25.00%	> 3,900	50.00%				
Ethan Allen Interiors Inc	0 - 730	20.00%	> 730 - 1,900	45.00%	> 1,900	60.00%				
ETRACS Alerian MLP Index ETN	0 - 600	20.00%	> 600 - 1,200	25.00%	> 1,200 - 2,400	30.00%	> 2,400	50.00%		
ETRACS Alerian MLP Infrastructure Index ETN	0 - 1,800	20.00%	> 1,800 - 4,100	25.00%	> 4,100	50.00%				
E-Trade	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Etsy Inc	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600 - 9,200	45.00%	> 9,200	50.00%		
Euglena Co Ltd	0 - 5,900	20.00%	> 5,900 - 12,000	35.00%	> 12,000	50.00%				
Euler Hermes	0 - 390	20.00%	> 390 - 2,300	30.00%	> 2,300	50.00%				
Eurazeo	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Eurocash SA	0 - 9,800	20.00%	> 9,800 - 22,000	25.00%	> 22,000	50.00%				
Eurocommercial Properties	0 - 470	30.00%	> 470 - 1,400	35.00%	> 1,400 - 3,700	55.00%	> 3,700	65.00%		
Eurofins Scientific	0 - 360	20.00%	> 360 - 720	25.00%	> 720	50.00%				
Euromoney Inst	0 - 530	20.00%	> 530 - 2,700	25.00%	> 2,700	50.00%				
Euronav NV	0 - 9,500	20.00%	> 9,500 - 20,000	30.00%	> 20,000 - 41,000	35.00%	> 41,000	50.00%		
Euronet Worldwide Inc	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,600	35.00%	> 4,600	50.00%		
Euronext NV	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,200	30.00%	> 6,200	50.00%		
Europcar Groupe SA	0 - 6,900	20.00%	> 6,900 - 35,000	25.00%	> 35,000	50.00%				
European Assets Trust NV	0 - 8,300	20.00%	> 8,300 - 22,000	35.00%	> 22,000 - 49,000	40.00%	> 49,000	50.00%		
European Investment Trust	0 - 270	30.00%	> 270 - 4,300	35.00%	> 4,300	50.00%				
Europris ASA	0 - 20,000	20.00%	> 20,000 - 42,000	25.00%	> 42,000	50.00%				
Euskaltel SA	0 - 3,800	20.00%	> 3,800 - 10,000	35.00%	> 10,000 - 22,000	45.00%	> 22,000	50.00%		
Eutelsat	0 - 8,900	20.00%	> 8,900 - 17,000	25.00%	> 17,000	50.00%				
EV Energy Partner LP	0 - 27,000	40.00%	> 27,000 - 82,000	45.00%	> 82,000 - 160,000	75.00%	> 160,000	90.00%		
EVA Precision Industrial Holdings Ltd	0 - 87,000	20.00%	> 87,000 - 350,000	25.00%	> 350,000	50.00%				
Event Hospitality	0 - 980	20.00%	> 980 - 2,000	35.00%	> 2,000 - 4,000	45.00%	> 4,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Evercore Partners Inc	0 - 930	20.00%	> 930 - 1,800	25.00%	> 1,800 - 3,700	40.00%	> 3,700	50.00%		
Everest Re Group Ltd	0 - 850	20.00%	> 850 - 1,700	25.00%	> 1,700	50.00%				
Evergrande	0 - 12,000	25.00%	> 12,000 - 37,000	30.00%	> 37,000 - 74,000	55.00%	> 74,000	75.00%		
Evergrande Health Industry Group Ltd	0 - 170,000	20.00%	> 170,000 - 340,000	25.00%	> 340,000	50.00%				
Everi Holdings Inc	0 - 2,100	20.00%	> 2,100 - 5,600	40.00%	> 5,600 - 12,000	45.00%	> 12,000	50.00%		
Eversource Energy	0 - 1,600	20.00%	> 1,600 - 3,300	30.00%	> 3,300 - 6,700	40.00%	> 6,700	50.00%		
EVERTEC Inc	0 - 1,700	20.00%	> 1,700 - 10,000	30.00%	> 10,000	50.00%				
Evertz Technologies Ltd	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
EVINE Live Inc	0 - 7,100	20.00%	> 7,100 - 28,000	30.00%	> 28,000	50.00%				
EVN	0 - 520	20.00%	> 520 - 1,100	40.00%	> 1,100 - 2,500	45.00%	> 2,500	50.00%		
Evolution Gaming Group AB	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,900	35.00%	> 5,900	50.00%		
Evolution Mining	0 - 42,000	20.00%	> 42,000 - 84,000	40.00%	> 84,000	55.00%				
Evolva Holding SA	0 - 13,000	25.00%	> 13,000 - 40,000	30.00%	> 40,000 - 160,000	45.00%	> 160,000	50.00%		
Evonik Industries AG	0 - 2,800	20.00%	> 2,800 - 5,600	45.00%	> 5,600	70.00%				
Evotec	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Evraz	0 - 14,000	20.00%	> 14,000 - 29,000	30.00%	> 29,000 - 58,000	35.00%	> 58,000	50.00%		
Evry AS	0 - 8,400	20.00%	> 8,400 - 22,000	50.00%	> 22,000	65.00%				
EVS Broadcast Equipment SA	0 - 530	20.00%	> 530 - 1,400	50.00%	> 1,400	55.00%				
Exact Sciences Corp	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,200	30.00%	> 6,200	50.00%		
Exantas Capital Ord Shs	0 - 320	30.00%	> 320 - 980	40.00%	> 980 - 2,400	65.00%	> 2,400	70.00%		
Exchange Income Corp	0 - 990	20.00%	> 990 - 2,600	45.00%	> 2,600	90.00%				
Exco Technologies Ltd	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,700	35.00%	> 5,700	50.00%		
Exedy Corp	0 - 1,500	20.00%	> 1,500 - 4,000	30.00%	> 4,000 - 9,100	35.00%	> 9,100	50.00%		
Exelixis Inc	0 - 4,500	20.00%	> 4,500 - 9,100	30.00%	> 9,100 - 18,000	35.00%	> 18,000	50.00%		
Exelon	0 - 2,300	20.00%	> 2,300 - 4,600	40.00%	> 4,600 - 9,300	45.00%	> 9,300	50.00%		
ExlService Holdings Inc	0 - 830	20.00%	> 830 - 2,200	35.00%	> 2,200 - 5,000	40.00%	> 5,000	50.00%		
Exmar NV	0 - 1,300	20.00%	> 1,300 - 5,700	25.00%	> 5,700	50.00%				
EXOR	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900	50.00%				
Expedia	0 - 2,600	20.00%	> 2,600 - 5,200	40.00%	> 5,200	60.00%				
Expeditors	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700	50.00%				
Experian	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
Exponent Inc	0 - 3,300	20.00%	> 3,300 - 7,300	25.00%	> 7,300	50.00%				
Express Inc	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 20,000	40.00%	> 20,000	50.00%		
Express Scripts	0 - 2,400	20.00%	> 2,400 - 4,800	65.00%	> 4,800	80.00%				
Extended Stay America Inc	0 - 4,500	20.00%	> 4,500 - 9,000	30.00%	> 9,000 - 18,000	40.00%	> 18,000	50.00%		
Extendicare Inc	0 - 2,800	20.00%	> 2,800 - 7,400	30.00%	> 7,400	50.00%				
Extra Space Storage Inc	0 - 1,000	20.00%	> 1,000 - 4,100	30.00%	> 4,100	50.00%				
Extreme Networks Inc	0 - 8,300	20.00%	> 8,300 - 17,000	25.00%	> 17,000 - 31,000	30.00%	> 31,000	50.00%		
Exxon Mobil	0 - 3,900	20.00%	> 3,900 - 7,900	45.00%	> 7,900	80.00%				
Ezaki Glico Co Ltd	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Ezcorp Inc	0 - 1,900	20.00%	> 1,900 - 11,000	35.00%	> 11,000	50.00%				
Ezion Holdings	0 - 280,000	20.00%	> 280,000 - 560,000	40.00%	> 560,000	55.00%				
Ezra Holdings	> 0	100.00%								
F&C Commercial Property	0 - 6,000	40.00%	> 6,000 - 18,000	50.00%	> 18,000	90.00%				
F&C Global Smaller Companies	0 - 230	30.00%	> 230 - 1,800	40.00%	> 1,800	50.00%				
F&C Private Equity Trust	0 - 730	30.00%	> 730 - 2,200	35.00%	> 2,200 - 4,400	40.00%	> 4,400 - 8,800	45.00%	> 8,800	50.00%
F&C UK Real Estate Investment Ltd	0 - 2,500	25.00%	> 2,500 - 7,700	30.00%	> 7,700 - 15,000	35.00%	> 15,000 - 30,000	45.00%	> 30,000	50.00%
F&P Healthcare	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%		
F@N Communications Inc	0 - 2,900	20.00%	> 2,900 - 7,900	30.00%	> 7,900 - 17,000	35.00%	> 17,000	50.00%		
F5 Networks Inc	0 - 560	20.00%	> 560 - 1,100	25.00%	> 1,100 - 2,200	40.00%	> 2,200	50.00%		
Fabege	0 - 2,200	25.00%	> 2,200 - 27,000	35.00%	> 27,000	50.00%				
Fabrinet	0 - 3,400	20.00%	> 3,400 - 7,600	25.00%	> 7,600	50.00%				
Fabryki Mebli Forte SA	0 - 890	20.00%	> 890 - 1,700	35.00%	> 1,700 - 3,500	40.00%	> 3,500	50.00%		
FACC AG	0 - 2,300	20.00%	> 2,300 - 5,400	40.00%	> 5,400	50.00%				
Facebook	0 - 3,100	20.00%	> 3,100 - 6,300	40.00%	> 6,300	60.00%				
FactSet Research Systems Inc	0 - 980	20.00%	> 980 - 1,900	25.00%	> 1,900	50.00%				
Faes Farma	0 - 6,400	20.00%	> 6,400 - 17,000	30.00%	> 17,000 - 38,000	35.00%	> 38,000	50.00%		
Fagron	0 - 2,200	20.00%	> 2,200 - 5,900	35.00%	> 5,900 - 13,000	40.00%	> 13,000	50.00%		
Fair Isaac Corp	0 - 490	20.00%	> 490 - 980	25.00%	> 980 - 1,900	30.00%	> 1,900	50.00%		
Fairfax Financial Holdings Ltd	0 - 360	20.00%	> 360 - 720	25.00%	> 720	50.00%				
Fairfax Media	0 - 280,000	20.00%	> 280,000 - 550,000	25.00%	> 550,000	50.00%				
Fairwood Holdings Ltd	0 - 2,600	20.00%	> 2,600 - 5,400	35.00%	> 5,400 - 10,000	40.00%	> 10,000	50.00%		
FamilyMart	0 - 1,000	20.00%	> 1,000 - 2,000	50.00%	> 2,000	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Famous Dave's of America Inc	0 - 1,400	20.00%	> 1,400 - 5,800	30.00%	> 5,800	50.00%				
Fancl Corp	0 - 1,800	20.00%	> 1,800 - 7,400	40.00%	> 7,400	50.00%				
Fang Holdings Ltd	0 - 21,000	20.00%	> 21,000 - 49,000	25.00%	> 49,000 - 100,000	30.00%	> 100,000	50.00%		
Fanhua Inc	0 - 1,400	20.00%	> 1,400 - 3,900	45.00%	> 3,900	55.00%				
Fantasia Holdings Group Co Ltd	0 - 46,000	35.00%	> 46,000 - 130,000	40.00%	> 130,000 - 360,000	70.00%	> 360,000	75.00%		
Fanuc	0 - 500	20.00%	> 500 - 1,000	30.00%	> 1,000 - 2,000	35.00%	> 2,000	50.00%		
Far East Consortium International Ltd/HK	0 - 17,000	20.00%	> 17,000 - 36,000	25.00%	> 36,000 - 76,000	30.00%	> 76,000	50.00%		
Far East Horizon Ltd	0 - 200,000	20.00%	> 200,000 - 420,000	25.00%	> 420,000	50.00%				
Far East Hospitality Trust	0 - 6,800	30.00%	> 6,800 - 54,000	40.00%	> 54,000 - 120,000	45.00%	> 120,000	50.00%		
Far East Orchard	0 - 3,400	30.00%	> 3,400 - 20,000	35.00%	> 20,000 - 41,000	40.00%	> 41,000	50.00%		
FAR Ltd	0 - 40,000	25.00%	> 40,000 - 120,000	30.00%	> 120,000 - 570,000	40.00%	> 570,000	50.00%		
FARO Technologies Inc	0 - 570	20.00%	> 570 - 1,500	30.00%	> 1,500 - 3,400	40.00%	> 3,400	50.00%		
Faroe Petroleum	0 - 12,000	20.00%	> 12,000 - 33,000	35.00%	> 33,000 - 75,000	40.00%	> 75,000	50.00%		
Fast Retailing	0 - 700	20.00%	> 700 - 1,400	40.00%	> 1,400	60.00%				
Fastenal	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
Fastighets Balder B	0 - 1,100	25.00%	> 1,100 - 3,500	30.00%	> 3,500 - 7,000	40.00%	> 7,000 - 14,000	45.00%	> 14,000	50.00%
Faurecia	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
FBD Holdings	0 - 990	20.00%	> 990 - 2,600	35.00%	> 2,600 - 5,900	45.00%	> 5,900	50.00%		
FBL Financial Group Inc	0 - 120	20.00%	> 120 - 330	25.00%	> 330 - 760	30.00%	> 760	50.00%		
FCB Financial Holdings Inc	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400 - 6,800	30.00%	> 6,800	50.00%		
FCC Co Ltd	0 - 2,200	20.00%	> 2,200 - 5,700	25.00%	> 5,700 - 11,000	30.00%	> 11,000	50.00%		
FDG Electric Vehicles Ltd	0 - 1,800,000	20.00%	> 1,800,000 - 3,600,000	30.00%	> 3,600,000 - 6,600,000	45.00%	> 6,600,000	50.00%		
FDM Group Holdings PLC	0 - 760	20.00%	> 760 - 1,900	55.00%	> 1,900	85.00%				
Fed Investors	0 - 8,500	20.00%	> 8,500 - 17,000	25.00%	> 17,000	50.00%				
Federal Agricultural Mortgage Corp	0 - 180	20.00%	> 180 - 480	30.00%	> 480 - 1,100	45.00%	> 1,100	50.00%		
Federal Realty Investment Trus	0 - 260	25.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
Federal Signal Corp	0 - 4,200	20.00%	> 4,200 - 9,400	25.00%	> 9,400	50.00%				
Federated National Holding Co	0 - 570	20.00%	> 570 - 1,500	50.00%	> 1,500	60.00%				
Fedex	0 - 2,800	20.00%	> 2,800 - 5,600	40.00%	> 5,600	60.00%				
Fenix Outdoor International B	0 - 81	20.00%	> 81 - 330	25.00%	> 330	50.00%				
Fenner	0 - 12,000	20.00%	> 12,000 - 48,000	30.00%	> 48,000	50.00%				
Ferguson Plc	0 - 8,000	20.00%	> 8,000 - 16,000	40.00%	> 16,000	60.00%				
Ferrari NV (IT)	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Ferrari NV (US)	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Ferratum Oyj	0 - 560	20.00%	> 560 - 1,500	25.00%	> 1,500 - 3,300	30.00%	> 3,300	50.00%		
Ferrellgas Partners LP	0 - 3,000	20.00%	> 3,000 - 6,000	45.00%	> 6,000	75.00%				
Ferrexpo	0 - 32,000	20.00%	> 32,000 - 78,000	45.00%	> 78,000	70.00%				
Ferro Corp	0 - 2,000	20.00%	> 2,000 - 5,500	45.00%	> 5,500	60.00%				
Ferroglobe	0 - 3,900	20.00%	> 3,900 - 10,000	30.00%	> 10,000 - 23,000	40.00%	> 23,000	50.00%		
Ferrovial	0 - 9,500	20.00%	> 9,500 - 19,000	45.00%	> 19,000	70.00%				
Fevertree Drinks PLC	0 - 4,100	20.00%	> 4,100 - 8,300	40.00%	> 8,300	60.00%				
FFP	0 - 300	20.00%	> 300 - 680	25.00%	> 680	50.00%				
Fiat Chrysler Automobiles NV (IT)	0 - 33,000	20.00%	> 33,000 - 67,000	40.00%	> 67,000	60.00%				
Fiat Chrysler Automobiles NV (US)	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000 - 20,000	45.00%	> 20,000	50.00%		
Fibria Celulose	0 - 5,300	20.00%	> 5,300 - 10,000	30.00%	> 10,000 - 21,000	45.00%	> 21,000	50.00%		
FibroGen Inc	0 - 510	25.00%	> 510 - 3,100	40.00%	> 3,100 - 6,200	45.00%	> 6,200	50.00%		
FIDEA Holdings Co Ltd	0 - 16,000	20.00%	> 16,000 - 36,000	25.00%	> 36,000	50.00%				
Fidelity Asian Values PLC	0 - 1,800	20.00%	> 1,800 - 4,100	45.00%	> 4,100	50.00%				
Fidelity China Special Situations PLC	0 - 34,000	20.00%	> 34,000 - 77,000	25.00%	> 77,000	50.00%				
Fidelity European Values	0 - 3,000	30.00%	> 3,000 - 9,200	35.00%	> 9,200 - 24,000	40.00%	> 24,000 - 55,000	45.00%	> 55,000	50.00%
Fidelity MSCI Consumer Discretionary Index ETF	0 - 680	20.00%	> 680 - 1,500	25.00%	> 1,500	50.00%				
Fidelity MSCI Consumer Staples Index ETF	0 - 310	20.00%	> 310 - 1,200	25.00%	> 1,200	50.00%				
Fidelity MSCI Energy Index ETF	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Fidelity MSCI Financials Index ETF	0 - 590	20.00%	> 590 - 3,500	25.00%	> 3,500	50.00%				
Fidelity MSCI Health Care Index ETF	0 - 430	20.00%	> 430 - 1,100	25.00%	> 1,100 - 2,500	30.00%	> 2,500	50.00%		
Fidelity MSCI Information Technology Index ETF	0 - 1,700	20.00%	> 1,700 - 6,800	25.00%	> 6,800	50.00%				
Fidelity NASDAQ Composite Index Tracking Stock ETF	0 - 190	20.00%	> 190 - 420	25.00%	> 420	50.00%				
Fidelity National Information Services	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Fidelity Southern Corp	0 - 1,900	20.00%	> 1,900 - 4,300	25.00%	> 4,300	50.00%				
Fidelity Special	0 - 2,000	30.00%	> 2,000 - 6,100	35.00%	> 6,100 - 16,000	45.00%	> 16,000	50.00%		
Fidessa Group	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Fiduciary/Claymore MLP Opportunity Fund	0 - 280	30.00%	> 280 - 860	35.00%	> 860 - 1,700	45.00%	> 1,700	50.00%		
Fidus Investment Corp	0 - 680	20.00%	> 680 - 1,300	25.00%	> 1,300 - 2,700	35.00%	> 2,700	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Fields Corp	0 - 2,100	20.00%	> 2,100 - 8,400	25.00%	> 8,400	50.00%				
Fielmann	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,900	35.00%	> 5,900	50.00%		
Fiera Capital Corp	0 - 1,100	20.00%	> 1,100 - 3,100	30.00%	> 3,100 - 7,100	45.00%	> 7,100	50.00%		
Fiesta Restaurant Group Inc	0 - 2,800	20.00%	> 2,800 - 6,300	25.00%	> 6,300	50.00%				
Fifth Street Finance Corp	0 - 2,100	20.00%	> 2,100 - 4,200	50.00%	> 4,200	75.00%				
Fifth Third	0 - 3,400	20.00%	> 3,400 - 6,800	30.00%	> 6,800	50.00%				
FIH Mobile Ltd	0 - 190,000	20.00%	> 190,000 - 530,000	25.00%	> 530,000 - 1,100,000	30.00%	> 1,100,000	50.00%		
Fila SpA	0 - 1,000	20.00%	> 1,000 - 6,300	30.00%	> 6,300	50.00%				
Financial Engines Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Financial Institutions Inc	0 - 300	20.00%	> 300 - 650	45.00%	> 650	75.00%				
Financial Products Group Co Ltd	0 - 7,100	20.00%	> 7,100 - 26,000	25.00%	> 26,000	50.00%				
Financial Select Sector SPDR Fund	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	60.00%				
Financiere de Tubize SA	0 - 290	20.00%	> 290 - 610	25.00%	> 610	50.00%				
Finbar Group	0 - 4,700	30.00%	> 4,700 - 14,000	35.00%	> 14,000 - 28,000	45.00%	> 28,000	60.00%		
Fincantieri SpA	0 - 72,000	20.00%	> 72,000 - 140,000	25.00%	> 140,000 - 280,000	30.00%	> 280,000	50.00%		
FinecoBank Banca Fineco SpA	0 - 8,200	20.00%	> 8,200 - 16,000	30.00%	> 16,000 - 32,000	45.00%	> 32,000	50.00%		
Fingerprint Cards B	0 - 24,000	20.00%	> 24,000 - 49,000	25.00%	> 49,000 - 91,000	30.00%	> 91,000	50.00%		
Finisar Corp	0 - 5,400	20.00%	> 5,400 - 10,000	75.00%	> 10,000	90.00%				
Finish Line Inc/The	0 - 3,000	20.00%	> 3,000 - 6,100	50.00%	> 6,100	65.00%				
Finnair	0 - 4,800	20.00%	> 4,800 - 12,000	50.00%	> 12,000	75.00%				
Finning International Inc	0 - 4,000	20.00%	> 4,000 - 8,000	40.00%	> 8,000	50.00%				
Finsbury Growth & Income	0 - 1,100	30.00%	> 1,100 - 3,300	35.00%	> 3,300 - 9,000	40.00%	> 9,000 - 20,000	45.00%	> 20,000	50.00%
Fireeye Inc.	0 - 5,700	20.00%	> 5,700 - 11,000	45.00%	> 11,000	80.00%				
Firm Capital Mortgage Investment Corp	0 - 980	20.00%	> 980 - 1,900	40.00%	> 1,900	55.00%				
First American Financial Corp	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
First Asset Morningstar Canada Value Index ETF	0 - 850	20.00%	> 850 - 3,400	25.00%	> 3,400	50.00%				
First Bancorp Inc/ME	0 - 340	20.00%	> 340 - 680	30.00%	> 680 - 1,300	35.00%	> 1,300	50.00%		
First BanCorp/Puerto Rico	0 - 19,000	20.00%	> 19,000 - 43,000	25.00%	> 43,000	50.00%				
First Bancorp/Troy NC	0 - 690	20.00%	> 690 - 1,800	30.00%	> 1,800 - 4,100	35.00%	> 4,100	50.00%		
First Busey Corp	0 - 2,300	20.00%	> 2,300 - 5,200	25.00%	> 5,200	50.00%				
First Business Financial Services Inc	0 - 390	20.00%	> 390 - 780	25.00%	> 780 - 1,500	30.00%	> 1,500	50.00%		
First Capital Realty Inc	0 - 1,500	25.00%	> 1,500 - 4,700	30.00%	> 4,700 - 11,000	45.00%	> 11,000	60.00%		
First Cash Financial Services	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
First Citizens BancShares Inc/	0 - 240	20.00%	> 240 - 990	25.00%	> 990	50.00%				
First Commonwealth Financial C	0 - 3,000	20.00%	> 3,000 - 18,000	25.00%	> 18,000	50.00%				
First Community Bancshares Inc/VA	0 - 310	20.00%	> 310 - 730	25.00%	> 730 - 1,500	30.00%	> 1,500	50.00%		
First Connecticut Bancorp Inc/Farmington CT	0 - 570	20.00%	> 570 - 3,400	25.00%	> 3,400	50.00%				
First Data Corp	0 - 8,900	20.00%	> 8,900 - 17,000	25.00%	> 17,000	50.00%				
First Defiance Financial Corp	0 - 330	20.00%	> 330 - 900	25.00%	> 900 - 2,000	30.00%	> 2,000	50.00%		
First Derivatives PLC	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
First Financial Bancorp	0 - 5,000	20.00%	> 5,000 - 11,000	25.00%	> 11,000	50.00%				
First Financial Bankshares Inc	0 - 1,500	20.00%	> 1,500 - 3,400	30.00%	> 3,400 - 7,200	35.00%	> 7,200	50.00%		
First Financial Corp/IN	0 - 210	20.00%	> 210 - 570	25.00%	> 570 - 1,200	30.00%	> 1,200	50.00%		
First Horizon	0 - 5,600	20.00%	> 5,600 - 22,000	25.00%	> 22,000	50.00%				
First Industrial Realty Trust	0 - 980	25.00%	> 980 - 2,900	30.00%	> 2,900 - 11,000	40.00%	> 11,000	50.00%		
First Interstate Bancsystem In	0 - 3,000	20.00%	> 3,000 - 6,800	25.00%	> 6,800	50.00%				
First Majestic Silver Corp (CA)	0 - 8,100	20.00%	> 8,100 - 21,000	25.00%	> 21,000 - 42,000	30.00%	> 42,000	50.00%		
First Majestic Silver Corp (US)	0 - 8,500	20.00%	> 8,500 - 20,000	45.00%	> 20,000	60.00%				
First Merchants Corp	0 - 1,400	20.00%	> 1,400 - 3,500	45.00%	> 3,500	60.00%				
First Midwest Bancorp Inc/IL	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000	50.00%				
First National Financial Corp	0 - 450	20.00%	> 450 - 1,800	25.00%	> 1,800	50.00%				
First of Long Island Corp/The	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
First Pacific	0 - 210,000	20.00%	> 210,000 - 420,000	30.00%	> 420,000 - 850,000	40.00%	> 850,000	50.00%		
First Quantum Minerals Ltd (CA)	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
First Real Estate Investment Trust	0 - 4,000	30.00%	> 4,000 - 12,000	35.00%	> 12,000 - 32,000	50.00%	> 32,000	60.00%		
First Republic Bank/CA	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
First Resources	0 - 11,000	20.00%	> 11,000 - 29,000	25.00%	> 29,000 - 66,000	30.00%	> 66,000	50.00%		
First Shanghai Investments Ltd	0 - 47,000	30.00%	> 47,000 - 560,000	35.00%	> 560,000	50.00%				
First Solar	0 - 6,100	20.00%	> 6,100 - 12,000	40.00%	> 12,000	60.00%				
First Tractor	0 - 31,000	20.00%	> 31,000 - 62,000	45.00%	> 62,000	55.00%				
First Trust Aberdeen Global Opportunity Income Fund	0 - 320	35.00%	> 320 - 1,900	45.00%	> 1,900	55.00%				
First Trust Consumer Discretionary AlphaDEX Fund	0 - 470	20.00%	> 470 - 970	25.00%	> 970	50.00%				
First Trust Consumer Staples AlphaDEX Fund	0 - 410	20.00%	> 410 - 830	25.00%	> 830	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
First Trust DJ Global Select Dividend Index Fund	0 - 790	20.00%	> 790 - 1,500	25.00%	> 1,500	50.00%				
First Trust Dorsey Wright Focus 5 ETF	0 - 650	20.00%	> 650 - 1,300	25.00%	> 1,300	50.00%				
First Trust Dow Jones Internet Index Fund	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
First Trust Emerging Markets AlphaDEX Fund	0 - 380	20.00%	> 380 - 2,300	30.00%	> 2,300	50.00%				
First Trust Energy AlphaDEX Fund	0 - 1,600	20.00%	> 1,600 - 3,800	25.00%	> 3,800	50.00%				
First Trust Energy Income and Growth Fund	0 - 150	30.00%	> 150 - 460	35.00%	> 460 - 920	40.00%	> 920 - 1,800	45.00%	> 1,800	50.00%
First Trust Energy Infrastructure Fund	0 - 200	30.00%	> 200 - 620	35.00%	> 620 - 1,200	40.00%	> 1,200 - 2,400	45.00%	> 2,400	50.00%
First Trust Enhanced Equity Income Fund	0 - 210	30.00%	> 210 - 630	35.00%	> 630 - 1,200	40.00%	> 1,200 - 2,500	45.00%	> 2,500	50.00%
First Trust Enhanced Short Maturity ETF	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
First Trust Europe AlphaDEX Fund	0 - 860	20.00%	> 860 - 1,900	25.00%	> 1,900	50.00%				
First Trust Exchange-Traded Fund IV First Trust Tactical High Yield ETF	0 - 440	20.00%	> 440 - 910	25.00%	> 910	50.00%				
First Trust Financial AlphaDEX Fund	0 - 720	20.00%	> 720 - 1,500	25.00%	> 1,500	50.00%				
First Trust Health Care AlphaDEX Fund	0 - 280	20.00%	> 280 - 600	25.00%	> 600	50.00%				
First Trust High Income Long/Short Fund	0 - 220	30.00%	> 220 - 680	35.00%	> 680 - 2,700	40.00%	> 2,700	50.00%		
First Trust Industrials/Producer Durables AlphaDEX Fund	0 - 710	20.00%	> 710 - 1,600	25.00%	> 1,600	50.00%				
First Trust Intermediate Duration Preferred & Income Fund	0 - 210	30.00%	> 210 - 630	35.00%	> 630 - 3,700	40.00%	> 3,700	50.00%		
First Trust ISE Cloud Computing Index Fund	0 - 1,900	20.00%	> 1,900 - 4,300	25.00%	> 4,300	50.00%				
First Trust ISE Water Index Fund	0 - 400	20.00%	> 400 - 810	25.00%	> 810	50.00%				
First Trust ISE-Revere Natural Gas Index Fund	0 - 930	20.00%	> 930 - 1,700	25.00%	> 1,700	50.00%				
First Trust Large Cap Growth AlphaDEX Fund	0 - 290	20.00%	> 290 - 580	25.00%	> 580	50.00%				
First Trust Large Cap Value AlphaDEX Fund	0 - 370	20.00%	> 370 - 740	25.00%	> 740	50.00%				
First Trust Materials AlphaDEX Fund	0 - 460	20.00%	> 460 - 930	25.00%	> 930	50.00%				
First Trust Mid Cap Core AlphaDEX Fund	0 - 140	20.00%	> 140 - 280	25.00%	> 280 - 560	30.00%	> 560	50.00%		
First Trust Morningstar Dividend Leaders Index	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
First Trust Multi Cap Value AlphaDEX Fund	0 - 350	20.00%	> 350 - 700	25.00%	> 700	50.00%				
First Trust Multi-Asset Diversified Income Index Fund	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
First Trust NASDAQ Technology Dividend Index Fund	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000	50.00%				
First Trust NASDAQ-100 Equal Weighted Index Fund	0 - 310	20.00%	> 310 - 620	25.00%	> 620	50.00%				
First Trust NASDAQ-100 Technology Index Fund	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
First Trust New Opportunities MLP & Energy Fund	0 - 320	35.00%	> 320 - 3,900	45.00%	> 3,900	50.00%				
First Trust North American Energy Infrastructure Fund	0 - 760	20.00%	> 760 - 4,600	25.00%	> 4,600	50.00%				
First Trust NYSE Arca Biotechnology Index Fund	0 - 440	20.00%	> 440 - 990	25.00%	> 990	50.00%				
First Trust S&P REIT Index Fund	0 - 840	20.00%	> 840 - 1,600	25.00%	> 1,600	50.00%				
First Trust Senior Floating Rate Income Fund II	0 - 260	30.00%	> 260 - 3,100	40.00%	> 3,100	50.00%				
First Trust Senior Loan ETF	0 - 550	20.00%	> 550 - 1,200	25.00%	> 1,200	50.00%				
First Trust Small Cap Core AlphaDEX Fund	0 - 340	20.00%	> 340 - 720	25.00%	> 720	50.00%				
First Trust STOXX European Select Dividend Index Fund	0 - 1,600	20.00%	> 1,600 - 3,500	25.00%	> 3,500	50.00%				
First Trust Technology AlphaDEX Fund	0 - 900	20.00%	> 900 - 2,000	25.00%	> 2,000	50.00%				
First Trust US IPO Index Fund	0 - 320	20.00%	> 320 - 700	25.00%	> 700	50.00%				
First Trust Utilities AlphaDEX Fund	0 - 750	20.00%	> 750 - 1,500	25.00%	> 1,500	50.00%				
First Trust Value Line Dividend Index Fund	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
FirstEnergy	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
FirstGroup	0 - 140,000	20.00%	> 140,000 - 290,000	25.00%	> 290,000	50.00%				
FirstService Corp/Canada	0 - 170	30.00%	> 170 - 510	35.00%	> 510 - 1,300	60.00%	> 1,300	80.00%		
Fiserv	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Fisher & Paykel Healthcare Corp Ltd	0 - 17,000	20.00%	> 17,000 - 37,000	25.00%	> 37,000	50.00%				
Fisher James	0 - 400	20.00%	> 400 - 1,600	25.00%	> 1,600	50.00%				
Fiskars	0 - 440	20.00%	> 440 - 1,700	25.00%	> 1,700	50.00%				
Fission Uranium Corp	0 - 39,000	20.00%	> 39,000 - 79,000	25.00%	> 79,000	50.00%				
FitBit Inc	0 - 14,000	20.00%	> 14,000 - 29,000	30.00%	> 29,000 - 59,000	35.00%	> 59,000	50.00%		
Five Below Inc	0 - 980	20.00%	> 980 - 1,900	65.00%	> 1,900	90.00%				
Five Prime Therapeutics Inc	0 - 690	25.00%	> 690 - 4,500	35.00%	> 4,500 - 8,600	40.00%	> 8,600	50.00%		
Flagstar Bancorp Inc	0 - 2,300	20.00%	> 2,300 - 5,300	65.00%	> 5,300	90.00%				
Flaherty & Crumrine Dynamic Preferred and Income Fund Inc	0 - 140	30.00%	> 140 - 420	35.00%	> 420 - 840	40.00%	> 840 - 1,600	45.00%	> 1,600	50.00%
Flaherty & Crumrine Preferred Income Fund Inc	0 - 240	30.00%	> 240 - 730	35.00%	> 730 - 2,900	40.00%	> 2,900	50.00%		
Flaherty & Crumrine Preferred Securities Income Fund Inc	0 - 170	30.00%	> 170 - 1,000	35.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%		
Flaherty & Crumrine Total Return Fund Inc	0 - 170	30.00%	> 170 - 520	35.00%	> 520 - 2,100	40.00%	> 2,100	50.00%		
FleetCor Technologies Inc	0 - 900	20.00%	> 900 - 1,800	25.00%	> 1,800	50.00%				
Fleetwood	0 - 6,200	20.00%	> 6,200 - 12,000	35.00%	> 12,000	50.00%				
Fletcher Building (Australia)	0 - 13,000	20.00%	> 13,000 - 34,000	40.00%	> 34,000	55.00%				
Fletcher Building (NZ)	0 - 19,000	20.00%	> 19,000 - 40,000	30.00%	> 40,000 - 81,000	35.00%	> 81,000	50.00%		
Flex LNG LTD	0 - 19,000	20.00%	> 19,000 - 48,000	30.00%	> 48,000 - 97,000	40.00%	> 97,000	50.00%		
FlexiGroup	0 - 21,000	20.00%	> 21,000 - 49,000	25.00%	> 49,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Flexion Therapeutics Inc	0 - 2,200	20.00%	> 2,200 - 9,200	30.00%	> 9,200	50.00%				
FlexShares Global Upstream Natural Resources Index Fund	0 - 570	20.00%	> 570 - 1,500	40.00%	> 1,500	65.00%				
FlexShares iBoxx 3-Year Target Duration TIPS Index Fund	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600	50.00%				
FlexShares International Quality Dividend Index Fund	0 - 810	20.00%	> 810 - 1,600	25.00%	> 1,600	50.00%				
FlexShares Morningstar Developed Markets ex-US Factor Tilt Index Fund	0 - 360	20.00%	> 360 - 790	25.00%	> 790	50.00%				
FlexShares Morningstar Emerging Markets Factor Tilt Index Fund	0 - 180	20.00%	> 180 - 480	25.00%	> 480 - 1,000	30.00%	> 1,000	50.00%		
FlexShares Morningstar US Market Factor Tilt Index Fund	0 - 170	20.00%	> 170 - 340	25.00%	> 340	50.00%				
FlexShares STOXX Global Broad Infrastructure Index Fund	0 - 210	20.00%	> 210 - 850	25.00%	> 850	50.00%				
Flexsteel Industries Inc	0 - 260	20.00%	> 260 - 520	45.00%	> 520	70.00%				
Flextronics International	0 - 6,700	20.00%	> 6,700 - 13,000	35.00%	> 13,000	55.00%				
Flight Centre Travel Group	0 - 1,900	20.00%	> 1,900 - 3,900	35.00%	> 3,900	65.00%				
FLIR Systems	0 - 1,800	20.00%	> 1,800 - 3,700	30.00%	> 3,700 - 7,500	40.00%	> 7,500	50.00%		
Flotek Industries Inc	0 - 3,700	20.00%	> 3,700 - 8,300	60.00%	> 8,300	75.00%				
Flowers Foods Inc	0 - 4,800	20.00%	> 4,800 - 9,600	80.00%	> 9,600	90.00%				
Flowserve	0 - 780	30.00%	> 780 - 2,300	50.00%	> 2,300	90.00%				
FLSmidth & Co	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Flughafen Wien	0 - 260	20.00%	> 260 - 530	35.00%	> 530 - 1,000	40.00%	> 1,000	50.00%		
Flughafen Zuerich AG	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
Fluidigm Corp	0 - 1,500	20.00%	> 1,500 - 6,200	25.00%	> 6,200	50.00%				
Fluor Corporation	0 - 4,000	20.00%	> 4,000 - 8,000	25.00%	> 8,000	50.00%				
Flushing Financial Corp	0 - 460	20.00%	> 460 - 1,200	45.00%	> 1,200	70.00%				
Fly Leasing Ltd	0 - 710	20.00%	> 710 - 2,800	30.00%	> 2,800	50.00%				
Flybe Group PLC	0 - 18,000	20.00%	> 18,000 - 74,000	25.00%	> 74,000	50.00%				
FMC	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
FMSA Holdings Inc	0 - 5,500	20.00%	> 5,500 - 14,000	65.00%	> 14,000	75.00%				
Fnac Darty	0 - 530	20.00%	> 530 - 1,400	55.00%	> 1,400	85.00%				
FNB Corp/PA	0 - 7,500	20.00%	> 7,500 - 30,000	25.00%	> 30,000	50.00%				
FNF Group	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300 - 10,000	30.00%	> 10,000	50.00%		
Folkestone Education Trust	0 - 2,200	35.00%	> 2,200 - 6,800	40.00%	> 6,800 - 18,000	75.00%	> 18,000	90.00%		
Fomento de Construcciones y Contratas	0 - 880	20.00%	> 880 - 2,300	25.00%	> 2,300 - 5,300	30.00%	> 5,300	50.00%		
Fomento Economico Mexicano	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Fonciere des Murs SCA	0 - 100	25.00%	> 100 - 310	30.00%	> 310 - 630	35.00%	> 630 - 1,200	40.00%	> 1,200	50.00%
Fonterra Cooperative Group	0 - 11,000	20.00%	> 11,000 - 30,000	25.00%	> 30,000 - 68,000	35.00%	> 68,000	50.00%		
Fonterra Shareholders Fund	0 - 20,000	20.00%	> 20,000 - 37,000	30.00%	> 37,000	50.00%				
Foot Locker Inc	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Forbo Holding AG	0 - 68	20.00%	> 68 - 130	55.00%	> 130	90.00%				
Force Com Ltd	0 - 270,000	20.00%	> 270,000 - 540,000	30.00%	> 540,000 - 1,000,000	35.00%	> 1,000,000	50.00%		
Ford Motor	0 - 3,000	25.00%	> 3,000 - 9,000	45.00%	> 9,000	90.00%				
Foreign & Colonial Investment Trust	0 - 1,500	30.00%	> 1,500 - 4,600	35.00%	> 4,600 - 12,000	45.00%	> 12,000	50.00%		
Foresight Energy LP	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Foresight Solar Fund LTD	0 - 2,200	35.00%	> 2,200 - 6,800	40.00%	> 6,800	55.00%				
Forest City Enterprises Inc	0 - 1,400	25.00%	> 1,400 - 17,000	30.00%	> 17,000	50.00%				
Forestar Group Inc	0 - 150	30.00%	> 150 - 1,100	40.00%	> 1,100	50.00%				
FormFactor Inc	0 - 1,600	20.00%	> 1,600 - 4,400	30.00%	> 4,400 - 10,000	35.00%	> 10,000	50.00%		
Forrester Research Inc	0 - 280	20.00%	> 280 - 750	45.00%	> 750	55.00%				
Fortescue Metals	0 - 100,000	20.00%	> 100,000 - 200,000	40.00%	> 200,000	60.00%				
Fortinet Inc	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,900	30.00%	> 5,900	50.00%		
Fortis Inc/Canada	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100 - 12,000	30.00%	> 12,000	50.00%		
Fortive Corp	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Fortum	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
Fortuna Silver Mines Inc (CA)	0 - 5,900	20.00%	> 5,900 - 15,000	25.00%	> 15,000 - 35,000	40.00%	> 35,000	50.00%		
Fortuna Silver Mines Inc (US)	0 - 3,100	20.00%	> 3,100 - 8,500	45.00%	> 8,500	55.00%				
Fortune Brands Home & Security	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600	50.00%				
Fortune Real Estate Investment Trust (HK)	0 - 8,100	25.00%	> 8,100 - 24,000	30.00%	> 24,000 - 140,000	40.00%	> 140,000	50.00%		
Fortune Real Estate Investment Trust (SG)	0 - 2,700	25.00%	> 2,700 - 8,300	30.00%	> 8,300 - 33,000	40.00%	> 33,000	50.00%		
Forum Energy Technologies Inc	0 - 2,200	20.00%	> 2,200 - 13,000	25.00%	> 13,000	50.00%				
Forward Air Corp	0 - 1,100	20.00%	> 1,100 - 2,800	40.00%	> 2,800	50.00%				
Forward Pharma A/S	0 - 3,600	20.00%	> 3,600 - 7,300	35.00%	> 7,300 - 14,000	40.00%	> 14,000	50.00%		
Fossil	0 - 3,800	20.00%	> 3,800 - 7,700	80.00%	> 7,700	90.00%				
Foster Electric Co Ltd	0 - 1,900	20.00%	> 1,900 - 3,800	65.00%	> 3,800	85.00%				
Fosun International	0 - 53,000	20.00%	> 53,000 - 100,000	35.00%	> 100,000	55.00%				
Foundation Medicine Inc	0 - 240	25.00%	> 240 - 1,400	35.00%	> 1,400 - 2,900	40.00%	> 2,900	50.00%		
Four Corners Property Trust	0 - 670	30.00%	> 670 - 2,000	35.00%	> 2,000 - 5,400	50.00%	> 5,400	60.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Fox Factory Holding Corp	0 - 2,100	20.00%	> 2,100 - 4,900	25.00%	> 4,900	50.00%				
Foxtons Group plc	0 - 5,000	30.00%	> 5,000 - 60,000	40.00%	> 60,000	50.00%				
FP Corp	0 - 2,900	20.00%	> 2,900 - 6,700	25.00%	> 6,700	50.00%				
Fragrance Group	0 - 31,000	35.00%	> 31,000 - 94,000	40.00%	> 94,000 - 180,000	60.00%	> 180,000	70.00%		
France Bed Holdings Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,600	25.00%	> 6,600	50.00%				
Francesca's Holdings Corp	0 - 5,300	20.00%	> 5,300 - 9,700	25.00%	> 9,700	50.00%				
Franco-Nevada Corp (CA)	0 - 1,300	20.00%	> 1,300 - 2,700	45.00%	> 2,700	60.00%				
Franco-Nevada Corp (US)	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700 - 5,400	30.00%	> 5,400	50.00%		
Franklin Covey Co	0 - 380	20.00%	> 380 - 770	45.00%	> 770	60.00%				
Franklin Electric Co Inc	0 - 730	20.00%	> 730 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Franklin Limited Duration Income Trust	0 - 300	35.00%	> 300 - 1,800	50.00%	> 1,800	60.00%				
Franklin Resources	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000	50.00%				
Franklin Street Properties Corp	0 - 740	25.00%	> 740 - 2,200	30.00%	> 2,200 - 5,900	35.00%	> 5,900 - 13,000	40.00%	> 13,000	50.00%
Frank's International NV	0 - 4,200	20.00%	> 4,200 - 11,000	30.00%	> 11,000 - 25,000	35.00%	> 25,000	50.00%		
Fraport	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,100	35.00%	> 4,100	50.00%		
Fraser and Neave Ltd	0 - 6,700	20.00%	> 6,700 - 26,000	25.00%	> 26,000	50.00%				
Frasers Centrepoint Trust	0 - 3,800	25.00%	> 3,800 - 11,000	30.00%	> 11,000 - 68,000	35.00%	> 68,000	50.00%		
Frasers Commercial Trust	0 - 5,800	25.00%	> 5,800 - 46,000	30.00%	> 46,000 - 100,000	35.00%	> 100,000	50.00%		
Frasers Hospitality Trust	0 - 6,500	25.00%	> 6,500 - 19,000	30.00%	> 19,000 - 79,000	35.00%	> 79,000	50.00%		
Frasers Logistics & Industrial Trust	0 - 21,000	25.00%	> 21,000 - 65,000	30.00%	> 65,000 - 390,000	35.00%	> 390,000	50.00%		
Frasers Property Ltd	0 - 2,700	30.00%	> 2,700 - 17,000	35.00%	> 17,000 - 37,000	40.00%	> 37,000	50.00%		
Fred Olsen Energy ASA (NO)	0 - 13,000	20.00%	> 13,000 - 55,000	25.00%	> 55,000	50.00%				
Fred's Inc	0 - 1,500	25.00%	> 1,500 - 4,500	35.00%	> 4,500 - 9,000	70.00%	> 9,000	85.00%		
Freedom Foods Group Ltd	0 - 2,300	20.00%	> 2,300 - 6,200	65.00%	> 6,200	75.00%				
Freehold Royalties Ltd	0 - 1,700	25.00%	> 1,700 - 5,200	30.00%	> 5,200 - 13,000	40.00%	> 13,000 - 28,000	45.00%	> 28,000	50.00%
Freeman FinTech Corp Ltd	0 - 1,200,000	20.00%	> 1,200,000 - 2,400,000	25.00%	> 2,400,000 - 4,400,000	30.00%	> 4,400,000	50.00%		
Freenet	0 - 3,600	20.00%	> 3,600	90.00%						
Freeport - McMoRan	0 - 39,000	20.00%	> 39,000 - 78,000	40.00%	> 78,000	60.00%				
FreightCar America Inc	0 - 580	20.00%	> 580 - 1,200	35.00%	> 1,200	50.00%				
Freightways	0 - 1,800	20.00%	> 1,800 - 4,400	35.00%	> 4,400 - 9,500	40.00%	> 9,500	50.00%		
Fresenius KGaA	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Fresenius Medical Care AG (DE)	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Fresenius Medical Care AG (US)	0 - 750	20.00%	> 750 - 1,700	25.00%	> 1,700	50.00%				
Fresh Del Monte Produce Inc	0 - 890	20.00%	> 890 - 5,300	25.00%	> 5,300	50.00%				
Freshpet Inc	0 - 780	20.00%	> 780 - 2,000	35.00%	> 2,000 - 4,600	45.00%	> 4,600	50.00%		
Fresnillo	0 - 6,800	20.00%	> 6,800 - 13,000	25.00%	> 13,000 - 27,000	35.00%	> 27,000	50.00%		
Front Yard Residential Corp	0 - 560	30.00%	> 560 - 1,700	35.00%	> 1,700 - 4,500	50.00%	> 4,500	55.00%		
Frontier Communications	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000 - 21,000	30.00%	> 21,000	50.00%		
Frontline Ltd (NO)	0 - 24,000	20.00%	> 24,000 - 49,000	40.00%	> 49,000	60.00%				
Frontline Ltd (US)	0 - 2,500	20.00%	> 2,500 - 15,000	30.00%	> 15,000	50.00%				
FRP Holdings Inc	0 - 74	30.00%	> 74 - 580	40.00%	> 580 - 1,300	45.00%	> 1,300	50.00%		
FS Investment Corp	0 - 2,200	20.00%	> 2,200 - 13,000	25.00%	> 13,000	50.00%				
F-Secure OYJ	0 - 2,200	20.00%	> 2,200 - 9,100	25.00%	> 9,100	50.00%				
FTD Cos Inc	0 - 2,200	20.00%	> 2,200 - 9,000	25.00%	> 9,000	50.00%				
FTI Consulting Inc	0 - 1,100	20.00%	> 1,100 - 2,500	75.00%	> 2,500	90.00%				
Fu Shou Yuan International Group Ltd	0 - 90,000	20.00%	> 90,000 - 360,000	30.00%	> 360,000	50.00%				
Fuchs Petrolub AG	0 - 300	20.00%	> 300 - 810	25.00%	> 810 - 1,800	30.00%	> 1,800	50.00%		
Fuchs Petrolub AG	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800	50.00%				
Fudo Tetra Corp	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
FuelCell Energy Inc	0 - 15,000	20.00%	> 15,000 - 30,000	25.00%	> 30,000	50.00%				
Fufeng Group	0 - 45,000	20.00%	> 45,000 - 120,000	80.00%	> 120,000	90.00%				
Fugro NV	0 - 6,100	20.00%	> 6,100 - 12,000	35.00%	> 12,000 - 22,000	45.00%	> 22,000	50.00%		
Fuguiniao Co Ltd	> 0	100.00%								
Fuji Co Ltd/Ehime	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Fuji Electric	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000 - 39,000	35.00%	> 39,000	50.00%		
Fuji Heavy Industries	0 - 7,100	20.00%	> 7,100 - 14,000	25.00%	> 14,000	50.00%				
Fuji Kyuko Co Ltd	0 - 710	20.00%	> 710 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Fuji Machine Manufacturing Co Ltd	0 - 4,600	20.00%	> 4,600 - 10,000	30.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%		
Fuji Media Holdings Inc	0 - 6,000	20.00%	> 6,000 - 12,000	30.00%	> 12,000 - 24,000	35.00%	> 24,000	50.00%		
Fuji Oil Holdings Ltd	0 - 3,000	20.00%	> 3,000 - 12,000	25.00%	> 12,000	50.00%				
Fuji Seal International Inc	0 - 1,800	20.00%	> 1,800 - 4,600	25.00%	> 4,600 - 10,000	30.00%	> 10,000	50.00%		
Fuji Soft Inc	0 - 1,500	20.00%	> 1,500 - 7,900	25.00%	> 7,900	50.00%				
Fujibo Holdings Inc	0 - 500	20.00%	> 500 - 1,300	30.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Fujicco Co Ltd	0 - 1,100	20.00%	> 1,100 - 3,000	35.00%	> 3,000 - 6,800	45.00%	> 6,800	50.00%		
FUJIFILM Holding	0 - 2,500	20.00%	> 2,500 - 5,100	30.00%	> 5,100	50.00%				
Fujikura	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000 - 65,000	30.00%	> 65,000	50.00%		
Fujimi Inc	0 - 1,600	20.00%	> 1,600 - 3,300	35.00%	> 3,300	55.00%				
Fujimori Kogyo Co Ltd	0 - 370	20.00%	> 370 - 1,000	40.00%	> 1,000	60.00%				
Fujita Kanko Inc	0 - 690	20.00%	> 690 - 3,200	30.00%	> 3,200	50.00%				
Fujitec Co Ltd	0 - 2,600	20.00%	> 2,600 - 7,100	35.00%	> 7,100 - 16,000	40.00%	> 16,000	50.00%		
Fujitsu	0 - 15,000	20.00%	> 15,000 - 30,000	35.00%	> 30,000 - 55,000	45.00%	> 55,000	50.00%		
Fujitsu General Ltd	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
Fujiya Co Ltd	0 - 470	20.00%	> 470 - 1,100	30.00%	> 1,100	50.00%				
Fukuda Corp	0 - 350	20.00%	> 350 - 740	25.00%	> 740	50.00%				
Fukuda Denshi Co Ltd	0 - 140	20.00%	> 140 - 290	30.00%	> 290 - 580	35.00%	> 580	50.00%		
Fukui Bank Ltd/The	0 - 970	20.00%	> 970 - 1,900	25.00%	> 1,900	50.00%				
Fukuoka Financial	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000 - 47,000	30.00%	> 47,000	50.00%		
Fukuoka REIT Corp	0 - 8	30.00%	> 8 - 23	35.00%	> 23 - 62	40.00%	> 62 - 140	45.00%	> 140	50.00%
Fukushima Industries Corp	0 - 440	20.00%	> 440 - 2,600	25.00%	> 2,600	50.00%				
Fukuyama Transporting Co Ltd	0 - 1,100	20.00%	> 1,100 - 6,600	30.00%	> 6,600	50.00%				
Fullshare Holdings Ltd	0 - 210,000	20.00%	> 210,000 - 430,000	25.00%	> 430,000 - 860,000	30.00%	> 860,000	50.00%		
Fulton Financial Corp	0 - 3,600	20.00%	> 3,600 - 9,700	45.00%	> 9,700	50.00%				
Funai Soken Holdings Inc	0 - 1,900	20.00%	> 1,900 - 5,000	35.00%	> 5,000 - 11,000	40.00%	> 11,000	50.00%		
Funcom	0 - 5,500	20.00%	> 5,500 - 11,000	35.00%	> 11,000 - 20,000	45.00%	> 20,000	50.00%		
Fundsmith Emerging Equities Trust	0 - 190	40.00%	> 190 - 590	50.00%	> 590 - 1,400	75.00%	> 1,400	80.00%		
Furukawa	0 - 2,900	20.00%	> 2,900 - 5,900	35.00%	> 5,900	55.00%				
Furukawa Electric	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
Fuso Chemical Co Ltd	0 - 1,200	20.00%	> 1,200 - 3,400	35.00%	> 3,400 - 7,700	40.00%	> 7,700	50.00%		
Futaba Corp/Chiba	0 - 3,200	20.00%	> 3,200 - 7,200	25.00%	> 7,200	50.00%				
Futaba Industrial Co Ltd	0 - 5,800	20.00%	> 5,800 - 12,000	35.00%	> 12,000	50.00%				
Future Land Development Holdings Ltd	0 - 38,000	30.00%	> 38,000 - 110,000	35.00%	> 110,000 - 230,000	50.00%	> 230,000	60.00%		
FutureFuel Corp	0 - 720	20.00%	> 720 - 1,400	40.00%	> 1,400	60.00%				
Fuyao Glass Industry Group	0 - 27,000	20.00%	> 27,000 - 55,000	30.00%	> 55,000 - 110,000	40.00%	> 110,000	50.00%		
Fuyo General Lease Co Ltd	0 - 650	20.00%	> 650 - 3,900	25.00%	> 3,900	50.00%				
G4S	0 - 27,000	20.00%	> 27,000 - 54,000	25.00%	> 54,000 - 100,000	30.00%	> 100,000	50.00%		
G4S (Denmark)	0 - 2,700	20.00%	> 2,700 - 6,400	30.00%	> 6,400 - 13,000	35.00%	> 13,000	50.00%		
G8 Education Ltd	0 - 30,000	20.00%	> 30,000 - 62,000	25.00%	> 62,000 - 110,000	30.00%	> 110,000	50.00%		
Gabelli Equity Trust Inc	0 - 520	35.00%	> 520 - 3,600	45.00%	> 3,600	50.00%				
Gabelli Healthcare & WellnessRx Trust/The	0 - 310	30.00%	> 310 - 950	35.00%	> 950 - 3,800	40.00%	> 3,800	50.00%		
Gabelli Multimedia Trust Inc	0 - 360	30.00%	> 360 - 1,100	35.00%	> 1,100 - 4,400	40.00%	> 4,400	50.00%		
Gabelli Utility Trust	0 - 550	30.00%	> 550 - 1,600	35.00%	> 1,600 - 6,600	40.00%	> 6,600	50.00%		
Gafisa	0 - 610	25.00%	> 610 - 1,800	30.00%	> 1,800 - 3,600	40.00%	> 3,600	50.00%		
Gain Capital Holdings Inc	0 - 1,500	20.00%	> 1,500 - 4,100	60.00%	> 4,100	90.00%				
Galapagos NV	0 - 980	20.00%	> 980 - 3,900	30.00%	> 3,900	50.00%				
Galapagos NV - ADR	0 - 400	20.00%	> 400 - 1,000	45.00%	> 1,000	65.00%				
Galaxy Entertainment	0 - 80,000	20.00%	> 80,000 - 160,000	40.00%	> 160,000	60.00%				
Galaxy Resources	0 - 28,000	20.00%	> 28,000 - 57,000	25.00%	> 57,000 - 100,000	30.00%	> 100,000	50.00%		
Gallant Venture	0 - 100,000	20.00%	> 100,000 - 200,000	45.00%	> 200,000	50.00%				
Galliford	0 - 4,600	20.00%	> 4,600 - 12,000	30.00%	> 12,000 - 27,000	35.00%	> 27,000	50.00%		
Galp Energia	0 - 16,000	20.00%	> 16,000 - 33,000	40.00%	> 33,000	60.00%				
GAM Holding AG	0 - 8,700	20.00%	> 8,700 - 17,000	45.00%	> 17,000	75.00%				
GAM Precious Metals - Physical Gold-CHF A	0 - 9	20.00%	> 9 - 17	30.00%	> 17	50.00%				
GAM Precious Metals - Physical Gold-EUR A	0 - 20	20.00%	> 20 - 40	25.00%	> 40	50.00%				
GAM Precious Metals - Physical Gold-USD A	0 - 17	20.00%	> 17 - 34	25.00%	> 34	50.00%				
GAMCO Global Gold Natural Resources & Income Trust	0 - 640	30.00%	> 640 - 3,800	35.00%	> 3,800 - 7,700	40.00%	> 7,700	50.00%		
GAMCO Investors Inc	0 - 370	20.00%	> 370 - 740	25.00%	> 740 - 1,400	40.00%	> 1,400	50.00%		
GAMCO Natural Resources Gold & Income Trust	0 - 530	35.00%	> 530 - 6,400	40.00%	> 6,400	50.00%				
GAME Digital PLC	0 - 22,000	20.00%	> 22,000 - 44,000	25.00%	> 44,000 - 89,000	30.00%	> 89,000	50.00%		
Gamehost Inc	0 - 1,100	20.00%	> 1,100 - 2,200	45.00%	> 2,200	50.00%				
Games Workshop Group PLC	0 - 4,000	20.00%	> 4,000 - 7,800	25.00%	> 7,800	50.00%				
GameStop	0 - 13,000	20.00%	> 13,000 - 24,000	25.00%	> 24,000	50.00%				
Gaming and Leisure Properties Inc	0 - 910	30.00%	> 910 - 2,700	35.00%	> 2,700 - 5,500	55.00%	> 5,500	60.00%		
Gaming Innovation Group Inc.	0 - 20,000	20.00%	> 20,000 - 54,000	25.00%	> 54,000 - 120,000	30.00%	> 120,000	50.00%		
Gamma Communications PLC	0 - 2,100	20.00%	> 2,100 - 4,500	25.00%	> 4,500	50.00%				
Gap	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
Garmin Ltd	0 - 1,500	20.00%	> 1,500 - 3,100	35.00%	> 3,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Garrison Capital Inc	0 - 1,200	20.00%	> 1,200 - 4,800	25.00%	> 4,800	50.00%				
Gartner Inc	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Gas Natural SDG	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 14,000	35.00%	> 14,000	50.00%		
GasLog Ltd	0 - 2,200	20.00%	> 2,200 - 5,100	25.00%	> 5,100	50.00%				
GasLog Partners LP	0 - 420	20.00%	> 420 - 990	30.00%	> 990 - 2,100	35.00%	> 2,100	50.00%		
Gastar Exploration Inc	0 - 6,400	25.00%	> 6,400 - 38,000	40.00%	> 38,000 - 76,000	45.00%	> 76,000	50.00%		
Gateway Lifestyle Holdings	0 - 7,600	35.00%	> 7,600 - 22,000	45.00%	> 22,000 - 45,000	75.00%	> 45,000	90.00%		
GATX Corp	0 - 1,100	20.00%	> 1,100 - 2,400	55.00%	> 2,400	70.00%				
Gazprom OAO (DE)	0 - 6,800	20.00%	> 6,800 - 18,000	30.00%	> 18,000 - 41,000	45.00%	> 41,000	50.00%		
Gaztransport Et Technigaz SA	0 - 890	20.00%	> 890 - 2,300	25.00%	> 2,300 - 5,300	30.00%	> 5,300	50.00%		
GB Group PLC	0 - 4,200	20.00%	> 4,200 - 11,000	25.00%	> 11,000 - 25,000	30.00%	> 25,000	50.00%		
GCI Liberty Inc A Shares	0 - 2,000	20.00%	> 2,000 - 4,100	35.00%	> 4,100	60.00%				
GCL New Energy Holdings Ltd	0 - 330,000	20.00%	> 330,000 - 880,000	25.00%	> 880,000 - 1,900,000	35.00%	> 1,900,000	50.00%		
GCL-Poly Energy	0 - 1,100,000	20.00%	> 1,100,000 - 2,200,000	40.00%	> 2,200,000 - 4,500,000	45.00%	> 4,500,000	50.00%		
GCP Applied Technologies	0 - 1,800	20.00%	> 1,800 - 5,000	35.00%	> 5,000 - 11,000	40.00%	> 11,000	50.00%		
GCP Infrastructure Investments Ltd	0 - 4,800	30.00%	> 4,800 - 14,000	35.00%	> 14,000 - 39,000	45.00%	> 39,000	55.00%		
GCP Student Living PLC	0 - 3,800	25.00%	> 3,800 - 30,000	30.00%	> 30,000 - 68,000	35.00%	> 68,000	50.00%		
GDI Property Group	0 - 3,500	25.00%	> 3,500 - 27,000	30.00%	> 27,000 - 61,000	35.00%	> 61,000	50.00%		
GEA	0 - 2,900	20.00%	> 2,900 - 5,900	40.00%	> 5,900 - 11,000	45.00%	> 11,000	50.00%		
Geberit AG	0 - 220	20.00%	> 220 - 450	30.00%	> 450 - 910	40.00%	> 910	50.00%		
Gecina	0 - 580	20.00%	> 580 - 1,100	30.00%	> 1,100 - 2,300	35.00%	> 2,300	50.00%		
Gedi Gruppo Editoriale Spa	0 - 53,000	20.00%	> 53,000 - 100,000	25.00%	> 100,000	50.00%				
Geely Auto	0 - 76,000	20.00%	> 76,000 - 150,000	25.00%	> 150,000	50.00%				
Gem Diamonds	0 - 2,100	35.00%	> 2,100 - 6,400	40.00%	> 6,400 - 12,000	55.00%	> 12,000	60.00%		
Gemalto NV	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300 - 6,700	30.00%	> 6,700	50.00%		
Gemdale Properties and Investment Corp Ltd	0 - 130,000	30.00%	> 130,000 - 400,000	35.00%	> 400,000 - 1,000,000	65.00%	> 1,000,000	85.00%		
Genel Energy Plc	0 - 4,000	30.00%	> 4,000 - 12,000	35.00%	> 12,000 - 31,000	80.00%	> 31,000	90.00%		
Generac Holdings Inc	0 - 1,500	20.00%	> 1,500 - 3,400	45.00%	> 3,400	55.00%				
General American Investors Co Inc	0 - 96	35.00%	> 96	50.00%						
General Cable Corp	0 - 2,600	20.00%	> 2,600 - 5,900	55.00%	> 5,900	90.00%				
General Dynamics	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000	50.00%				
General Electric Co	0 - 47,000	20.00%	> 47,000 - 95,000	40.00%	> 95,000	60.00%				
General Growth	0 - 1,500	25.00%	> 1,500 - 9,500	35.00%	> 9,500 - 19,000	40.00%	> 19,000	50.00%		
General Mills	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
General Motors	0 - 16,000	20.00%	> 16,000 - 33,000	40.00%	> 33,000	60.00%				
Generali	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Genesco Inc	0 - 2,700	20.00%	> 2,700 - 5,300	25.00%	> 5,300	50.00%				
Genesee & Wyoming Inc	0 - 1,100	20.00%	> 1,100 - 2,300	75.00%	> 2,300	90.00%				
Genesis Emerging	0 - 500	30.00%	> 500 - 1,500	35.00%	> 1,500 - 4,000	45.00%	> 4,000	50.00%		
Genesis Energy LP	0 - 4,100	20.00%	> 4,100 - 9,400	25.00%	> 9,400	50.00%				
Genesis Energy Ltd	0 - 6,000	20.00%	> 6,000 - 24,000	25.00%	> 24,000	50.00%				
Genesis Energy Ltd	0 - 6,100	20.00%	> 6,100 - 37,000	25.00%	> 37,000	50.00%				
Genesis Healthcare Inc	0 - 6,500	20.00%	> 6,500 - 30,000	25.00%	> 30,000	50.00%				
Genfit	0 - 470	30.00%	> 470 - 1,400	35.00%	> 1,400 - 3,600	65.00%	> 3,600	80.00%		
Genmab	0 - 580	20.00%	> 580 - 1,100	40.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%		
GenMark Diagnostics Inc	0 - 1,400	20.00%	> 1,400 - 3,600	45.00%	> 3,600	55.00%				
Genomic Health Inc	0 - 1,600	20.00%	> 1,600 - 3,500	40.00%	> 3,500	65.00%				
Genpact Ltd	0 - 3,300	20.00%	> 3,300 - 6,600	30.00%	> 6,600 - 13,000	35.00%	> 13,000	50.00%		
Gentex Corp/MI	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500 - 17,000	30.00%	> 17,000	50.00%		
Gentherm Inc	0 - 1,200	20.00%	> 1,200 - 3,400	25.00%	> 3,400 - 7,600	30.00%	> 7,600	50.00%		
Genting Hong Kong Ltd (SG)	0 - 57,000	20.00%	> 57,000 - 110,000	40.00%	> 110,000	50.00%				
Genting Singapore	0 - 100,000	20.00%	> 100,000 - 210,000	25.00%	> 210,000 - 430,000	35.00%	> 430,000	50.00%		
Genuine Parts	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Genus	0 - 1,200	20.00%	> 1,200 - 3,300	25.00%	> 3,300 - 7,600	35.00%	> 7,600	50.00%		
Genworth Financial	0 - 19,000	20.00%	> 19,000 - 84,000	30.00%	> 84,000	50.00%				
Genworth MI Canada Inc	0 - 2,300	20.00%	> 2,300 - 5,300	35.00%	> 5,300 - 11,000	40.00%	> 11,000	50.00%		
Genworth Mortgage Insurance Australia Ltd	0 - 28,000	20.00%	> 28,000 - 63,000	30.00%	> 63,000 - 120,000	35.00%	> 120,000	50.00%		
Geo Group Inc/The	0 - 1,100	30.00%	> 1,100 - 3,400	35.00%	> 3,400 - 7,100	55.00%	> 7,100	65.00%		
Geo Holdings Corp	0 - 2,700	20.00%	> 2,700 - 6,000	60.00%	> 6,000	90.00%				
Georg Fischer AG	0 - 78	20.00%	> 78 - 150	35.00%	> 150 - 310	40.00%	> 310	50.00%		
George Weston Ltd	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Georgia Capital PLC	0 - 1,450	20.00%	> 1,450 - 2,850	25.00%	> 2,850	50.00%				
Geospace Technologies Corp	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000 - 3,600	45.00%	> 3,600	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
GEOX	0 - 5,200	20.00%	> 5,200 - 13,000	40.00%	> 13,000	65.00%				
Gerdau	0 - 24,000	20.00%	> 24,000 - 49,000	35.00%	> 49,000 - 99,000	40.00%	> 99,000	50.00%		
German American Bancorp Inc	0 - 330	20.00%	> 330 - 890	40.00%	> 890	55.00%				
Geron Corp	0 - 12,000	20.00%	> 12,000 - 46,000	35.00%	> 46,000	50.00%				
Gerresheimer	0 - 1,100	20.00%	> 1,100 - 2,200	55.00%	> 2,200	65.00%				
Gerry Weber	0 - 1,500	20.00%	> 1,500 - 6,300	25.00%	> 6,300	50.00%				
Get Nice Holdings Ltd	0 - 280,000	20.00%	> 280,000 - 1,100,000	25.00%	> 1,100,000	50.00%				
Getinge B	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%		
Getty Realty Corp	0 - 260	30.00%	> 260 - 790	35.00%	> 790 - 2,100	50.00%	> 2,100	55.00%		
GFT Technologies AG	0 - 940	20.00%	> 940 - 2,500	35.00%	> 2,500 - 5,600	45.00%	> 5,600	50.00%		
Gibraltar Industries Inc	0 - 880	20.00%	> 880 - 2,300	25.00%	> 2,300 - 5,200	30.00%	> 5,200	50.00%		
Gibson Energy Inc	0 - 3,700	20.00%	> 3,700 - 9,900	30.00%	> 9,900 - 22,000	45.00%	> 22,000	50.00%		
Gielda Papierow Wartosciowych W Warszawie SA	0 - 2,900	20.00%	> 2,900 - 6,600	25.00%	> 6,600	50.00%				
Gigaset	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000 - 53,000	30.00%	> 53,000	50.00%		
G-III Apparel Group Ltd	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				
Giken Ltd	0 - 1,400	20.00%	> 1,400 - 3,600	50.00%	> 3,600	65.00%				
Gildan Activewear Inc (CA)	0 - 3,400	20.00%	> 3,400 - 13,000	30.00%	> 13,000	50.00%				
Gildan Activewear Inc (US)	0 - 1,200	20.00%	> 1,200 - 7,700	25.00%	> 7,700	50.00%				
Gildemeister	0 - 180	20.00%	> 180 - 1,000	25.00%	> 1,000	50.00%				
Gilead Sciences	0 - 8,500	20.00%	> 8,500 - 17,000	40.00%	> 17,000	60.00%				
Gimv NV	0 - 350	20.00%	> 350 - 940	30.00%	> 940 - 2,100	35.00%	> 2,100	50.00%		
Gindalbie Metals	0 - 740,000	20.00%	> 740,000 - 1,400,000	25.00%	> 1,400,000 - 2,900,000	30.00%	> 2,900,000	50.00%		
Giordano International	0 - 47,000	20.00%	> 47,000 - 120,000	50.00%	> 120,000	60.00%				
Givaudan	0 - 84	20.00%	> 84 - 160	25.00%	> 160	50.00%				
Gjensidige Forsikring ASA	0 - 640	20.00%	> 640 - 1,200	25.00%	> 1,200 - 2,500	30.00%	> 2,500	50.00%		
Gjensidige Forsikring ASA (NO)	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
GKN	0 - 15,000	20.00%	> 15,000 - 31,000	35.00%	> 31,000 - 62,000	40.00%	> 62,000	50.00%		
GL Events	0 - 360	20.00%	> 360	90.00%						
GL Ltd	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000 - 71,000	35.00%	> 71,000	50.00%		
Glacier Bancorp Inc	0 - 2,500	20.00%	> 2,500 - 5,000	30.00%	> 5,000 - 10,000	35.00%	> 10,000	50.00%		
Gladstone Capital Corp	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100 - 4,300	30.00%	> 4,300	50.00%		
Gladstone Commercial Corp	0 - 180	25.00%	> 180 - 540	30.00%	> 540 - 3,200	40.00%	> 3,200	50.00%		
Gladstone Investment Corp	0 - 840	20.00%	> 840 - 1,600	25.00%	> 1,600 - 3,300	30.00%	> 3,300	50.00%		
Glanbia	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Glanbia PLC	0 - 1,300	20.00%	> 1,300 - 3,500	25.00%	> 3,500 - 7,900	40.00%	> 7,900	50.00%		
Glaukos Corp	0 - 1,800	20.00%	> 1,800 - 4,200	25.00%	> 4,200 - 8,400	30.00%	> 8,400	50.00%		
GlaxoSmithKline	0 - 31,000	20.00%	> 31,000 - 63,000	40.00%	> 63,000	60.00%				
GlaxoSmithKline (US)	0 - 2,300	20.00%	> 2,300 - 4,700	50.00%	> 4,700	65.00%				
Glencore Xstrata plc (UK)	0 - 160,000	20.00%	> 160,000 - 320,000	40.00%	> 320,000	60.00%				
Global Bio-chem	0 - 960,000	20.00%	> 960,000 - 3,800,000	25.00%	> 3,800,000	50.00%				
Global Blood Therapeutics	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800 - 9,700	45.00%	> 9,700	50.00%		
Global Brands Grouping Holding	0 - 700,000	20.00%	> 700,000	55.00%						
Global Brass & Copper Holdings Inc	0 - 320	20.00%	> 320 - 870	45.00%	> 870	70.00%				
Global Eagle Entertainment Inc	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Global Indemnity PLC	0 - 250	20.00%	> 250 - 560	70.00%	> 560	90.00%				
Global One Real Estate Investment Corp	0 - 9	30.00%	> 9 - 27	40.00%	> 27 - 73	85.00%	> 73	90.00%		
Global Partners LP/MA	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
Global Payments Inc	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
Global SuperDividend US ETF	0 - 390	20.00%	> 390 - 1,500	25.00%	> 1,500	50.00%				
Global X FTSE Greece 20 ETF	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Global X Guru Index ETF	0 - 300	20.00%	> 300 - 610	25.00%	> 610 - 1,200	30.00%	> 1,200	50.00%		
Global X Lithium & Battery Tech ETF	0 - 1,700	20.00%	> 1,700 - 3,900	25.00%	> 3,900	50.00%				
Global X Robotics & Artificial Intelligence ETF	0 - 2,300	20.00%	> 2,300 - 14,000	25.00%	> 14,000	50.00%				
Global X Silver Miners ETF	0 - 340	20.00%	> 340 - 830	25.00%	> 830 - 1,800	30.00%	> 1,800	50.00%		
Global X SuperDividend ETF	0 - 510	20.00%	> 510 - 3,000	35.00%	> 3,000	50.00%				
Global X SuperIncome Preferred ETF	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
Global X Uranium ETF	0 - 2,400	20.00%	> 2,400 - 5,400	25.00%	> 5,400	50.00%				
Globalstar Inc	0 - 36,000	20.00%	> 36,000 - 220,000	30.00%	> 220,000	50.00%				
Globant SA	0 - 1,340	20.00%	> 1,340 - 3,010	50.00%	> 3,010	60.00%				
Globe Trade Centre SA	0 - 1,300	30.00%	> 1,300 - 3,900	35.00%	> 3,900 - 8,100	45.00%	> 8,100	55.00%		
Globus Medical Inc	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
Glorious Property Holdings Ltd	0 - 65,000	30.00%	> 65,000 - 190,000	35.00%	> 190,000 - 390,000	50.00%	> 390,000	70.00%		
Glory Ltd	0 - 3,400	20.00%	> 3,400 - 7,100	60.00%	> 7,100	90.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
GLP J-Reit	0 - 32	25.00%	> 32 - 96	30.00%	> 96 - 190	35.00%	> 190 - 380	40.00%	> 380	50.00%
Glu Mobile Inc	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Gluskin Sheff + Associates Inc	0 - 1,000	20.00%	> 1,000 - 2,700	40.00%	> 2,700 - 6,100	45.00%	> 6,100	50.00%		
GMO internet Inc	0 - 4,400	20.00%	> 4,400 - 8,900	35.00%	> 8,900	50.00%				
GMO Payment Gateway Inc	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
GMP Capital Inc	0 - 4,700	20.00%	> 4,700 - 9,500	35.00%	> 9,500 - 19,000	40.00%	> 19,000	50.00%		
GN Store Nord	0 - 2,100	20.00%	> 2,100 - 4,300	35.00%	> 4,300 - 8,700	40.00%	> 8,700	50.00%		
GNC Holdings Inc	0 - 12,000	20.00%	> 12,000 - 23,000	25.00%	> 23,000	50.00%				
Go-Ahead Group	0 - 2,300	20.00%	> 2,300 - 5,900	50.00%	> 5,900	60.00%				
GOCOMPARE.COM Group PLC	0 - 7,500	20.00%	> 7,500 - 20,000	55.00%	> 20,000	60.00%				
GoDaddy Inc	0 - 1,200	20.00%	> 1,200 - 2,500	35.00%	> 2,500 - 5,100	45.00%	> 5,100	50.00%		
Godo Steel Ltd	0 - 1,000	20.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%				
Gogo Inc	0 - 7,200	20.00%	> 7,200 - 15,000	25.00%	> 15,000 - 28,000	30.00%	> 28,000	50.00%		
Gol Linhas Aereas Inteligentes	0 - 2,200	20.00%	> 2,200 - 6,000	60.00%	> 6,000	90.00%				
Golar LNG Ltd	0 - 3,500	20.00%	> 3,500 - 7,100	55.00%	> 7,100	80.00%				
Golar LNG Partners LP	0 - 1,800	20.00%	> 1,800 - 4,900	45.00%	> 4,900	80.00%				
Gold Bullion Securities Ltd	0 - 510	20.00%	> 510 - 1,300	40.00%	> 1,300	60.00%				
Gold Bullion Securities Ltd	0 - 160	20.00%	> 160 - 330	25.00%	> 330	50.00%				
Gold Fields Ltd (US)	0 - 34,000	20.00%	> 34,000 - 77,000	25.00%	> 77,000	50.00%				
Gold Resource Corp	0 - 1,400	20.00%	> 1,400 - 3,800	35.00%	> 3,800 - 8,500	40.00%	> 8,500	50.00%		
Gold Road Resources Ltd	0 - 18,000	20.00%	> 18,000 - 45,000	50.00%	> 45,000	60.00%				
Gold Standard Ventures Corp	0 - 6,900	20.00%	> 6,900 - 27,000	35.00%	> 27,000	50.00%				
Goldcorp Inc	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000 - 30,000	35.00%	> 30,000	50.00%		
Goldcorp Inc (US)	0 - 7,500	20.00%	> 7,500 - 30,000	35.00%	> 30,000	50.00%				
Goldcrest Co Ltd	0 - 620	30.00%	> 620 - 1,800	35.00%	> 1,800 - 4,600	50.00%	> 4,600	55.00%		
Golden Agri-Resources	0 - 440,000	20.00%	> 440,000 - 940,000	30.00%	> 940,000 - 1,900,000	35.00%	> 1,900,000	50.00%		
Golden Eagle Retail Group Ltd	0 - 24,000	20.00%	> 24,000 - 140,000	30.00%	> 140,000	50.00%				
Golden Meditech Holdings Ltd	0 - 88,000	20.00%	> 88,000 - 350,000	25.00%	> 350,000	50.00%				
Golden Ocean	0 - 11,000	20.00%	> 11,000 - 22,000	35.00%	> 22,000 - 40,000	40.00%	> 40,000	50.00%		
Golden Ocean Group Ltd	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,600	40.00%	> 4,600	50.00%		
Goldin Financial Holdings Ltd	0 - 42,000	20.00%	> 42,000 - 110,000	50.00%	> 110,000	75.00%				
Goldman Sachs Group Inc	0 - 1,400	20.00%	> 1,400 - 2,900	45.00%	> 2,900	60.00%				
Goldman Sachs MLP Energy Renaissance Fund	0 - 520	30.00%	> 520 - 1,500	35.00%	> 1,500 - 6,300	45.00%	> 6,300	50.00%		
Goldpac Group Ltd	0 - 39,000	20.00%	> 39,000 - 79,000	25.00%	> 79,000 - 150,000	30.00%	> 150,000	50.00%		
Goldwin Inc	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600 - 5,300	30.00%	> 5,300	50.00%		
Golub Capital BDC Inc	0 - 600	20.00%	> 600 - 3,600	30.00%	> 3,600	50.00%				
GOME	0 - 880,000	20.00%	> 880,000 - 1,800,000	25.00%	> 1,800,000 - 3,700,000	30.00%	> 3,700,000	50.00%		
Good Resources Holdings Ltd	0 - 760,000	20.00%	> 760,000 - 1,500,000	25.00%	> 1,500,000	50.00%				
Goodbaby International Holdings Ltd	0 - 54,000	20.00%	> 54,000 - 140,000	45.00%	> 140,000	55.00%				
Goodman	0 - 13,000	20.00%	> 13,000 - 54,000	30.00%	> 54,000	50.00%				
Goodman Property Trust	0 - 3,300	30.00%	> 3,300 - 25,000	45.00%	> 25,000	50.00%				
Goodyear	0 - 4,300	20.00%	> 4,300 - 8,700	45.00%	> 8,700	70.00%				
GoPro Inc (A shares)	0 - 10,000	20.00%	> 10,000 - 22,000	25.00%	> 22,000 - 41,000	30.00%	> 41,000	50.00%		
Gorman-Rupp Co/The	0 - 550	20.00%	> 550 - 1,400	30.00%	> 1,400 - 3,300	35.00%	> 3,300	50.00%		
Government Properties Income T	0 - 1,000	30.00%	> 1,000 - 8,300	40.00%	> 8,300 - 18,000	45.00%	> 18,000	50.00%		
GP Strategies Corp	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000 - 2,000	30.00%	> 2,000	50.00%		
GPT Group	0 - 8,600	25.00%	> 8,600 - 51,000	40.00%	> 51,000	50.00%				
Graco Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,600	30.00%	> 8,600	50.00%		
Grafton Group PLC (GB)	0 - 7,200	20.00%	> 7,200 - 16,000	25.00%	> 16,000 - 34,000	35.00%	> 34,000	50.00%		
Graham Corp	0 - 380	20.00%	> 380 - 760	25.00%	> 760 - 1,500	35.00%	> 1,500	50.00%		
Graham Holdings Co	0 - 130	20.00%	> 130 - 310	30.00%	> 310 - 660	35.00%	> 660	50.00%		
GrainCorp	0 - 34,000	20.00%	> 34,000 - 63,000	25.00%	> 63,000	50.00%				
Grainger	0 - 3,400	25.00%	> 3,400 - 10,000	30.00%	> 10,000 - 62,000	40.00%	> 62,000	50.00%		
Gramercy Property Trust Inc	0 - 1,200	25.00%	> 1,200 - 14,000	35.00%	> 14,000	50.00%				
Grammer	0 - 880	20.00%	> 880 - 3,300	25.00%	> 3,300	50.00%				
Gran Tierra Energy Inc (CA)	0 - 3,400	25.00%	> 3,400 - 10,000	30.00%	> 10,000 - 27,000	45.00%	> 27,000	60.00%		
Gran Tierra Energy Inc (US)	0 - 930	25.00%	> 930 - 2,800	30.00%	> 2,800 - 12,000	40.00%	> 12,000	50.00%		
Grana y Montero SAA	0 - 2,800	20.00%	> 2,800 - 5,700	30.00%	> 5,700 - 11,000	35.00%	> 11,000	50.00%		
Grand Canyon Education Inc	0 - 830	20.00%	> 830 - 1,600	80.00%	> 1,600	90.00%				
Grand City Properties SA	0 - 1,200	25.00%	> 1,200 - 3,800	30.00%	> 3,800 - 15,000	35.00%	> 15,000	50.00%		
Grandvision NV	0 - 3,900	20.00%	> 3,900 - 8,800	25.00%	> 8,800	50.00%				
Gränges	0 - 3,100	20.00%	> 3,100 - 8,300	30.00%	> 8,300 - 17,000	45.00%	> 17,000	50.00%		
Granite Construction Inc	0 - 1,700	20.00%	> 1,700 - 3,400	30.00%	> 3,400 - 7,000	40.00%	> 7,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Granite Oil Corp	0 - 1,400	25.00%	> 1,400 - 4,200	30.00%	> 4,200 - 17,000	40.00%	> 17,000	50.00%		
Granite Real Estate Investment Trust (CA)	0 - 660	30.00%	> 660 - 1,900	35.00%	> 1,900 - 4,300	50.00%	> 4,300	55.00%		
Graphic Packaging Holding Co	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
Graubuendner Kantonalbank	0 - 7	20.00%	> 7 - 14	30.00%	> 14 - 28	35.00%	> 28	50.00%		
Gray Television Inc	0 - 8,500	20.00%	> 8,500 - 18,000	25.00%	> 18,000	50.00%				
Great Canadian Gaming Corp	0 - 2,700	20.00%	> 2,700 - 5,400	35.00%	> 5,400 - 10,000	40.00%	> 10,000	50.00%		
Great Eagle	0 - 680	30.00%	> 680 - 4,400	45.00%	> 4,400	55.00%				
Great Eastern	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
Great Lakes Dredge & Dock Corp	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
Great Plains Energy Inc	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000	50.00%				
Great Portland	0 - 3,500	30.00%	> 3,500 - 10,000	35.00%	> 10,000 - 21,000	55.00%	> 21,000	65.00%		
Great Southern Bancorp Inc	0 - 460	20.00%	> 460 - 1,000	25.00%	> 1,000	50.00%				
Great Wall Motor	0 - 140,000	20.00%	> 140,000 - 290,000	25.00%	> 290,000 - 590,000	30.00%	> 590,000	50.00%		
Great Western Bancorp Inc	0 - 1,400	20.00%	> 1,400 - 3,800	35.00%	> 3,800 - 8,700	45.00%	> 8,700	50.00%		
Greatview Aseptic Packaging Co	0 - 16,000	20.00%	> 16,000 - 34,000	45.00%	> 34,000	60.00%				
Great-West Lifeco Inc	0 - 8,000	20.00%	> 8,000 - 16,000	30.00%	> 16,000	50.00%				
Gree Inc	0 - 15,000	20.00%	> 15,000 - 33,000	25.00%	> 33,000 - 63,000	35.00%	> 63,000	50.00%		
Green Brick Partners	0 - 1,200	20.00%	> 1,200 - 3,300	35.00%	> 3,300 - 7,500	40.00%	> 7,500	50.00%		
Green Dot Corp	0 - 1,200	20.00%	> 1,200 - 2,500	35.00%	> 2,500 - 5,000	45.00%	> 5,000	50.00%		
Green Plains Inc	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Green REIT plc (GB)	0 - 1,900	30.00%	> 1,900 - 5,700	35.00%	> 5,700 - 11,000	40.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%
Green REIT plc (IE)	0 - 8,300	25.00%	> 8,300 - 25,000	30.00%	> 25,000 - 66,000	35.00%	> 66,000 - 150,000	40.00%	> 150,000	50.00%
Greenbrier Cos Inc/The	0 - 3,500	20.00%	> 3,500 - 7,200	25.00%	> 7,200	50.00%				
Greencoat UK Wind PLC/Fund	0 - 6,700	35.00%	> 6,700 - 53,000	45.00%	> 53,000	50.00%				
Greencore Group PLC	0 - 94,000	20.00%	> 94,000 - 180,000	25.00%	> 180,000	50.00%				
Greencross Ltd	0 - 8,900	20.00%	> 8,900 - 17,000	50.00%	> 17,000	90.00%				
Greene King	0 - 13,000	20.00%	> 13,000 - 26,000	40.00%	> 26,000	70.00%				
GreenHaven Continuous Commodity Index Fund	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Greenhill & Co Inc	0 - 1,600	20.00%	> 1,600 - 3,300	35.00%	> 3,300	55.00%				
Greenland Hong Kong Holdings Ltd	0 - 11,000	30.00%	> 11,000 - 35,000	35.00%	> 35,000 - 94,000	50.00%	> 94,000	55.00%		
Greenland Minerals	0 - 160,000	20.00%	> 160,000 - 330,000	45.00%	> 330,000	55.00%				
Greenlight Capital Re Ltd	0 - 1,000	20.00%	> 1,000 - 2,700	60.00%	> 2,700	65.00%				
Greentown China Holdings Ltd	0 - 26,000	30.00%	> 26,000 - 150,000	40.00%	> 150,000 - 310,000	45.00%	> 310,000	50.00%		
Greggs PLC	0 - 4,300	20.00%	> 4,300 - 11,000	35.00%	> 11,000 - 24,000	45.00%	> 24,000	50.00%		
Greif Inc - A	0 - 1,200	20.00%	> 1,200 - 3,000	30.00%	> 3,000	50.00%				
Grenkeleasing	0 - 780	20.00%	> 780 - 1,600	35.00%	> 1,600 - 3,300	45.00%	> 3,300	50.00%		
G-Resources Group Ltd	0 - 2,200,000	20.00%	> 2,200,000 - 4,400,000	55.00%	> 4,400,000	70.00%				
Grieg Seafood ASA	0 - 6,500	20.00%	> 6,500 - 14,000	30.00%	> 14,000 - 28,000	45.00%	> 28,000	50.00%		
Griffon Corp	0 - 1,500	20.00%	> 1,500 - 4,100	30.00%	> 4,100 - 9,400	35.00%	> 9,400	50.00%		
Grifols SA	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
Grifols SA - B	0 - 1,200	20.00%	> 1,200 - 7,500	25.00%	> 7,500	50.00%				
Grifols SA (US)	0 - 2,800	20.00%	> 2,800 - 7,500	40.00%	> 7,500 - 16,000	45.00%	> 16,000	50.00%		
Group 1 Automotive Inc	0 - 2,400	20.00%	> 2,400 - 5,000	25.00%	> 5,000	50.00%				
Groupe Bruxelles Lambert SA	0 - 920	20.00%	> 920 - 1,800	25.00%	> 1,800 - 3,700	35.00%	> 3,700	50.00%		
Groupe Crit	0 - 240	20.00%	> 240 - 480	25.00%	> 480	50.00%				
Groupe Eurotunnel	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
Groupon	0 - 42,000	20.00%	> 42,000 - 85,000	25.00%	> 85,000	50.00%				
Growthpoint Properties Australia	0 - 3,200	30.00%	> 3,200 - 9,600	35.00%	> 9,600 - 25,000	65.00%	> 25,000	85.00%		
GrubHub Inc.	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Grupa Azoty SA	0 - 1,000	20.00%	> 1,000 - 2,800	35.00%	> 2,800 - 6,300	45.00%	> 6,300	50.00%		
Grupa Kety SA	0 - 110	20.00%	> 110 - 240	30.00%	> 240 - 490	35.00%	> 490	50.00%		
Grupa Lotos SA	0 - 4,500	20.00%	> 4,500 - 10,000	25.00%	> 10,000 - 23,000	30.00%	> 23,000	50.00%		
Grupo Aeroportuario del Centro	0 - 340	20.00%	> 340 - 2,000	25.00%	> 2,000	50.00%				
Grupo Aeroportuario del Pacifico SAB de CV	0 - 240	20.00%	> 240 - 640	30.00%	> 640 - 1,400	40.00%	> 1,400	50.00%		
Grupo Aeroportuario del Sureste SAB de CV	0 - 79	20.00%	> 79 - 210	30.00%	> 210 - 470	35.00%	> 470	50.00%		
Grupo Aval Acciones y Valores	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,900	30.00%	> 4,900	50.00%		
Grupo Catalana Occidente SA	0 - 1,200	20.00%	> 1,200 - 2,700	25.00%	> 2,700	50.00%				
Grupo Ezentis SA	0 - 45,000	20.00%	> 45,000 - 85,000	25.00%	> 85,000	50.00%				
Grupo Financiero Galicia SA	0 - 2,800	20.00%	> 2,800 - 5,700	35.00%	> 5,700	50.00%				
Grupo Televisa	0 - 4,000	20.00%	> 4,000 - 8,900	30.00%	> 8,900 - 18,000	35.00%	> 18,000	50.00%		
Gruppo Mutui Online	0 - 760	20.00%	> 760 - 2,000	25.00%	> 2,000 - 4,600	35.00%	> 4,600	50.00%		
GS Connect S&P GSCI Enhanced Commodity Total Return Strategy Index ETN	0 - 770	20.00%	> 770 - 1,500	25.00%	> 1,500	50.00%				
GS Yuasa	0 - 12,000	20.00%	> 12,000 - 23,000	25.00%	> 23,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
GSH Corp Ltd	0 - 10,000	30.00%	> 10,000 - 30,000	35.00%	> 30,000 - 120,000	45.00%	> 120,000	50.00%		
GSV Capital Corp	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,700	30.00%	> 5,700	50.00%		
GTT Communications Inc	0 - 1,700	20.00%	> 1,700 - 3,800	35.00%	> 3,800	50.00%				
Guangdong Investment	0 - 110,000	20.00%	> 110,000 - 230,000	25.00%	> 230,000	50.00%				
Guangdong Land Holdings Ltd	0 - 13,000	30.00%	> 13,000 - 41,000	35.00%	> 41,000 - 83,000	45.00%	> 83,000	50.00%		
Guangshen Railway (HK)	0 - 64,000	20.00%	> 64,000 - 170,000	35.00%	> 170,000 - 350,000	40.00%	> 350,000	50.00%		
Guangzhou Automobile	0 - 110,000	20.00%	> 110,000 - 220,000	25.00%	> 220,000 - 440,000	30.00%	> 440,000	50.00%		
Guangzhou Pharmaceutical Co Lt	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	50.00%				
Guangzhou R&F Properties	0 - 17,000	30.00%	> 17,000 - 100,000	40.00%	> 100,000 - 210,000	45.00%	> 210,000	50.00%		
Guaranty Bancorp	0 - 1,400	20.00%	> 1,400 - 3,700	30.00%	> 3,700 - 7,500	35.00%	> 7,500	50.00%		
Guardian Capital Group Ltd	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
GUD Holdings	0 - 3,000	20.00%	> 3,000 - 8,000	30.00%	> 8,000 - 18,000	40.00%	> 18,000	50.00%		
Guerbet SA	0 - 140	20.00%	> 140 - 670	25.00%	> 670	50.00%				
Guess? Inc	0 - 4,600	20.00%	> 4,600 - 9,200	40.00%	> 9,200	50.00%				
Guggenheim Build America Bonds Managed Duration Trust	0 - 150	35.00%	> 150 - 450	45.00%	> 450 - 910	75.00%	> 910	85.00%		
Guggenheim BulletShares 2018 Corporate Bond ETF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
Guggenheim BulletShares 2018 High Yield Corporate Bond ETF	0 - 790	20.00%	> 790 - 1,500	25.00%	> 1,500	50.00%				
Guggenheim BulletShares 2019 Corporate Bond ETF	0 - 150	50.00%	> 150	90.00%						
Guggenheim BulletShares 2020 Corporate Bond ETF	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
Guggenheim Credit Allocation Fund	0 - 150	30.00%	> 150 - 1,800	40.00%	> 1,800	50.00%				
Guggenheim Defensive Equity ETF	0 - 410	20.00%	> 410 - 830	25.00%	> 830	50.00%				
Guggenheim Enhanced Equity Income Fund	0 - 410	30.00%	> 410 - 2,400	35.00%	> 2,400 - 4,900	40.00%	> 4,900	50.00%		
Guggenheim Enhanced Short Duration ETF	0 - 1,100	20.00%	> 1,100 - 2,400	25.00%	> 2,400	50.00%				
Guggenheim Multi-Asset Income ETF	0 - 890	20.00%	> 890 - 1,700	25.00%	> 1,700	50.00%				
Guggenheim Russell Top 50 Mega Cap ETF	0 - 120	20.00%	> 120 - 260	25.00%	> 260	50.00%				
Guggenheim S&P 500 Equal Weight Consumer Discretionary ETF	0 - 180	20.00%	> 180 - 370	25.00%	> 370	50.00%				
Guggenheim S&P 500 Equal Weight Consumer Staples ETF	0 - 390	20.00%	> 390 - 810	25.00%	> 810	50.00%				
Guggenheim S&P 500 Equal Weight ETF	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
Guggenheim S&P 500 Equal Weight Financials ETF	0 - 460	20.00%	> 460 - 920	25.00%	> 920	50.00%				
Guggenheim S&P 500 Equal Weight Healthcare ETF	0 - 110	20.00%	> 110 - 220	25.00%	> 220	50.00%				
Guggenheim S&P 500 Equal Weight Real Estate ETF	0 - 350	20.00%	> 350 - 710	30.00%	> 710 - 1,400	35.00%	> 1,400	50.00%		
Guggenheim S&P 500 Equal Weight Technology ETF	0 - 600	20.00%	> 600 - 1,300	25.00%	> 1,300	50.00%				
Guggenheim S&P 500 Equal Weight Utilities ETF	0 - 230	20.00%	> 230 - 460	25.00%	> 460	50.00%				
Guggenheim S&P 500 Pure Growth ETF	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
Guggenheim S&P 500 Pure Value ETF	0 - 690	20.00%	> 690 - 1,500	25.00%	> 1,500	50.00%				
Guggenheim S&P Global Water Index ETF	0 - 580	20.00%	> 580 - 1,100	25.00%	> 1,100	50.00%				
Guggenheim S&P Midcap 400 Pure Growth ETF	0 - 110	20.00%	> 110 - 230	25.00%	> 230	50.00%				
Guggenheim S&P Smallcap 600 Pure Value ETF	0 - 120	20.00%	> 120 - 500	25.00%	> 500	50.00%				
Guggenheim Spin-Off ETF	0 - 360	20.00%	> 360 - 720	25.00%	> 720	50.00%				
Guggenheim Strategic Opportunities Fund	0 - 150	30.00%	> 150 - 450	35.00%	> 450 - 2,100	40.00%	> 2,100	50.00%		
Guidewire Software Inc	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Gulf Island Fabrication Inc	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,100	30.00%	> 4,100	50.00%		
Gulf Keystone	0 - 5,300	25.00%	> 5,300 - 16,000	30.00%	> 16,000 - 32,000	65.00%	> 32,000	90.00%		
Gulfport Energy Corp	0 - 2,700	25.00%	> 2,700 - 8,200	35.00%	> 8,200 - 16,000	70.00%	> 16,000	90.00%		
Gulliver International Co Ltd	0 - 6,600	20.00%	> 6,600 - 14,000	40.00%	> 14,000 - 26,000	45.00%	> 26,000	50.00%		
GungHo Online Entertainment Inc	0 - 42,000	20.00%	> 42,000 - 85,000	40.00%	> 85,000 - 170,000	45.00%	> 170,000	50.00%		
Gunma Bank	0 - 18,000	20.00%	> 18,000 - 38,000	30.00%	> 38,000 - 77,000	35.00%	> 77,000	50.00%		
Gunnebo	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000	50.00%				
Gunze Ltd	0 - 990	20.00%	> 990 - 2,300	30.00%	> 2,300 - 4,700	35.00%	> 4,700	50.00%		
Guoco Group	0 - 980	20.00%	> 980 - 2,600	30.00%	> 2,600 - 5,800	40.00%	> 5,800	50.00%		
Guocoland	0 - 2,800	30.00%	> 2,800 - 22,000	40.00%	> 22,000 - 51,000	45.00%	> 51,000	50.00%		
Guodian Technology & Environme	0 - 190,000	20.00%	> 190,000 - 790,000	25.00%	> 790,000	50.00%				
Guorui Properties Ltd	0 - 25,000	30.00%	> 25,000 - 75,000	35.00%	> 75,000 - 200,000	50.00%	> 200,000	55.00%		
Guotai Junan International Holdings Ltd	0 - 240,000	20.00%	> 240,000 - 650,000	30.00%	> 650,000 - 1,400,000	35.00%	> 1,400,000	50.00%		
Gurit Holding AG	0 - 23	20.00%	> 23 - 53	30.00%	> 53 - 100	35.00%	> 100	50.00%		
Gurunavi Inc	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200 - 13,000	30.00%	> 13,000	50.00%		
Guyana Goldfields Inc	0 - 5,100	20.00%	> 5,100 - 13,000	60.00%	> 13,000	80.00%				
GVC Holdings PLC	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
GW Pharmaceuticals PLC - ADR	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
GWA Group	0 - 7,600	20.00%	> 7,600 - 20,000	35.00%	> 20,000 - 45,000	40.00%	> 45,000	50.00%		
H&E Equipment Services Inc	0 - 1,300	20.00%	> 1,300 - 3,500	30.00%	> 3,500 - 7,800	35.00%	> 7,800	50.00%		
H&M B	0 - 21,000	20.00%	> 21,000 - 42,000	40.00%	> 42,000	60.00%				
H&R	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
H&R Block	0 - 4,100	20.00%	> 4,100 - 8,300	35.00%	> 8,300 - 16,000	45.00%	> 16,000	50.00%		
H&R Real Estate Investment Trust	0 - 2,100	30.00%	> 2,100 - 6,400	35.00%	> 6,400 - 12,000	55.00%	> 12,000	75.00%		
H&T Group	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600 - 9,300	30.00%	> 9,300	50.00%		
H2O Retailing Corp	0 - 6,500	20.00%	> 6,500 - 13,000	30.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Hachijuni Bank	0 - 24,000	20.00%	> 24,000 - 99,000	30.00%	> 99,000	50.00%				
Hackett Group Inc/The	0 - 600	20.00%	> 600 - 2,700	25.00%	> 2,700	50.00%				
HAECO	0 - 2,700	20.00%	> 2,700 - 7,200	30.00%	> 7,200 - 16,000	35.00%	> 16,000	50.00%		
Haemonetics Corp	0 - 1,000	20.00%	> 1,000 - 2,100	45.00%	> 2,100	75.00%				
Haichang Ocean Park Holdings Ltd	0 - 42,000	20.00%	> 42,000 - 85,000	25.00%	> 85,000 - 170,000	30.00%	> 170,000	50.00%		
Haier Electronics	0 - 63,000	20.00%	> 63,000 - 120,000	25.00%	> 120,000	50.00%				
Hain Celestial Group Inc/The	0 - 3,300	20.00%	> 3,300 - 13,000	25.00%	> 13,000	50.00%				
Haitian International Holdings	0 - 31,000	20.00%	> 31,000 - 74,000	45.00%	> 74,000	85.00%				
Haitong International Securities Group Ltd	0 - 160,000	20.00%	> 160,000 - 380,000	25.00%	> 380,000 - 830,000	30.00%	> 830,000	50.00%		
Haitong Securities Co Ltd	0 - 100,000	20.00%	> 100,000 - 200,000	25.00%	> 200,000 - 400,000	30.00%	> 400,000	50.00%		
Hakuhodo DY Holdings Inc	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
HAL Trust	0 - 250	20.00%	> 250 - 570	25.00%	> 570	50.00%				
Halcon Resources Corp	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	55.00%				
Halcyon Agri Corp Ltd	0 - 26,000	20.00%	> 26,000 - 52,000	50.00%	> 52,000	60.00%				
Haldex	0 - 940	20.00%	> 940 - 1,900	40.00%	> 1,900	75.00%				
Halfords	0 - 11,000	20.00%	> 11,000 - 26,000	25.00%	> 26,000 - 50,000	30.00%	> 50,000	50.00%		
Halliburton	0 - 7,300	20.00%	> 7,300 - 14,000	40.00%	> 14,000	60.00%				
Halma	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Halozyme Therapeutics Inc	0 - 4,300	20.00%	> 4,300 - 21,000	30.00%	> 21,000	50.00%				
Halyard Health Inc	0 - 1,600	20.00%	> 1,600 - 3,400	35.00%	> 3,400 - 6,800	45.00%	> 6,800	50.00%		
Hamakyorex Co Ltd	0 - 830	20.00%	> 830 - 4,600	40.00%	> 4,600	50.00%				
Hamamatsu Photonics KK	0 - 2,200	20.00%	> 2,200 - 9,000	25.00%	> 9,000	50.00%				
Hamborner REIT AG	0 - 400	25.00%	> 400 - 1,200	30.00%	> 1,200 - 3,200	40.00%	> 3,200 - 7,300	45.00%	> 7,300	50.00%
Hamburger Hafen und Logistik	0 - 2,800	20.00%	> 2,800 - 6,400	25.00%	> 6,400	50.00%				
Hamilton Beach Brands Holdings Inc	0 - 390	20.00%	> 390 - 1,000	45.00%	> 1,000	50.00%				
Hammerson	0 - 4,600	30.00%	> 4,600 - 14,000	35.00%	> 14,000 - 28,000	50.00%	> 28,000	55.00%		
Hanergy Thin Film Power Group Ltd	> 0	100.00%								
Hanesbrands Inc	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000	50.00%				
Hang Fat Ginseng Holdings Co Ltd	0 - 1,200,000	20.00%	> 1,200,000 - 2,400,000	40.00%	> 2,400,000	60.00%				
Hang Lung Group	0 - 6,400	30.00%	> 6,400 - 19,000	35.00%	> 19,000 - 51,000	60.00%	> 51,000	65.00%		
Hang Lung Properties	0 - 48,000	20.00%	> 48,000 - 97,000	30.00%	> 97,000 - 190,000	35.00%	> 190,000	50.00%		
Hang Seng Bank	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Hang Seng Investment Index Funds Series - H-Share Index ETF	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
Hang Seng Investment Index Funds Series II - Hang Seng Index ETF	0 - 14,000	20.00%	> 14,000 - 32,000	25.00%	> 32,000	50.00%				
Hankyu Hanshin Holdings Inc	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Hanmi Financial Corp	0 - 2,300	20.00%	> 2,300 - 5,200	25.00%	> 5,200	50.00%				
Hannon Armstrong Sustainable Infrastructure Capital Inc	0 - 1,600	20.00%	> 1,600 - 4,200	30.00%	> 4,200 - 9,600	35.00%	> 9,600	50.00%		
Hannover RE	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500 - 3,000	35.00%	> 3,000	50.00%		
Hanover Insurance Group Inc/Th	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Hansa Medical AB	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300 - 9,700	30.00%	> 9,700	50.00%		
Hansen Technologies Ltd	0 - 12,000	20.00%	> 12,000 - 25,000	40.00%	> 25,000	60.00%				
Hansteen	0 - 4,200	30.00%	> 4,200 - 12,000	35.00%	> 12,000 - 33,000	65.00%	> 33,000	90.00%		
Hanwa Co Ltd	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Hapag Lloyd AG	0 - 960	20.00%	> 960 - 5,800	25.00%	> 5,800	50.00%				
Harbin Power	0 - 41,000	20.00%	> 41,000 - 110,000	40.00%	> 110,000	55.00%				
Harbinger Group Inc	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000 - 29,000	30.00%	> 29,000	50.00%		
Hargreaves Lansdown	0 - 3,700	20.00%	> 3,700 - 7,500	35.00%	> 7,500	65.00%				
Hargreaves Services	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 8,500	35.00%	> 8,500	50.00%		
Harley Davidson	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200	50.00%				
Harmonic Drive Systems Inc	0 - 2,300	20.00%	> 2,300 - 4,700	30.00%	> 4,700 - 9,500	40.00%	> 9,500	50.00%		
Harmonic Inc	0 - 2,200	20.00%	> 2,200 - 5,200	30.00%	> 5,200 - 11,000	40.00%	> 11,000	50.00%		
Harmony Gold Mining Co Ltd	0 - 19,000	20.00%	> 19,000 - 52,000	40.00%	> 52,000	55.00%				
Harris	0 - 660	20.00%	> 660 - 1,300	35.00%	> 1,300 - 2,600	45.00%	> 2,600	50.00%		
Harsco Corp	0 - 4,000	20.00%	> 4,000 - 9,100	25.00%	> 9,100	50.00%				
Harte-Hanks Inc	0 - 900	20.00%	> 900 - 1,800	35.00%	> 1,800 - 3,600	40.00%	> 3,600	50.00%		
Hartford Financial Services	0 - 1,800	20.00%	> 1,800 - 3,700	40.00%	> 3,700 - 7,500	45.00%	> 7,500	50.00%		
Harvest MSCI China A Index ETF	0 - 5,500	20.00%	> 5,500 - 11,000	30.00%	> 11,000 - 22,000	40.00%	> 22,000	50.00%		
Harvey Norman	0 - 38,000	20.00%	> 38,000 - 76,000	35.00%	> 76,000 - 150,000	40.00%	> 150,000	50.00%		
Hasbro	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,100	35.00%	> 4,100	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Haseko Corp	0 - 7,500	20.00%	> 7,500 - 15,000	30.00%	> 15,000 - 30,000	35.00%	> 30,000	50.00%		
Hastings Group Holdings	0 - 9,600	20.00%	> 9,600 - 25,000	25.00%	> 25,000 - 57,000	30.00%	> 57,000	50.00%		
Haulotte Group SA	0 - 600	20.00%	> 600 - 1,200	30.00%	> 1,200 - 2,400	45.00%	> 2,400	50.00%		
Haverty Furniture Cos Inc	0 - 470	20.00%	> 470 - 2,800	35.00%	> 2,800	50.00%				
Haw Par	0 - 1,000	20.00%	> 1,000 - 2,800	30.00%	> 2,800 - 6,400	35.00%	> 6,400	50.00%		
Hawaiian Electric Industries I	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Hawaiian Holdings Inc	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000	50.00%				
Hawaiian Telcom Holdco Inc	0 - 340	20.00%	> 340 - 690	30.00%	> 690 - 1,300	45.00%	> 1,300	50.00%		
Hawkins Inc	0 - 390	20.00%	> 390 - 1,000	30.00%	> 1,000 - 2,300	40.00%	> 2,300	50.00%		
Haynes International Inc	0 - 480	20.00%	> 480 - 1,300	30.00%	> 1,300 - 2,800	35.00%	> 2,800	50.00%		
Hays	0 - 35,000	20.00%	> 35,000 - 71,000	40.00%	> 71,000	50.00%				
Hazama Ando Corp	0 - 21,000	20.00%	> 21,000 - 43,000	25.00%	> 43,000	50.00%				
HB Fuller Co	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
HBM Healthcare Investments AG	0 - 36	30.00%	> 36 - 100	35.00%	> 100 - 280	45.00%	> 280	55.00%		
HC Group Inc	0 - 61,000	20.00%	> 61,000 - 130,000	25.00%	> 130,000	50.00%				
HCA Holdings Inc	0 - 930	20.00%	> 930 - 1,800	25.00%	> 1,800 - 3,700	30.00%	> 3,700	50.00%		
HCI Group Inc	0 - 330	20.00%	> 330 - 890	25.00%	> 890 - 2,000	40.00%	> 2,000	50.00%		
HCP	0 - 1,200	25.00%	> 1,200 - 3,800	30.00%	> 3,800 - 7,600	55.00%	> 7,600	75.00%		
HD Supply Holdings Inc	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000	50.00%				
HDFC Bank	0 - 2,900	20.00%	> 2,900 - 5,900	40.00%	> 5,900	60.00%				
Headlam Group	0 - 2,500	20.00%	> 2,500 - 6,900	30.00%	> 6,900 - 15,000	45.00%	> 15,000	50.00%		
Health and Happiness (H&H) International Holdings	0 - 13,000	20.00%	> 13,000 - 27,000	65.00%	> 27,000	80.00%				
Health Care Select Sector SPDR Fund	0 - 2,200	20.00%	> 2,200 - 4,500	30.00%	> 4,500	50.00%				
Health Insurance Innovations Inc	0 - 2,300	20.00%	> 2,300 - 4,400	25.00%	> 4,400	50.00%				
Healthcare Realty Trust Inc	0 - 1,100	30.00%	> 1,100 - 3,400	35.00%	> 3,400 - 6,800	55.00%	> 6,800	70.00%		
Healthcare Services Group Inc	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				
Healthcare Trust of America In	0 - 1,200	25.00%	> 1,200 - 3,700	30.00%	> 3,700 - 7,400	40.00%	> 7,400 - 14,000	45.00%	> 14,000	50.00%
HealthEquity Inc	0 - 1,200	20.00%	> 1,200 - 2,400	35.00%	> 2,400 - 4,900	45.00%	> 4,900	50.00%		
Healthscope Ltd	0 - 120,000	20.00%	> 120,000 - 240,000	25.00%	> 240,000	50.00%				
HealthStream Inc	0 - 1,000	20.00%	> 1,000 - 2,900	30.00%	> 2,900 - 6,500	40.00%	> 6,500	50.00%		
Heartland Bank Ltd	0 - 8,500	20.00%	> 8,500 - 17,000	40.00%	> 17,000	65.00%				
Heartland Express Inc	0 - 7,100	20.00%	> 7,100 - 16,000	25.00%	> 16,000	50.00%				
Heartland Financial USA Inc	0 - 870	20.00%	> 870 - 5,200	25.00%	> 5,200	50.00%				
Hecla Mining Co	0 - 14,000	20.00%	> 14,000 - 39,000	30.00%	> 39,000 - 89,000	35.00%	> 89,000	50.00%		
HEICO Corp	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200	50.00%				
HEICO Corp - A	0 - 750	20.00%	> 750 - 4,500	25.00%	> 4,500	50.00%				
HeidelbergCement	0 - 1,100	20.00%	> 1,100 - 2,300	40.00%	> 2,300 - 4,700	45.00%	> 4,700	50.00%		
Heidelberger Druckmaschinen	0 - 43,000	20.00%	> 43,000 - 79,000	25.00%	> 79,000	50.00%				
Heidrick & Struggles International Inc	0 - 740	20.00%	> 740 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Heijmans NV	0 - 1,600	20.00%	> 1,600 - 3,200	40.00%	> 3,200	60.00%				
Heineken	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Heineken Holding NV	0 - 970	20.00%	> 970 - 1,900	35.00%	> 1,900	50.00%				
Heiwa Corp	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
Heiwa Real Estate	0 - 980	25.00%	> 980 - 2,900	30.00%	> 2,900 - 5,900	35.00%	> 5,900 - 10,000	40.00%	> 10,000	50.00%
Heiwa Real Estate REIT Inc	0 - 14	30.00%	> 14 - 110	45.00%	> 110	55.00%				
Heiwado Co Ltd	0 - 1,000	20.00%	> 1,000 - 6,500	25.00%	> 6,500	50.00%				
Helen of Troy Ltd	0 - 750	20.00%	> 750 - 1,600	30.00%	> 1,600 - 3,300	35.00%	> 3,300	50.00%		
Helical Bar	0 - 720	30.00%	> 720 - 5,700	45.00%	> 5,700	50.00%				
Helix Energy Solutions Group I	0 - 6,900	20.00%	> 6,900 - 17,000	30.00%	> 17,000 - 35,000	35.00%	> 35,000	50.00%		
Hella KGaA Hueck & Co	0 - 1,700	20.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%				
HelloFresh	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Helmerich	0 - 1,500	20.00%	> 1,500 - 3,000	30.00%	> 3,000 - 6,100	45.00%	> 6,100	50.00%		
Helvetia Holding AG	0 - 170	20.00%	> 170 - 340	25.00%	> 340 - 690	30.00%	> 690	50.00%		
Hemfosa Fastigheter	0 - 2,400	25.00%	> 2,400 - 15,000	30.00%	> 15,000 - 31,000	35.00%	> 31,000	50.00%		
Hemfosa Fastigheter pref	0 - 150	25.00%	> 150 - 470	30.00%	> 470 - 1,800	35.00%	> 1,800	50.00%		
Henderson Diversified Income Ltd	0 - 2,800	30.00%	> 2,800 - 8,600	35.00%	> 8,600 - 17,000	40.00%	> 17,000 - 34,000	45.00%	> 34,000	50.00%
Henderson European Focus Trust PLC	> 0	100.00%								
Henderson EuroTrust	0 - 220	30.00%	> 220 - 2,700	35.00%	> 2,700	50.00%				
Henderson Far East	0 - 710	30.00%	> 710 - 5,600	35.00%	> 5,600 - 12,000	40.00%	> 12,000	50.00%		
Henderson High Income	0 - 1,300	30.00%	> 1,300 - 8,200	35.00%	> 8,200 - 16,000	40.00%	> 16,000	50.00%		
Henderson Investment	0 - 240,000	20.00%	> 240,000 - 480,000	25.00%	> 480,000	50.00%				
Henderson Land Development	0 - 18,000	20.00%	> 18,000 - 75,000	30.00%	> 75,000	50.00%				
Henderson Smaller Companies	0 - 520	30.00%	> 520 - 1,500	35.00%	> 1,500 - 9,400	40.00%	> 9,400	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Hengan International	0 - 10,000	20.00%	> 10,000 - 20,000	70.00%	> 20,000	85.00%				
Hengdeli Holding	0 - 220,000	20.00%	> 220,000 - 440,000	30.00%	> 440,000 - 890,000	35.00%	> 890,000	50.00%		
HengTen Networks Group Ltd	0 - 5,700,000	20.00%	> 5,700,000 - 11,000,000	25.00%	> 11,000,000	50.00%				
Henkel (Preferred Stock)	0 - 2,500	20.00%	> 2,500 - 5,100	40.00%	> 5,100	60.00%				
Henkel AG & Co KGaA	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Henry Schein	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Hera	0 - 61,000	20.00%	> 61,000 - 120,000	25.00%	> 120,000	50.00%				
Herald Investment	0 - 410	35.00%	> 410 - 3,300	50.00%	> 3,300	60.00%				
Herbalife Ltd	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
Herc Holdings Inc	0 - 790	20.00%	> 790 - 2,100	30.00%	> 2,100 - 4,700	35.00%	> 4,700	50.00%		
Hercules Technology Growth Capital Inc	0 - 2,400	20.00%	> 2,400 - 6,600	35.00%	> 6,600 - 14,000	45.00%	> 14,000	50.00%		
Heritage Financial Corp/WA	0 - 2,500	20.00%	> 2,500 - 5,100	30.00%	> 5,100 - 9,400	45.00%	> 9,400	50.00%		
Heritage Insurance Holdings Inc	0 - 840	20.00%	> 840 - 2,200	25.00%	> 2,200 - 5,000	30.00%	> 5,000	50.00%		
Heritage-Crystal Clean Inc	0 - 500	20.00%	> 500 - 1,100	45.00%	> 1,100	60.00%				
Herman Miller Inc	0 - 1,600	20.00%	> 1,600 - 9,300	30.00%	> 9,300	50.00%				
Hermes	0 - 530	20.00%	> 530 - 1,000	40.00%	> 1,000	60.00%				
Heron Therapeutics Inc	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100 - 10,000	35.00%	> 10,000	50.00%		
Heroux-Devtek Inc	0 - 860	20.00%	> 860 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		
Hersha Hospitality Trust	0 - 690	25.00%	> 690 - 2,000	30.00%	> 2,000 - 4,900	40.00%	> 4,900	50.00%		
Hershey Foods	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Hertz Global Holdings	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000 - 25,000	40.00%	> 25,000	50.00%		
Hess	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,900	35.00%	> 5,900	50.00%		
Hess Midstreams Partners LP	0 - 510	20.00%	> 510 - 1,000	40.00%	> 1,000	55.00%				
Hewlett-Packard	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300 - 16,000	30.00%	> 16,000	50.00%		
Hewlett-Packard Enterprise	0 - 42,000	20.00%	> 42,000 - 85,000	40.00%	> 85,000	60.00%				
Hexagon B	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
Hexagon Composites ASA	0 - 3,300	20.00%	> 3,300 - 9,000	25.00%	> 9,000 - 20,000	40.00%	> 20,000	50.00%		
Hexcel Corp	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,800	40.00%	> 5,800	50.00%		
Hexpol B	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
HFF Inc	0 - 450	25.00%	> 450 - 1,300	30.00%	> 1,300 - 3,600	35.00%	> 3,600 - 8,200	40.00%	> 8,200	50.00%
HgCapital Trust	0 - 130	35.00%	> 130 - 1,000	55.00%	> 1,000	65.00%				
Hi Sun Technology China Ltd	0 - 180,000	20.00%	> 180,000 - 400,000	25.00%	> 400,000	50.00%				
Hiag Immobilien AG	0 - 28	30.00%	> 28 - 84	35.00%	> 84 - 160	50.00%	> 160	55.00%		
Hibbett Sports Inc	0 - 2,800	20.00%	> 2,800 - 5,100	25.00%	> 5,100	50.00%				
Hibernia REIT plc (IE)	0 - 7,900	30.00%	> 7,900 - 23,000	40.00%	> 23,000 - 63,000	80.00%	> 63,000	90.00%		
Hi-Crush Partners LP	0 - 2,700	20.00%	> 2,700 - 7,300	30.00%	> 7,300 - 16,000	35.00%	> 16,000	50.00%		
Hiday Hidaka Corp	0 - 1,400	20.00%	> 1,400 - 3,800	25.00%	> 3,800 - 8,400	30.00%	> 8,400	50.00%		
Hidili Industry International	0 - 300,000	20.00%	> 300,000 - 610,000	35.00%	> 610,000 - 1,200,000	40.00%	> 1,200,000	50.00%		
High Liner Foods Inc	0 - 1,200	20.00%	> 1,200 - 2,800	45.00%	> 2,800	50.00%				
Highbridge Multi Strategy Fund	0 - 1,100	35.00%	> 1,100 - 3,400	40.00%	> 3,400 - 9,000	65.00%	> 9,000	80.00%		
Highland Gold Mining	0 - 33,000	20.00%	> 33,000 - 75,000	25.00%	> 75,000	50.00%				
Highland/iBoxx Senior Loan ETF	0 - 540	20.00%	> 540 - 1,000	40.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%		
Highpoint Resources	0 - 6,200	20.00%	> 6,200 - 37,000	25.00%	> 37,000	50.00%				
Highwoods Properties Inc	0 - 650	35.00%	> 650 - 1,900	40.00%	> 1,900 - 3,900	65.00%	> 3,900	75.00%		
Hikari Tsushin Inc	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Hikma Pharma	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
HI-LEX CORP	0 - 410	20.00%	> 410 - 820	45.00%	> 820	65.00%				
Hill & Smith	0 - 1,400	20.00%	> 1,400 - 3,800	40.00%	> 3,800	55.00%				
Hillenbrand Inc	0 - 1,200	20.00%	> 1,200 - 3,200	25.00%	> 3,200 - 7,200	35.00%	> 7,200	50.00%		
Hill-Rom Holdings Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Hills Ltd	0 - 62,000	20.00%	> 62,000 - 240,000	25.00%	> 240,000	50.00%				
Hilltop Holdings Inc	0 - 2,000	20.00%	> 2,000 - 5,300	70.00%	> 5,300	90.00%				
Hilong Holding Ltd	0 - 73,000	20.00%	> 73,000 - 380,000	30.00%	> 380,000	50.00%				
Hilton Food Group	0 - 1,700	20.00%	> 1,700 - 4,500	40.00%	> 4,500	50.00%				
Hilton Grand Vacations	0 - 2,700	20.00%	> 2,700 - 11,000	25.00%	> 11,000	50.00%				
Hilton Worldwide Holdings Inc	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Himax Technologies Inc	0 - 8,500	20.00%	> 8,500 - 41,000	30.00%	> 41,000	50.00%				
Hino Motors	0 - 9,400	20.00%	> 9,400 - 18,000	45.00%	> 18,000	65.00%				
Hioki EE Corp	0 - 680	20.00%	> 680 - 1,600	25.00%	> 1,600 - 3,300	35.00%	> 3,300	50.00%		
Hi-P International	0 - 39,000	20.00%	> 39,000 - 93,000	50.00%	> 93,000	60.00%				
HIQ International	0 - 1,600	20.00%	> 1,600 - 4,300	25.00%	> 4,300 - 9,800	35.00%	> 9,800	50.00%		
Hiramatsu Inc	0 - 2,800	20.00%	> 2,800 - 6,300	25.00%	> 6,300 - 12,000	30.00%	> 12,000	50.00%		
Hirose Electric	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600 - 3,200	30.00%	> 3,200	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Hiroshima Bank Ltd/The	0 - 12,000	20.00%	> 12,000 - 28,000	30.00%	> 28,000 - 60,000	35.00%	> 60,000	50.00%		
HIS Co Ltd	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
Hisamitsu Pharmaceutical Co In	0 - 1,300	20.00%	> 1,300 - 5,400	25.00%	> 5,400	50.00%				
Hiscox	0 - 4,800	20.00%	> 4,800 - 9,700	30.00%	> 9,700 - 19,000	35.00%	> 19,000	50.00%		
Hisense Kelon	0 - 70,000	20.00%	> 70,000 - 120,000	25.00%	> 120,000	50.00%				
Hispania Activos Inmobiliarios SAU	0 - 1,500	30.00%	> 1,500 - 4,600	35.00%	> 4,600 - 9,300	50.00%	> 9,300	60.00%		
Hitachi	0 - 28,000	20.00%	> 28,000 - 56,000	35.00%	> 56,000	50.00%				
Hitachi Capital Corp	0 - 7,300	20.00%	> 7,300 - 15,000	25.00%	> 15,000	50.00%				
Hitachi Chemical	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Hitachi Construction Machinery	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000	50.00%				
Hitachi High Technologies	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,900	30.00%	> 9,900	50.00%		
Hitachi Maxell Ltd	0 - 3,900	20.00%	> 3,900 - 14,000	30.00%	> 14,000	50.00%				
Hitachi Metals Ltd	0 - 9,900	20.00%	> 9,900 - 19,000	35.00%	> 19,000 - 39,000	45.00%	> 39,000	50.00%		
Hitachi Transport System Ltd	0 - 3,700	20.00%	> 3,700 - 7,800	30.00%	> 7,800 - 15,000	40.00%	> 15,000	50.00%		
Hitachi Zosen	0 - 25,000	20.00%	> 25,000 - 47,000	25.00%	> 47,000	50.00%				
Hito-Communications Inc	0 - 600	20.00%	> 600 - 1,300	30.00%	> 1,300 - 2,900	40.00%	> 2,900	50.00%		
HK Electric Investments & HK Electric Investments Ltd	0 - 170,000	20.00%	> 170,000 - 360,000	25.00%	> 360,000	50.00%				
HKBN Ltd	0 - 90,000	20.00%	> 90,000 - 200,000	25.00%	> 200,000	50.00%				
HKC Holdings Ltd	0 - 3,800	30.00%	> 3,800 - 11,000	35.00%	> 11,000 - 59,000	40.00%	> 59,000	50.00%		
HKR International	0 - 5,300	30.00%	> 5,300 - 16,000	35.00%	> 16,000 - 32,000	50.00%	> 32,000	70.00%		
HKScan OYJ	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
HKT Trust / HKT Ltd	0 - 150,000	20.00%	> 150,000 - 300,000	25.00%	> 300,000	50.00%				
HMS Holdings Corp	0 - 1,900	20.00%	> 1,900 - 5,200	25.00%	> 5,200 - 11,000	30.00%	> 11,000	50.00%		
HNI Corp	0 - 930	20.00%	> 930 - 5,600	25.00%	> 5,600	50.00%				
Ho Bee Investment	0 - 1,900	30.00%	> 1,900 - 5,700	35.00%	> 5,700 - 11,000	60.00%	> 11,000	75.00%		
Hochschild Mining	0 - 49,000	20.00%	> 49,000 - 110,000	25.00%	> 110,000	50.00%				
HOCHTIEF	0 - 530	20.00%	> 530 - 1,000	45.00%	> 1,000	70.00%				
Hoegh LNG Holdings Ltd	0 - 2,200	20.00%	> 2,200 - 6,000	45.00%	> 6,000	60.00%				
Hogy Medical Co Ltd	0 - 1,600	20.00%	> 1,600 - 4,400	25.00%	> 4,400 - 9,000	30.00%	> 9,000	50.00%		
Hoist Finance publ AB	0 - 3,000	20.00%	> 3,000 - 18,000	30.00%	> 18,000	50.00%				
Hokkaido Electric Power Co Inc	0 - 13,000	20.00%	> 13,000 - 56,000	25.00%	> 56,000	50.00%				
Hokkoku Bank Ltd/The	0 - 610	20.00%	> 610 - 1,600	25.00%	> 1,600 - 3,600	30.00%	> 3,600	50.00%		
Hokuetsu Bank Ltd/The	0 - 520	20.00%	> 520 - 1,100	25.00%	> 1,100 - 2,200	30.00%	> 2,200	50.00%		
Hokuetsu Kishu Paper	0 - 10,000	20.00%	> 10,000 - 24,000	25.00%	> 24,000 - 47,000	30.00%	> 47,000	50.00%		
Hokuhoku Financial Group	0 - 5,300	20.00%	> 5,300 - 13,000	30.00%	> 13,000 - 28,000	35.00%	> 28,000	50.00%		
Hokuriku Electric Power Co	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%		
Hokuto Corp	0 - 1,200	20.00%	> 1,200 - 3,300	30.00%	> 3,300 - 7,400	40.00%	> 7,400	50.00%		
Holly Energy Partners LP	0 - 1,800	20.00%	> 1,800 - 4,100	25.00%	> 4,100	50.00%				
HollyFrontier Corp	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Hollysys Automation Technologi	0 - 1,400	20.00%	> 1,400 - 8,800	25.00%	> 8,800	50.00%				
Hollywood Bowl Group PLC	0 - 6,300	20.00%	> 6,300 - 16,000	30.00%	> 16,000 - 36,000	45.00%	> 36,000	50.00%		
Holmen B	0 - 3,100	20.00%	> 3,100 - 7,400	25.00%	> 7,400 - 15,000	30.00%	> 15,000	50.00%		
Hologic	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	30.00%	> 9,400	50.00%		
Home BancShares Inc/AR	0 - 4,200	20.00%	> 4,200 - 8,600	25.00%	> 8,600 - 17,000	30.00%	> 17,000	50.00%		
Home Capital Group Inc	0 - 2,800	20.00%	> 2,800 - 7,700	25.00%	> 7,700 - 17,000	30.00%	> 17,000	50.00%		
Home Depot	0 - 1,000	20.00%	> 1,000	90.00%						
Home Invest Belgium	0 - 33	25.00%	> 33 - 98	30.00%	> 98 - 390	40.00%	> 390	50.00%		
Homeserve	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
HomeStreet Inc	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
HomeTrust Bancshares Inc	0 - 350	20.00%	> 350 - 1,400	25.00%	> 1,400	50.00%				
Honbridge Holdings Ltd	0 - 66,000	20.00%	> 66,000 - 140,000	30.00%	> 140,000 - 300,000	35.00%	> 300,000	50.00%		
Honda Motor	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
Honda Motor Co Ltd	0 - 2,200	20.00%	> 2,200 - 5,600	30.00%	> 5,600 - 12,000	40.00%	> 12,000	50.00%		
Honeywell	0 - 4,400	20.00%	> 4,400 - 8,900	40.00%	> 8,900	60.00%				
Hong Kong and China Gas	0 - 50,000	20.00%	> 50,000 - 100,000	50.00%	> 100,000	60.00%				
Hong Kong Exchanges and Clearing	0 - 22,000	20.00%	> 22,000 - 44,000	40.00%	> 44,000	60.00%				
Hong Kong Television Network Ltd	0 - 30,000	20.00%	> 30,000 - 60,000	25.00%	> 60,000 - 120,000	30.00%	> 120,000	50.00%		
Hong Leong Asia	0 - 30,000	20.00%	> 30,000 - 61,000	25.00%	> 61,000	50.00%				
Hong Leong Finance	0 - 5,100	20.00%	> 5,100 - 10,000	35.00%	> 10,000	55.00%				
Honghua Group	0 - 430,000	20.00%	> 430,000 - 970,000	25.00%	> 970,000	50.00%				
Hongkong And Shanghai Hotels	0 - 11,000	20.00%	> 11,000 - 31,000	45.00%	> 31,000	55.00%				
Hongkong Land	0 - 13,000	20.00%	> 13,000 - 27,000	30.00%	> 27,000 - 54,000	35.00%	> 54,000	50.00%		
Hooker Furniture Corp	0 - 950	20.00%	> 950 - 2,100	25.00%	> 2,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Hope Bancorp Inc	0 - 4,400	20.00%	> 4,400 - 21,000	30.00%	> 21,000	50.00%				
Hopewell	0 - 2,300	25.00%	> 2,300 - 7,100	30.00%	> 7,100 - 19,000	40.00%	> 19,000 - 43,000	45.00%	> 43,000	50.00%
Hopson Development Holdings Lt	0 - 9,700	25.00%	> 9,700 - 29,000	30.00%	> 29,000 - 78,000	35.00%	> 78,000 - 170,000	40.00%	> 170,000	50.00%
Horace Mann Educators Corp	0 - 510	20.00%	> 510 - 1,300	35.00%	> 1,300 - 3,100	45.00%	> 3,100	50.00%		
Horiba Ltd	0 - 1,500	20.00%	> 1,500 - 6,100	25.00%	> 6,100	50.00%				
Horizon Bancorp/IN	0 - 830	20.00%	> 830 - 2,200	25.00%	> 2,200 - 5,000	35.00%	> 5,000	50.00%		
Horizon Global Corporation	0 - 1,700	20.00%	> 1,700 - 3,500	40.00%	> 3,500	55.00%				
Horizon North Logistics Inc	0 - 5,500	20.00%	> 5,500 - 14,000	65.00%	> 14,000	90.00%				
Horizon Oil	0 - 35,000	30.00%	> 35,000 - 100,000	35.00%	> 100,000 - 210,000	45.00%	> 210,000	50.00%		
Horizon Pharma Plc	0 - 5,800	20.00%	> 5,800 - 23,000	40.00%	> 23,000	50.00%				
Horizon S&P/TSX 60 Index ETF	0 - 3,700	20.00%	> 3,700 - 7,500	65.00%	> 7,500	90.00%				
Horizons Active Corporate Bond ETF	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Horizons Active Preferred Share ETF	0 - 2,800	20.00%	> 2,800 - 5,800	25.00%	> 5,800	50.00%				
Horizons Floating Rate Bond ETF	0 - 2,900	20.00%	> 2,900 - 6,300	25.00%	> 6,300	50.00%				
Horizons Medical Marijuana Life Sciences ETF	0 - 6,700	20.00%	> 6,700 - 12,000	25.00%	> 12,000	50.00%				
Horizons S&P 500 Index ETF	0 - 220	20.00%	> 220 - 610	35.00%	> 610 - 1,300	40.00%	> 1,300	50.00%		
Hormel Foods	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Hornbach Baumarkt AG	0 - 320	20.00%	> 320 - 650	25.00%	> 650 - 1,300	30.00%	> 1,300	50.00%		
Hornbach Holding	0 - 870	20.00%	> 870 - 1,900	25.00%	> 1,900	50.00%				
Hornbeck Offshore Services Inc	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
HOSA International Ltd	0 - 230,000	20.00%	> 230,000 - 460,000	35.00%	> 460,000 - 920,000	40.00%	> 920,000	50.00%		
Hoshino Resorts REIT Inc	0 - 3	35.00%	> 3 - 10	50.00%	> 10 - 27	85.00%	> 27	90.00%		
Hoshizaki Electric Co Ltd	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Hosiden Corp	0 - 4,400	20.00%	> 4,400 - 16,000	30.00%	> 16,000	50.00%				
Hospitality Properties Trust	0 - 1,100	30.00%	> 1,100 - 3,400	35.00%	> 3,400 - 6,900	60.00%	> 6,900	70.00%		
Host Hotels	0 - 4,600	20.00%	> 4,600 - 9,300	30.00%	> 9,300 - 18,000	35.00%	> 18,000	50.00%		
Hotel Properties	0 - 3,700	20.00%	> 3,700 - 7,400	35.00%	> 7,400 - 14,000	40.00%	> 14,000	50.00%		
Hotel Property Investments	0 - 1,400	25.00%	> 1,400 - 4,200	30.00%	> 4,200 - 17,000	35.00%	> 17,000	50.00%		
Houghton Mifflin Harcourt Co	0 - 4,600	20.00%	> 4,600 - 10,000	25.00%	> 10,000	50.00%				
Houlihan Lokey Inc	0 - 1,485	20.00%	> 1,485 - 3,365	50.00%	> 3,365	80.00%				
House Foods	0 - 2,700	20.00%	> 2,700 - 5,700	25.00%	> 5,700 - 11,000	30.00%	> 11,000	50.00%		
Houston Wire & Cable Co	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Hovnanian Enterprises Inc	0 - 5,700	20.00%	> 5,700 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
Howard Hughes Corp/The	0 - 230	30.00%	> 230 - 700	35.00%	> 700 - 1,400	50.00%	> 1,400	60.00%		
Howden Joinery Group PLC	0 - 28,000	20.00%	> 28,000 - 56,000	25.00%	> 56,000	50.00%				
HOYA	0 - 1,700	20.00%	> 1,700	90.00%						
HSBC	0 - 70,000	20.00%	> 70,000 - 140,000	40.00%	> 140,000	60.00%				
HSBC (HK)	0 - 71,000	20.00%	> 71,000 - 140,000	40.00%	> 140,000	60.00%				
HSBC (US)	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
HSBC FTSE 100 UCITS ETF	0 - 240	20.00%	> 240 - 500	25.00%	> 500	50.00%				
HSBC Holdings PLC	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
HSBC Infrastructure	0 - 97,000	20.00%	> 97,000 - 190,000	25.00%	> 190,000	50.00%				
HSBC MSCI EMERGING MARKETS UCITS ETF	0 - 910	20.00%	> 910 - 3,600	30.00%	> 3,600	50.00%				
HSBC MSCI EUROPE UCITS ETF	0 - 630	20.00%	> 630 - 2,500	25.00%	> 2,500	50.00%				
HSBC MSCI PACIFIC EX JAPAN UCITS ETF	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
HSBC MSCI USA UCITS ETF	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400	50.00%				
HSBC MSCI WORLD UCITS ETF	0 - 930	20.00%	> 930 - 1,800	25.00%	> 1,800	50.00%				
HSBC S&P 500 UCITS ETF	0 - 3,300	20.00%	> 3,300 - 7,600	25.00%	> 7,600	50.00%				
Hsin Chong Construction Group Ltd	> 0	100.00%								
HT&E Ltd	0 - 26,000	20.00%	> 26,000 - 60,000	25.00%	> 60,000	50.00%				
Hua Han Bio-Pharmaceutical Hol	> 0	100.00%								
Hua Hong Semiconductor Ltd	0 - 27,000	20.00%	> 27,000 - 54,000	50.00%	> 54,000	90.00%				
Huabao International	0 - 100,000	20.00%	> 100,000 - 260,000	35.00%	> 260,000 - 600,000	45.00%	> 600,000	50.00%		
Huadian Fuxin Energy Corp Ltd	0 - 160,000	20.00%	> 160,000 - 430,000	25.00%	> 430,000 - 980,000	30.00%	> 980,000	50.00%		
Huadian Power International	0 - 120,000	20.00%	> 120,000 - 240,000	30.00%	> 240,000 - 440,000	35.00%	> 440,000	50.00%		
Huaneng Power International Inc (HK)	0 - 270,000	20.00%	> 270,000 - 550,000	25.00%	> 550,000	50.00%				
Huaneng Power International Inc (US)	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400	50.00%				
Huaneng Renewables Corp Ltd	0 - 290,000	20.00%	> 290,000 - 580,000	25.00%	> 580,000 - 1,100,000	30.00%	> 1,100,000	50.00%		
Huayi Tencent Entertainment Co	0 - 860,000	20.00%	> 860,000 - 1,800,000	35.00%	> 1,800,000 - 3,500,000	40.00%	> 3,500,000	50.00%		
Hub Group Inc	0 - 1,300	20.00%	> 1,300 - 3,200	50.00%	> 3,200	60.00%				
Hubbell Inc	0 - 870	20.00%	> 870 - 3,500	30.00%	> 3,500	50.00%				
Huber & Suhner AG	0 - 460	20.00%	> 460 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
HubSpot Inc	0 - 750	20.00%	> 750 - 1,500	40.00%	> 1,500	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
HudBay Minerals Inc (CA)	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000 - 71,000	35.00%	> 71,000	50.00%		
HudBay Minerals Inc (US)	0 - 1,900	20.00%	> 1,900 - 4,100	35.00%	> 4,100 - 8,600	40.00%	> 8,600	50.00%		
Hudson Pacific Properties Inc	0 - 930	30.00%	> 930 - 2,700	35.00%	> 2,700 - 5,500	50.00%	> 5,500	55.00%		
Hudson Technologies Inc	0 - 9,200	20.00%	> 9,200 - 18,000	25.00%	> 18,000	50.00%				
Hudson's Bay Co	0 - 3,600	20.00%	> 3,600 - 9,600	60.00%	> 9,600	80.00%				
Hufvudstaden A	0 - 1,300	30.00%	> 1,300 - 3,900	35.00%	> 3,900 - 10,000	55.00%	> 10,000	60.00%		
Hugo Boss	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
Hugoton Royalty Trust	0 - 15,000	20.00%	> 15,000 - 31,000	30.00%	> 31,000 - 63,000	40.00%	> 63,000	50.00%		
Huhtamaki	0 - 2,800	20.00%	> 2,800 - 5,600	70.00%	> 5,600	90.00%				
Huishang Bank Corp Ltd	0 - 23,000	20.00%	> 23,000 - 46,000	30.00%	> 46,000 - 93,000	40.00%	> 93,000	50.00%		
Hulic Co Ltd	0 - 9,500	20.00%	> 9,500 - 38,000	30.00%	> 38,000	50.00%				
Hulic Reit Inc	0 - 16	25.00%	> 16 - 49	30.00%	> 49 - 240	35.00%	> 240	50.00%		
Humana AB	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Humana Insurance	0 - 310	20.00%	> 310 - 620	25.00%	> 620 - 1,200	30.00%	> 1,200	50.00%		
Hunting	0 - 9,500	20.00%	> 9,500 - 19,000	30.00%	> 19,000 - 38,000	40.00%	> 38,000	50.00%		
Huntington Banc	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%		
Huntington Ingalls Industries	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700	50.00%				
Huntsman	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700 - 13,000	30.00%	> 13,000	50.00%		
Hurco Cos Inc	0 - 220	20.00%	> 220 - 440	25.00%	> 440 - 890	30.00%	> 890	50.00%		
Huron Consulting Group Inc	0 - 400	20.00%	> 400 - 2,400	25.00%	> 2,400	50.00%				
Hurricane Energy PLC	0 - 28,000	25.00%	> 28,000 - 84,000	30.00%	> 84,000 - 210,000	55.00%	> 210,000	60.00%		
Husky Energy Inc	0 - 6,200	20.00%	> 6,200 - 12,000	30.00%	> 12,000 - 24,000	40.00%	> 24,000	50.00%		
Husqvarna A	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,100	30.00%	> 4,100	50.00%		
Husqvarna B	0 - 20,000	20.00%	> 20,000 - 41,000	25.00%	> 41,000	50.00%				
Hutchison Port Holding Trust	0 - 980,000	20.00%	> 980,000 - 1,900,000	25.00%	> 1,900,000	50.00%				
Hutchison Telecommunications	0 - 67,000	20.00%	> 67,000 - 400,000	30.00%	> 400,000	50.00%				
HUYA Inc.	0 - 2,700	20.00%	> 2,700 - 5,500	35.00%	> 5,500 - 11,000	45.00%	> 11,000	50.00%		
Hyakugo Bank Ltd/The	0 - 5,500	20.00%	> 5,500 - 14,000	30.00%	> 14,000 - 33,000	35.00%	> 33,000	50.00%		
Hyatt Hotels	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Hybrid Kinetic Group Ltd	0 - 2,100,000	20.00%	> 2,100,000 - 4,300,000	25.00%	> 4,300,000	50.00%				
Hydoo International Holding Ltd	0 - 65,000	35.00%	> 65,000 - 190,000	40.00%	> 190,000 - 390,000	60.00%	> 390,000	65.00%		
Hydro One Ltd	0 - 6,800	20.00%	> 6,800 - 13,000	50.00%	> 13,000	65.00%				
Hyflux	> 0	100.00%								
Hyfluxshop Holdings Pte Ltd	0 - 15,000	55.00%	> 15,000	90.00%						
HYPOPORT AG	0 - 100	20.00%	> 100 - 280	45.00%	> 280	50.00%				
Hysan Dev	0 - 4,600	30.00%	> 4,600 - 32,000	45.00%	> 32,000	50.00%				
Hyster-Yale Materials Handling	0 - 620	20.00%	> 620 - 1,400	25.00%	> 1,400	50.00%				
IAMGOLD Corp (CA)	0 - 15,000	20.00%	> 15,000 - 33,000	40.00%	> 33,000	50.00%				
IAMGOLD Corp (US)	0 - 14,000	20.00%	> 14,000 - 31,000	40.00%	> 31,000 - 65,000	45.00%	> 65,000	50.00%		
IAR Systems Group AB	0 - 310	20.00%	> 310 - 630	40.00%	> 630 - 1,200	45.00%	> 1,200	50.00%		
Iberdrola	0 - 25,000	20.00%	> 25,000 - 50,000	55.00%	> 50,000	90.00%				
Iberiabank Corp	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200	50.00%				
Ibiden Co Ltd	0 - 6,300	20.00%	> 6,300 - 25,000	25.00%	> 25,000	50.00%				
IBJ Leasing Co Ltd	0 - 3,400	20.00%	> 3,400 - 7,800	25.00%	> 7,800	50.00%				
IBM	0 - 4,500	20.00%	> 4,500 - 9,000	40.00%	> 9,000	60.00%				
Ibstock Plc	0 - 20,000	20.00%	> 20,000 - 46,000	30.00%	> 46,000 - 98,000	45.00%	> 98,000	50.00%		
IC Group A/S	0 - 380	20.00%	> 380 - 770	25.00%	> 770 - 1,500	30.00%	> 1,500	50.00%		
ICA Gruppen	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100 - 12,000	40.00%	> 12,000	50.00%		
Icade	0 - 1,000	20.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%				
Icahn Enterprises LP	0 - 480	20.00%	> 480 - 1,000	25.00%	> 1,000	50.00%				
ICF International Inc	0 - 470	20.00%	> 470 - 1,200	25.00%	> 1,200 - 2,800	30.00%	> 2,800	50.00%		
ICG Enterprise	0 - 290	30.00%	> 290 - 870	35.00%	> 870 - 1,700	55.00%	> 1,700	75.00%		
Ichibanya Co Ltd	0 - 730	20.00%	> 730 - 4,400	30.00%	> 4,400	50.00%				
Ichigo Inc	0 - 21,000	20.00%	> 21,000 - 85,000	25.00%	> 85,000	50.00%				
Ichigo Real Estate Investment Corp	0 - 17	30.00%	> 17 - 52	35.00%	> 52 - 130	45.00%	> 130	50.00%		
Ichiyoshi Securities Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,400	30.00%	> 5,400 - 11,000	40.00%	> 11,000	50.00%		
ICICI Bank	0 - 25,000	20.00%	> 25,000 - 50,000	25.00%	> 50,000	50.00%				
ICON PLC	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Iconix Brand Group Inc	0 - 16,000	20.00%	> 16,000 - 64,000	30.00%	> 64,000	50.00%				
ICU Medical Inc	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
ID Logistics Group	0 - 63	20.00%	> 63 - 120	25.00%	> 120 - 250	35.00%	> 250	50.00%		
IDACORP Inc	0 - 1,000	20.00%	> 1,000 - 4,200	25.00%	> 4,200	50.00%				
Idec Corp	0 - 850	25.00%	> 850 - 2,500	35.00%	> 2,500	90.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Idemitsu Kosan	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	35.00%	> 9,400	50.00%		
Idera Pharmaceuticals Inc	0 - 3,200	25.00%	> 3,200 - 9,800	30.00%	> 9,800 - 22,000	40.00%	> 22,000	50.00%		
IDEX ASA	0 - 55,000	20.00%	> 55,000 - 110,000	25.00%	> 110,000	50.00%				
IDEX Corp	0 - 710	20.00%	> 710 - 1,400	30.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%		
IDEXX Laboratories Inc	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600	50.00%				
Idorsia LTD	0 - 1,200	25.00%	> 1,200 - 3,700	35.00%	> 3,700 - 7,500	65.00%	> 7,500	90.00%		
Idp Education Ltd	0 - 13,000	20.00%	> 13,000 - 26,000	30.00%	> 26,000 - 53,000	40.00%	> 53,000	50.00%		
IDT Corp	0 - 1,700	20.00%	> 1,700 - 3,500	70.00%	> 3,500	90.00%				
IFG	0 - 5,600	20.00%	> 5,600 - 13,000	40.00%	> 13,000 - 26,000	45.00%	> 26,000	50.00%		
IG Group	0 - 8,700	20.00%	> 8,700 - 34,000	40.00%	> 34,000	50.00%				
IGG Inc	0 - 71,000	20.00%	> 71,000 - 140,000	65.00%	> 140,000	90.00%				
IGM Financial Inc	0 - 2,600	20.00%	> 2,600 - 5,900	35.00%	> 5,900 - 12,000	40.00%	> 12,000	50.00%		
IHH Healthcare	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
IHI	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000	50.00%				
IHS Markit Ltd	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	30.00%	> 7,600	50.00%		
Iida Group Holdings Co Ltd	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Iino Kaiun Kaisha Ltd	0 - 2,600	20.00%	> 2,600 - 6,900	35.00%	> 6,900 - 15,000	40.00%	> 15,000	50.00%		
II-VI Inc	0 - 4,500	20.00%	> 4,500 - 9,100	30.00%	> 9,100	50.00%				
iKang Healthcare Group Inc	0 - 6,000	20.00%	> 6,000 - 13,000	25.00%	> 13,000	50.00%				
Ilg Inc	0 - 2,900	20.00%	> 2,900 - 11,000	25.00%	> 11,000	50.00%				
Iliad	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Illinois Tool	0 - 690	20.00%	> 690 - 1,300	30.00%	> 1,300	60.00%				
Illumina	0 - 320	20.00%	> 320 - 1,200	30.00%	> 1,200	50.00%				
Iluka Resources	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	75.00%				
IMA	0 - 980	20.00%	> 980 - 2,100	30.00%	> 2,100 - 4,400	35.00%	> 4,400	50.00%		
IMAX China Holding Inc	0 - 21,000	20.00%	> 21,000 - 46,000	40.00%	> 46,000	55.00%				
IMAX Corp (US)	0 - 1,500	20.00%	> 1,500 - 9,100	35.00%	> 9,100	50.00%				
IMCD Group NV	0 - 930	20.00%	> 930 - 4,900	25.00%	> 4,900	50.00%				
Imdex	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000 - 43,000	30.00%	> 43,000	50.00%		
Imerys	0 - 1,200	20.00%	> 1,200 - 5,100	30.00%	> 5,100	50.00%				
IMF Bentham Ltd	0 - 12,000	20.00%	> 12,000 - 27,000	25.00%	> 27,000	50.00%				
IMI	0 - 6,500	20.00%	> 6,500 - 13,000	25.00%	> 13,000 - 26,000	30.00%	> 26,000	50.00%		
Immersion Corp	0 - 2,200	20.00%	> 2,200 - 8,200	25.00%	> 8,200	50.00%				
Immobiliare Grande Distribuzione SpA	0 - 1,500	30.00%	> 1,500 - 4,700	40.00%	> 4,700 - 12,000	70.00%	> 12,000	80.00%		
Immofinanz	0 - 1,000	25.00%	> 1,000 - 3,200	30.00%	> 3,200 - 14,000	40.00%	> 14,000	50.00%		
Immofonds	0 - 8	30.00%	> 8 - 23	35.00%	> 23 - 94	45.00%	> 94	50.00%		
Immsi SpA	0 - 36,000	20.00%	> 36,000 - 73,000	25.00%	> 73,000	50.00%				
ImmunoGen Inc	0 - 3,200	25.00%	> 3,200 - 19,000	40.00%	> 19,000 - 38,000	45.00%	> 38,000	50.00%		
Immunomedics Inc	0 - 1,200	30.00%	> 1,200 - 3,800	35.00%	> 3,800 - 7,700	60.00%	> 7,700	65.00%		
Impax Environmental Markets	0 - 970	40.00%	> 970 - 2,900	50.00%	> 2,900	90.00%				
ImpediMed Ltd	0 - 60,000	20.00%	> 60,000 - 120,000	25.00%	> 120,000	50.00%				
Imperial Brands	0 - 8,600	20.00%	> 8,600 - 17,000	40.00%	> 17,000	60.00%				
Imperial Hotel Ltd	0 - 550	20.00%	> 550 - 1,100	25.00%	> 1,100 - 2,200	30.00%	> 2,200	50.00%		
Imperial Metals Corp	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000	50.00%				
Imperial Oil Ltd (CA)	0 - 2,900	20.00%	> 2,900 - 5,800	50.00%	> 5,800	75.00%				
Imperial Oil Ltd (US)	0 - 1,100	20.00%	> 1,100 - 2,600	25.00%	> 2,600	50.00%				
Imperial Pacific International Holdings Ltd	0 - 2,000,000	20.00%	> 2,000,000 - 4,100,000	25.00%	> 4,100,000	50.00%				
Imperva Inc	0 - 1,100	20.00%	> 1,100 - 3,000	30.00%	> 3,000 - 6,700	35.00%	> 6,700	50.00%		
Implenia AG	0 - 370	20.00%	> 370 - 990	35.00%	> 990	55.00%				
Impregilo	> 0	100.00%								
Inaba Denki Sangyo Co Ltd	0 - 570	20.00%	> 570 - 1,500	25.00%	> 1,500 - 3,400	30.00%	> 3,400	50.00%		
Inabata & Co Ltd	0 - 3,200	20.00%	> 3,200 - 7,400	25.00%	> 7,400	50.00%				
Inageya Co Ltd	0 - 630	20.00%	> 630 - 1,200	30.00%	> 1,200 - 2,500	35.00%	> 2,500	50.00%		
Inchcape	0 - 9,300	20.00%	> 9,300 - 37,000	30.00%	> 37,000	50.00%				
Incitec Pivot	0 - 72,000	20.00%	> 72,000 - 140,000	30.00%	> 140,000	50.00%				
Incyte Corp Ltd	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800 - 5,600	40.00%	> 5,600	50.00%		
Independence Group	0 - 26,000	20.00%	> 26,000 - 53,000	45.00%	> 53,000	75.00%				
Independence Realty Trust Inc	0 - 1,300	30.00%	> 1,300 - 4,100	35.00%	> 4,100	50.00%				
Independent Bank Corp/MI	0 - 720	20.00%	> 720 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Independent Bank Corp/Rockland	0 - 530	20.00%	> 530 - 3,200	25.00%	> 3,200	50.00%				
Independent Bank Group Inc	0 - 1,300	20.00%	> 1,300 - 5,700	25.00%	> 5,700	50.00%				
IndexIQ ETF Trust - IQ Hedge Multi-Strategy Tracker ETF	0 - 320	20.00%	> 320 - 650	45.00%	> 650	50.00%				
India Fund Inc/The	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Inditex	0 - 19,000	20.00%	> 19,000 - 38,000	40.00%	> 38,000	60.00%				
Indivior PLC	0 - 20,000	20.00%	> 20,000 - 41,000	25.00%	> 41,000 - 83,000	35.00%	> 83,000	50.00%		
Indofood Agri Resources	0 - 61,000	20.00%	> 61,000 - 120,000	70.00%	> 120,000	90.00%				
Indra Sistemas	0 - 8,500	20.00%	> 8,500 - 17,000	25.00%	> 17,000 - 34,000	35.00%	> 34,000	50.00%		
Indus	0 - 850	20.00%	> 850 - 1,900	25.00%	> 1,900	50.00%				
Industria REIT	0 - 1,700	30.00%	> 1,700 - 10,000	45.00%	> 10,000	55.00%				
Industrial Alliance Insurance & Financial Services Inc	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Industrial And Commercial Bank of China	0 - 930,000	20.00%	> 930,000 - 1,800,000	40.00%	> 1,800,000	60.00%				
Industrial Select Sector SPDR Fund	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Industrias Bachoco SAB de CV	0 - 330	20.00%	> 330 - 660	25.00%	> 660	50.00%				
Industrivärden A	0 - 3,300	20.00%	> 3,300 - 8,100	25.00%	> 8,100 - 17,000	30.00%	> 17,000	50.00%		
Industrivärden C	0 - 9,800	20.00%	> 9,800 - 19,000	25.00%	> 19,000	50.00%				
Indutrade	0 - 5,400	20.00%	> 5,400 - 12,000	25.00%	> 12,000	50.00%				
Ines Corp	0 - 1,000	20.00%	> 1,000 - 2,200	30.00%	> 2,200 - 4,600	40.00%	> 4,600	50.00%		
Inficon Holding AG	0 - 57	20.00%	> 57 - 150	25.00%	> 150 - 330	30.00%	> 330	50.00%		
Infigen Energy	0 - 26,000	20.00%	> 26,000 - 70,000	25.00%	> 70,000 - 150,000	30.00%	> 150,000	50.00%		
Infineon	0 - 12,000	20.00%	> 12,000 - 25,000	40.00%	> 25,000	60.00%				
Infinera Corp	0 - 5,600	20.00%	> 5,600 - 34,000	25.00%	> 34,000	50.00%				
Infinity Pharmaceuticals Inc	0 - 1,600	30.00%	> 1,600 - 4,900	35.00%	> 4,900	90.00%				
Infinity Property & Casualty C	0 - 1,100	20.00%	> 1,100 - 2,400	25.00%	> 2,400	50.00%				
Infomart Corp	0 - 7,500	20.00%	> 7,500 - 15,000	65.00%	> 15,000	75.00%				
Infomedia Ltd	0 - 14,000	20.00%	> 14,000 - 28,000	40.00%	> 28,000	50.00%				
Informa	0 - 8,700	20.00%	> 8,700 - 17,000	30.00%	> 17,000 - 35,000	40.00%	> 35,000	50.00%		
Information Services International-Dentsu Ltd	0 - 930	20.00%	> 930 - 5,600	25.00%	> 5,600	50.00%				
Infosys Technologies	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Infrastrutture Wireless Italiane SpA	0 - 4,400	20.00%	> 4,400 - 26,000	30.00%	> 26,000	50.00%				
Infratil	0 - 17,000	20.00%	> 17,000 - 38,000	25.00%	> 38,000	50.00%				
ING Bank Śląski SA	0 - 200	20.00%	> 200 - 540	30.00%	> 540 - 1,200	35.00%	> 1,200	50.00%		
ING Groep NV - ADR	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
ING Groep NV (IT)	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
ING Groep NV (NL)	0 - 45,000	20.00%	> 45,000 - 90,000	40.00%	> 90,000	60.00%				
Ingenia Communities Group	0 - 1,600	25.00%	> 1,600 - 4,800	30.00%	> 4,800 - 12,000	35.00%	> 12,000 - 28,000	40.00%	> 28,000	50.00%
Ingenico	0 - 2,100	20.00%	> 2,100 - 4,300	40.00%	> 4,300	60.00%				
Ingersoll Rand	0 - 1,000	20.00%	> 1,000 - 2,100	35.00%	> 2,100	50.00%				
Ingles Markets Inc	0 - 420	20.00%	> 420 - 2,500	25.00%	> 2,500	50.00%				
Ingredion Inc	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,000	35.00%	> 4,000	50.00%		
Inmarsat	> 0	100.00%								
Inmobiliaria Colonial	0 - 2,900	30.00%	> 2,900 - 8,700	35.00%	> 8,700 - 17,000	50.00%	> 17,000	60.00%		
Innate Pharma SA	0 - 2,500	20.00%	> 2,500 - 6,700	30.00%	> 6,700 - 13,000	35.00%	> 13,000	50.00%		
Innergex Renewable Energy Inc	0 - 2,400	20.00%	> 2,400 - 6,500	25.00%	> 6,500 - 14,000	30.00%	> 14,000	50.00%		
InnerWorkings Inc	0 - 1,100	20.00%	> 1,100 - 3,000	60.00%	> 3,000	90.00%				
Innogy SE	0 - 4,600	20.00%	> 4,600 - 9,200	30.00%	> 9,200	50.00%				
Innophos Holdings Inc	0 - 430	20.00%	> 430 - 1,100	30.00%	> 1,100 - 2,600	45.00%	> 2,600	50.00%		
Innospec Inc	0 - 550	20.00%	> 550 - 1,400	30.00%	> 1,400 - 3,300	35.00%	> 3,300	50.00%		
Innoviva Inc	0 - 1,400	40.00%	> 1,400 - 4,200	55.00%	> 4,200	90.00%				
Inogen Inc	0 - 500	20.00%	> 500 - 1,000	40.00%	> 1,000	55.00%				
Inovio Pharmaceuticals Inc	0 - 1,000	25.00%	> 1,000 - 18,000	40.00%	> 18,000	50.00%				
Inpex	0 - 9,600	20.00%	> 9,600 - 19,000	55.00%	> 19,000	65.00%				
Inphi Corp	0 - 1,700	20.00%	> 1,700 - 4,600	25.00%	> 4,600 - 9,800	30.00%	> 9,800	50.00%		
Insight Enterprises Inc	0 - 1,200	20.00%	> 1,200 - 3,300	45.00%	> 3,300	55.00%				
Insmed Inc	0 - 1,100	25.00%	> 1,100 - 7,300	45.00%	> 7,300	60.00%				
Insperity Inc	0 - 1,000	20.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%				
Insteel Industries Inc	0 - 410	20.00%	> 410 - 1,100	35.00%	> 1,100	50.00%				
Insulet Corp	0 - 1,100	20.00%	> 1,100 - 2,300	40.00%	> 2,300	50.00%				
Insurance Aus	0 - 16,000	20.00%	> 16,000 - 33,000	30.00%	> 33,000 - 66,000	40.00%	> 66,000	50.00%		
Insys Therapeutics Inc	0 - 2,300	20.00%	> 2,300 - 6,300	30.00%	> 6,300 - 14,000	40.00%	> 14,000	50.00%		
Intact Financial Corp	0 - 1,300	20.00%	> 1,300 - 2,700	55.00%	> 2,700	80.00%				
Integer Holdings Corp	0 - 1,900	20.00%	> 1,900 - 4,400	25.00%	> 4,400	50.00%				
Integra LifeSciences Holdings	0 - 1,500	20.00%	> 1,500 - 3,100	50.00%	> 3,100	90.00%				
Integrated Device Technology I	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800 - 11,000	30.00%	> 11,000	50.00%		
Intel	0 - 6,300	20.00%	> 6,300 - 12,000	60.00%	> 12,000	65.00%				
Intelsat SA	0 - 4,800	20.00%	> 4,800 - 9,600	40.00%	> 9,600 - 19,000	45.00%	> 19,000	50.00%		
Inter Cars SA	0 - 140	20.00%	> 140 - 570	25.00%	> 570	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Inter Parfums	0 - 290	20.00%	> 290 - 790	25.00%	> 790 - 1,700	30.00%	> 1,700	50.00%		
Inter Parfums Inc	0 - 450	20.00%	> 450 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
Inter Pipeline Ltd	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Interactive Brokers Group Inc	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
Interactivecorp	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Intercept Pharmaceuticals Inc	0 - 340	25.00%	> 340 - 2,000	45.00%	> 2,000	65.00%				
Intercontinental Exchange	0 - 1,300	20.00%	> 1,300 - 2,600	55.00%	> 2,600	75.00%				
Intercontinental Hotels	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Intercontinental Hotels (US)	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				
InterDigital Inc/PA	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Interface Inc	0 - 4,400	20.00%	> 4,400 - 10,000	25.00%	> 10,000	50.00%				
Interfor Corp	0 - 4,200	20.00%	> 4,200 - 9,400	25.00%	> 9,400 - 18,000	35.00%	> 18,000	50.00%		
Intermediate Cap	0 - 7,000	20.00%	> 7,000 - 28,000	25.00%	> 28,000	50.00%				
Intermobiliare	0 - 22,000	20.00%	> 22,000 - 91,000	25.00%	> 91,000	50.00%				
Internap Network Services Corp	0 - 1,400	20.00%	> 1,400 - 2,900	60.00%	> 2,900	90.00%				
International Public	0 - 33,000	20.00%	> 33,000 - 84,000	45.00%	> 84,000	50.00%				
International Bancshares Corp	0 - 2,600	20.00%	> 2,600 - 5,900	25.00%	> 5,900	50.00%				
International Biotechnology Trust PLC/Fund	0 - 370	35.00%	> 370 - 2,400	45.00%	> 2,400	50.00%				
International Consolidated Air	0 - 22,000	20.00%	> 22,000 - 44,000	25.00%	> 44,000	50.00%				
International Consolidated Airlines Group	0 - 74,000	20.00%	> 74,000 - 140,000	40.00%	> 140,000	60.00%				
International Flavors & Fragrances	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
International Game Technology	0 - 3,900	20.00%	> 3,900 - 7,900	30.00%	> 7,900 - 15,000	40.00%	> 15,000	50.00%		
International Paper	0 - 6,200	20.00%	> 6,200 - 12,000	40.00%	> 12,000	60.00%				
International Personal Finance	0 - 5,900	20.00%	> 5,900 - 35,000	25.00%	> 35,000	50.00%				
International Speedway Corp	0 - 640	20.00%	> 640 - 1,700	25.00%	> 1,700 - 3,800	30.00%	> 3,800	50.00%		
Internationella Engelska Skola	0 - 2,900	20.00%	> 2,900 - 10,000	25.00%	> 10,000	50.00%				
Internet Initiative Japan Inc	0 - 2,500	20.00%	> 2,500 - 11,000	25.00%	> 11,000	50.00%				
Interpublic	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500 - 17,000	35.00%	> 17,000	50.00%		
Interpump Group	0 - 2,800	20.00%	> 2,800 - 6,000	30.00%	> 6,000 - 12,000	45.00%	> 12,000	50.00%		
InterRent Real Estate Investment Trust	0 - 1,100	30.00%	> 1,100 - 9,400	55.00%	> 9,400	65.00%				
Interroll Holding AG	0 - 28	20.00%	> 28 - 160	25.00%	> 160	50.00%				
Intersect ENT Inc	0 - 1,100	20.00%	> 1,100 - 3,100	25.00%	> 3,100 - 7,000	40.00%	> 7,000	50.00%		
Interserve	0 - 12,000	20.00%	> 12,000 - 24,000	65.00%	> 24,000	90.00%				
Intershop Holdings AG	0 - 7	35.00%	> 7 - 20	50.00%	> 20	90.00%				
Intertain Group Ltd/The	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
Intertape Polymer Group Inc	0 - 1,700	20.00%	> 1,700 - 4,500	30.00%	> 4,500 - 10,000	40.00%	> 10,000	50.00%		
Intertek Group	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200	50.00%				
Intertrust NV	0 - 5,100	20.00%	> 5,100 - 11,000	25.00%	> 11,000	50.00%				
Intervest Offices & Warehouses NV	0 - 130	30.00%	> 130 - 780	55.00%	> 780	70.00%				
InterXion Holding NV	0 - 2,900	20.00%	> 2,900 - 6,000	25.00%	> 6,000	50.00%				
Intesa SanPaolo	0 - 220,000	20.00%	> 220,000 - 450,000	40.00%	> 450,000	60.00%				
Intesa SanPaolo (R)	0 - 65,000	20.00%	> 65,000 - 130,000	25.00%	> 130,000	50.00%				
Intevac Inc	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,200	30.00%	> 8,200	50.00%		
INTL. FCStone Inc	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500	50.00%				
Intra-Cellular Therapies Inc	0 - 4,400	20.00%	> 4,400 - 10,000	25.00%	> 10,000	50.00%				
Intrepid Mines	0 - 3,800	35.00%	> 3,800 - 11,000	45.00%	> 11,000	90.00%				
Intrepid Potash	0 - 3,700	20.00%	> 3,700 - 9,800	40.00%	> 9,800 - 22,000	45.00%	> 22,000	50.00%		
Intrexon Corp	0 - 1,700	30.00%	> 1,700 - 5,300	35.00%	> 5,300 - 11,000	65.00%	> 11,000	75.00%		
Intrum Justitia	0 - 4,200	20.00%	> 4,200 - 8,400	60.00%	> 8,400	85.00%				
Intu Properties PLC	0 - 13,000	30.00%	> 13,000 - 83,000	50.00%	> 83,000	60.00%				
Intuit	0 - 3,100	20.00%	> 3,100 - 6,200	40.00%	> 6,200	60.00%				
Intuitive Surgical	0 - 1,200	20.00%	> 1,200 - 2,500	40.00%	> 2,500	60.00%				
Invacare Corp	0 - 3,400	20.00%	> 3,400 - 7,700	25.00%	> 7,700	50.00%				
Invesco	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Invesco Advantage Municipal Income Trust II	0 - 310	35.00%	> 310 - 930	40.00%	> 930 - 1,800	55.00%	> 1,800	70.00%		
INVESCO Asia Trust PLC	0 - 900	30.00%	> 900 - 2,700	35.00%	> 2,700	50.00%				
Invesco Bond Fund	0 - 180	30.00%	> 180 - 560	35.00%	> 560 - 2,200	40.00%	> 2,200	50.00%		
Invesco Dynamic Credit Opportunities Fund	0 - 290	30.00%	> 290 - 4,300	40.00%	> 4,300	50.00%				
Invesco Income	0 - 860	30.00%	> 860 - 10,000	35.00%	> 10,000	50.00%				
Invesco Mortgage Capital Inc	0 - 1,600	25.00%	> 1,600 - 23,000	30.00%	> 23,000	50.00%				
Invesco Municipal Income Opportunities Trust	0 - 430	30.00%	> 430 - 1,300	35.00%	> 1,300 - 5,200	40.00%	> 5,200	50.00%		
Invesco Municipal Opportunity Trust	0 - 280	30.00%	> 280 - 3,300	40.00%	> 3,300	50.00%				
Invesco Municipal Trust	0 - 280	30.00%	> 280 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Invesco Office J-Reit Inc	0 - 190	25.00%	> 190 - 2,300	35.00%	> 2,300	50.00%				
Invesco Perpetual UK Smaller Companies Investment Trust PLC	0 - 470	30.00%	> 470 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800 - 5,700	45.00%	> 5,700	50.00%
Invesco QQQ Trust Series 1	0 - 3,600	20.00%	> 3,600 - 7,300	40.00%	> 7,300	60.00%				
Invesco Quality Municipal Income Trust	0 - 280	30.00%	> 280 - 840	35.00%	> 840 - 1,600	40.00%	> 1,600 - 3,300	45.00%	> 3,300	50.00%
Invesco Senior Income Trust	0 - 770	30.00%	> 770 - 9,200	40.00%	> 9,200	50.00%				
Invesco Trust for Investment Grade Municipals	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Invesco Value Municipal Income Trust	0 - 230	30.00%	> 230 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800	50.00%		
Investa Office Fund	0 - 8,600	25.00%	> 8,600 - 26,000	30.00%	> 26,000 - 100,000	40.00%	> 100,000	50.00%		
Investec PLC	0 - 27,000	20.00%	> 27,000 - 54,000	25.00%	> 54,000	50.00%				
Investment Technology Group In	0 - 710	20.00%	> 710 - 1,900	25.00%	> 1,900 - 4,200	35.00%	> 4,200	50.00%		
Investor A	0 - 1,300	20.00%	> 1,300 - 3,500	25.00%	> 3,500 - 8,000	45.00%	> 8,000	50.00%		
Investor B	0 - 4,800	20.00%	> 4,800 - 9,600	25.00%	> 9,600	50.00%				
Investors Bancorp Inc	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Investors Real Estate Trust	0 - 900	25.00%	> 900 - 2,700	30.00%	> 2,700 - 7,200	35.00%	> 7,200 - 16,000	40.00%	> 16,000	50.00%
Invincible Investment Corp	0 - 74	30.00%	> 74 - 440	45.00%	> 440	50.00%				
Invisio Communications AB	0 - 1,300	20.00%	> 1,300 - 5,400	30.00%	> 5,400	50.00%				
InvoCare	0 - 6,300	20.00%	> 6,300 - 14,000	65.00%	> 14,000	70.00%				
Inwido	0 - 3,600	20.00%	> 3,600 - 7,600	25.00%	> 7,600 - 14,000	30.00%	> 14,000	50.00%		
Iofina PLC	0 - 16,000	25.00%	> 16,000 - 49,000	30.00%	> 49,000 - 98,000	40.00%	> 98,000 - 190,000	45.00%	> 190,000	50.00%
iomart Group PLC	0 - 8,900	20.00%	> 8,900 - 20,000	25.00%	> 20,000	50.00%				
Ion Beam Applications	0 - 1,200	20.00%	> 1,200 - 6,900	45.00%	> 6,900	50.00%				
ION Geophysical Corp	0 - 2,000	20.00%	> 2,000 - 3,800	25.00%	> 3,800	50.00%				
Ionis Pharmaceuticals Inc	0 - 730	25.00%	> 730 - 2,100	35.00%	> 2,100 - 4,300	85.00%	> 4,300	90.00%		
IOOF Holdings	0 - 14,000	20.00%	> 14,000 - 58,000	45.00%	> 58,000	50.00%				
IP Group	0 - 7,800	20.00%	> 7,800 - 20,000	25.00%	> 20,000 - 46,000	30.00%	> 46,000	50.00%		
iPath Bloomberg Commodity Index Total Return ETN	0 - 740	20.00%	> 740 - 4,400	25.00%	> 4,400	50.00%				
iPath Goldman Sachs Crude Oil Total Return Index ETN	0 - 11,000	20.00%	> 11,000 - 21,000	25.00%	> 21,000	50.00%				
iPath MSCI India Index ETN	0 - 110	20.00%	> 110 - 230	30.00%	> 230 - 460	35.00%	> 460	50.00%		
iPATH S&P 500 VIX Short-Term Futures ETN	0 - 2,000	20.00%	> 2,000 - 7,500	30.00%	> 7,500	50.00%				
iPath S&P MLP ETN	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
IPG Photonics Corp	0 - 1,400	20.00%	> 1,400 - 2,800	40.00%	> 2,800	60.00%				
IPH Ltd	0 - 10,000	20.00%	> 10,000 - 24,000	30.00%	> 24,000 - 46,000	40.00%	> 46,000	50.00%		
Ipsen	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Ipsos	0 - 2,800	20.00%	> 2,800 - 6,400	25.00%	> 6,400	50.00%				
IQE	0 - 55,000	20.00%	> 55,000 - 110,000	40.00%	> 110,000	70.00%				
iQIYI, Inc.	0 - 19,000	20.00%	> 19,000 - 39,000	40.00%	> 39,000	60.00%				
Iqvia Holdings Inc	0 - 920	20.00%	> 920 - 1,800	25.00%	> 1,800 - 3,700	30.00%	> 3,700	50.00%		
Iren	0 - 35,000	20.00%	> 35,000 - 140,000	25.00%	> 140,000	50.00%				
IRESS Market	0 - 18,000	20.00%	> 18,000 - 39,000	25.00%	> 39,000	50.00%				
Iridium Communications Inc	0 - 4,800	20.00%	> 4,800 - 10,000	25.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%		
Irish Continental Group PLC	0 - 1,800	20.00%	> 1,800 - 11,000	35.00%	> 11,000	50.00%				
Irish Residential Properties REIT Plc	0 - 2,000	25.00%	> 2,000 - 6,100	30.00%	> 6,100 - 15,000	35.00%	> 15,000 - 34,000	40.00%	> 34,000	50.00%
Iriso Electronics Co Ltd	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
iRobot Corp	0 - 1,200	20.00%	> 1,200 - 2,400	35.00%	> 2,400 - 4,900	45.00%	> 4,900	50.00%		
Iron Bridge Resources Inc	0 - 5,800	25.00%	> 5,800 - 17,000	30.00%	> 17,000 - 34,000	45.00%	> 34,000	55.00%		
Iron Mountain	0 - 2,700	20.00%	> 2,700 - 10,000	35.00%	> 10,000	50.00%				
IRSA Inversiones y Representaciones SA	0 - 180	30.00%	> 180 - 2,100	40.00%	> 2,100	50.00%				
Iseki & Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,600	30.00%	> 2,600 - 5,600	35.00%	> 5,600	50.00%		
iSelect Ltd	0 - 16,000	20.00%	> 16,000 - 32,000	70.00%	> 32,000	90.00%				
iSentia Group Ltd	0 - 17,000	20.00%	> 17,000 - 34,000	80.00%	> 34,000	90.00%				
Isetan Mitukoshi	0 - 8,300	20.00%	> 8,300 - 16,000	35.00%	> 16,000 - 33,000	45.00%	> 33,000	50.00%		
iShares Treasury Bond 3-7yr UCITS ETF (Acc)	0 - 750	20.00%	> 750	90.00%						
iShares $ Corporate Bond UCITS ETF	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
iShares $ High Yield Corporate Bond UCITS ETF	0 - 1,800	20.00%	> 1,800 - 3,800	25.00%	> 3,800	50.00%				
iShares $ Short Duration Corporate Bond UCITS ETF	0 - 780	20.00%	> 780 - 1,700	25.00%	> 1,700	50.00%				
iShares $ Short Duration High Yield Corporate Bond UCITS ETF	0 - 860	20.00%	> 860 - 1,800	25.00%	> 1,800	50.00%				
iShares $ TIPS UCITS ETF	0 - 690	20.00%	> 690 - 1,500	25.00%	> 1,500	50.00%				
iShares £ Index-Linked Gilts UCITS ETF	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000	50.00%				
iShares 0-5 Year TIPS Bond ETF	0 - 390	20.00%	> 390 - 890	25.00%	> 890	50.00%				
iShares 10+ Year Credit Bond ETF	0 - 650	20.00%	> 650 - 1,400	25.00%	> 1,400	50.00%				
iShares 10-20 Year Treasury Bond ETF	0 - 270	20.00%	> 270 - 610	25.00%	> 610	50.00%				
iShares 1-3 Year Credit Bond ETF	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
iShares 1-3 Year International Treasury Bond ETF	0 - 240	20.00%	> 240 - 480	25.00%	> 480	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
iShares 1-3 Year Treasury Bond ETF	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
iShares 1-5 Year Laddered Corporate Bond Index ETF	0 - 1,600	20.00%	> 1,600 - 3,400	25.00%	> 3,400	50.00%				
iShares 1-5 Year Laddered Government Bond Index ETF	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
iShares 20+ Year Treasury Bond ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
iShares 3-7 Year Treasury Bond ETF	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500	50.00%				
iShares 7-10 Year Treasury Bond ETF	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
iShares Aaa - A Rated Corporate Bond ETF	0 - 390	20.00%	> 390 - 780	25.00%	> 780	50.00%				
iShares Advantaged Short Duration High Income ETF	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
iShares Advantaged US High Yield Bond Index ETF	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
iShares AEX UCITS ETF	0 - 300	20.00%	> 300 - 600	25.00%	> 600	50.00%				
iShares Agency Bond ETF	0 - 170	20.00%	> 170 - 350	25.00%	> 350	50.00%				
iShares Asia 50 ETF	0 - 420	20.00%	> 420 - 950	25.00%	> 950	50.00%				
iShares Asia 50 ETF	0 - 1,700	20.00%	> 1,700 - 3,800	25.00%	> 3,800	50.00%				
iShares California AMT-Free Muni Bond ETF	0 - 160	20.00%	> 160 - 970	25.00%	> 970	50.00%				
iShares Canadian Corporate Bond Index ETF	0 - 1,300	20.00%	> 1,300 - 2,800	25.00%	> 2,800	50.00%				
iShares Canadian Financial Monthly Income ETF	0 - 4,900	20.00%	> 4,900 - 11,000	25.00%	> 11,000	50.00%				
iShares Canadian Government Bond Index ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
iShares Canadian HYBrid Corporate Bond Index ETF	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
iShares Canadian Real Return Bond Index ETF	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
iShares Canadian Select Dividend Index ETF	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
iShares Canadian Short Term Bond Index ETF	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000	50.00%				
iShares Canadian Universe Bond Index ETF	0 - 2,200	20.00%	> 2,200 - 4,900	25.00%	> 4,900	50.00%				
iShares China Large Cap UCITS ETF	0 - 160	20.00%	> 160 - 320	25.00%	> 320	50.00%				
iShares China Large-Cap ETF	0 - 460	20.00%	> 460 - 930	25.00%	> 930	50.00%				
iShares China Large-Cap ETF	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200	50.00%				
iShares Composite Bond ETF	0 - 430	20.00%	> 430 - 980	25.00%	> 980	50.00%				
iShares Core Aggressive Allocation ETF	0 - 360	20.00%	> 360 - 730	25.00%	> 730	50.00%				
iShares Core Canadian Short Term Corporate + Maple Bond Index ETF	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
iShares Core CHF Corporate Bond CH	0 - 820	20.00%	> 820 - 1,800	25.00%	> 1,800	50.00%				
iShares Core DAX UCITS ETF DE	0 - 250	20.00%	> 250 - 570	25.00%	> 570	50.00%				
iShares Core Dividend Growth ETF	0 - 3,900	20.00%	> 3,900 - 8,800	25.00%	> 8,800	50.00%				
iShares Core FTSE 100 UCITS ETF	0 - 480	20.00%	> 480 - 970	25.00%	> 970	50.00%				
iShares Core GBP Corporate Bond UCITS ETF	0 - 940	20.00%	> 940 - 1,900	25.00%	> 1,900	50.00%				
iShares Core Growth Allocation ETF	0 - 460	20.00%	> 460 - 960	25.00%	> 960	50.00%				
iShares Core High Dividend ETF	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
iShares Core Long-Term USD Bond ETF	0 - 320	20.00%	> 320 - 650	25.00%	> 650	50.00%				
iShares Core Moderate Allocation ETF	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000	50.00%				
iShares Core MSCI EAFE IMI Index ETF	0 - 1,700	20.00%	> 1,700 - 4,000	25.00%	> 4,000	50.00%				
iShares Core MSCI Emerging Markets ETF	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
iShares Core MSCI Emerging Markets IMI UCITS ETF	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
iShares Core MSCI Europe ETF	0 - 930	20.00%	> 930 - 5,500	25.00%	> 5,500	50.00%				
iShares Core MSCI Japan IMI UCITS ETF	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	30.00%	> 9,400	50.00%		
iShares Core MSCI Pacific ETF	0 - 340	20.00%	> 340 - 690	25.00%	> 690	50.00%				
iShares Core MSCI Total International Stock ETF	0 - 2,700	20.00%	> 2,700 - 5,900	25.00%	> 5,900	50.00%				
iShares Core MSCI World UCITS ETF	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
iShares Core S&P 500 ETF	0 - 340	20.00%	> 340 - 780	25.00%	> 780	50.00%				
iShares Core S&P 500 ETF	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400	50.00%				
iShares Core S&P 500 Index ETF	0 - 1,400	20.00%	> 1,400 - 3,200	25.00%	> 3,200	50.00%				
iShares Core S&P 500 Index ETF (CAD- Hedged)	0 - 8,200	20.00%	> 8,200 - 16,000	25.00%	> 16,000	50.00%				
iShares Core S&P 500 UCITS ETF	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400	50.00%				
iShares Core S&P Mid-Cap ETF	0 - 100	20.00%	> 100 - 200	25.00%	> 200	50.00%				
iShares Core S&P Mid-Cap ETF	0 - 990	20.00%	> 990 - 1,900	25.00%	> 1,900	50.00%				
iShares Core S&P Small-Cap ETF	0 - 2,300	20.00%	> 2,300 - 4,600	30.00%	> 4,600	50.00%				
iShares Core S&P Total US Stock Market ETF	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
iShares Core S&P/TSX Capped Composite Index ETF	0 - 6,800	20.00%	> 6,800 - 15,000	25.00%	> 15,000	50.00%				
iShares Core S&P/TSX Composite High Dividend Index ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
iShares Core Short-Term USD Bond	0 - 600	20.00%	> 600 - 1,300	25.00%	> 1,300	50.00%				
iShares Core SPI CH	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
iShares Core Total USD Bond Market ETF	0 - 570	20.00%	> 570 - 1,200	25.00%	> 1,200	50.00%				
iShares Core U.S. Aggregate Bond ETF	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
iShares Core UK Gilts UCITS ETF	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
iShares Core US Credit Bond ETF	0 - 210	20.00%	> 210 - 450	25.00%	> 450	50.00%				
iShares Core US Growth ETF	0 - 1,100	20.00%	> 1,100 - 2,600	25.00%	> 2,600	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
iShares Core US Treasury Bond ETF	0 - 6,100	20.00%	> 6,100 - 13,000	25.00%	> 13,000	50.00%				
iShares Core US Value ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
iShares Currency Hedged MSCI Germany ETF	0 - 530	20.00%	> 530 - 3,100	25.00%	> 3,100	50.00%				
iShares Currency Hedged MSCI Japan ETF	0 - 4,700	20.00%	> 4,700 - 8,600	25.00%	> 8,600	50.00%				
iShares Developed Markets Property Yield UCITS ETF	0 - 2,800	20.00%	> 2,800 - 6,500	25.00%	> 6,500	50.00%				
iShares Diversified Commodity Swap UCITS ETF DE	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
iShares Diversified Monthly Income ETF	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
iShares Dow Jones U.S. ETF	0 - 220	20.00%	> 220 - 500	25.00%	> 500	50.00%				
iShares Edge MSCI USA Minimum Volatility ETF	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
iShares Emerging Markets Dividend ETF	0 - 250	20.00%	> 250 - 500	25.00%	> 500 - 1,000	30.00%	> 1,000	50.00%		
iShares Emerging Markets Dividend UCITS ETF	0 - 450	20.00%	> 450 - 1,800	30.00%	> 1,800	50.00%				
iShares Emerging Markets Local Currency Bond ETF	0 - 410	20.00%	> 410 - 2,100	30.00%	> 2,100	50.00%				
iShares Emerging Markets Local Government Bond UCITS ETF	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300 - 6,600	35.00%	> 6,600	50.00%		
iShares Euro Corporate Bond Large Cap UCITS ETF	0 - 700	20.00%	> 700 - 1,500	25.00%	> 1,500	50.00%				
iShares EURO Div UCITS ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
iShares Euro Government Bond 1-3yr UCITS ETF	0 - 110	20.00%	> 110 - 230	25.00%	> 230	50.00%				
iShares EURO STOXX 50 UCITS ETF Inc	0 - 680	20.00%	> 680 - 1,800	25.00%	> 1,800 - 4,000	30.00%	> 4,000	50.00%		
iShares EURO STOXX Mid UCITS ETF	0 - 360	20.00%	> 360 - 820	25.00%	> 820	50.00%				
iShares EURO STOXX Small UCITS ETF	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
iShares EURO STOXX UCITS ETF DE	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
iShares EURO Total Market Value Large UCITS ETF	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
iShares Europe ETF	0 - 1,700	20.00%	> 1,700 - 3,800	25.00%	> 3,800	50.00%				
iShares Europe ETF	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,700	30.00%	> 8,700	50.00%		
iShares European Property Yield UCITS ETF	0 - 530	20.00%	> 530 - 1,100	25.00%	> 1,100	50.00%				
iShares Floating Rate Index ETF	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
iShares FTSE 100 UCITS ETF DIST	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
iShares FTSE 250 UCITS ETF	0 - 6,300	20.00%	> 6,300 - 11,000	25.00%	> 11,000	50.00%				
iShares FTSE MIB UCITS ETF DIST	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Ishares Ftse/Xinhua A50 China Tracker	0 - 60,000	20.00%	> 60,000 - 240,000	30.00%	> 240,000	50.00%				
iShares GBP Ultrashort Bond UCITS ETF	0 - 450	20.00%	> 450 - 1,000	25.00%	> 1,000	50.00%				
iShares Global 100 ETF	0 - 900	20.00%	> 900 - 2,000	25.00%	> 2,000	50.00%				
iShares Global 100 ETF	0 - 420	20.00%	> 420 - 840	25.00%	> 840	50.00%				
iShares Global Agriculture Index ETF	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
iShares Global Consumer Discretionary ETF	0 - 160	20.00%	> 160 - 330	25.00%	> 330	50.00%				
iShares Global Consumer Staples ETF	0 - 420	20.00%	> 420 - 840	25.00%	> 840	50.00%				
iShares Global Consumer Staples ETF	0 - 410	20.00%	> 410 - 850	25.00%	> 850	50.00%				
iShares Global Corporate Bond EUR Hedged UCITS ETF	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				
iShares Global Corporate Bond UCITS ETF	0 - 500	20.00%	> 500 - 1,100	25.00%	> 1,100	50.00%				
iShares Global Energy ETF	0 - 2,700	20.00%	> 2,700 - 6,200	25.00%	> 6,200	50.00%				
iShares Global Financials ETF	0 - 770	20.00%	> 770 - 1,700	25.00%	> 1,700	50.00%				
iShares Global Government Bond UCITS ETF	0 - 500	20.00%	> 500 - 1,100	25.00%	> 1,100	50.00%				
iShares Global Healthcare ETF	0 - 390	20.00%	> 390 - 820	25.00%	> 820	50.00%				
iShares Global Healthcare ETF	0 - 470	20.00%	> 470 - 1,000	25.00%	> 1,000	50.00%				
iShares Global High Yield Corp Bond UCITS ETF	0 - 540	20.00%	> 540 - 1,200	25.00%	> 1,200	50.00%				
iShares Global Industrials ETF	0 - 230	20.00%	> 230 - 490	25.00%	> 490	50.00%				
iShares Global Infrastructure ETF	0 - 780	20.00%	> 780 - 1,700	25.00%	> 1,700	50.00%				
iShares Global Infrastructure UCITS ETF	0 - 780	20.00%	> 780 - 1,600	25.00%	> 1,600	50.00%				
iShares Global Materials ETF	0 - 290	20.00%	> 290 - 590	25.00%	> 590	50.00%				
iShares Global Tech ETF	0 - 390	20.00%	> 390 - 880	25.00%	> 880	50.00%				
iShares Global Telecom ETF	0 - 350	20.00%	> 350 - 710	25.00%	> 710	50.00%				
iShares Global Utilities ETF	0 - 390	20.00%	> 390 - 790	25.00%	> 790	50.00%				
iShares Gold Bullion ETF	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
iShares Gold CH	0 - 170	20.00%	> 170 - 360	25.00%	> 360	50.00%				
iShares Gold CHF Hedged CH	0 - 210	20.00%	> 210 - 440	25.00%	> 440	50.00%				
iShares Gold Producers UCITS ETF	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
iShares Gold Trust (US)	0 - 8,300	20.00%	> 8,300 - 16,000	25.00%	> 16,000 - 33,000	30.00%	> 33,000	50.00%		
iShares Government/Credit Bond ETF	0 - 180	20.00%	> 180 - 360	25.00%	> 360	50.00%				
iShares iBoxx $ High Yield Corporate Bond ETF	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
iShares iBoxx $ Investment Grade Corporate Bond ETF	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
iShares India 50 ETF	0 - 930	20.00%	> 930 - 2,100	25.00%	> 2,100	50.00%				
iShares Intermediate Credit Bond ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
iShares International Developed Real Estate ETF	0 - 670	20.00%	> 670 - 1,300	25.00%	> 1,300	50.00%				
iShares International Treasury Bond ETF	0 - 900	20.00%	> 900 - 2,000	25.00%	> 2,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
iShares JP Morgan USD EM Bond EUR Hedged UCITS ETF	0 - 900	20.00%	> 900 - 3,600	25.00%	> 3,600	50.00%				
iShares JP Morgan USD Emerging Markets Bond ETF	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
iShares Latin America 40 ETF	0 - 2,800	20.00%	> 2,800 - 10,000	25.00%	> 10,000	50.00%				
iShares Listed Private Equity UCITS ETF	0 - 470	20.00%	> 470 - 1,000	30.00%	> 1,000 - 2,200	40.00%	> 2,200	50.00%		
iShares MBS ETF	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
iShares Micro-Cap ETF	0 - 260	20.00%	> 260 - 600	25.00%	> 600	50.00%				
iShares Morningstar Large-Cap ETF	0 - 180	20.00%	> 180 - 410	25.00%	> 410	50.00%				
iShares Morningstar Large-Cap Growth ETF	0 - 160	20.00%	> 160 - 360	25.00%	> 360	50.00%				
iShares Morningstar Mid-Cap ETF	0 - 53	20.00%	> 53 - 210	25.00%	> 210	50.00%				
iShares Morningstar Mid-Cap Growth ETF	0 - 88	20.00%	> 88 - 170	25.00%	> 170	50.00%				
iShares Morningstar Small-Cap Value ETF	0 - 65	20.00%	> 65 - 330	25.00%	> 330	50.00%				
iShares Mortgage Real Estate Capped ETF	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500	50.00%				
iShares MSCI AC Far East ex-Japan UCITS ETF	0 - 3,300	20.00%	> 3,300 - 6,900	25.00%	> 6,900	50.00%				
iShares MSCI ACWI ETF	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400	50.00%				
iShares MSCI ACWI ex US ETF	0 - 3,200	20.00%	> 3,200 - 7,200	25.00%	> 7,200	50.00%				
iShares MSCI ACWI UCITS ETF	0 - 2,100	20.00%	> 2,100 - 3,900	25.00%	> 3,900	50.00%				
iShares MSCI All Country Asia ex Japan ETF	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
iShares MSCI All Peru Capped ETF	0 - 250	20.00%	> 250 - 1,100	25.00%	> 1,100	50.00%				
iShares MSCI Australia 200 ETF	0 - 2,700	20.00%	> 2,700 - 6,200	25.00%	> 6,200	50.00%				
iShares MSCI Australia ETF	0 - 8,700	20.00%	> 8,700 - 16,000	25.00%	> 16,000	50.00%				
iShares MSCI Australia UCITS ETF	0 - 660	20.00%	> 660 - 1,400	25.00%	> 1,400	50.00%				
iShares MSCI Brazil Capped ETF	0 - 2,900	20.00%	> 2,900 - 11,000	30.00%	> 11,000	50.00%				
iShares MSCI Brazil UCITS ETF Inc	0 - 110	25.00%	> 110 - 350	30.00%	> 350 - 710	45.00%	> 710	65.00%		
iShares MSCI BRIC ETF	0 - 230	20.00%	> 230 - 500	25.00%	> 500 - 1,000	30.00%	> 1,000	50.00%		
iShares MSCI Canada ETF	0 - 3,400	20.00%	> 3,400 - 6,800	35.00%	> 6,800	50.00%				
iShares MSCI Chile Capped ETF	0 - 1,100	20.00%	> 1,100 - 2,100	25.00%	> 2,100	50.00%				
iShares MSCI China ETF	0 - 3,000	20.00%	> 3,000 - 6,100	30.00%	> 6,100	50.00%				
iShares MSCI China Index ETF	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
iShares MSCI EAFE ETF	0 - 410	20.00%	> 410 - 930	25.00%	> 930	50.00%				
iShares MSCI EAFE ETF	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
iShares MSCI EAFE Index ETF (CAD-Hedged)	0 - 1,200	20.00%	> 1,200 - 2,700	25.00%	> 2,700	50.00%				
iShares MSCI EAFE Minimum Volatility ETF	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
iShares MSCI EAFE Small-Cap ETF	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300	50.00%				
iShares MSCI Emerging Markets ETF	0 - 1,500	20.00%	> 1,500 - 3,300	25.00%	> 3,300	50.00%				
iShares MSCI Emerging Markets ETF	0 - 7,500	20.00%	> 7,500 - 15,000	40.00%	> 15,000	60.00%				
iShares MSCI Emerging Markets Index ETF	0 - 400	20.00%	> 400 - 1,600	30.00%	> 1,600	50.00%				
iShares MSCI Emerging Markets Minimum Volatility UCITS ETF	0 - 330	20.00%	> 330 - 1,600	30.00%	> 1,600	50.00%				
iShares MSCI Emerging Markets SmallCap UCITS ETF	0 - 140	20.00%	> 140 - 280	25.00%	> 280 - 570	30.00%	> 570	50.00%		
iShares MSCI Emerging Markets UCITS ETF Acc (USD)	0 - 340	20.00%	> 340 - 2,000	30.00%	> 2,000	50.00%				
iShares MSCI Emerging Markets UCITS ETF DIST	0 - 2,300	20.00%	> 2,300 - 9,500	30.00%	> 9,500	50.00%				
iShares MSCI EMU ETF	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
iShares MSCI EMU Small Cap UCITS ETF	0 - 600	20.00%	> 600 - 1,200	25.00%	> 1,200	50.00%				
iShares MSCI Europe ex-UK UCITS ETF	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
iShares MSCI Europe Financials ETF	0 - 9,500	20.00%	> 9,500 - 18,000	25.00%	> 18,000	50.00%				
iShares MSCI Europe UCITS ETF DIST	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
iShares MSCI France ETF	0 - 4,200	20.00%	> 4,200 - 7,700	25.00%	> 7,700	50.00%				
iShares MSCI Frontier 100 ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
iShares MSCI Germany ETF	0 - 6,400	20.00%	> 6,400 - 12,000	25.00%	> 12,000	50.00%				
iShares MSCI Hong Kong ETF	0 - 4,000	20.00%	> 4,000 - 16,000	25.00%	> 16,000	50.00%				
iShares MSCI India Index ETF	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200 - 7,800	30.00%	> 7,800	50.00%		
iShares MSCI Indonesia ETF	0 - 1,000	20.00%	> 1,000 - 3,800	30.00%	> 3,800	50.00%				
iShares MSCI Italy Capped ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,400	30.00%	> 4,400	50.00%		
iShares MSCI Japan CHF Hedged UCITS ETF	0 - 240	20.00%	> 240 - 650	30.00%	> 650 - 1,400	35.00%	> 1,400	50.00%		
iShares MSCI Japan ETF (AUD)	0 - 390	20.00%	> 390 - 820	25.00%	> 820	50.00%				
iShares MSCI Japan ETF (USD)	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
iShares MSCI Japan EUR Hedged UCITS ETF	0 - 500	20.00%	> 500 - 3,000	30.00%	> 3,000	50.00%				
iShares MSCI Japan GBP Hedged UCITS ETF	0 - 130	20.00%	> 130 - 740	30.00%	> 740	50.00%				
iShares MSCI Japan UCITS ETF Acc	0 - 66	20.00%	> 66 - 130	25.00%	> 130 - 260	30.00%	> 260	50.00%		
iShares MSCI Japan UCITS ETF DIST	0 - 870	20.00%	> 870 - 5,200	25.00%	> 5,200	50.00%				
iShares MSCI Japan USD Hedged UCITS ETF	0 - 810	20.00%	> 810 - 3,900	25.00%	> 3,900	50.00%				
iShares MSCI KLD 400 Social ETF	0 - 390	20.00%	> 390 - 890	25.00%	> 890	50.00%				
iShares MSCI Kokusai ETF	0 - 300	20.00%	> 300 - 600	25.00%	> 600	50.00%				
iShares MSCI Korea UCITS ETF DIST	0 - 440	20.00%	> 440 - 880	25.00%	> 880	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
iShares MSCI Malaysia ETF	0 - 960	20.00%	> 960 - 3,500	30.00%	> 3,500	50.00%				
iShares MSCI Mexico Capped ETF	0 - 1,600	20.00%	> 1,600 - 6,000	35.00%	> 6,000	50.00%				
iShares MSCI North America UCITS ETF	0 - 370	20.00%	> 370 - 750	25.00%	> 750	50.00%				
iShares MSCI Pacific ex Japan ETF	0 - 1,800	20.00%	> 1,800 - 4,100	25.00%	> 4,100	50.00%				
iShares MSCI Pacific ex-Japan UCITS ETF DIST	0 - 440	20.00%	> 440 - 880	25.00%	> 880	50.00%				
iShares MSCI Philippines ETF	0 - 350	20.00%	> 350 - 700	25.00%	> 700 - 1,300	30.00%	> 1,300	50.00%		
iShares MSCI Poland Capped ETF	0 - 820	20.00%	> 820 - 3,000	25.00%	> 3,000	50.00%				
iShares MSCI Russia ADR/GDR UCITS ETF	0 - 31	25.00%	> 31 - 93	30.00%	> 93 - 370	35.00%	> 370	50.00%		
iShares MSCI Russia Capped ETF	0 - 310	25.00%	> 310 - 940	30.00%	> 940 - 1,800	40.00%	> 1,800	60.00%		
iShares MSCI Singapore ETF	0 - 2,100	20.00%	> 2,100 - 4,200	30.00%	> 4,200 - 7,700	45.00%	> 7,700	50.00%		
iShares MSCI South Africa ETF	0 - 960	20.00%	> 960 - 1,700	25.00%	> 1,700	50.00%				
iShares MSCI South Korea Capped ETF	0 - 1,500	20.00%	> 1,500 - 6,000	30.00%	> 6,000	50.00%				
iShares MSCI Spain Capped ETF	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400 - 8,000	30.00%	> 8,000	50.00%		
iShares MSCI Sweden ETF	0 - 1,100	20.00%	> 1,100 - 2,100	25.00%	> 2,100	50.00%				
iShares MSCI Switzerland Capped ETF	0 - 4,000	20.00%	> 4,000 - 7,400	25.00%	> 7,400	50.00%				
iShares MSCI Taiwan ETF	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000	50.00%				
iShares MSCI Taiwan UCITS ETF	0 - 220	20.00%	> 220 - 880	25.00%	> 880	50.00%				
iShares MSCI Thailand Capped ETF	0 - 330	20.00%	> 330 - 1,200	30.00%	> 1,200	50.00%				
iShares MSCI Turkey ETF	0 - 830	20.00%	> 830 - 1,600	30.00%	> 1,600 - 3,000	35.00%	> 3,000	50.00%		
iShares MSCI UK UCITS ETF	0 - 150	20.00%	> 150 - 300	25.00%	> 300	50.00%				
iShares MSCI United Kingdom ETF	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
iShares MSCI USA ESG Select ETF	0 - 170	20.00%	> 170 - 340	25.00%	> 340	50.00%				
iShares MSCI USA Momentum Factor ETF	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
iShares MSCI World CHF Hedged UCITS ETF	0 - 890	20.00%	> 890 - 1,990	25.00%	> 1,990	50.00%				
iShares MSCI World ETF	0 - 290	20.00%	> 290 - 640	25.00%	> 640	50.00%				
iShares MSCI World GBP Hedged UCITS ETF	0 - 350	20.00%	> 350 - 780	25.00%	> 780	50.00%				
iShares MSCI World Index ETF	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
iShares MSCI World Minimum Volatility UCITS ETF	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
iShares MSCI World UCITS ETF DIST	0 - 1,700	20.00%	> 1,700 - 4,000	25.00%	> 4,000	50.00%				
iShares NASDAQ 100 UCITS ETF	0 - 240	20.00%	> 240 - 550	25.00%	> 550	50.00%				
iShares Nasdaq Biotechnology ETF	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
iShares National AMT-Free Muni Bond ETF	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
iShares New York AMT-Free Muni Bond ETF	0 - 360	20.00%	> 360 - 720	25.00%	> 720	50.00%				
iShares Nikkei 225 ETF	0 - 370	20.00%	> 370 - 840	25.00%	> 840	50.00%				
iShares Nikkei 225 UCITS ETF	0 - 58	20.00%	> 58 - 150	30.00%	> 150 - 330	35.00%	> 330	50.00%		
iShares North American Natural Resources ETF	0 - 760	20.00%	> 760 - 1,700	25.00%	> 1,700	50.00%				
iShares North American Tech ETF	0 - 520	20.00%	> 520 - 1,100	25.00%	> 1,100	50.00%				
iShares PHLX Semiconductor ETF	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
iShares Physical Gold ETC	0 - 8,100	20.00%	> 8,100 - 16,000	25.00%	> 16,000	50.00%				
iShares Residential Real Estate Capped ETF	0 - 150	20.00%	> 150 - 620	25.00%	> 620	50.00%				
iShares Russell 1000 ETF	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
iShares Russell 1000 Growth ETF	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
iShares Russell 1000 Value ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
iShares Russell 2000 ETF	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	60.00%				
iShares Russell 2000 Growth ETF	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
iShares Russell 2000 Value ETF	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
iShares Russell 3000 ETF	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
iShares Russell Mid-Cap ETF	0 - 900	20.00%	> 900 - 1,800	25.00%	> 1,800	50.00%				
iShares Russell Mid-Cap Growth ETF	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
iShares Russell Mid-Cap Value ETF	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
iShares Russell Top 200 Growth ETF	0 - 310	20.00%	> 310 - 700	25.00%	> 700	50.00%				
iShares S&P 100 ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
iShares S&P 500 EUR Hedged UCITS ETF	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
iShares S&P 500 Growth ETF	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
iShares S&P 500 Minimum Volatility UCITS ETF	0 - 1,700	20.00%	> 1,700 - 4,000	25.00%	> 4,000	50.00%				
iShares S&P 500 UCITS ETF DIST	0 - 5,800	20.00%	> 5,800 - 12,000	25.00%	> 12,000	50.00%				
iShares S&P 500 Value ETF	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
iShares S&P BSE SENSEX India Index ETF	0 - 3,100	20.00%	> 3,100 - 12,000	25.00%	> 12,000	50.00%				
iShares S&P GSCI Commodity Indexed Trust	0 - 6,400	20.00%	> 6,400 - 14,000	25.00%	> 14,000	50.00%				
iShares S&P Mid-Cap 400 Growth ETF	0 - 730	20.00%	> 730 - 1,600	25.00%	> 1,600	50.00%				
iShares S&P Mid-Cap 400 Value ETF	0 - 690	20.00%	> 690 - 1,500	25.00%	> 1,500	50.00%				
iShares S&P Small-Cap 600 Growth ETF	0 - 810	20.00%	> 810 - 1,800	25.00%	> 1,800	50.00%				
iShares S&P SmallCap 600 UCITS ETF	0 - 1,600	20.00%	> 1,600 - 3,400	25.00%	> 3,400	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
iShares S&P Small-Cap 600 Value ETF	0 - 490	20.00%	> 490 - 2,200	25.00%	> 2,200	50.00%				
iShares S&P/ASX 20 ETF	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
iShares S&P/ASX Dividend Opportunities ETF	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
iShares S&P/TSX 60 Index ETF	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
iShares S&P/TSX Canadian Dividend Aristocrats Index ETF	0 - 1,100	20.00%	> 1,100 - 2,400	25.00%	> 2,400	50.00%				
iShares S&P/TSX Canadian Preferred Share Index ETF	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
iShares S&P/TSX Capped Energy Index ETF	0 - 10,000	20.00%	> 10,000 - 19,000	25.00%	> 19,000	50.00%				
iShares S&P/TSX Capped Financials Index ETF	0 - 3,800	20.00%	> 3,800 - 7,000	25.00%	> 7,000	50.00%				
iShares S&P/TSX Capped REIT Index ETF	0 - 5,600	20.00%	> 5,600 - 12,000	25.00%	> 12,000	50.00%				
iShares S&P/TSX Completion Index ETF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
iShares S&P/TSX Global Gold Index ETF	0 - 3,700	20.00%	> 3,700 - 16,000	25.00%	> 16,000	50.00%				
iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged)	0 - 710	20.00%	> 710 - 1,400	30.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%		
iShares Select Dividend ETF	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
iShares Short Treasury Bond ETF	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
iShares Short-Term National AMT-Free Muni Bond ETF	0 - 260	20.00%	> 260 - 590	25.00%	> 590	50.00%				
iShares Silver Trust	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
iShares SLI CH	0 - 230	20.00%	> 230 - 520	25.00%	> 520	50.00%				
iShares SLI UCITS ETF DE	0 - 200	20.00%	> 200 - 400	25.00%	> 400	50.00%				
iShares SMI CH	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
iShares SMIM CH	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
iShares STOXX Europe 50 UCITS ETF	0 - 530	20.00%	> 530 - 1,000	25.00%	> 1,000	50.00%				
iShares STOXX Europe 600 UCITS ETF DE	0 - 3,700	20.00%	> 3,700 - 8,100	25.00%	> 8,100	50.00%				
iShares STOXX Europe Select Dividend 30 UCITS ETF DE	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
iShares Swiss Domestic Government Bond 3-7 CH	0 - 250	20.00%	> 250 - 470	30.00%	> 470	50.00%				
iShares Swiss Domestic Government Bond 7-15 CH	0 - 160	20.00%	> 160 - 330	25.00%	> 330	50.00%				
iShares TIPS Bond ETF	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
iShares Transportation Average ETF	0 - 640	20.00%	> 640 - 1,100	25.00%	> 1,100	50.00%				
iShares Trust - iShares Currency Hedged MSCI EMU ETF	0 - 3,100	20.00%	> 3,100 - 12,000	25.00%	> 12,000	50.00%				
iShares U.S. Basic Materials ETF	0 - 840	20.00%	> 840 - 1,600	25.00%	> 1,600	50.00%				
iShares U.S. Broker-Dealers ETF	0 - 620	20.00%	> 620 - 1,400	25.00%	> 1,400	50.00%				
iShares U.S. Consumer Services ETF	0 - 290	20.00%	> 290 - 670	25.00%	> 670	50.00%				
iShares U.S. Energy ETF	0 - 3,700	20.00%	> 3,700 - 6,900	25.00%	> 6,900	50.00%				
iShares U.S. Financial Services ETF	0 - 1,300	20.00%	> 1,300 - 2,800	25.00%	> 2,800	50.00%				
iShares U.S. Healthcare ETF	0 - 600	20.00%	> 600 - 1,300	25.00%	> 1,300	50.00%				
iShares U.S. Healthcare Providers ETF	0 - 430	20.00%	> 430 - 830	25.00%	> 830	50.00%				
iShares U.S. Home Construction ETF	0 - 4,600	20.00%	> 4,600 - 8,500	25.00%	> 8,500	50.00%				
iShares U.S. Medical Devices ETF	0 - 960	20.00%	> 960 - 1,900	25.00%	> 1,900	50.00%				
iShares U.S. Oil & Gas Exploration & Production ETF	0 - 470	20.00%	> 470 - 1,000	25.00%	> 1,000	50.00%				
iShares U.S. Oil Equipment & Services ETF	0 - 900	20.00%	> 900 - 1,900	25.00%	> 1,900	50.00%				
iShares UK Dividend UCITS ETF	0 - 5,200	20.00%	> 5,200 - 11,000	25.00%	> 11,000	50.00%				
iShares UK Gilts 0-5yr UCITS ETF	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
iShares UK Property UCITS ETF	0 - 9,500	20.00%	> 9,500 - 21,000	25.00%	> 21,000	50.00%				
iShares US Aerospace & Defense ETF	0 - 990	20.00%	> 990 - 1,900	25.00%	> 1,900	50.00%				
iShares US Aggregate Bond UCITS ETF	0 - 400	20.00%	> 400 - 900	25.00%	> 900	50.00%				
iShares US Consumer Goods ETF	0 - 440	20.00%	> 440 - 920	25.00%	> 920	50.00%				
iShares US Dividend Growers Index ETF CAD-Hedged	0 - 630	20.00%	> 630 - 1,200	25.00%	> 1,200	50.00%				
iShares US Financials ETF	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
iShares US High Yield Bond Index ETF CAD-Hedged	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
iShares US Pharmaceuticals ETF	0 - 120	20.00%	> 120 - 250	25.00%	> 250	50.00%				
iShares US Preferred Stock ETF	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
iShares US Property Yield UCITS ETF	0 - 810	20.00%	> 810 - 1,700	25.00%	> 1,700	50.00%				
iShares US Real Estate ETF	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800	50.00%				
iShares US Regional Banks ETF	0 - 2,100	20.00%	> 2,100 - 4,400	25.00%	> 4,400	50.00%				
iShares US Technology ETF	0 - 530	20.00%	> 530 - 2,100	25.00%	> 2,100	50.00%				
iShares US Utilities ETF	0 - 650	20.00%	> 650 - 1,200	25.00%	> 1,200	50.00%				
iShares USD Treasury Bond 1-3 UCITS ETF	0 - 580	20.00%	> 580 - 1,300	25.00%	> 1,300	50.00%				
iShares USD Treasury Bond 7-10yr UCITS ETF	0 - 590	20.00%	> 590 - 1,300	25.00%	> 1,300	50.00%				
Ishihara Sangyo Kaisha Ltd	0 - 4,800	20.00%	> 4,800 - 8,900	25.00%	> 8,900	50.00%				
Isra Vision AG	0 - 1,500	20.00%	> 1,500 - 3,000	45.00%	> 3,000	65.00%				
Israel Chemicals Ltd	0 - 2,100	20.00%	> 2,100 - 4,200	35.00%	> 4,200 - 8,500	40.00%	> 8,500	50.00%		
ISS A/S	0 - 2,800	20.00%	> 2,800 - 5,600	45.00%	> 5,600	55.00%				
iStar Financial	0 - 890	25.00%	> 890 - 15,000	30.00%	> 15,000	50.00%				
istyle Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800 - 16,000	30.00%	> 16,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Isuzu Motors	0 - 15,000	20.00%	> 15,000 - 30,000	25.00%	> 30,000	50.00%				
IT Holdings Corp	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,200	35.00%	> 8,200	50.00%		
ITAB Shop Concept B	0 - 2,600	20.00%	> 2,600 - 5,300	30.00%	> 5,300 - 10,000	45.00%	> 10,000	50.00%		
Italgas S.p.A	0 - 17,000	20.00%	> 17,000 - 35,000	30.00%	> 35,000 - 70,000	35.00%	> 70,000	50.00%		
Italmobiliare	0 - 410	20.00%	> 410 - 900	30.00%	> 900 - 1,800	35.00%	> 1,800	50.00%		
Itau Corpbanca	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Itau Unibanco Holding SA	0 - 17,000	20.00%	> 17,000 - 34,000	25.00%	> 34,000	50.00%				
ITC Properties Group Ltd	0 - 10,000	30.00%	> 10,000 - 30,000	35.00%	> 30,000 - 61,000	45.00%	> 61,000	50.00%		
ITE Group	0 - 15,000	20.00%	> 15,000 - 91,000	25.00%	> 91,000	50.00%				
Ito En Ltd	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Ito En Ltd (Preference)	0 - 490	20.00%	> 490 - 1,300	40.00%	> 1,300	60.00%				
Itochu	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Itochu Enex Co Ltd	0 - 2,400	20.00%	> 2,400 - 6,400	25.00%	> 6,400 - 14,000	30.00%	> 14,000	50.00%		
Itochu Techno-Solutions Corp	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Itochu-Shokuhin Co Ltd	0 - 190	20.00%	> 190 - 390	30.00%	> 390 - 790	40.00%	> 790	50.00%		
Itoki Corp	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600 - 7,200	30.00%	> 7,200	50.00%		
Itron Inc	0 - 1,500	20.00%	> 1,500 - 3,200	30.00%	> 3,200 - 6,400	35.00%	> 6,400	50.00%		
ITT	0 - 1,800	20.00%	> 1,800 - 3,700	30.00%	> 3,700 - 7,500	35.00%	> 7,500	50.00%		
ITV	0 - 280,000	20.00%	> 280,000 - 570,000	40.00%	> 570,000	60.00%				
Ivanhoe Mines Ltd	0 - 21,000	20.00%	> 21,000 - 56,000	30.00%	> 56,000 - 120,000	45.00%	> 120,000	50.00%		
Ivy High Income Opportunities Fund	0 - 230	40.00%	> 230 - 700	45.00%	> 700 - 1,400	65.00%	> 1,400	70.00%		
IwaiCosmo Holdings Inc	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900	50.00%				
Iwatani Corp	0 - 2,400	20.00%	> 2,400 - 11,000	25.00%	> 11,000	50.00%				
IWG Plc	0 - 25,000	20.00%	> 25,000 - 50,000	25.00%	> 50,000 - 100,000	30.00%	> 100,000	50.00%		
Iyo Bank	0 - 9,000	20.00%	> 9,000 - 24,000	25.00%	> 24,000 - 54,000	30.00%	> 54,000	50.00%		
Izumi Co Ltd	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800	50.00%				
J Trust Co Ltd	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000 - 27,000	30.00%	> 27,000	50.00%		
J&J Snack Foods Corp	0 - 460	20.00%	> 460 - 1,100	45.00%	> 1,100	60.00%				
J. Front Retailing	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
j2 Global Inc	0 - 1,100	20.00%	> 1,100	50.00%						
JA Solar Holdings	0 - 1,400	20.00%	> 1,400 - 3,900	30.00%	> 3,900 - 8,900	35.00%	> 8,900	50.00%		
Jabil Circuit	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000	50.00%				
Jaccs Co Ltd	0 - 840	20.00%	> 840 - 2,200	25.00%	> 2,200 - 5,000	30.00%	> 5,000	50.00%		
Jack Henry & Associates Inc	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400 - 2,900	45.00%	> 2,900	50.00%		
Jack in the Box Inc	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Jackpotjoy	0 - 4,700	20.00%	> 4,700 - 10,000	25.00%	> 10,000 - 19,000	35.00%	> 19,000	50.00%		
Jacobs Engineer	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900	50.00%				
Jacquet Metal Service	0 - 400	20.00%	> 400 - 2,400	25.00%	> 2,400	50.00%				
Jafco Co Ltd	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800 - 8,800	30.00%	> 8,800	50.00%		
Jamba Inc	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700 - 3,500	30.00%	> 3,500	50.00%		
Jamco Corp	0 - 470	20.00%	> 470 - 1,100	35.00%	> 1,100 - 2,600	45.00%	> 2,600	50.00%		
James Halstead	0 - 1,800	20.00%	> 1,800 - 4,000	45.00%	> 4,000	55.00%				
James Hardie	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
James River Group Holdings Ltd	0 - 1,200	20.00%	> 1,200 - 3,200	25.00%	> 3,200 - 7,000	30.00%	> 7,000	50.00%		
Janus Henderson Group (Australia)	0 - 3,200	20.00%	> 3,200 - 6,400	30.00%	> 6,400 - 12,000	40.00%	> 12,000	50.00%		
Janus Henderson Group PLC	0 - 3,100	20.00%	> 3,100 - 12,000	25.00%	> 12,000	50.00%				
Japan Airlines Co Ltd	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Japan Airport Terminal Co Ltd	0 - 4,400	20.00%	> 4,400 - 8,800	30.00%	> 8,800	50.00%				
Japan Aviation Electronics Industry Ltd	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000 - 23,000	30.00%	> 23,000	50.00%		
Japan Display Inc	0 - 62,000	20.00%	> 62,000 - 120,000	40.00%	> 120,000 - 230,000	45.00%	> 230,000	50.00%		
Japan Excellent Inc	0 - 18	35.00%	> 18 - 53	50.00%	> 53	90.00%				
Japan Exchange Group Inc	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Japan Hotel REIT Investment Corp	0 - 45	25.00%	> 45 - 130	30.00%	> 130 - 270	35.00%	> 270 - 540	40.00%	> 540	50.00%
Japan Logistics Fund Inc	0 - 12	30.00%	> 12 - 180	40.00%	> 180	50.00%				
Japan Material Co Ltd	0 - 4,300	20.00%	> 4,300 - 11,000	30.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Japan Petroleum Exploration	0 - 1,300	25.00%	> 1,300 - 4,100	30.00%	> 4,100 - 16,000	40.00%	> 16,000	50.00%		
Japan Post Bank Co Ltd	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000	50.00%				
Japan Post Holdings Co Ltd	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Japan Post Insurance Co Ltd	0 - 9,700	20.00%	> 9,700 - 19,000	25.00%	> 19,000	50.00%				
Japan Prime Realty Investment Corp	0 - 9	25.00%	> 9 - 28	30.00%	> 28 - 110	35.00%	> 110	50.00%		
Japan Property Management Center Co Ltd	0 - 460	30.00%	> 460 - 5,100	35.00%	> 5,100	50.00%				
Japan Real Estate Investment Corp	0 - 19	20.00%	> 19 - 76	30.00%	> 76	50.00%				
Japan Rental Housing Investments Inc	0 - 20	25.00%	> 20 - 59	30.00%	> 59 - 350	35.00%	> 350	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Japan Retail Fund Investment Corp	0 - 19	25.00%	> 19 - 56	30.00%	> 56 - 220	35.00%	> 220	50.00%		
Japan Securities Finance Co Ltd	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Japan Smaller Capitalization Fund Inc	0 - 950	20.00%	> 950 - 3,800	25.00%	> 3,800	50.00%				
Japan Tissue Engineering Co Ltd	0 - 480	30.00%	> 480 - 3,900	50.00%	> 3,900	65.00%				
Japan Tobacco	0 - 7,400	20.00%	> 7,400 - 14,000	25.00%	> 14,000	50.00%				
Japan Wool Textile Co Ltd/The	0 - 9,800	20.00%	> 9,800 - 19,000	25.00%	> 19,000	50.00%				
Japara Healthcare Ltd	0 - 17,000	20.00%	> 17,000 - 38,000	25.00%	> 38,000	50.00%				
Jardine Cycle	0 - 4,200	20.00%	> 4,200 - 8,400	35.00%	> 8,400 - 16,000	45.00%	> 16,000	50.00%		
Jardine Lloyd	0 - 4,200	20.00%	> 4,200 - 9,500	25.00%	> 9,500	50.00%				
Jardine Matheson	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Jardine Strategic	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Jastrzębska Spółka Węglowa SA	0 - 6,300	20.00%	> 6,300 - 14,000	25.00%	> 14,000	50.00%				
Jazz Pharmaceuticals Plc	0 - 560	20.00%	> 560 - 2,200	30.00%	> 2,200	50.00%				
JB Hi-Fi	0 - 5,600	20.00%	> 5,600 - 11,000	35.00%	> 11,000 - 22,000	45.00%	> 22,000	50.00%		
JB Hunt Transport Services	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200	50.00%				
JC Penney	0 - 46,000	20.00%	> 46,000 - 85,000	25.00%	> 85,000	50.00%				
JCDecaux	0 - 2,900	20.00%	> 2,900 - 5,800	45.00%	> 5,800	50.00%				
JCR Pharmaceuticals Co Ltd	0 - 600	25.00%	> 600 - 3,600	40.00%	> 3,600	50.00%				
JD Sports	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000 - 65,000	30.00%	> 65,000	50.00%		
JD Wetherspoon	0 - 2,000	20.00%	> 2,000 - 5,400	30.00%	> 5,400 - 12,000	35.00%	> 12,000	50.00%		
JD.com Inc (ADR)	0 - 17,000	20.00%	> 17,000 - 34,000	40.00%	> 34,000	60.00%				
Jean Coutu Group PJC Inc/The	0 - 2,700	20.00%	> 2,700 - 7,300	25.00%	> 7,300 - 16,000	35.00%	> 16,000	50.00%		
Jefferies Financial Group Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800 - 17,000	35.00%	> 17,000	50.00%		
Jenoptik	0 - 2,100	20.00%	> 2,100 - 10,000	25.00%	> 10,000	50.00%				
Jeol Ltd	0 - 3,600	20.00%	> 3,600 - 7,300	30.00%	> 7,300 - 13,000	35.00%	> 13,000	50.00%		
Jeronimo Martins	0 - 6,700	20.00%	> 6,700 - 26,000	30.00%	> 26,000	50.00%				
JetBlue Airways Corp	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000 - 20,000	35.00%	> 20,000	50.00%		
Jeudan	0 - 21	30.00%	> 21 - 63	35.00%	> 63 - 250	45.00%	> 250	50.00%		
JFE Holdings	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
JGC	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Jiangnan Group Ltd	0 - 340,000	20.00%	> 340,000 - 690,000	25.00%	> 690,000	50.00%				
Jiangsu Expressway	0 - 44,000	20.00%	> 44,000 - 260,000	30.00%	> 260,000	50.00%				
Jiangxi Copper	0 - 85,000	20.00%	> 85,000 - 340,000	25.00%	> 340,000	50.00%				
Jimoto Holdings Inc	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000 - 30,000	30.00%	> 30,000	50.00%		
Jin Co Ltd	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500	50.00%				
JinkoSolar Holding Co Ltd	0 - 2,900	20.00%	> 2,900 - 5,900	30.00%	> 5,900 - 10,000	35.00%	> 10,000	50.00%		
Jinmao Investments and Jinmao China Investments Holdings Ltd	0 - 17,000	20.00%	> 17,000 - 34,000	45.00%	> 34,000	55.00%				
JKX Oil & Gas	0 - 8,600	30.00%	> 8,600 - 51,000	45.00%	> 51,000	50.00%				
JM	0 - 4,500	20.00%	> 4,500 - 9,100	30.00%	> 9,100 - 16,000	35.00%	> 16,000	50.00%		
JM Smucker	0 - 890	20.00%	> 890 - 1,700	35.00%	> 1,700 - 3,500	40.00%	> 3,500	50.00%		
John B Sanfilippo & Son Inc	0 - 330	20.00%	> 330 - 890	25.00%	> 890 - 2,000	35.00%	> 2,000	50.00%		
John Bean Technologies Corp	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000 - 4,200	45.00%	> 4,200	50.00%		
John Hancock Financial Opportunities Fund	0 - 90	35.00%	> 90 - 530	55.00%	> 530	75.00%				
John Hancock Hedged Equity & Income Fund	0 - 200	30.00%	> 200 - 610	35.00%	> 610 - 2,400	45.00%	> 2,400	50.00%		
John Hancock Preferred Income Fund	0 - 150	30.00%	> 150 - 920	35.00%	> 920 - 1,800	40.00%	> 1,800	50.00%		
John Hancock Preferred Income Fund II	0 - 150	30.00%	> 150 - 940	35.00%	> 940 - 1,800	40.00%	> 1,800	50.00%		
John Hancock Preferred Income Fund III	0 - 180	30.00%	> 180 - 540	35.00%	> 540 - 2,100	40.00%	> 2,100	50.00%		
John Hancock Premium Dividend Fund	0 - 200	30.00%	> 200 - 1,200	35.00%	> 1,200 - 2,400	40.00%	> 2,400	50.00%		
John Hancock Tax-Advantaged Dividend Income Fund	0 - 140	30.00%	> 140 - 430	35.00%	> 430 - 860	40.00%	> 860 - 1,700	45.00%	> 1,700	50.00%
John Laing	0 - 6,000	30.00%	> 6,000 - 100,000	40.00%	> 100,000	50.00%				
John Laing Environmental Assets Group Ltd	0 - 2,600	30.00%	> 2,600 - 7,800	35.00%	> 7,800 - 46,000	40.00%	> 46,000	50.00%		
John Laing Group PLC	0 - 18,000	20.00%	> 18,000 - 41,000	25.00%	> 41,000	50.00%				
John Menzies	0 - 2,800	20.00%	> 2,800 - 6,100	25.00%	> 6,100	50.00%				
John Wiley & Sons Inc	0 - 2,800	20.00%	> 2,800 - 5,800	25.00%	> 5,800	50.00%				
John Wood	0 - 23,000	20.00%	> 23,000 - 46,000	25.00%	> 46,000	50.00%				
Johnson Controls International	0 - 2,800	20.00%	> 2,800 - 11,000	25.00%	> 11,000	50.00%				
Johnson Electric Holdings	0 - 15,000	20.00%	> 15,000 - 40,000	25.00%	> 40,000 - 91,000	30.00%	> 91,000	50.00%		
Johnson Matthey	0 - 4,000	20.00%	> 4,000 - 8,100	25.00%	> 8,100	50.00%				
Johnson Service Group PLC	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
Johnson&Johnson	0 - 5,200	20.00%	> 5,200 - 10,000	40.00%	> 10,000	60.00%				
Johnston Press PLC	0 - 250,000	20.00%	> 250,000 - 500,000	30.00%	> 500,000 - 1,000,000	35.00%	> 1,000,000	50.00%		
J-Oil Mills Inc	0 - 290	20.00%	> 290 - 720	25.00%	> 720 - 1,500	30.00%	> 1,500	50.00%		
Jones Lang LaSalle Inc	0 - 190	30.00%	> 190 - 590	40.00%	> 590 - 1,100	70.00%	> 1,100	90.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Joshin Denki Co Ltd	0 - 340	20.00%	> 340 - 840	25.00%	> 840 - 1,800	30.00%	> 1,800	50.00%		
Jowa Holdings Co Ltd	0 - 870	30.00%	> 870 - 5,200	40.00%	> 5,200 - 9,600	45.00%	> 9,600	50.00%		
Joy City Property Ltd	0 - 32,000	30.00%	> 32,000 - 96,000	35.00%	> 96,000 - 250,000	60.00%	> 250,000	70.00%		
Joyful Honda Co Ltd	0 - 4,000	20.00%	> 4,000 - 18,000	30.00%	> 18,000	50.00%				
JPMorgan Alerian MLP Index ETN	0 - 3,700	20.00%	> 3,700 - 14,000	25.00%	> 14,000	50.00%				
JPMorgan American IT	0 - 12,000	20.00%	> 12,000 - 28,000	25.00%	> 28,000	50.00%				
JPMorgan Asian IT	0 - 720	30.00%	> 720 - 11,000	40.00%	> 11,000	50.00%				
JPMorgan Chase	0 - 6,200	20.00%	> 6,200 - 12,000	40.00%	> 12,000	60.00%				
JPMorgan Chinese Investment Trust PLC	0 - 840	30.00%	> 840 - 2,500	35.00%	> 2,500 - 10,000	45.00%	> 10,000	50.00%		
JPMorgan Claverhouse	0 - 320	30.00%	> 320 - 970	35.00%	> 970 - 5,300	40.00%	> 5,300	50.00%		
JPMorgan Emerging Markets IT	0 - 650	30.00%	> 650 - 11,000	35.00%	> 11,000	50.00%				
JPMorgan Europe Investment	0 - 840	30.00%	> 840 - 2,500	35.00%	> 2,500 - 10,000	40.00%	> 10,000	50.00%		
JPMorgan Global Convertibles Income Fund Ltd	0 - 2,600	30.00%	> 2,600 - 7,900	35.00%	> 7,900 - 37,000	40.00%	> 37,000	50.00%		
JPMorgan Global Emerging Markets Income Trust Plc	0 - 2,200	30.00%	> 2,200 - 40,000	40.00%	> 40,000	50.00%				
JPMorgan Global Growth & Income	0 - 770	30.00%	> 770 - 2,300	35.00%	> 2,300 - 11,000	40.00%	> 11,000	50.00%		
JPMorgan Indian IT	0 - 4,300	20.00%	> 4,300 - 9,800	25.00%	> 9,800	50.00%				
JPMorgan Japanese IT	0 - 8,300	20.00%	> 8,300 - 18,000	25.00%	> 18,000	50.00%				
JPMorgan Mid Cap Investment Trust PLC	0 - 190	30.00%	> 190 - 1,100	35.00%	> 1,100 - 2,300	40.00%	> 2,300	50.00%		
JPMorgan Russian	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
JPMorgan Smaller Companies	0 - 200	30.00%	> 200 - 620	35.00%	> 620 - 1,200	45.00%	> 1,200	55.00%		
JSP Corp	0 - 1,000	20.00%	> 1,000 - 2,400	30.00%	> 2,400	50.00%				
JSR	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
JTEKT	0 - 7,500	20.00%	> 7,500 - 15,000	30.00%	> 15,000 - 30,000	45.00%	> 30,000	50.00%		
Ju Teng International Holdings	0 - 54,000	20.00%	> 54,000 - 120,000	30.00%	> 120,000 - 250,000	40.00%	> 250,000	50.00%		
Jujiang Construction Group Co Ltd	0 - 78,000	20.00%	> 78,000 - 150,000	45.00%	> 150,000	60.00%				
Juki Corp	0 - 2,100	20.00%	> 2,100 - 7,900	30.00%	> 7,900	50.00%				
Julius Baer	0 - 1,700	20.00%	> 1,700 - 3,400	40.00%	> 3,400	70.00%				
Jumei International Holding Ltd	0 - 8,800	20.00%	> 8,800 - 20,000	25.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%		
Jungheinrich	0 - 2,000	20.00%	> 2,000 - 4,800	40.00%	> 4,800 - 10,000	45.00%	> 10,000	50.00%		
Juniper Networks	0 - 23,000	20.00%	> 23,000 - 47,000	40.00%	> 47,000	60.00%				
Jupiter European Opportunities Trust	0 - 700	30.00%	> 700 - 2,100	35.00%	> 2,100 - 5,600	50.00%	> 5,600	80.00%		
Jupiter Fund Management PLC	0 - 34,000	20.00%	> 34,000 - 68,000	25.00%	> 68,000	50.00%				
Jupiter US Smaller Companies PLC	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400 - 2,800	30.00%	> 2,800	50.00%		
Juroku Bank Ltd/The	0 - 990	20.00%	> 990	90.00%						
Just Energy Group Inc (CA)	0 - 5,600	20.00%	> 5,600 - 15,000	25.00%	> 15,000 - 33,000	30.00%	> 33,000	50.00%		
Just Energy Group Inc (US)	0 - 2,700	20.00%	> 2,700 - 5,400	35.00%	> 5,400 - 10,000	45.00%	> 10,000	50.00%		
Just Group	0 - 36,000	20.00%	> 36,000 - 94,000	35.00%	> 94,000 - 210,000	45.00%	> 210,000	50.00%		
Just-Eat Group Holdings Ltd	0 - 8,800	20.00%	> 8,800 - 35,000	25.00%	> 35,000	50.00%				
Justsystems Corp	0 - 1,400	20.00%	> 1,400 - 3,900	35.00%	> 3,900 - 8,800	45.00%	> 8,800	50.00%		
JVC Kenwood Corp	0 - 10,000	20.00%	> 10,000 - 21,000	65.00%	> 21,000	90.00%				
JX Holdings	0 - 14,000	20.00%	> 14,000 - 29,000	30.00%	> 29,000 - 58,000	40.00%	> 58,000	50.00%		
Jyske Bank	0 - 1,800	20.00%	> 1,800 - 3,600	35.00%	> 3,600 - 7,200	45.00%	> 7,200	50.00%		
K WAH International Holdings	0 - 18,000	25.00%	> 18,000 - 55,000	30.00%	> 55,000 - 140,000	35.00%	> 140,000 - 330,000	40.00%	> 330,000	50.00%
K+S	0 - 4,000	20.00%	> 4,000 - 8,000	25.00%	> 8,000 - 16,000	30.00%	> 16,000	50.00%		
K12 Inc	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000	50.00%				
K2M Group Holdings Inc	0 - 1,500	20.00%	> 1,500 - 4,000	45.00%	> 4,000	65.00%				
kabu.com Securities Co Ltd	0 - 9,400	20.00%	> 9,400 - 25,000	25.00%	> 25,000 - 56,000	30.00%	> 56,000	50.00%		
Kabuki-Za Co Ltd	0 - 64	30.00%	> 64 - 380	40.00%	> 380	55.00%				
Kadant Inc	0 - 600	20.00%	> 600 - 1,300	25.00%	> 1,300	50.00%				
Kadokawa Dwango Corp	0 - 9,300	20.00%	> 9,300 - 17,000	25.00%	> 17,000	50.00%				
Kagome	0 - 3,100	20.00%	> 3,100 - 6,300	40.00%	> 6,300	50.00%				
Kaisa Group Holdings Ltd	0 - 87,000	25.00%	> 87,000 - 260,000	30.00%	> 260,000 - 520,000	35.00%	> 520,000 - 1,000,000	40.00%	> 1,000,000	50.00%
Kaiser Aluminum Corp	0 - 780	20.00%	> 780 - 1,700	40.00%	> 1,700	55.00%				
Kajima	0 - 13,000	20.00%	> 13,000 - 26,000	30.00%	> 26,000 - 52,000	35.00%	> 52,000	50.00%		
Kakaku.com Inc	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
Kaken Pharmaceutical Co Ltd	0 - 1,800	20.00%	> 1,800 - 3,800	40.00%	> 3,800 - 7,800	45.00%	> 7,800	50.00%		
Kaman Corp	0 - 1,000	20.00%	> 1,000 - 2,500	25.00%	> 2,500 - 5,500	30.00%	> 5,500	50.00%		
Kambi Group PLC	0 - 4,200	20.00%	> 4,200 - 7,800	25.00%	> 7,800	50.00%				
Kameda Seika Co Ltd	0 - 580	20.00%	> 580 - 1,500	45.00%	> 1,500	60.00%				
Kamigumi Co Ltd	0 - 4,900	20.00%	> 4,900 - 9,900	25.00%	> 9,900 - 19,000	30.00%	> 19,000	50.00%		
Kanagawa Chuo Kotsu Co Ltd	0 - 290	20.00%	> 290 - 590	25.00%	> 590 - 1,100	30.00%	> 1,100	50.00%		
Kanamoto Co Ltd	0 - 5,300	20.00%	> 5,300 - 9,700	25.00%	> 9,700	50.00%				
Kandenko Co Ltd	0 - 5,300	20.00%	> 5,300 - 14,000	35.00%	> 14,000 - 32,000	45.00%	> 32,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Kandi Technologies Group Inc	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	35.00%	> 9,400	50.00%		
Kaneka	0 - 4,900	20.00%	> 4,900 - 9,800	55.00%	> 9,800	90.00%				
Kanematsu Corp	0 - 5,000	20.00%	> 5,000 - 11,000	30.00%	> 11,000 - 22,000	45.00%	> 22,000	50.00%		
Kanematsu Electronics Ltd	0 - 590	20.00%	> 590 - 1,500	25.00%	> 1,500 - 3,500	30.00%	> 3,500	50.00%		
Kangda International Environmental Co Ltd	0 - 66,000	20.00%	> 66,000 - 260,000	25.00%	> 260,000	50.00%				
Kansai Electric Power	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
Kansai Paint	0 - 4,800	20.00%	> 4,800 - 19,000	25.00%	> 19,000	50.00%				
Kansas City Southern	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Kanto Denka Kogyo Co Ltd	0 - 4,100	20.00%	> 4,100 - 8,300	30.00%	> 8,300 - 15,000	40.00%	> 15,000	50.00%		
Kao	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600 - 5,300	30.00%	> 5,300	50.00%		
Kappa Create Co Ltd	0 - 910	20.00%	> 910 - 2,400	50.00%	> 2,400	70.00%				
KappAhl	0 - 5,600	20.00%	> 5,600 - 11,000	35.00%	> 11,000	50.00%				
KapStone Paper and Packaging C	0 - 2,800	20.00%	> 2,800 - 11,000	25.00%	> 11,000	50.00%				
KAR Auction Services Inc	0 - 1,600	20.00%	> 1,600 - 3,300	35.00%	> 3,300	55.00%				
Kardex AG	0 - 220	20.00%	> 220 - 590	25.00%	> 590 - 1,300	30.00%	> 1,300	50.00%		
Karo Pharma AB	0 - 10,000	20.00%	> 10,000 - 41,000	25.00%	> 41,000	50.00%				
Karoon Gas Australia	0 - 4,500	25.00%	> 4,500 - 13,000	30.00%	> 13,000 - 63,000	45.00%	> 63,000	50.00%		
Karyopharm Therapeutics Inc	0 - 1,300	25.00%	> 1,300 - 4,100	30.00%	> 4,100 - 8,400	55.00%	> 8,400	75.00%		
Kasai Kogyo Co Ltd	0 - 1,300	20.00%	> 1,300 - 3,500	50.00%	> 3,500	60.00%				
Kathmandu (Australia)	0 - 1,500	25.00%	> 1,500 - 4,600	40.00%	> 4,600	90.00%				
Kato Sangyo Co Ltd	0 - 610	20.00%	> 610 - 3,600	25.00%	> 3,600	50.00%				
Kato Works Co Ltd	0 - 890	20.00%	> 890 - 3,200	25.00%	> 3,200	50.00%				
Kaufman & Broad	0 - 410	20.00%	> 410 - 1,100	45.00%	> 1,100	55.00%				
Kawasaki Heavy Industries	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000	50.00%				
Kawasaki Kisen Kaisha	0 - 5,900	20.00%	> 5,900 - 11,000	30.00%	> 11,000 - 23,000	40.00%	> 23,000	50.00%		
Kayne Anderson Energy Development Co	0 - 190	30.00%	> 190 - 590	35.00%	> 590 - 1,100	40.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%
Kayne Anderson MLP Investment Co	0 - 300	30.00%	> 300 - 920	35.00%	> 920 - 2,400	40.00%	> 2,400 - 5,500	45.00%	> 5,500	50.00%
KAZ Minerals PLC	0 - 31,000	20.00%	> 31,000 - 62,000	40.00%	> 62,000	60.00%				
KB Financial Group Inc	0 - 760	20.00%	> 760 - 4,500	30.00%	> 4,500	50.00%				
KB Home	0 - 3,600	20.00%	> 3,600 - 7,300	30.00%	> 7,300 - 14,000	40.00%	> 14,000	50.00%		
KBC Ancora	0 - 790	20.00%	> 790 - 4,700	30.00%	> 4,700	50.00%				
KBC Groep NV	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
KBR Inc	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
K-Bro Linen Inc	0 - 340	20.00%	> 340 - 710	25.00%	> 710 - 1,400	35.00%	> 1,400	50.00%		
KCAP Financial Inc	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	55.00%				
KCOM Group	0 - 7,800	20.00%	> 7,800 - 20,000	25.00%	> 20,000 - 46,000	30.00%	> 46,000	50.00%		
KDDI	0 - 7,100	20.00%	> 7,100 - 14,000	25.00%	> 14,000	50.00%				
Kearny Financial Corp	0 - 4,200	20.00%	> 4,200 - 9,500	25.00%	> 9,500	50.00%				
Keg Royalties Income Fund/The	0 - 760	20.00%	> 760 - 3,000	25.00%	> 3,000	50.00%				
Keihan Holdings Co Ltd	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Keihanshin Building Co Ltd	0 - 550	30.00%	> 550 - 9,900	35.00%	> 9,900	50.00%				
Keihin Corp	0 - 9,800	20.00%	> 9,800 - 19,000	25.00%	> 19,000	50.00%				
Keikyu Corp	0 - 6,500	20.00%	> 6,500 - 13,000	30.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Keio	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500	50.00%				
Keisei Electric Railway	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000	50.00%				
Keiyo Bank Ltd/The	0 - 13,000	20.00%	> 13,000 - 29,000	25.00%	> 29,000	50.00%				
Keiyo Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,000	25.00%	> 5,000 - 10,000	30.00%	> 10,000	50.00%		
Keller Group	0 - 3,200	20.00%	> 3,200 - 7,200	30.00%	> 7,200	50.00%				
Kellogg	0 - 1,300	20.00%	> 1,300 - 2,700	45.00%	> 2,700	80.00%				
Kelly Services Inc	0 - 3,100	20.00%	> 3,100 - 7,100	25.00%	> 7,100	50.00%				
Kelso Technologies Inc	0 - 16,000	20.00%	> 16,000 - 32,000	45.00%	> 32,000	65.00%				
Kelt Exploration Ltd	0 - 2,900	30.00%	> 2,900 - 8,700	35.00%	> 8,700 - 22,000	65.00%	> 22,000	75.00%		
Kemet Corp	0 - 3,500	20.00%	> 3,500 - 13,000	30.00%	> 13,000	50.00%				
Kemira Oyj	0 - 2,900	20.00%	> 2,900 - 7,700	60.00%	> 7,700	90.00%				
Kemper Corp	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700 - 5,400	30.00%	> 5,400	50.00%		
Kendrion NV	0 - 570	20.00%	> 570 - 1,500	25.00%	> 1,500 - 3,000	30.00%	> 3,000	50.00%		
Kenedix Inc	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000 - 66,000	35.00%	> 66,000	50.00%		
Kenedix Residential Next Investment Corp	0 - 10	30.00%	> 10 - 30	35.00%	> 30 - 81	40.00%	> 81 - 170	45.00%	> 170	50.00%
Kenko Mayonnaise Co Ltd	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Kenmare Resources	0 - 3,500	20.00%	> 3,500 - 14,000	30.00%	> 14,000	50.00%				
Kennametal Inc	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300 - 10,000	40.00%	> 10,000	50.00%		
Kennedy-Wilson Holdings Inc	0 - 3,400	20.00%	> 3,400 - 7,900	25.00%	> 7,900 - 17,000	30.00%	> 17,000	50.00%		
Keppel Corp	0 - 130,000	20.00%	> 130,000 - 270,000	40.00%	> 270,000	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Keppel DC REIT	0 - 13,000	25.00%	> 13,000 - 41,000	30.00%	> 41,000 - 250,000	35.00%	> 250,000	50.00%		
Keppel Infrastructure Trust	0 - 130,000	20.00%	> 130,000 - 310,000	25.00%	> 310,000	50.00%				
Keppel REIT	0 - 25,000	25.00%	> 25,000 - 190,000	30.00%	> 190,000 - 430,000	35.00%	> 430,000	50.00%		
Keppel Telecommunications & Transportation Ltd	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Kering	0 - 1,100	20.00%	> 1,100 - 2,300	40.00%	> 2,300	60.00%				
Kernel Holding SA	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Kerry Group PLC (GB)	0 - 1,500	20.00%	> 1,500 - 3,300	25.00%	> 3,300	50.00%				
Kerry Group PLC (IE)	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Kerry Logistics Network Ltd	0 - 14,000	20.00%	> 14,000 - 89,000	25.00%	> 89,000	50.00%				
Kerry Properties	0 - 21,000	20.00%	> 21,000 - 42,000	30.00%	> 42,000 - 85,000	35.00%	> 85,000	50.00%		
Keryx Biopharmaceuticals Inc	0 - 4,400	20.00%	> 4,400 - 11,000	25.00%	> 11,000 - 24,000	30.00%	> 24,000	50.00%		
Kesko (A)	0 - 160	20.00%	> 160 - 330	70.00%	> 330	90.00%				
Kesko (B)	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
Kewpie	0 - 3,800	20.00%	> 3,800 - 15,000	25.00%	> 15,000	50.00%				
Key	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Key Coffee Inc	0 - 530	20.00%	> 530 - 1,100	30.00%	> 1,100 - 2,300	35.00%	> 2,300	50.00%		
Keyence	0 - 360	20.00%	> 360 - 730	25.00%	> 730	50.00%				
Keyera Corp	0 - 7,000	20.00%	> 7,000 - 14,000	25.00%	> 14,000	50.00%				
Keysight Technologies Inc	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Keystone Investment Trust	0 - 140	30.00%	> 140 - 420	35.00%	> 420 - 1,800	45.00%	> 1,800	50.00%		
KEYW Holding Corp/The	0 - 6,700	20.00%	> 6,700 - 12,000	25.00%	> 12,000	50.00%				
KFC Holdings Japan Ltd	0 - 560	20.00%	> 560 - 1,100	65.00%	> 1,100	90.00%				
Kforce Inc	0 - 970	20.00%	> 970 - 2,500	25.00%	> 2,500 - 5,800	30.00%	> 5,800	50.00%		
KGHM Polska Miedź SA	0 - 8,200	20.00%	> 8,200 - 16,000	25.00%	> 16,000	50.00%				
Kidman Resources Ltd	0 - 28,000	20.00%	> 28,000 - 100,000	30.00%	> 100,000	50.00%				
Kier Group	0 - 4,600	20.00%	> 4,600 - 11,000	50.00%	> 11,000	65.00%				
Kikkoman	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
Killam Apartment REIT Inc	0 - 1,300	25.00%	> 1,300 - 4,100	30.00%	> 4,100 - 10,000	40.00%	> 10,000 - 20,000	45.00%	> 20,000	50.00%
Kilroy Realty Corp	0 - 1,300	20.00%	> 1,300 - 5,200	30.00%	> 5,200	50.00%				
Kimball Electronics Inc	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
Kimball International Inc	0 - 610	20.00%	> 610 - 1,600	25.00%	> 1,600 - 3,600	30.00%	> 3,600	50.00%		
Kimberly-Clark	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Kimco Realty	0 - 6,000	20.00%	> 6,000 - 24,000	30.00%	> 24,000	50.00%				
Kinaxis Inc	0 - 1,000	20.00%	> 1,000 - 5,400	25.00%	> 5,400	50.00%				
Kinden Corp	0 - 5,800	20.00%	> 5,800 - 12,000	35.00%	> 12,000	55.00%				
Kinder Morgan Inc/Delaware	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000 - 22,000	45.00%	> 22,000	50.00%		
Kindred Biosciences Inc	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Kindred Group	0 - 7,000	20.00%	> 7,000 - 14,000	35.00%	> 14,000 - 28,000	40.00%	> 28,000	50.00%		
Kindred Healthcare Inc	0 - 4,000	20.00%	> 4,000 - 10,000	60.00%	> 10,000	90.00%				
Kinepolis Group NV	0 - 270	20.00%	> 270 - 1,600	25.00%	> 1,600	50.00%				
Kingboard Chemical	0 - 55,000	20.00%	> 55,000 - 110,000	25.00%	> 110,000	50.00%				
Kingboard Laminates Holdings L	0 - 77,000	20.00%	> 77,000 - 150,000	30.00%	> 150,000 - 310,000	40.00%	> 310,000	50.00%		
Kingbostrike Ltd	0 - 330,000	20.00%	> 330,000 - 670,000	40.00%	> 670,000	55.00%				
Kingdee International Software	0 - 82,000	20.00%	> 82,000	90.00%						
Kingfisher	0 - 81,000	20.00%	> 81,000 - 160,000	40.00%	> 160,000	60.00%				
Kingsgate Consolidated	0 - 50,000	20.00%	> 50,000 - 100,000	35.00%	> 100,000 - 200,000	45.00%	> 200,000	50.00%		
Kingsoft	0 - 35,000	20.00%	> 35,000 - 70,000	60.00%	> 70,000	90.00%				
Kingspan Group PLC (GB)	0 - 890	20.00%	> 890 - 2,300	25.00%	> 2,300 - 5,300	35.00%	> 5,300	50.00%		
Kingspan Group PLC (IE)	0 - 4,000	20.00%	> 4,000 - 8,100	40.00%	> 8,100	60.00%				
Kingsrose Mining	0 - 190,000	20.00%	> 190,000 - 760,000	30.00%	> 760,000	50.00%				
Kingston Financial Group Ltd	0 - 350,000	20.00%	> 350,000 - 740,000	45.00%	> 740,000	75.00%				
Kinnevik A	0 - 270	20.00%	> 270 - 550	45.00%	> 550	55.00%				
Kinnevik B	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Kinross Gold Corp (CA)	0 - 52,000	20.00%	> 52,000 - 100,000	25.00%	> 100,000	50.00%				
Kinross Gold Corp (US)	0 - 26,000	20.00%	> 26,000 - 52,000	40.00%	> 52,000 - 100,000	45.00%	> 100,000	50.00%		
Kintetsu Group Holdings	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Kintetsu World Express Inc	0 - 5,800	20.00%	> 5,800 - 13,000	25.00%	> 13,000	50.00%				
KION Group AG	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Kirby Corp	0 - 1,100	20.00%	> 1,100 - 2,200	40.00%	> 2,200	55.00%				
Kirin Holdings	0 - 3,800	20.00%	> 3,800 - 15,000	25.00%	> 15,000	50.00%				
Kirkland Lake Gold	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
Kirkland's Inc	0 - 1,100	20.00%	> 1,100 - 4,300	40.00%	> 4,300	50.00%				
Kisoji Co Ltd	0 - 530	20.00%	> 530 - 1,400	55.00%	> 1,400	70.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Kissei Pharmaceutical Co Ltd	0 - 1,100	20.00%	> 1,100 - 6,900	25.00%	> 6,900	50.00%				
Kita-Nippon Bank Ltd	0 - 440	20.00%	> 440 - 890	25.00%	> 890 - 1,700	30.00%	> 1,700	50.00%		
Kite Realty Group Trust	0 - 1,200	25.00%	> 1,200 - 3,700	30.00%	> 3,700 - 20,000	40.00%	> 20,000	50.00%		
Kitz Corp	0 - 4,200	20.00%	> 4,200 - 11,000	30.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Kiwi Property Group Ltd	0 - 6,600	25.00%	> 6,600 - 20,000	30.00%	> 20,000 - 53,000	45.00%	> 53,000	50.00%		
Kiyo Bank Ltd/The	0 - 4,400	20.00%	> 4,400 - 10,000	25.00%	> 10,000	50.00%				
KKR & Co LP	0 - 3,700	20.00%	> 3,700 - 14,000	25.00%	> 14,000	50.00%				
KKR Income Opportunities Fund	0 - 600	20.00%	> 600 - 1,200	35.00%	> 1,200	50.00%				
KLA Tencor	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Klepierre	0 - 2,600	20.00%	> 2,600 - 5,300	30.00%	> 5,300 - 10,000	35.00%	> 10,000	50.00%		
Kloeckner & Co	0 - 6,200	20.00%	> 6,200 - 24,000	25.00%	> 24,000	50.00%				
Klondex Mines Ltd	0 - 4,200	20.00%	> 4,200 - 9,200	35.00%	> 9,200 - 19,000	40.00%	> 19,000	50.00%		
Klövern A	0 - 2,600	30.00%	> 2,600 - 16,000	35.00%	> 16,000 - 32,000	40.00%	> 32,000	50.00%		
Klövern B	0 - 4,500	25.00%	> 4,500 - 13,000	30.00%	> 13,000 - 81,000	40.00%	> 81,000	50.00%		
Klövern pref	0 - 98	25.00%	> 98 - 290	30.00%	> 290 - 780	35.00%	> 780 - 1,700	45.00%	> 1,700	50.00%
KLX Inc	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700 - 5,400	40.00%	> 5,400	50.00%		
KMG Chemicals Inc	0 - 740	20.00%	> 740 - 1,900	25.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%		
Knight Therapeutics Inc	0 - 1,700	20.00%	> 1,700 - 4,600	50.00%	> 4,600	55.00%				
Knight-Swift Transportation Co	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Knoll Inc	0 - 970	20.00%	> 970 - 5,800	30.00%	> 5,800	50.00%				
KNOT Offshore Partners LP	0 - 150	25.00%	> 150 - 450	40.00%	> 450 - 900	85.00%	> 900	90.00%		
Knowit AB	0 - 480	20.00%	> 480 - 1,100	35.00%	> 1,100	50.00%				
Knowles Corp	0 - 3,500	20.00%	> 3,500 - 20,000	25.00%	> 20,000	50.00%				
Ko Yo Chemical Group Ltd	0 - 620,000	20.00%	> 620,000 - 1,200,000	40.00%	> 1,200,000	50.00%				
Koa Corp	0 - 2,600	20.00%	> 2,600 - 5,300	50.00%	> 5,300	80.00%				
Kobayashi Pharmaceutical	0 - 1,100	20.00%	> 1,100 - 2,200	35.00%	> 2,200 - 4,400	45.00%	> 4,400	50.00%		
Kobe Bussan Co Ltd	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900 - 7,100	30.00%	> 7,100	50.00%		
Kobe Steel	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 44,000	40.00%	> 44,000	50.00%		
Koei Tecmo Holdings Co Ltd	0 - 2,400	20.00%	> 2,400 - 6,500	50.00%	> 6,500	75.00%				
Koenig & Bauer	0 - 600	20.00%	> 600 - 3,600	25.00%	> 3,600	50.00%				
Kohl's	0 - 1,400	20.00%	> 1,400 - 2,800	40.00%	> 2,800	55.00%				
Kohnan Shoji Co Ltd	0 - 1,800	20.00%	> 1,800 - 4,500	45.00%	> 4,500	65.00%				
Koito Manufacturing Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Kokuyo Co Ltd	0 - 3,400	20.00%	> 3,400 - 20,000	25.00%	> 20,000	50.00%				
Komatsu	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000 - 14,000	30.00%	> 14,000	50.00%		
Komax Holding AG	0 - 430	20.00%	> 430 - 880	25.00%	> 880	50.00%				
Komeri Co Ltd	0 - 4,500	20.00%	> 4,500 - 10,000	25.00%	> 10,000	50.00%				
Komori Corp	0 - 2,600	20.00%	> 2,600 - 7,000	35.00%	> 7,000	55.00%				
Kon Philips	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100	50.00%				
Kona Grill Inc	0 - 8,200	20.00%	> 8,200 - 16,000	25.00%	> 16,000	50.00%				
Konami Holdings Corp	0 - 2,000	20.00%	> 2,000 - 4,000	70.00%	> 4,000	85.00%				
KONE	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	30.00%	> 7,600	50.00%		
Konecranes	0 - 2,400	20.00%	> 2,400 - 9,600	30.00%	> 9,600	50.00%				
Kong Sun Holdings Ltd	0 - 590,000	20.00%	> 590,000 - 1,100,000	25.00%	> 1,100,000 - 2,300,000	30.00%	> 2,300,000	50.00%		
Kongsberg Auto	0 - 12,000	20.00%	> 12,000 - 32,000	40.00%	> 32,000	60.00%				
Kongsberg Grupp	0 - 2,300	20.00%	> 2,300 - 13,000	25.00%	> 13,000	50.00%				
Konica Minolta	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 45,000	40.00%	> 45,000	50.00%		
Koninklijke Ahold Delhaize NV	0 - 4,000	20.00%	> 4,000 - 16,000	30.00%	> 16,000	50.00%				
Koninklijke Philips NV	0 - 4,400	20.00%	> 4,400 - 8,900	30.00%	> 8,900	50.00%				
Koninklijke Wessanen	0 - 6,100	20.00%	> 6,100 - 13,000	25.00%	> 13,000	50.00%				
Konoike Transport Co Ltd	0 - 2,000	20.00%	> 2,000 - 12,000	30.00%	> 12,000	50.00%				
Kopin Corp	0 - 3,200	20.00%	> 3,200 - 6,500	30.00%	> 6,500 - 13,000	35.00%	> 13,000	50.00%		
Koppers Holdings Inc	0 - 480	20.00%	> 480 - 1,200	35.00%	> 1,200 - 2,900	45.00%	> 2,900	50.00%		
Koradior Holdings Ltd	0 - 7,900	20.00%	> 7,900 - 31,000	35.00%	> 31,000	50.00%				
Korea Electric Power Corp	0 - 1,500	20.00%	> 1,500 - 4,100	35.00%	> 4,100 - 9,400	40.00%	> 9,400	50.00%		
Korea Fund Inc/The	0 - 260	20.00%	> 260 - 1,000	25.00%	> 1,000	50.00%				
Korian	0 - 1,600	20.00%	> 1,600 - 4,200	25.00%	> 4,200 - 9,600	35.00%	> 9,600	50.00%		
Korn/Ferry International	0 - 1,500	20.00%	> 1,500 - 3,000	30.00%	> 3,000 - 6,100	35.00%	> 6,100	50.00%		
Kose	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800	50.00%				
Kosmos Energy Ltd	0 - 2,700	25.00%	> 2,700 - 20,000	40.00%	> 20,000 - 43,000	45.00%	> 43,000	50.00%		
Kotobuki Spirits Co Ltd	0 - 1,100	20.00%	> 1,100 - 3,100	40.00%	> 3,100	50.00%				
Kowloon Development Co Ltd	0 - 3,100	30.00%	> 3,100 - 9,300	35.00%	> 9,300 - 20,000	55.00%	> 20,000	60.00%		
KPN	0 - 69,000	20.00%	> 69,000 - 130,000	25.00%	> 130,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Kraft Heinz Co	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Kraton Performance Polymers In	0 - 2,500	20.00%	> 2,500 - 5,800	25.00%	> 5,800	50.00%				
Kratos Defense & Security Solutions Inc	0 - 3,600	20.00%	> 3,600 - 9,800	45.00%	> 9,800	60.00%				
Kroger	0 - 3,500	20.00%	> 3,500 - 7,000	30.00%	> 7,000 - 14,000	40.00%	> 14,000	50.00%		
Krones	0 - 710	20.00%	> 710 - 1,400	45.00%	> 1,400	60.00%				
Kronos Worldwide Inc	0 - 2,000	20.00%	> 2,000 - 5,400	25.00%	> 5,400 - 12,000	35.00%	> 12,000	50.00%		
Kruk SA	0 - 790	20.00%	> 790 - 2,000	35.00%	> 2,000 - 4,000	40.00%	> 4,000	50.00%		
K's Holdings Corp	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000	50.00%				
KSB AG	0 - 26	20.00%	> 26 - 54	30.00%	> 54 - 110	35.00%	> 110	50.00%		
KT Corp	0 - 3,000	20.00%	> 3,000 - 8,100	25.00%	> 8,100 - 18,000	30.00%	> 18,000	50.00%		
KuangChi Science Ltd	0 - 91,000	20.00%	> 91,000 - 210,000	35.00%	> 210,000 - 450,000	40.00%	> 450,000	50.00%		
Kubota	0 - 6,200	20.00%	> 6,200 - 12,000	45.00%	> 12,000	65.00%				
Kudelski SA	0 - 2,300	20.00%	> 2,300 - 6,000	25.00%	> 6,000 - 12,000	35.00%	> 12,000	50.00%		
Kuehne + Nagel International AG	0 - 650	20.00%	> 650 - 1,300	30.00%	> 1,300 - 2,600	35.00%	> 2,600	50.00%		
Kuka AG	0 - 250	20.00%	> 250 - 570	25.00%	> 570	50.00%				
Kulicke & Soffa Industries Inc	0 - 1,000	25.00%	> 1,000 - 3,200	30.00%	> 3,200 - 6,700	60.00%	> 6,700	75.00%		
Kumagai Gumi Co Ltd	0 - 2,700	20.00%	> 2,700 - 5,600	35.00%	> 5,600 - 11,000	45.00%	> 11,000	50.00%		
Kumiai Chemical Industry Co Ltd	0 - 7,000	20.00%	> 7,000 - 16,000	30.00%	> 16,000	50.00%				
Kungsleden	0 - 2,300	30.00%	> 2,300 - 7,000	35.00%	> 7,000 - 18,000	55.00%	> 18,000	60.00%		
Kunlun Energy	0 - 110,000	20.00%	> 110,000 - 220,000	25.00%	> 220,000 - 450,000	30.00%	> 450,000	50.00%		
Kura Corp	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 5,900	30.00%	> 5,900	50.00%		
Kurabo Industries Ltd	0 - 3,100	20.00%	> 3,100 - 6,300	35.00%	> 6,300 - 12,000	45.00%	> 12,000	50.00%		
Kuraray	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000 - 29,000	30.00%	> 29,000	50.00%		
Kureha Corp	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500 - 5,200	30.00%	> 5,200	50.00%		
Kurita Water Industries Ltd	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300 - 14,000	30.00%	> 14,000	50.00%		
Kvaerner ASA	0 - 30,000	20.00%	> 30,000 - 66,000	25.00%	> 66,000	50.00%				
KWG Property Holding Ltd	0 - 29,000	25.00%	> 29,000 - 87,000	30.00%	> 87,000 - 350,000	40.00%	> 350,000	50.00%		
KWS Saat AG	0 - 33	20.00%	> 33 - 87	40.00%	> 87	50.00%				
KYB Corp	0 - 1,500	20.00%	> 1,500 - 6,700	25.00%	> 6,700	50.00%				
Kyocera	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
Kyocera Corp	0 - 180	20.00%	> 180 - 360	25.00%	> 360 - 730	30.00%	> 730	50.00%		
Kyoei Steel Ltd	0 - 1,800	20.00%	> 1,800 - 10,000	25.00%	> 10,000	50.00%				
Kyokuto Kaihatsu Kogyo Co Ltd	0 - 1,600	20.00%	> 1,600 - 4,300	30.00%	> 4,300 - 9,200	35.00%	> 9,200	50.00%		
Kyokuto Securities Co Ltd	0 - 1,200	20.00%	> 1,200 - 3,400	30.00%	> 3,400 - 7,400	40.00%	> 7,400	50.00%		
Kyokuyo Co Ltd	0 - 340	20.00%	> 340 - 920	25.00%	> 920 - 2,000	30.00%	> 2,000	50.00%		
KYORIN Holdings Inc	0 - 3,000	20.00%	> 3,000 - 8,100	40.00%	> 8,100	50.00%				
Kyoritsu Maintenance Co Ltd	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700	50.00%				
Kyowa Exeo Corp	0 - 3,800	20.00%	> 3,800 - 15,000	25.00%	> 15,000	50.00%				
Kyowa Hakko Kirin	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Kyudenko Corp	0 - 3,900	20.00%	> 3,900 - 8,200	25.00%	> 8,200	50.00%				
Kyushu Electric Power	0 - 8,900	20.00%	> 8,900 - 17,000	35.00%	> 17,000 - 35,000	45.00%	> 35,000	50.00%		
Kyushu Financial Group Inc	0 - 21,000	20.00%	> 21,000 - 43,000	30.00%	> 43,000 - 87,000	35.00%	> 87,000	50.00%		
L Brands Inc	0 - 3,100	20.00%	> 3,100	90.00%						
L3 Technologies Inc	0 - 970	20.00%	> 970 - 1,900	25.00%	> 1,900	50.00%				
La Fonciere	0 - 31	30.00%	> 31 - 92	35.00%	> 92 - 180	40.00%	> 180 - 360	45.00%	> 360	50.00%
La Jolla Pharmaceutical Co	0 - 3,300	20.00%	> 3,300 - 6,400	25.00%	> 6,400	50.00%				
La Quinta Holdings Inc	0 - 4,400	20.00%	> 4,400 - 8,800	40.00%	> 8,800	55.00%				
Laboratorios Farmaceuticos Rovi SA	0 - 520	20.00%	> 520 - 1,000	35.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%		
Laboratory Corporation of America	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Labrador Iron Ore Royalty Corp	0 - 3,600	20.00%	> 3,600 - 8,200	25.00%	> 8,200 - 15,000	35.00%	> 15,000	50.00%		
Ladbrokes Coral	0 - 43,000	20.00%	> 43,000 - 87,000	30.00%	> 87,000 - 170,000	40.00%	> 170,000	50.00%		
Ladder Capital Corp	0 - 3,800	20.00%	> 3,800 - 10,000	45.00%	> 10,000	70.00%				
Ladenburg Thalmann Financial Services Inc	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700 - 11,000	30.00%	> 11,000	50.00%		
Lafargeholcim Ltd	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
Lagardere	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000 - 14,000	30.00%	> 14,000	50.00%		
Lagercrantz B	0 - 930	20.00%	> 930 - 1,800	25.00%	> 1,800 - 3,700	30.00%	> 3,700	50.00%		
Lai Sun Development	0 - 2,500	30.00%	> 2,500 - 20,000	40.00%	> 20,000 - 45,000	45.00%	> 45,000	50.00%		
Laird	0 - 36,000	20.00%	> 36,000 - 73,000	30.00%	> 73,000 - 130,000	35.00%	> 130,000	50.00%		
Lakeland Bancorp Inc	0 - 490	20.00%	> 490 - 1,200	40.00%	> 1,200	55.00%				
Lakeland Financial Corp	0 - 480	20.00%	> 480 - 1,200	25.00%	> 1,200 - 2,800	30.00%	> 2,800	50.00%		
Lam Research	0 - 570	20.00%	> 570 - 2,300	30.00%	> 2,300	50.00%				
Lamar Advertising	0 - 460	25.00%	> 460 - 1,300	30.00%	> 1,300 - 2,700	40.00%	> 2,700 - 5,500	45.00%	> 5,500	50.00%
Lamb Weston Holding Inc	0 - 2,800	20.00%	> 2,800 - 5,600	25.00%	> 5,600	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Lamprell	0 - 8,700	20.00%	> 8,700 - 17,000	25.00%	> 17,000 - 34,000	30.00%	> 34,000	50.00%		
Lancashire Insurance	0 - 7,700	20.00%	> 7,700 - 20,000	40.00%	> 20,000	55.00%				
Lancaster Colony Corp	0 - 690	20.00%	> 690 - 1,300	25.00%	> 1,300 - 2,700	35.00%	> 2,700	50.00%		
Land Securities	0 - 7,900	20.00%	> 7,900 - 15,000	40.00%	> 15,000	50.00%				
Landec Corp	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Landi Renzo	0 - 6,000	20.00%	> 6,000 - 12,000	30.00%	> 12,000 - 24,000	40.00%	> 24,000	50.00%		
Landing International Development Ltd	0 - 160,000	20.00%	> 160,000 - 320,000	40.00%	> 320,000	55.00%				
Lands' End Inc	0 - 440	25.00%	> 440 - 1,300	35.00%	> 1,300 - 3,500	75.00%	> 3,500	90.00%		
Landstar System Inc	0 - 900	20.00%	> 900 - 1,800	40.00%	> 1,800	50.00%				
Langham Hospitality Investments and Langham Hospitality Investments Ltd	0 - 24,000	20.00%	> 24,000 - 49,000	30.00%	> 49,000 - 98,000	35.00%	> 98,000	50.00%		
Lannett Co Inc	0 - 1,700	20.00%	> 1,700 - 9,200	25.00%	> 9,200	50.00%				
Lantheus Holdings Inc	0 - 1,700	20.00%	> 1,700 - 4,500	65.00%	> 4,500	90.00%				
Lanxess	0 - 1,200	20.00%	> 1,200 - 2,500	55.00%	> 2,500	70.00%				
Laox Co Ltd	0 - 3,900	20.00%	> 3,900 - 16,000	25.00%	> 16,000	50.00%				
Lar Espana Real Estate Socimi SA	0 - 880	30.00%	> 880 - 2,600	35.00%	> 2,600 - 7,000	50.00%	> 7,000	60.00%		
Laredo Petroleum Holdings Inc	0 - 10,000	20.00%	> 10,000 - 20,000	35.00%	> 20,000	50.00%				
Las Vegas Sands	0 - 1,300	20.00%	> 1,300 - 2,600	40.00%	> 2,600	55.00%				
LaSalle Hotel Properties	0 - 950	30.00%	> 950 - 2,800	35.00%	> 2,800 - 5,700	60.00%	> 5,700	80.00%		
Lasertec Corp	0 - 1,000	25.00%	> 1,000 - 6,300	40.00%	> 6,300	55.00%				
Lassila & Tikanoja	0 - 520	20.00%	> 520 - 1,000	30.00%	> 1,000 - 2,000	35.00%	> 2,000	50.00%		
Latam Airlines Group - ADR	0 - 2,600	20.00%	> 2,600 - 6,900	25.00%	> 6,900 - 15,000	30.00%	> 15,000	50.00%		
Latecoere SA	0 - 1,900	20.00%	> 1,900 - 9,400	30.00%	> 9,400	50.00%				
Latour B	0 - 8,000	20.00%	> 8,000 - 17,000	25.00%	> 17,000 - 35,000	30.00%	> 35,000	50.00%		
Lattice Semiconductor Corp	0 - 2,900	20.00%	> 2,900 - 7,700	25.00%	> 7,700 - 17,000	30.00%	> 17,000	50.00%		
Launch Tech Co Ltd	0 - 8,900	20.00%	> 8,900 - 35,000	35.00%	> 35,000	50.00%				
Laurentian Bank of Canada	0 - 5,700	20.00%	> 5,700 - 10,000	25.00%	> 10,000	50.00%				
Law Debenture IT	0 - 1,300	20.00%	> 1,300 - 3,600	25.00%	> 3,600 - 8,200	30.00%	> 8,200	50.00%		
Lawson	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Lazard Ltd	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900 - 7,900	30.00%	> 7,900	50.00%		
La-Z-Boy Inc	0 - 1,700	20.00%	> 1,700 - 4,600	45.00%	> 4,600	50.00%				
LB Foster Co	0 - 430	20.00%	> 430 - 870	25.00%	> 870 - 1,700	30.00%	> 1,700	50.00%		
LB-Shell Plc	0 - 1,600,000	35.00%	> 1,600,000 - 4,900,000	45.00%	> 4,900,000 - 9,900,000	75.00%	> 9,900,000	90.00%		
LCI Industries	0 - 350	35.00%	> 350 - 1,000	65.00%	> 1,000	90.00%				
le Noble Age SA	0 - 300	20.00%	> 300 - 610	25.00%	> 610	50.00%				
Lear Corp	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Lectra	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Lee & Man Paper	0 - 100,000	20.00%	> 100,000 - 220,000	30.00%	> 220,000 - 450,000	35.00%	> 450,000	50.00%		
Lee Enterprises Inc/IA	0 - 3,100	20.00%	> 3,100 - 12,000	25.00%	> 12,000	50.00%				
Lee's Pharmaceutical Holdings Ltd	0 - 9,200	25.00%	> 9,200 - 27,000	30.00%	> 27,000 - 70,000	60.00%	> 70,000	85.00%		
LEG Immobilien AG	0 - 900	20.00%	> 900 - 1,800	35.00%	> 1,800 - 3,600	40.00%	> 3,600	50.00%		
Legacy Reserves LP	0 - 1,100	25.00%	> 1,100 - 3,500	30.00%	> 3,500 - 9,400	55.00%	> 9,400	65.00%		
LegacyTexas Financial Group Inc	0 - 1,700	20.00%	> 1,700 - 4,300	55.00%	> 4,300	70.00%				
Legal & General	0 - 180,000	20.00%	> 180,000 - 370,000	40.00%	> 370,000	60.00%				
Legend Holdings Corp	0 - 63,000	20.00%	> 63,000 - 130,000	25.00%	> 130,000	50.00%				
Legg Mason	0 - 3,000	20.00%	> 3,000 - 6,000	35.00%	> 6,000	60.00%				
Leggett & Platt	0 - 2,100	20.00%	> 2,100 - 8,700	25.00%	> 8,700	50.00%				
Legrand	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Leidos Holdings Inc	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300	50.00%				
Leifheit AG	0 - 400	20.00%	> 400 - 810	25.00%	> 810 - 1,600	30.00%	> 1,600	50.00%		
LEM Holding SA	0 - 4	25.00%	> 4 - 13	30.00%	> 13 - 34	55.00%	> 34	60.00%		
Lend Lease	0 - 6,700	20.00%	> 6,700 - 27,000	25.00%	> 27,000	50.00%				
LendingClub Corp	0 - 13,000	20.00%	> 13,000 - 35,000	25.00%	> 35,000 - 79,000	35.00%	> 79,000	50.00%		
LendingTree Inc	0 - 420	20.00%	> 420 - 850	50.00%	> 850	55.00%				
Lennar	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
Lennar Corp	0 - 380	20.00%	> 380 - 1,000	45.00%	> 1,000	55.00%				
Lennox International Inc	0 - 920	20.00%	> 920 - 1,800	25.00%	> 1,800	50.00%				
Lenovo Group	0 - 380,000	20.00%	> 380,000 - 770,000	25.00%	> 770,000	50.00%				
Lenzing	0 - 1,400	20.00%	> 1,400 - 3,000	30.00%	> 3,000	50.00%				
Leonardo-Finmeccanica Spa	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Leoni	0 - 2,000	20.00%	> 2,000 - 4,100	40.00%	> 4,100	70.00%				
Leon's Furniture Ltd	0 - 720	20.00%	> 720 - 1,400	30.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%		
Leonteq AG	0 - 1,000	20.00%	> 1,000 - 2,100	35.00%	> 2,100 - 3,900	45.00%	> 3,900	50.00%		
Leopalace21 Corp	0 - 6,200	30.00%	> 6,200 - 18,000	35.00%	> 18,000 - 37,000	65.00%	> 37,000	90.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
LeoVegas AB	0 - 7,200	20.00%	> 7,200 - 14,000	35.00%	> 14,000 - 26,000	40.00%	> 26,000	50.00%		
Leroy Seafood	0 - 13,000	20.00%	> 13,000 - 27,000	30.00%	> 27,000 - 56,000	35.00%	> 56,000	50.00%		
Lexicon Pharmaceuticals Inc	0 - 1,400	25.00%	> 1,400 - 24,000	35.00%	> 24,000	50.00%				
Lexington Realty Trust	0 - 2,100	30.00%	> 2,100 - 6,400	35.00%	> 6,400 - 17,000	55.00%	> 17,000	60.00%		
LG Display Co Ltd	0 - 3,000	20.00%	> 3,000 - 18,000	30.00%	> 18,000	50.00%				
LGI Homes Inc	0 - 1,600	20.00%	> 1,600 - 6,100	30.00%	> 6,100	50.00%				
LHC Group Inc	0 - 1,100	20.00%	> 1,100 - 2,200	35.00%	> 2,200 - 4,500	40.00%	> 4,500	50.00%		
Li & Fung Ltd	0 - 590,000	20.00%	> 590,000 - 1,100,000	25.00%	> 1,100,000	50.00%				
Li Ning	0 - 88,000	20.00%	> 88,000 - 170,000	35.00%	> 170,000 - 350,000	40.00%	> 350,000	50.00%		
Lian Beng Group Ltd	0 - 27,000	20.00%	> 27,000 - 54,000	30.00%	> 54,000 - 100,000	35.00%	> 100,000	50.00%		
Lianhua	0 - 35,000	20.00%	> 35,000 - 70,000	25.00%	> 70,000 - 140,000	30.00%	> 140,000	50.00%		
Libbey Inc	0 - 1,400	20.00%	> 1,400 - 3,000	30.00%	> 3,000 - 5,800	35.00%	> 5,800	50.00%		
Liberbank SA	0 - 41,000	20.00%	> 41,000 - 100,000	65.00%	> 100,000	85.00%				
Liberty All Star Equity Fund	0 - 700	35.00%	> 700 - 2,100	40.00%	> 2,100 - 5,600	75.00%	> 5,600	85.00%		
Liberty Broadband Corp - A	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Liberty Broadband Corp - C	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500 - 5,000	40.00%	> 5,000	50.00%		
Liberty Global	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700 - 13,000	30.00%	> 13,000	50.00%		
Liberty Global Inc	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000 - 14,000	40.00%	> 14,000	50.00%		
Liberty Media Corp - A	0 - 1,200	20.00%	> 1,200 - 6,200	30.00%	> 6,200	50.00%				
Liberty Media Corp - C	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Liberty Property Trust	0 - 740	25.00%	> 740 - 2,200	30.00%	> 2,200 - 4,400	35.00%	> 4,400 - 8,900	40.00%	> 8,900	50.00%
Liberty Tax Inc	0 - 1,200	20.00%	> 1,200 - 4,800	25.00%	> 4,800	50.00%				
Liberty TripAdvisor Holdings Inc	0 - 2,900	20.00%	> 2,900 - 7,800	50.00%	> 7,800	60.00%				
Liechtensteinische Landesbank AG	0 - 160	20.00%	> 160 - 380	60.00%	> 380	85.00%				
Lifco B	0 - 2,900	20.00%	> 2,900 - 6,700	25.00%	> 6,700	50.00%				
Life Corp	0 - 450	20.00%	> 450 - 1,100	30.00%	> 1,100 - 2,400	40.00%	> 2,400	50.00%		
Life Healthcare Group Ltd	0 - 320,000	20.00%	> 320,000 - 1,400,000	30.00%	> 1,400,000	50.00%				
Life Storage Inc	0 - 340	30.00%	> 340 - 1,000	40.00%	> 1,000 - 2,000	65.00%	> 2,000	80.00%		
LifePoint Hospitals Inc	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
Lifestyle International	0 - 47,000	20.00%	> 47,000 - 95,000	30.00%	> 95,000 - 190,000	35.00%	> 190,000	50.00%		
LifeTech Scientific Corp	0 - 190,000	20.00%	> 190,000 - 490,000	40.00%	> 490,000	50.00%				
Lifetime Brands Inc	0 - 770	20.00%	> 770 - 1,500	45.00%	> 1,500	90.00%				
Ligand Pharmaceuticals Inc	0 - 150	25.00%	> 150 - 450	30.00%	> 450 - 900	45.00%	> 900	50.00%		
Limelight Networks Inc	0 - 4,500	20.00%	> 4,500 - 12,000	30.00%	> 12,000 - 26,000	45.00%	> 26,000	50.00%		
Limoneira Co	0 - 540	20.00%	> 540 - 1,400	35.00%	> 1,400 - 3,200	40.00%	> 3,200	50.00%		
Linamar Corp	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600 - 9,300	45.00%	> 9,300	50.00%		
Linc Energy (Singapore)	> 0	100.00%								
Lincoln Electric Holdings Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Lincoln National	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
Lindab International	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700 - 5,500	30.00%	> 5,500	50.00%		
Linde	0 - 950	20.00%	> 950 - 1,900	35.00%	> 1,900	50.00%				
Lindsay Corp	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700	50.00%				
LINE Corp - ADR	0 - 420	20.00%	> 420 - 1,100	25.00%	> 1,100 - 2,500	35.00%	> 2,500	50.00%		
LINE Corp (JP)	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
Lingbao Gold	0 - 39,000	20.00%	> 39,000 - 79,000	35.00%	> 79,000	50.00%				
Link Administration Holdings	0 - 17,000	20.00%	> 17,000 - 34,000	30.00%	> 34,000 - 69,000	35.00%	> 69,000	50.00%		
Link Motion ADR Representing 5 Ord Shs Class A	0 - 8,800	20.00%	> 8,800 - 17,000	30.00%	> 17,000 - 35,000	35.00%	> 35,000	50.00%		
Link REIT/The	0 - 3,400	30.00%	> 3,400 - 10,000	40.00%	> 10,000	90.00%				
LinnCo LLC	> 0	100.00%								
Lintec Corp	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
Lion	0 - 5,600	20.00%	> 5,600 - 11,000	30.00%	> 11,000 - 22,000	40.00%	> 22,000	50.00%		
Lions Gate Entertainment Corp	0 - 3,400	20.00%	> 3,400 - 7,200	25.00%	> 7,200 - 14,000	30.00%	> 14,000	50.00%		
Lippo Malls Indonesia Retail Trust	0 - 14,000	30.00%	> 14,000 - 42,000	35.00%	> 42,000	50.00%				
Liquefied Natural Gas Ltd	0 - 9,400	25.00%	> 9,400 - 62,000	40.00%	> 62,000 - 110,000	45.00%	> 110,000	50.00%		
Liquidity Services	0 - 1,400	20.00%	> 1,400 - 5,800	40.00%	> 5,800	50.00%				
LISI	0 - 520	20.00%	> 520 - 1,400	35.00%	> 1,400 - 3,100	45.00%	> 3,100	50.00%		
Listed Index Fund JPX-Nikkei Index 400	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
Listed Index Fund J-REIT Tokyo Stock Exchange REIT Index - Bi Monthly Dividend	0 - 620	20.00%	> 620 - 1,500	40.00%	> 1,500	55.00%				
Lithia Motors Inc	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Littelfuse Inc	0 - 430	20.00%	> 430 - 870	30.00%	> 870 - 1,700	35.00%	> 1,700	50.00%		
Liu Chong Hing Investment Ltd	0 - 2,100	30.00%	> 2,100 - 6,300	35.00%	> 6,300 - 12,000	45.00%	> 12,000	55.00%		
Livanova	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
Live Nation Entertainment Inc	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800 - 7,700	45.00%	> 7,700	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
LivePerson Inc	0 - 2,100	20.00%	> 2,100 - 5,600	25.00%	> 5,600 - 12,000	35.00%	> 12,000	50.00%		
Livzon Pharmaceutical Group Inc	0 - 16,000	20.00%	> 16,000 - 33,000	45.00%	> 33,000	65.00%				
LIXIL Group Corp	0 - 4,700	20.00%	> 4,700 - 9,500	45.00%	> 9,500	50.00%				
LKQ Corp	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
Lloyds Banking	0 - 790,000	20.00%	> 790,000 - 1,500,000	40.00%	> 1,500,000	60.00%				
Lloyds Banking (US)	0 - 10,000	20.00%	> 10,000 - 64,000	25.00%	> 64,000	50.00%				
LMP Capital and Income Fund Inc	0 - 250	35.00%	> 250 - 1,500	55.00%	> 1,500	80.00%				
Loblaw Cos Ltd	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
L'Occitane International SA	0 - 15,000	20.00%	> 15,000 - 41,000	50.00%	> 41,000	60.00%				
Lockheed Martin	0 - 2,000	20.00%	> 2,000 - 4,100	40.00%	> 4,100	60.00%				
Loews	0 - 4,000	20.00%	> 4,000 - 8,000	25.00%	> 8,000	50.00%				
Logan Property Holdings Co Ltd	0 - 25,000	25.00%	> 25,000 - 150,000	40.00%	> 150,000 - 300,000	45.00%	> 300,000	50.00%		
Logitech International SA (CH)	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,700	35.00%	> 8,700	50.00%		
Logitech International SA (US)	0 - 3,200	20.00%	> 3,200 - 7,200	25.00%	> 7,200	50.00%				
LogMeIn Inc	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
London Stock Exchange	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Londonmetric Property PLC	0 - 7,700	25.00%	> 7,700 - 130,000	30.00%	> 130,000	50.00%				
LongFin Corp	0 - 2,165	20.00%	> 2,165 - 5,770	25.00%	> 5,770 - 9,375	40.00%	> 9,375	75.00%		
Longfor Properties Co Ltd	0 - 12,000	35.00%	> 12,000 - 37,000	60.00%	> 37,000	90.00%				
Lonking	0 - 180,000	20.00%	> 180,000 - 410,000	30.00%	> 410,000 - 870,000	40.00%	> 870,000	50.00%		
Lonmin	0 - 51,000	20.00%	> 51,000 - 100,000	40.00%	> 100,000	60.00%				
Lonza Group	0 - 340	20.00%	> 340 - 680	65.00%	> 680	90.00%				
Lookers	0 - 7,000	20.00%	> 7,000 - 39,000	25.00%	> 39,000	50.00%				
Loomis B	0 - 2,800	20.00%	> 2,800 - 11,000	30.00%	> 11,000	50.00%				
Loral Space & Communications I	0 - 260	20.00%	> 260 - 690	25.00%	> 690 - 1,500	30.00%	> 1,500	50.00%		
L'Oreal	0 - 1,300	20.00%	> 1,300 - 2,700	40.00%	> 2,700	60.00%				
Louisiana-Pacific Corp	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
Low & Bonar	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000 - 65,000	30.00%	> 65,000	50.00%		
Lowe's	0 - 3,300	20.00%	> 3,300 - 6,600	40.00%	> 6,600	60.00%				
Lowland Investment	0 - 160	30.00%	> 160 - 490	35.00%	> 490 - 1,900	45.00%	> 1,900	50.00%		
LPKF Laser & Electronics AG	0 - 1,100	20.00%	> 1,100 - 2,200	30.00%	> 2,200 - 4,500	45.00%	> 4,500	50.00%		
LPL Financial Holdings Inc	0 - 1,500	20.00%	> 1,500 - 3,000	35.00%	> 3,000 - 6,000	45.00%	> 6,000	50.00%		
LPP SA	0 - 35	20.00%	> 35 - 75	25.00%	> 75 - 150	30.00%	> 150	50.00%		
LSB Industries Inc	0 - 1,400	20.00%	> 1,400 - 5,700	30.00%	> 5,700	50.00%				
LSI Industries Inc	0 - 1,800	20.00%	> 1,800 - 3,800	55.00%	> 3,800	90.00%				
LSL Property Services	0 - 950	30.00%	> 950 - 2,800	35.00%	> 2,800 - 5,700	65.00%	> 5,700	75.00%		
LTC Properties Inc	0 - 480	30.00%	> 480 - 3,800	40.00%	> 3,800 - 8,500	45.00%	> 8,500	50.00%		
Lubelski Węgiel Bogdanka SA	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Lucara Diamond Corp	0 - 2,000	35.00%	> 2,000 - 6,200	40.00%	> 6,200 - 12,000	50.00%	> 12,000	65.00%		
Lucara Diamond Corp (CA)	0 - 2,100	35.00%	> 2,100 - 6,300	40.00%	> 6,300	50.00%				
Lucas Bols BV	0 - 490	20.00%	> 490 - 990	35.00%	> 990 - 1,900	45.00%	> 1,900	50.00%		
Lufthansa	0 - 27,000	20.00%	> 27,000 - 55,000	40.00%	> 55,000	60.00%				
LUK FOOK	0 - 43,000	20.00%	> 43,000 - 96,000	25.00%	> 96,000	50.00%				
Lukoil OAO	0 - 280	20.00%	> 280 - 570	25.00%	> 570	50.00%				
Lululemon	0 - 5,200	20.00%	> 5,200 - 10,000	40.00%	> 10,000	60.00%				
Lumber Liquidators Holdings In	0 - 1,900	20.00%	> 1,900 - 3,900	45.00%	> 3,900	75.00%				
Lumena Resources	> 0	100.00%								
Lumentum Holdings Inc.	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Luminex Corp	0 - 1,900	20.00%	> 1,900 - 5,000	35.00%	> 5,000 - 10,000	40.00%	> 10,000	50.00%		
Lundbeck	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800	50.00%				
Lundbergföretagen B	0 - 3,100	20.00%	> 3,100 - 6,200	40.00%	> 6,200	50.00%				
Lundin Gold Inc	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Lundin Mining (Sweden)	0 - 1,680	20.00%	> 1,680 - 6,780	25.00%	> 6,780	50.00%				
Lundin Mining Corp	0 - 17,000	20.00%	> 17,000 - 34,000	40.00%	> 34,000	55.00%				
Lundin Petroleum	0 - 3,000	20.00%	> 3,000 - 6,100	45.00%	> 6,100	60.00%				
Luoyang Glass Co Ltd	0 - 27,000	20.00%	> 27,000 - 110,000	25.00%	> 110,000	50.00%				
Luxoft Holding Inc	0 - 900	20.00%	> 900 - 2,400	25.00%	> 2,400 - 5,400	30.00%	> 5,400	50.00%		
Luxottica Group SpA	0 - 1,500	20.00%	> 1,500 - 3,000	55.00%	> 3,000	75.00%				
Luye Pharma Group Ltd	0 - 89,000	20.00%	> 89,000 - 350,000	25.00%	> 350,000	50.00%				
Luzerner Kantonalbank AG	0 - 22	20.00%	> 22 - 58	25.00%	> 58 - 130	30.00%	> 130	50.00%		
LVMH Moet Hennessy Louis Vuitton SA (FR)	0 - 1,900	20.00%	> 1,900 - 3,800	40.00%	> 3,800	60.00%				
LVMH Moet Hennessy Louis Vuitton SA (IT)	0 - 60	20.00%	> 60 - 120	25.00%	> 120	50.00%				
LXB Retail Properties PLC	0 - 11,000	30.00%	> 11,000 - 69,000	35.00%	> 69,000 - 130,000	40.00%	> 130,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Lydall Inc	0 - 880	20.00%	> 880 - 1,900	25.00%	> 1,900	50.00%				
Lynas	0 - 120,000	20.00%	> 120,000 - 250,000	40.00%	> 250,000	60.00%				
LyondellBasell Industries NV	0 - 920	20.00%	> 920 - 1,800	40.00%	> 1,800	50.00%				
Lyxor ETF Brazil Ibovespa	0 - 560	20.00%	> 560 - 2,500	35.00%	> 2,500	50.00%				
LYXOR ETF Commodities Thomson Reuters/ Jefferies CRB TR	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Lyxor ETF DAX	0 - 440	20.00%	> 440 - 1,000	35.00%	> 1,000	55.00%				
Lyxor ETF Euro Cash EuroMTS Eonia Investable	0 - 460	20.00%	> 460 - 1,000	25.00%	> 1,000	50.00%				
Lyxor ETF Euro Corporate Bond	0 - 110	20.00%	> 110 - 230	25.00%	> 230	50.00%				
Lyxor ETF FTSE Athex 20	0 - 23,000	20.00%	> 23,000 - 51,000	25.00%	> 51,000	50.00%				
LYXOR ETF IBEX 35	0 - 1,400	20.00%	> 1,400 - 2,700	25.00%	> 2,700	50.00%				
Lyxor ETF Japan Topix	0 - 83	20.00%	> 83 - 490	35.00%	> 490	50.00%				
Lyxor ETF LevDAX	0 - 240	20.00%	> 240 - 450	25.00%	> 450	50.00%				
Lyxor ETF MSCI AC Asia Pacific Ex Japan	0 - 370	20.00%	> 370 - 840	25.00%	> 840 - 1,600	30.00%	> 1,600	50.00%		
Lyxor ETF MSCI EMU Small Caps	0 - 92	20.00%	> 92 - 200	25.00%	> 200	50.00%				
Lyxor ETF MSCI EMU Value	0 - 170	20.00%	> 170 - 360	25.00%	> 360	50.00%				
Lyxor ETF MSCI Europe	0 - 340	20.00%	> 340 - 2,000	25.00%	> 2,000	50.00%				
Lyxor ETF MSCI India	0 - 6,700	20.00%	> 6,700 - 15,000	25.00%	> 15,000	50.00%				
Lyxor ETF MSCI World EURO	0 - 340	20.00%	> 340 - 770	25.00%	> 770	50.00%				
Lyxor ETF MSCI World Health Care TR	0 - 64	20.00%	> 64 - 120	25.00%	> 120	50.00%				
Lyxor ETF NASDAQ-100-D EUR	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Lyxor ETF S&P 500	0 - 800	20.00%	> 800 - 1,700	25.00%	> 1,700	50.00%				
Lyxor ETF S&P 500 - A	0 - 1,800	20.00%	> 1,800 - 4,200	25.00%	> 4,200	50.00%				
Lyxor ETF SG Global Quality Income NTR	0 - 140	20.00%	> 140 - 280	25.00%	> 280	50.00%				
Lyxor ETF STOXX Europe 600 Banks	0 - 4,800	20.00%	> 4,800 - 8,900	25.00%	> 8,900	50.00%				
Lyxor ETF STOXX Europe 600 Basic Resources	0 - 590	20.00%	> 590 - 1,000	25.00%	> 1,000	50.00%				
Lyxor ETF STOXX Europe 600 Food & Beverage	0 - 220	20.00%	> 220 - 450	25.00%	> 450	50.00%				
Lyxor ETF STOXX Europe 600 Healthcare	0 - 200	20.00%	> 200 - 400	25.00%	> 400	50.00%				
Lyxor ETF STOXX Europe 600 Oil & Gas	0 - 980	20.00%	> 980 - 1,800	25.00%	> 1,800	50.00%				
Lyxor ETF STOXX Europe Select Dividend 30	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Lyxor ETF World Water/France	0 - 490	20.00%	> 490 - 980	25.00%	> 980	50.00%				
Lyxor UCITS ETF CAC 40 DR	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300	50.00%				
LYXOR UCITS ETF CHINA ENTERPRISE HSCEI - C-USD	0 - 120	20.00%	> 120 - 250	25.00%	> 250	50.00%				
Lyxor UCITS ETF Euro Stoxx 50	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
LYXOR UCITS ETF EURO STOXX BANKS	0 - 1,200	20.00%	> 1,200 - 2,300	25.00%	> 2,300	50.00%				
LYXOR UCITS ETF EUROMTS 1-3Y INVESTMENT GRADE DR	0 - 140	20.00%	> 140 - 290	25.00%	> 290	50.00%				
LYXOR UCITS ETF EuroMTS 15+Y Investment Grade	0 - 77	20.00%	> 77 - 150	25.00%	> 150	50.00%				
LYXOR UCITS ETF EuroMTS 3-5Y Investment Grade	0 - 310	20.00%	> 310 - 710	25.00%	> 710	50.00%				
LYXOR UCITS ETF EuroMTS 5-7Y Investment Grade	0 - 120	20.00%	> 120 - 270	25.00%	> 270	50.00%				
LYXOR UCITS ETF EUROMTS ALL- MATURITY INVESTMENT GRADE DR	0 - 140	20.00%	> 140 - 320	25.00%	> 320	50.00%				
Lyxor UCITS ETF EuroMTS Inflation Linked Investment Grade	0 - 130	20.00%	> 130 - 280	25.00%	> 280	50.00%				
Lyxor UCITS ETF FTSE 100	0 - 4,900	20.00%	> 4,900 - 9,000	25.00%	> 9,000	50.00%				
LYXOR UCITS ETF HONG KONG HS	0 - 580	20.00%	> 580 - 1,100	25.00%	> 1,100	50.00%				
Lyxor UCITS ETF iBoxx $ Treasuries 10Y DR	0 - 160	20.00%	> 160 - 310	25.00%	> 310	50.00%				
Lyxor UCITS ETF iBoxx EUR Liquid High Yield 30 Ex-Financial	0 - 150	20.00%	> 150 - 300	25.00%	> 300	50.00%				
LYXOR UCITS ETF JPX-Nikkei 400	0 - 59	20.00%	> 59 - 230	25.00%	> 230	50.00%				
Lyxor UCITS ETF MSCI EMU	0 - 350	20.00%	> 350 - 700	25.00%	> 700	50.00%				
Lyxor UCITS ETF MSCI USA EURO	0 - 74	20.00%	> 74 - 140	25.00%	> 140	50.00%				
LYXOR UCITS ETF RUSSIA Dow Jones Russia GDR - C EUR	0 - 130	25.00%	> 130 - 2,400	30.00%	> 2,400	50.00%				
LYXOR UCITS ETF S&P 500 Daily Hedged D-EUR	0 - 66	20.00%	> 66 - 170	25.00%	> 170 - 390	40.00%	> 390	50.00%		
LYXOR UCTS ETF CHINA ENTERPRISE HSCEI	0 - 140	20.00%	> 140 - 310	25.00%	> 310	50.00%				
M&C Saatchi PLC	0 - 4,900	20.00%	> 4,900 - 10,000	25.00%	> 10,000	50.00%				
M&T Bank	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
M/A-COM Technology Solutions Holdings Inc	0 - 3,500	20.00%	> 3,500 - 15,000	25.00%	> 15,000	50.00%				
M/I Homes Inc	0 - 820	20.00%	> 820 - 2,100	75.00%	> 2,100	90.00%				
M1 limited	0 - 17,000	20.00%	> 17,000 - 100,000	25.00%	> 100,000	50.00%				
M3 Inc	0 - 4,900	20.00%	> 4,900 - 9,800	25.00%	> 9,800	50.00%				
M6	0 - 2,200	20.00%	> 2,200 - 6,100	30.00%	> 6,100 - 13,000	35.00%	> 13,000	50.00%		
Maanshan Iron and Steel	0 - 120,000	20.00%	> 120,000 - 250,000	45.00%	> 250,000	65.00%				
Mabuchi Motor Co Ltd	0 - 2,100	20.00%	> 2,100 - 8,500	30.00%	> 8,500	50.00%				
Macau Legend Development Ltd	0 - 89,000	20.00%	> 89,000 - 230,000	45.00%	> 230,000	60.00%				
Macau Property Opportunities Fund Ltd	0 - 1,200	35.00%	> 1,200 - 7,600	45.00%	> 7,600	50.00%				
Macerich Co/The	0 - 570	25.00%	> 570 - 6,800	35.00%	> 6,800	50.00%				
Mack-Cali Realty Corp	0 - 1,200	25.00%	> 1,200 - 3,600	30.00%	> 3,600 - 8,500	35.00%	> 8,500 - 18,000	40.00%	> 18,000	50.00%

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
MacMahon Holdings	0 - 60,000	20.00%	> 60,000 - 120,000	30.00%	> 120,000 - 240,000	35.00%	> 240,000	50.00%		
Macnica Fuji Electronics Holdings Inc	0 - 4,600	20.00%	> 4,600 - 9,300	30.00%	> 9,300 - 17,000	35.00%	> 17,000	50.00%		
Macquarie Global Infrastructure Total Return Fund Inc	0 - 140	35.00%	> 140 - 440	40.00%	> 440 - 890	55.00%	> 890	80.00%		
Macquarie Group	0 - 7,200	20.00%	> 7,200 - 14,000	40.00%	> 14,000	60.00%				
Macquarie Infrastructure Co LL	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
MacroGenics Inc	0 - 670	25.00%	> 670 - 2,000	30.00%	> 2,000 - 5,000	65.00%	> 5,000	90.00%		
Macy's	0 - 9,100	20.00%	> 9,100 - 18,000	40.00%	> 18,000	60.00%				
Madison Square Garden Co/The	0 - 300	20.00%	> 300 - 610	40.00%	> 610	50.00%				
Maeda Corp	0 - 8,900	20.00%	> 8,900 - 17,000	50.00%	> 17,000	55.00%				
Maeda Road Construction Co Ltd	0 - 2,400	20.00%	> 2,400 - 14,000	25.00%	> 14,000	50.00%				
MAG Silver Corp (CA)	0 - 2,300	20.00%	> 2,300 - 6,100	25.00%	> 6,100 - 13,000	30.00%	> 13,000	50.00%		
Magellan Financial	0 - 5,400	20.00%	> 5,400 - 21,000	25.00%	> 21,000	50.00%				
Magellan Flagship Fund	0 - 1,600	35.00%	> 1,600 - 10,000	45.00%	> 10,000	50.00%				
Magellan Health Services Inc	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
Magellan Midstream Partners LP	0 - 1,400	20.00%	> 1,400 - 2,900	35.00%	> 2,900	50.00%				
Magic Software Enterprises Ltd	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Magna International Inc (CA)	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Magna International Inc (US)	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Magnachip Semiconductor Corp	0 - 880	20.00%	> 880 - 3,500	35.00%	> 3,500	50.00%				
Magnis Resources Ltd	0 - 33,000	20.00%	> 33,000 - 67,000	55.00%	> 67,000	85.00%				
Maiden Holdings Ltd	0 - 2,100	20.00%	> 2,100 - 5,700	50.00%	> 5,700	55.00%				
Main Street Capital Corp	0 - 760	20.00%	> 760 - 2,000	65.00%	> 2,000	85.00%				
Mainfreight	0 - 2,800	20.00%	> 2,800 - 6,300	25.00%	> 6,300	50.00%				
MainSource Financial Group Inc	0 - 770	20.00%	> 770 - 2,000	25.00%	> 2,000 - 4,600	30.00%	> 4,600	50.00%		
MainStay DefinedTerm Municipal Opportunities Fund	0 - 170	30.00%	> 170 - 510	35.00%	> 510 - 1,000	40.00%	> 1,000 - 2,000	45.00%	> 2,000	50.00%
Mainstreet Equity Corp	0 - 97	25.00%	> 97 - 290	30.00%	> 290 - 1,100	35.00%	> 1,100	50.00%		
Maire Tecnimont	0 - 12,000	20.00%	> 12,000 - 32,000	25.00%	> 32,000 - 72,000	30.00%	> 72,000	50.00%		
Maisons du Monde SA	0 - 3,800	20.00%	> 3,800 - 8,600	25.00%	> 8,600	50.00%				
Maisons France Confort	0 - 200	20.00%	> 200 - 400	35.00%	> 400 - 810	40.00%	> 810	50.00%		
Majestic Wine	0 - 1,700	20.00%	> 1,700 - 4,600	25.00%	> 4,600 - 10,000	30.00%	> 10,000	50.00%		
Major Drilling Group International Inc	0 - 2,000	20.00%	> 2,000 - 4,100	45.00%	> 4,100	75.00%				
MakeMyTrip Ltd	0 - 1,100	20.00%	> 1,100 - 3,100	30.00%	> 3,100 - 7,100	35.00%	> 7,100	50.00%		
Makino Milling Machine Co Ltd	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600 - 6,600	45.00%	> 6,600	50.00%		
Makita Corp	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400 - 8,800	30.00%	> 8,800	50.00%		
Malibu Boats Inc	0 - 710	20.00%	> 710 - 4,200	25.00%	> 4,200	50.00%				
Mallinckrodt PLC	0 - 4,500	20.00%	> 4,500 - 9,100	35.00%	> 9,100 - 18,000	40.00%	> 18,000	50.00%		
MAN	0 - 880	20.00%	> 880 - 1,700	75.00%	> 1,700	90.00%				
Man Group PLC	0 - 43,000	20.00%	> 43,000 - 86,000	35.00%	> 86,000 - 170,000	40.00%	> 170,000	50.00%		
Man Wah Holdings Ltd	0 - 130,000	20.00%	> 130,000 - 530,000	30.00%	> 530,000	50.00%				
Manchester United Plc	0 - 460	20.00%	> 460 - 920	30.00%	> 920 - 1,800	40.00%	> 1,800	50.00%		
Mandalay Resources Corp	0 - 67,000	20.00%	> 67,000 - 130,000	25.00%	> 130,000 - 260,000	30.00%	> 260,000	50.00%		
Mandarin Oriental	0 - 8,900	20.00%	> 8,900 - 53,000	40.00%	> 53,000	50.00%				
Mandom Corp	0 - 2,400	20.00%	> 2,400 - 5,500	40.00%	> 5,500	85.00%				
Manhattan Associates Inc	0 - 650	25.00%	> 650 - 1,900	45.00%	> 1,900	90.00%				
Mani Inc	0 - 1,200	20.00%	> 1,200 - 3,300	45.00%	> 3,300	55.00%				
Manitou BF	0 - 260	20.00%	> 260 - 620	25.00%	> 620 - 1,300	35.00%	> 1,300	50.00%		
Manitowoc	0 - 4,600	20.00%	> 4,600 - 8,800	25.00%	> 8,800	50.00%				
Manning & Napier Inc	0 - 1,000	25.00%	> 1,000 - 3,200	35.00%	> 3,200 - 6,500	75.00%	> 6,500	85.00%		
MannKind Corp	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	55.00%				
Manpower Inc	0 - 1,100	20.00%	> 1,100 - 2,300	40.00%	> 2,300	55.00%				
ManTech International Corp/VA	0 - 1,000	20.00%	> 1,000 - 2,700	40.00%	> 2,700 - 6,000	45.00%	> 6,000	50.00%		
Manulife Financial Corp (CA)	0 - 18,000	20.00%	> 18,000 - 36,000	40.00%	> 36,000	60.00%				
Manulife Financial Corp (HK)	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Manulife Financial Corp (US)	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Manulife US Real Estate Investment Trust	0 - 7,100	25.00%	> 7,100 - 21,000	30.00%	> 21,000 - 120,000	35.00%	> 120,000	50.00%		
Manz Automation	0 - 240	20.00%	> 240 - 1,000	25.00%	> 1,000	50.00%				
Mapfre	0 - 64,000	20.00%	> 64,000 - 120,000	25.00%	> 120,000	50.00%				
Maple Leaf Foods Inc	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
Mapletree Commercial Trust	0 - 23,000	25.00%	> 23,000 - 70,000	30.00%	> 70,000 - 320,000	40.00%	> 320,000	50.00%		
Mapletree Greater China Commercial Trust	0 - 29,000	25.00%	> 29,000 - 89,000	30.00%	> 89,000 - 440,000	35.00%	> 440,000	50.00%		
Mapletree Industrial Trust	0 - 15,000	25.00%	> 15,000 - 45,000	30.00%	> 45,000 - 250,000	35.00%	> 250,000	50.00%		
Mapletree Logistics Trust	0 - 34,000	25.00%	> 34,000 - 100,000	30.00%	> 100,000 - 420,000	35.00%	> 420,000	50.00%		
Marathon Oil	0 - 30,000	20.00%	> 30,000 - 61,000	40.00%	> 61,000	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Marathon Petroleum	0 - 4,600	20.00%	> 4,600 - 9,200	40.00%	> 9,200	60.00%				
Marcus & Millichap Inc	0 - 430	25.00%	> 430 - 1,300	30.00%	> 1,300 - 3,400	40.00%	> 3,400 - 7,800	45.00%	> 7,800	50.00%
Marcus Corp/The	0 - 930	20.00%	> 930 - 2,000	25.00%	> 2,000	50.00%				
Marie Brizard Wine & Spirits	0 - 1,200	20.00%	> 1,200 - 6,900	25.00%	> 6,900	50.00%				
Marin Software Inc	0 - 1,700	20.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%				
Marine Harvest ASA	0 - 980	20.00%	> 980 - 1,900	25.00%	> 1,900	50.00%				
Marine Harvest ASA (NO)	0 - 16,000	20.00%	> 16,000 - 32,000	50.00%	> 32,000	60.00%				
MarineMax Inc	0 - 2,500	20.00%	> 2,500 - 5,700	25.00%	> 5,700	50.00%				
Markel Corp	0 - 88	20.00%	> 88 - 170	50.00%	> 170	75.00%				
Market Vectors Agribusiness ETF	0 - 540	20.00%	> 540 - 1,200	25.00%	> 1,200	50.00%				
Market Vectors Biotech ETF	0 - 180	20.00%	> 180 - 380	25.00%	> 380	50.00%				
Market Vectors Emerging High Yield Bond ETF	0 - 430	20.00%	> 430 - 890	30.00%	> 890 - 1,800	35.00%	> 1,800	50.00%		
Market Vectors Emerging Markets Local Currency Bond ETF	0 - 5,700	20.00%	> 5,700 - 23,000	25.00%	> 23,000	50.00%				
Market Vectors High Income MLP ETF	0 - 920	20.00%	> 920 - 1,800	25.00%	> 1,800	50.00%				
Market Vectors High Yield Municipal Index ETF	0 - 2,500	20.00%	> 2,500 - 5,700	25.00%	> 5,700	50.00%				
Market Vectors India Small-Cap Index ETF	0 - 400	20.00%	> 400 - 810	25.00%	> 810	50.00%				
Market Vectors Intermediate Municipal ETF	0 - 420	20.00%	> 420 - 850	25.00%	> 850 - 1,700	30.00%	> 1,700	50.00%		
Market Vectors International High Yield Bond ETF	0 - 810	20.00%	> 810 - 1,600	25.00%	> 1,600	50.00%				
Market Vectors Morningstar Wide Moat ETF	0 - 710	20.00%	> 710 - 1,600	25.00%	> 1,600	50.00%				
Market Vectors Pharmaceutical ETF	0 - 320	20.00%	> 320 - 650	25.00%	> 650	50.00%				
Market Vectors Preferred Securities ex Financials ETF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
Market Vectors Retail ETF	0 - 190	20.00%	> 190 - 380	25.00%	> 380	50.00%				
Market Vectors Semiconductor ETF	0 - 1,600	20.00%	> 1,600 - 2,900	25.00%	> 2,900	50.00%				
Market Vectors Short Municipal ETF	0 - 570	20.00%	> 570 - 2,300	25.00%	> 2,300	50.00%				
MarketAxess Holdings Inc	0 - 960	20.00%	> 960 - 1,900	25.00%	> 1,900	50.00%				
Marks & Spencer	0 - 80,000	20.00%	> 80,000 - 160,000	40.00%	> 160,000	60.00%				
Marlin Business Services Corp	0 - 320	20.00%	> 320 - 640	25.00%	> 640 - 1,200	30.00%	> 1,200	50.00%		
MARR	0 - 2,600	20.00%	> 2,600 - 5,800	25.00%	> 5,800	50.00%				
Marriott	0 - 2,500	20.00%	> 2,500 - 5,000	40.00%	> 5,000	60.00%				
Marriott Vacations Worldwide C	0 - 840	20.00%	> 840 - 1,600	35.00%	> 1,600 - 3,300	45.00%	> 3,300	50.00%		
Mars Engineering Corp	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800	50.00%				
Marsh & McLennan	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Marshalls	0 - 11,000	20.00%	> 11,000 - 25,000	25.00%	> 25,000	50.00%				
Marstons	0 - 47,000	20.00%	> 47,000 - 170,000	30.00%	> 170,000	50.00%				
Marten Transport Ltd	0 - 1,100	20.00%	> 1,100 - 3,000	30.00%	> 3,000 - 6,800	45.00%	> 6,800	50.00%		
Martin Currie Portfolio Investment Trust PLC	> 0	100.00%								
Martin Marietta Materials Inc	0 - 870	20.00%	> 870 - 1,700	25.00%	> 1,700	50.00%				
Martin Midstream Partners LP	0 - 720	20.00%	> 720 - 1,700	25.00%	> 1,700 - 3,900	30.00%	> 3,900	50.00%		
Martinrea International Inc	0 - 2,100	20.00%	> 2,100 - 5,800	35.00%	> 5,800 - 13,000	45.00%	> 13,000	50.00%		
Marubeni	0 - 26,000	20.00%	> 26,000 - 52,000	25.00%	> 52,000	50.00%				
Marudai Food Co Ltd	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
Maruha Nichiro Corp	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500 - 11,000	30.00%	> 11,000	50.00%		
Marui Group	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000 - 20,000	40.00%	> 20,000	50.00%		
Maruichi Steel Tube Ltd	0 - 3,000	20.00%	> 3,000 - 6,100	30.00%	> 6,100 - 12,000	35.00%	> 12,000	50.00%		
Marusan Securities Co Ltd	0 - 2,800	20.00%	> 2,800 - 7,500	25.00%	> 7,500 - 16,000	35.00%	> 16,000	50.00%		
Maruwa Co Ltd	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800 - 3,400	30.00%	> 3,400	50.00%		
Marvell	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	60.00%				
Marvelous Inc	0 - 2,800	20.00%	> 2,800 - 13,000	30.00%	> 13,000	50.00%				
Masco	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Masimo Corp	0 - 990	20.00%	> 990 - 1,900	30.00%	> 1,900 - 3,900	35.00%	> 3,900	50.00%		
Masonite International Corp	0 - 710	20.00%	> 710 - 4,200	30.00%	> 4,200	50.00%				
MasTec Inc	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800 - 7,600	40.00%	> 7,600	50.00%		
MasterCard	0 - 3,200	20.00%	> 3,200 - 6,400	40.00%	> 6,400	60.00%				
Matador Resources Co	0 - 3,100	20.00%	> 3,100 - 6,200	30.00%	> 6,200 - 12,000	35.00%	> 12,000	50.00%		
Matas A/S	0 - 2,800	20.00%	> 2,800 - 5,600	30.00%	> 5,600 - 10,000	35.00%	> 10,000	50.00%		
Match Group Inc	0 - 2,500	20.00%	> 2,500 - 5,100	35.00%	> 5,100 - 10,000	45.00%	> 10,000	50.00%		
Materials Select Sector SPDR Fund	0 - 1,600	20.00%	> 1,600 - 3,300	45.00%	> 3,300	70.00%				
Materion Corp	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
Matrix Service Co	0 - 730	20.00%	> 730 - 1,900	45.00%	> 1,900	50.00%				
Matson Inc	0 - 1,100	20.00%	> 1,100 - 3,000	60.00%	> 3,000	90.00%				
Matsui Securities	0 - 10,000	20.00%	> 10,000 - 21,000	55.00%	> 21,000	75.00%				
Matsumotokiyoshi Holdings Co L	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400 - 8,800	30.00%	> 8,800	50.00%		
Matsuya Co Ltd	0 - 2,200	20.00%	> 2,200 - 12,000	25.00%	> 12,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Matsuya Foods Co Ltd	0 - 310	20.00%	> 310 - 1,500	30.00%	> 1,500	50.00%				
Mattel	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Matthews International Corp	0 - 610	20.00%	> 610 - 1,600	45.00%	> 1,600	60.00%				
Maurel & Prom	0 - 1,100	25.00%	> 1,100 - 20,000	35.00%	> 20,000	50.00%				
Max Co Ltd	0 - 820	20.00%	> 820 - 1,800	30.00%	> 1,800 - 3,700	40.00%	> 3,700	50.00%		
Maxar Technologies Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	50.00%	> 3,700	70.00%				
Maxar Technologies Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700 - 7,500	45.00%	> 7,500	50.00%		
Maxim Integrated	0 - 1,600	20.00%	> 1,600 - 3,200	40.00%	> 3,200	50.00%				
MAXIMUS Inc	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
MAXIS JPX-Nikkei Index 400 ETF	0 - 210	20.00%	> 210 - 480	25.00%	> 480	50.00%				
MAXIS Nikkei 225 ETF	0 - 960	20.00%	> 960 - 1,900	25.00%	> 1,900	50.00%				
MAXIS TOPIX ETF	0 - 1,000	20.00%	> 1,000 - 2,600	25.00%	> 2,600 - 6,000	30.00%	> 6,000	50.00%		
MaxLinear Inc	0 - 3,300	20.00%	> 3,300 - 16,000	35.00%	> 16,000	50.00%				
Maxwell Technologies Inc	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,200	30.00%	> 8,200	50.00%		
Mayne Pharma Group Ltd	0 - 95,000	20.00%	> 95,000 - 200,000	35.00%	> 200,000	60.00%				
Mayr-Melnhof Karton	0 - 74	20.00%	> 74 - 170	40.00%	> 170	55.00%				
Mazda Motor	0 - 16,000	20.00%	> 16,000 - 33,000	25.00%	> 33,000	50.00%				
MB Financial Inc	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
mBank SA	0 - 380	20.00%	> 380 - 2,300	25.00%	> 2,300	50.00%				
MBIA	0 - 5,700	20.00%	> 5,700 - 22,000	25.00%	> 22,000	50.00%				
MC Mining Ltd	0 - 75,000,000	20.00%	> 75,000,000 - 150,000,0	40.00%	> 150,000,000	55.00%				
MCAN Mortgage Corp	0 - 720	20.00%	> 720 - 1,400	70.00%	> 1,400	75.00%				
McBride	0 - 5,700	20.00%	> 5,700 - 22,000	35.00%	> 22,000	50.00%				
McCarthy & Stone PLC	0 - 13,000	30.00%	> 13,000 - 79,000	45.00%	> 79,000	60.00%				
McClatchy Co/The	0 - 1,000	20.00%	> 1,000 - 2,100	45.00%	> 2,100	60.00%				
McColl's Retail Group PLC	0 - 3,600	20.00%	> 3,600 - 14,000	35.00%	> 14,000	50.00%				
McCormick	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600 - 3,300	30.00%	> 3,300	50.00%		
McDermott	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
McDonald's	0 - 4,100	20.00%	> 4,100 - 8,300	40.00%	> 8,300	60.00%				
McDonald's Holdings Co Japan Ltd	0 - 2,100	20.00%	> 2,100 - 4,300	35.00%	> 4,300 - 8,700	40.00%	> 8,700	50.00%		
McEwen Mining Inc	0 - 19,000	20.00%	> 19,000 - 44,000	25.00%	> 44,000	50.00%				
McEwen Mining Inc	0 - 4,400	20.00%	> 4,400 - 8,900	50.00%	> 8,900	55.00%				
McGrath RentCorp	0 - 1,000	20.00%	> 1,000 - 2,600	45.00%	> 2,600	55.00%				
McKay Securities PLC	0 - 950	30.00%	> 950 - 11,000	40.00%	> 11,000	50.00%				
McKesson	0 - 720	20.00%	> 720 - 1,400	25.00%	> 1,400 - 2,900	30.00%	> 2,900	50.00%		
McMillan Shakespeare	0 - 3,300	20.00%	> 3,300 - 8,800	40.00%	> 8,800	70.00%				
MCUBS MidCity Investment Corp	0 - 23	30.00%	> 23 - 180	40.00%	> 180 - 390	45.00%	> 390	50.00%		
MDC Holdings Inc	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000	50.00%				
MDC Partners Inc	0 - 1,800	20.00%	> 1,800 - 3,800	30.00%	> 3,800 - 7,700	40.00%	> 7,700	50.00%		
MDU Resources Group Inc	0 - 3,300	20.00%	> 3,300	90.00%						
MDxHealth	0 - 2,300	20.00%	> 2,300 - 4,800	30.00%	> 4,800 - 9,900	35.00%	> 9,900	50.00%		
Mears	0 - 2,600	20.00%	> 2,600 - 6,900	30.00%	> 6,900 - 15,000	40.00%	> 15,000	50.00%		
Mechel	0 - 3,000	20.00%	> 3,000 - 6,000	30.00%	> 6,000 - 12,000	35.00%	> 12,000	50.00%		
Medallion Financial Corp	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600 - 7,300	45.00%	> 7,300	50.00%		
Mediaset Espana Comunicacion SA	0 - 12,000	20.00%	> 12,000 - 24,000	55.00%	> 24,000	80.00%				
Mediaset SpA	0 - 30,000	20.00%	> 30,000 - 120,000	30.00%	> 120,000	50.00%				
Medibank Private Ltd	0 - 43,000	20.00%	> 43,000 - 86,000	35.00%	> 86,000 - 170,000	40.00%	> 170,000	50.00%		
Medical Facilities Corp	0 - 4,200	20.00%	> 4,200 - 8,000	25.00%	> 8,000	50.00%				
Medical Properties Trust Inc	0 - 2,300	30.00%	> 2,300 - 6,900	35.00%	> 6,900 - 13,000	45.00%	> 13,000	50.00%		
Medicalgorithmics SA	0 - 280	20.00%	> 280 - 570	25.00%	> 570 - 1,100	30.00%	> 1,100	50.00%		
Medicines Co/The	0 - 820	25.00%	> 820 - 2,400	30.00%	> 2,400 - 4,900	40.00%	> 4,900 - 9,900	45.00%	> 9,900	50.00%
Mediclinic	0 - 14,000	20.00%	> 14,000 - 28,000	30.00%	> 28,000 - 56,000	35.00%	> 56,000	50.00%		
MedicX Fund	0 - 3,800	30.00%	> 3,800 - 11,000	35.00%	> 11,000 - 31,000	40.00%	> 31,000 - 70,000	45.00%	> 70,000	50.00%
Medidata Solutions Inc	0 - 1,100	20.00%	> 1,100 - 2,300	35.00%	> 2,300	55.00%				
Medifast Inc	0 - 590	20.00%	> 590 - 2,300	30.00%	> 2,300	50.00%				
Medigene AG	0 - 1,600	20.00%	> 1,600 - 3,200	40.00%	> 3,200	55.00%				
Mediobanca	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	50.00%				
Medipal Holdings	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Medivir B	0 - 2,500	20.00%	> 2,500 - 5,000	35.00%	> 5,000 - 10,000	45.00%	> 10,000	50.00%		
Medley Capital Corp	0 - 2,900	20.00%	> 2,900 - 5,800	40.00%	> 5,800	50.00%				
MEDNAX Inc	0 - 2,200	20.00%	> 2,200 - 4,400	35.00%	> 4,400 - 8,900	45.00%	> 8,900	50.00%		
Medtronic	0 - 1,100	20.00%	> 1,100 - 2,200	35.00%	> 2,200	50.00%				
Medusa Mining	0 - 29,000	20.00%	> 29,000 - 58,000	25.00%	> 58,000 - 110,000	35.00%	> 110,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
MeetMe Inc	0 - 4,300	20.00%	> 4,300 - 10,000	40.00%	> 10,000	50.00%				
MEG Energy Corp	0 - 4,100	25.00%	> 4,100 - 12,000	30.00%	> 12,000 - 25,000	50.00%	> 25,000	65.00%		
Megachips Corp	0 - 570	25.00%	> 570 - 1,700	35.00%	> 1,700 - 3,400	80.00%	> 3,400	90.00%		
Meggitt	0 - 13,000	20.00%	> 13,000 - 53,000	35.00%	> 53,000	50.00%				
Megmilk Snow Brand Co Ltd	0 - 7,100	20.00%	> 7,100 - 15,000	25.00%	> 15,000	50.00%				
Meidensha	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700 - 12,000	30.00%	> 12,000	50.00%		
Meiji Holdings	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,900	30.00%	> 4,900	50.00%		
Meiko Network Japan Co Ltd	0 - 1,100	20.00%	> 1,100 - 3,000	30.00%	> 3,000 - 6,700	40.00%	> 6,700	50.00%		
Meisei Industrial Co Ltd	0 - 1,400	20.00%	> 1,400 - 3,000	35.00%	> 3,000 - 6,100	45.00%	> 6,100	50.00%		
Meitec Corp	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 7,600	30.00%	> 7,600	50.00%		
Meitu INC	0 - 120,000	20.00%	> 120,000 - 240,000	25.00%	> 240,000 - 490,000	30.00%	> 490,000	50.00%		
Mekonomen	0 - 930	20.00%	> 930 - 2,400	45.00%	> 2,400	60.00%				
Melco	0 - 34,000	20.00%	> 34,000 - 130,000	25.00%	> 130,000	50.00%				
Melco Holdings Inc	0 - 380	20.00%	> 380 - 1,000	35.00%	> 1,000 - 2,300	45.00%	> 2,300	50.00%		
Melco Resorts & Entertainment Ltd - ADR	0 - 3,900	20.00%	> 3,900 - 7,900	25.00%	> 7,900 - 15,000	35.00%	> 15,000	50.00%		
Melcor Developments Ltd	0 - 290	30.00%	> 290 - 880	35.00%	> 880 - 1,700	55.00%	> 1,700	65.00%		
Melexis NV	0 - 760	20.00%	> 760 - 1,800	40.00%	> 1,800 - 4,000	45.00%	> 4,000	50.00%		
Melia Hotels International	0 - 7,300	20.00%	> 7,300 - 29,000	25.00%	> 29,000	50.00%				
Melinta Therapeutics Inc	0 - 2,800	20.00%	> 2,800 - 13,000	25.00%	> 13,000	50.00%				
Mellanox Technologies Ltd	0 - 400	30.00%	> 400 - 1,200	45.00%	> 1,200	90.00%				
Melrose	0 - 34,000	20.00%	> 34,000 - 68,000	25.00%	> 68,000 - 130,000	30.00%	> 130,000	50.00%		
Memorial Production Partners L	> 0	100.00%								
Mengniu Dairy	0 - 58,000	20.00%	> 58,000 - 110,000	25.00%	> 110,000	50.00%				
Menicon Co Ltd	0 - 3,200	20.00%	> 3,200 - 7,300	25.00%	> 7,300	50.00%				
MercadoLibre Inc	0 - 300	20.00%	> 300 - 600	35.00%	> 600 - 1,200	45.00%	> 1,200	50.00%		
Mercantile Bank Corp	0 - 270	20.00%	> 270 - 740	35.00%	> 740 - 1,600	40.00%	> 1,600	50.00%		
Mercantile Investment Trust Plc	0 - 4,100	30.00%	> 4,100 - 12,000	35.00%	> 12,000 - 74,000	45.00%	> 74,000	50.00%		
Mercer International Inc	0 - 1,700	20.00%	> 1,700 - 3,800	25.00%	> 3,800	50.00%				
Merchant Trust	0 - 510	35.00%	> 510 - 9,300	45.00%	> 9,300	50.00%				
Mercialys	0 - 460	25.00%	> 460 - 8,300	30.00%	> 8,300	50.00%				
Merck (Germany)	0 - 6,600	20.00%	> 6,600 - 13,000	40.00%	> 13,000	60.00%				
Merck (US)	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
Mercury General Corp	0 - 900	20.00%	> 900 - 2,400	25.00%	> 2,400 - 5,400	30.00%	> 5,400	50.00%		
Mercury NZ Ltd	0 - 88,000	20.00%	> 88,000 - 170,000	30.00%	> 170,000	50.00%				
Mercury Systems Inc	0 - 4,800	20.00%	> 4,800 - 9,700	30.00%	> 9,700	50.00%				
Meredith Corp	0 - 1,500	20.00%	> 1,500 - 3,300	40.00%	> 3,300	60.00%				
Meridian Bancorp Inc	0 - 690	20.00%	> 690 - 1,800	35.00%	> 1,800	50.00%				
Meridian Bioscience Inc	0 - 860	20.00%	> 860 - 2,300	25.00%	> 2,300 - 5,200	30.00%	> 5,200	50.00%		
Meridian Energy Ltd	0 - 24,000	20.00%	> 24,000 - 65,000	40.00%	> 65,000	70.00%				
Merit Medical Systems Inc	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
Meritage Homes Corp	0 - 1,300	20.00%	> 1,300 - 7,800	30.00%	> 7,800	50.00%				
Meritor Inc	0 - 2,900	20.00%	> 2,900 - 16,000	30.00%	> 16,000	50.00%				
Merlin Entertainments PLC	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000 - 75,000	30.00%	> 75,000	50.00%		
Merlin Properties Socimi SA	0 - 6,800	20.00%	> 6,800 - 13,000	40.00%	> 13,000	60.00%				
Merrimack Pharmaceuticals Inc	0 - 1,800	20.00%	> 1,800 - 3,700	30.00%	> 3,700 - 7,400	35.00%	> 7,400	50.00%		
Mersen	0 - 620	20.00%	> 620 - 1,600	80.00%	> 1,600	90.00%				
Mesa Laboratories Inc	0 - 150	20.00%	> 150 - 400	30.00%	> 400 - 870	35.00%	> 870	50.00%		
Mesabi Trust	0 - 390	20.00%	> 390 - 780	35.00%	> 780 - 1,500	45.00%	> 1,500	50.00%		
Mesoblast	0 - 4,200	30.00%	> 4,200 - 12,000	35.00%	> 12,000	50.00%				
Meta Financial Group Inc	0 - 420	20.00%	> 420 - 1,100	30.00%	> 1,100 - 2,300	35.00%	> 2,300	50.00%		
Metall Zug AG	0 - 3	20.00%	> 3 - 6	45.00%	> 6	70.00%				
Metallurgical Corporation of China	0 - 210,000	20.00%	> 210,000 - 430,000	25.00%	> 430,000 - 790,000	30.00%	> 790,000	50.00%		
Metals X Ltd	0 - 54,000	20.00%	> 54,000 - 190,000	25.00%	> 190,000	50.00%				
Metawater Co Ltd	0 - 800	20.00%	> 800 - 2,100	75.00%	> 2,100	90.00%				
Metcash	0 - 52,000	20.00%	> 52,000 - 100,000	30.00%	> 100,000 - 200,000	35.00%	> 200,000	50.00%		
Methanex Corp (CA)	0 - 1,300	20.00%	> 1,300 - 2,700	35.00%	> 2,700	55.00%				
Methanex Corp (US)	0 - 1,300	20.00%	> 1,300 - 5,400	25.00%	> 5,400	50.00%				
Methode Electronics Inc	0 - 990	20.00%	> 990 - 5,900	25.00%	> 5,900	50.00%				
MetLife	0 - 2,200	20.00%	> 2,200 - 4,500	45.00%	> 4,500	55.00%				
Metlifecare Ltd	0 - 2,500	20.00%	> 2,500 - 6,900	35.00%	> 6,900 - 15,000	40.00%	> 15,000	50.00%		
Metro Bank	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				
Metro Holdings	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000 - 46,000	30.00%	> 46,000	50.00%		
Metro Inc	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Metro Wholesale & Food Specialist AG	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000	50.00%				
Metsa Board OYJ (B)	0 - 8,600	20.00%	> 8,600 - 17,000	30.00%	> 17,000 - 35,000	40.00%	> 35,000	50.00%		
Metso	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900 - 11,000	30.00%	> 11,000	50.00%		
Mettler-Toledo International I	0 - 170	20.00%	> 170 - 340	25.00%	> 340 - 680	30.00%	> 680	50.00%		
Mexico Fund Inc/The	0 - 640	20.00%	> 640 - 1,200	25.00%	> 1,200 - 2,500	30.00%	> 2,500	50.00%		
Meyer Burger Technology AG	0 - 51,000	20.00%	> 51,000 - 100,000	25.00%	> 100,000 - 180,000	35.00%	> 180,000	50.00%		
MFA Financial Inc	0 - 2,200	35.00%	> 2,200 - 6,800	45.00%	> 6,800 - 18,000	75.00%	> 18,000	85.00%		
MFS Charter Income Trust	0 - 420	30.00%	> 420 - 1,200	35.00%	> 1,200 - 5,000	40.00%	> 5,000	50.00%		
MFS Government Markets Income Trust	0 - 730	30.00%	> 730 - 8,800	35.00%	> 8,800	50.00%				
MFS High Income Municipal Trust	0 - 670	30.00%	> 670 - 4,000	35.00%	> 4,000 - 8,000	40.00%	> 8,000	50.00%		
MFS Intermediate Income Trust	0 - 860	30.00%	> 860 - 5,200	35.00%	> 5,200 - 10,000	40.00%	> 10,000	50.00%		
MFS Multimarket Income Trust	0 - 590	30.00%	> 590 - 3,500	35.00%	> 3,500 - 7,100	40.00%	> 7,100	50.00%		
MFS Municipal Income Trust	0 - 490	30.00%	> 490 - 5,900	35.00%	> 5,900	50.00%				
MGE Energy Inc	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
MGI Digital Graphic Technology	0 - 280	20.00%	> 280 - 570	25.00%	> 570	50.00%				
MGIC Investment Corp	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
MGM China Holdings Ltd	0 - 45,000	20.00%	> 45,000 - 90,000	30.00%	> 90,000	55.00%				
MGM Resorts International	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
Michael Kors Holdings Ltd	0 - 1,400	20.00%	> 1,400 - 2,900	85.00%	> 2,900	90.00%				
Michaels Cos Inc/The	0 - 5,000	20.00%	> 5,000 - 20,000	40.00%	> 20,000	50.00%				
Michelin	0 - 790	20.00%	> 790 - 1,500	30.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Michinoku Bank Ltd	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
Micro Focus	0 - 12,000	20.00%	> 12,000 - 24,000	65.00%	> 24,000	90.00%				
Micro Focus International - ADR	0 - 11,000	20.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%				
Microchip Tech	0 - 1,000	20.00%	> 1,000 - 2,100	40.00%	> 2,100	80.00%				
Micron Technology Inc	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Micronics Japan Co Ltd	0 - 950	25.00%	> 950 - 2,800	30.00%	> 2,800 - 5,700	45.00%	> 5,700	50.00%		
Microport Scientific Corp	0 - 41,000	20.00%	> 41,000 - 110,000	45.00%	> 110,000	55.00%				
Microsemi Corp	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,700	30.00%	> 5,700	50.00%		
Microsoft	0 - 6,200	20.00%	> 6,200 - 12,000	40.00%	> 12,000	60.00%				
MicroStrategy Inc	0 - 360	20.00%	> 360 - 970	70.00%	> 970	90.00%				
Mid-America Apartment Communit	0 - 1,000	20.00%	> 1,000 - 2,000	30.00%	> 2,000 - 4,000	35.00%	> 4,000	50.00%		
Midas Holdings	> 0	100.00%								
Middleby Corp	0 - 970	20.00%	> 970 - 1,900	45.00%	> 1,900	55.00%				
Middlefield Canadian Income PCC	0 - 2,600	35.00%	> 2,600 - 15,000	55.00%	> 15,000	80.00%				
Middlesex Water Co	0 - 930	20.00%	> 930 - 2,100	25.00%	> 2,100	50.00%				
Midsona AB - B	0 - 2,300	20.00%	> 2,300 - 4,800	25.00%	> 4,800	50.00%				
Milacron Holdings Corp	0 - 1,520	20.00%	> 1,520 - 4,050	25.00%	> 4,050 - 9,110	30.00%	> 9,110	50.00%		
Milbon Co Ltd	0 - 1,000	20.00%	> 1,000 - 6,200	35.00%	> 6,200	50.00%				
Mill Cop Hotels	0 - 1,300	20.00%	> 1,300 - 3,200	25.00%	> 3,200 - 6,900	30.00%	> 6,900	50.00%		
Millennium Pacific Group Holdings Ltd	0 - 400,000	20.00%	> 400,000 - 1,600,000	25.00%	> 1,600,000	50.00%				
Miller Industries Inc/TN	0 - 120	25.00%	> 120 - 380	35.00%	> 380 - 760	85.00%	> 760	90.00%		
Millicom International Cellular	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,500	30.00%	> 6,500	50.00%		
Milton Corporation	0 - 950	30.00%	> 950 - 2,800	35.00%	> 2,800 - 13,000	45.00%	> 13,000	50.00%		
MiMedx Group Inc	0 - 9,700	20.00%	> 9,700 - 19,000	60.00%	> 19,000	90.00%				
Mincor Resources	0 - 71,000	20.00%	> 71,000 - 140,000	25.00%	> 140,000	50.00%				
MindBody Inc	0 - 2,100	20.00%	> 2,100 - 4,500	40.00%	> 4,500 - 9,200	45.00%	> 9,200	50.00%		
Minebea	0 - 5,800	20.00%	> 5,800 - 11,000	30.00%	> 11,000 - 23,000	40.00%	> 23,000	50.00%		
Mineral Deposits	0 - 7,600	20.00%	> 7,600 - 20,000	30.00%	> 20,000 - 44,000	35.00%	> 44,000	50.00%		
Mineral Resources	0 - 8,500	20.00%	> 8,500 - 17,000	50.00%	> 17,000	55.00%				
Minerals Technologies Inc	0 - 850	20.00%	> 850 - 2,100	30.00%	> 2,100 - 4,800	35.00%	> 4,800	50.00%		
Mingfa Group International Co Ltd	> 0	100.00%								
Ministop Co Ltd	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000	50.00%				
Minmetals Land	0 - 20,000	30.00%	> 20,000 - 130,000	40.00%	> 130,000 - 280,000	45.00%	> 280,000	50.00%		
Minmetals Resources	0 - 140,000	20.00%	> 140,000 - 290,000	30.00%	> 290,000 - 590,000	40.00%	> 590,000	50.00%		
Minsheng Bank	0 - 140,000	20.00%	> 140,000 - 280,000	40.00%	> 280,000 - 560,000	45.00%	> 560,000	50.00%		
Minth Group Ltd	0 - 48,000	20.00%	> 48,000 - 96,000	25.00%	> 96,000	50.00%				
Miquel y Costas	0 - 260	20.00%	> 260 - 670	35.00%	> 670 - 1,400	45.00%	> 1,400	50.00%		
Miraca Holdings Inc	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000	50.00%				
Mirait Holdings Corp	0 - 5,400	20.00%	> 5,400 - 12,000	40.00%	> 12,000	55.00%				
Mirati Therapeutics Inc	0 - 2,000	20.00%	> 2,000 - 4,000	35.00%	> 4,000	55.00%				
Mirvac Group	0 - 20,000	35.00%	> 20,000 - 60,000	40.00%	> 60,000 - 120,000	60.00%	> 120,000	65.00%		
Mistras Group Inc	0 - 460	20.00%	> 460 - 1,100	25.00%	> 1,100 - 2,400	30.00%	> 2,400	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
MISUMI Group	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700 - 13,000	30.00%	> 13,000	50.00%		
Mitani Corp	0 - 220	20.00%	> 220 - 440	40.00%	> 440 - 880	45.00%	> 880	50.00%		
Mitchells Butler	0 - 5,400	20.00%	> 5,400 - 32,000	30.00%	> 32,000	50.00%				
Mitek Systems Inc	0 - 1,000	20.00%	> 1,000 - 2,100	30.00%	> 2,100 - 4,300	40.00%	> 4,300	50.00%		
Mitel Networks Corp (CA)	0 - 2,100	20.00%	> 2,100 - 5,800	30.00%	> 5,800 - 13,000	35.00%	> 13,000	50.00%		
Mitel Networks Corp (US)	0 - 6,200	20.00%	> 6,200 - 15,000	30.00%	> 15,000 - 30,000	35.00%	> 30,000	50.00%		
MITIE Group	0 - 18,000	20.00%	> 18,000 - 44,000	25.00%	> 44,000 - 88,000	30.00%	> 88,000	50.00%		
Mito Securities Co Ltd	0 - 3,000	20.00%	> 3,000 - 8,200	30.00%	> 8,200 - 17,000	35.00%	> 17,000	50.00%		
Mitsub UFJ FG	0 - 110,000	20.00%	> 110,000 - 230,000	40.00%	> 230,000	60.00%				
Mitsub UFJ Lease	0 - 17,000	20.00%	> 17,000 - 68,000	25.00%	> 68,000	50.00%				
Mitsuba Corp	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700 - 12,000	35.00%	> 12,000	50.00%		
Mitsubishi	0 - 7,100	20.00%	> 7,100 - 14,000	25.00%	> 14,000	50.00%				
Mitsubishi Chemical	0 - 12,000	20.00%	> 12,000 - 24,000	45.00%	> 24,000	50.00%				
Mitsubishi Electric	0 - 15,000	20.00%	> 15,000 - 30,000	30.00%	> 30,000	50.00%				
Mitsubishi Estate	0 - 5,800	20.00%	> 5,800 - 11,000	35.00%	> 11,000 - 23,000	40.00%	> 23,000	50.00%		
Mitsubishi Gas Chemical	0 - 9,000	20.00%	> 9,000 - 18,000	25.00%	> 18,000	50.00%				
Mitsubishi Heavy	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Mitsubishi Logistics	0 - 9,300	20.00%	> 9,300 - 18,000	25.00%	> 18,000	50.00%				
Mitsubishi Materials	0 - 3,700	20.00%	> 3,700 - 15,000	25.00%	> 15,000	50.00%				
Mitsubishi Motors	0 - 25,000	20.00%	> 25,000 - 50,000	40.00%	> 50,000	60.00%				
Mitsubishi Nichiyu Forklift Co Ltd	0 - 2,600	20.00%	> 2,600 - 7,000	25.00%	> 7,000 - 15,000	30.00%	> 15,000	50.00%		
Mitsubishi Paper	0 - 1,800	20.00%	> 1,800 - 3,600	35.00%	> 3,600 - 7,300	40.00%	> 7,300	50.00%		
Mitsubishi Pencil Co Ltd	0 - 1,000	20.00%	> 1,000 - 6,500	25.00%	> 6,500	50.00%				
Mitsubishi Research Institute Inc	0 - 710	20.00%	> 710 - 1,800	30.00%	> 1,800 - 3,800	40.00%	> 3,800	50.00%		
Mitsubishi Shokuhin	0 - 470	20.00%	> 470 - 2,800	25.00%	> 2,800	50.00%				
Mitsubishi Steel Mfg. Co Ltd	0 - 770	20.00%	> 770 - 1,900	25.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%		
Mitsubishi Tanabe Pharma	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Mitsubishi UFJ Financial Group Inc	0 - 10,000	20.00%	> 10,000 - 24,000	25.00%	> 24,000	50.00%				
Mitsubishi UFJ Japan Physical Gold ETF	0 - 380	20.00%	> 380 - 2,200	25.00%	> 2,200	50.00%				
Mitsuboshi Belting Co Ltd	0 - 3,500	20.00%	> 3,500 - 7,300	25.00%	> 7,300	50.00%				
Mitsui & Co	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%		
Mitsui Chemicals	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Mitsui Eng & Shp	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000 - 21,000	30.00%	> 21,000	50.00%		
Mitsui Fudosan	0 - 1,300	25.00%	> 1,300 - 8,300	40.00%	> 8,300 - 16,000	45.00%	> 16,000	50.00%		
Mitsui Mining & Smelting	0 - 2,600	20.00%	> 2,600 - 10,000	25.00%	> 10,000	50.00%				
Mitsui OSK Lines	0 - 4,200	20.00%	> 4,200 - 8,500	30.00%	> 8,500 - 17,000	40.00%	> 17,000	50.00%		
Mitsui Sugar Co Ltd	0 - 1,100	20.00%	> 1,100 - 3,100	25.00%	> 3,100 - 6,500	30.00%	> 6,500	50.00%		
Mitsui-Soko Holdings Co Ltd	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600 - 13,000	35.00%	> 13,000	50.00%		
Miura Co Ltd	0 - 4,000	20.00%	> 4,000 - 16,000	25.00%	> 16,000	50.00%				
Mixi Inc	0 - 4,000	20.00%	> 4,000 - 8,000	30.00%	> 8,000 - 16,000	35.00%	> 16,000	50.00%		
Miyazaki Bank Ltd/The	0 - 360	20.00%	> 360 - 800	45.00%	> 800	50.00%				
Mizuho Financial Group Inc	0 - 110,000	20.00%	> 110,000 - 230,000	25.00%	> 230,000	50.00%				
Mizuho Financial Group Inc - ADR	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
Mizuno Corp	0 - 3,500	20.00%	> 3,500 - 6,800	25.00%	> 6,800	50.00%				
MKS Instruments Inc	0 - 340	25.00%	> 340 - 1,000	30.00%	> 1,000 - 2,000	60.00%	> 2,000	90.00%		
MLP	0 - 1,500	20.00%	> 1,500 - 3,300	35.00%	> 3,300 - 6,800	40.00%	> 6,800	50.00%		
MMA Offshore Ltd	0 - 53,000	20.00%	> 53,000 - 210,000	25.00%	> 210,000	50.00%				
Mobile Mini Inc	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
Mobile TeleSystems OJSC	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 44,000	45.00%	> 44,000	50.00%		
MobileIron Inc	0 - 2,200	20.00%	> 2,200 - 6,000	35.00%	> 6,000 - 13,000	45.00%	> 13,000	50.00%		
Mobileye NV	0 - 1,500	20.00%	> 1,500 - 3,100	30.00%	> 3,100 - 6,300	35.00%	> 6,300	50.00%		
Mobilezone Holding AG	0 - 1,600	20.00%	> 1,600 - 4,400	40.00%	> 4,400	65.00%				
Mobimo Holding AG	0 - 34	25.00%	> 34 - 100	30.00%	> 100 - 260	35.00%	> 260 - 600	40.00%	> 600	50.00%
Mochida Pharmaceutical Co Ltd	0 - 260	20.00%	> 260 - 1,500	25.00%	> 1,500	50.00%				
Modec Inc	0 - 2,700	20.00%	> 2,700 - 6,600	25.00%	> 6,600 - 13,000	30.00%	> 13,000	50.00%		
Model N Inc	0 - 710	20.00%	> 710 - 1,800	25.00%	> 1,800 - 4,200	30.00%	> 4,200	50.00%		
Modern Times Group B	0 - 2,300	20.00%	> 2,300 - 4,600	55.00%	> 4,600	80.00%				
Modine Manufacturing Co	0 - 1,100	20.00%	> 1,100 - 3,000	25.00%	> 3,000 - 6,700	30.00%	> 6,700	50.00%		
Moelis & Co	0 - 1,500	20.00%	> 1,500 - 3,200	30.00%	> 3,200 - 6,600	35.00%	> 6,600	50.00%		
Mohawk Industries Inc	0 - 440	20.00%	> 440 - 880	25.00%	> 880 - 1,700	30.00%	> 1,700	50.00%		
Molecular Partners AG	0 - 410	20.00%	> 410 - 1,600	35.00%	> 1,600	50.00%				
Molecular Templates Inc	0 - 630	25.00%	> 630 - 1,900	30.00%	> 1,900 - 3,800	45.00%	> 3,800	55.00%		
Molina Healthcare Inc	0 - 310	25.00%	> 310 - 930	40.00%	> 930 - 1,800	85.00%	> 1,800	90.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Molson Coors	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Molson Coors Canada Inc	0 - 140	20.00%	> 140 - 280	40.00%	> 280	60.00%				
Momenta Pharmaceuticals Inc	0 - 2,000	20.00%	> 2,000 - 5,400	40.00%	> 5,400	60.00%				
Momo Inc	0 - 7,500	20.00%	> 7,500 - 15,000	45.00%	> 15,000	60.00%				
Monadelphous Group	0 - 7,200	20.00%	> 7,200 - 14,000	55.00%	> 14,000	65.00%				
Monash IVF Group Ltd	0 - 12,000	20.00%	> 12,000 - 26,000	40.00%	> 26,000	60.00%				
Moncler SpA	0 - 2,200	20.00%	> 2,200 - 4,400	35.00%	> 4,400	55.00%				
Mondelez	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200	50.00%				
Mondi	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000	50.00%				
Monex Group Inc	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000 - 75,000	30.00%	> 75,000	50.00%		
MoneyGram International Inc	0 - 1,600	20.00%	> 1,600 - 4,500	35.00%	> 4,500 - 10,000	40.00%	> 10,000	50.00%		
Moneysupermarket	0 - 24,000	20.00%	> 24,000 - 48,000	40.00%	> 48,000 - 96,000	45.00%	> 96,000	50.00%		
Mongolia Energy	0 - 610,000	20.00%	> 610,000 - 1,200,000	35.00%	> 1,200,000	50.00%				
Mongolian Mining Corp	0 - 700,000	20.00%	> 700,000 - 1,400,000	45.00%	> 1,400,000	55.00%				
Monks Investment Trust	0 - 1,200	40.00%	> 1,200 - 3,700	50.00%	> 3,700	90.00%				
Monmouth Real Estate Investment Corp	0 - 680	25.00%	> 680 - 12,000	35.00%	> 12,000	50.00%				
Monogatari Corp	0 - 106	20.00%	> 106 - 206	25.00%	> 206 - 416	30.00%	> 416	50.00%		
Monolithic Power Systems Inc	0 - 710	20.00%	> 710 - 1,400	35.00%	> 1,400	50.00%				
MonotaRO Co Ltd	0 - 2,000	20.00%	> 2,000 - 8,300	30.00%	> 8,300	50.00%				
Monotype Imaging Holdings Inc	0 - 760	20.00%	> 760 - 2,000	25.00%	> 2,000 - 4,500	30.00%	> 4,500	50.00%		
Monro Muffler Brake Inc	0 - 1,100	20.00%	> 1,100 - 2,700	40.00%	> 2,700	65.00%				
Monster Beverage	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,500	30.00%	> 6,500	50.00%		
Moody's	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Moog Inc	0 - 1,900	20.00%	> 1,900 - 4,200	25.00%	> 4,200	50.00%				
Morgan Advanced Materials PLC	0 - 5,400	20.00%	> 5,400 - 14,000	25.00%	> 14,000 - 32,000	30.00%	> 32,000	50.00%		
Morgan Sindall	0 - 4,000	20.00%	> 4,000 - 9,100	25.00%	> 9,100	50.00%				
Morgan Stanley	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100 - 8,200	35.00%	> 8,200	50.00%		
Morgan Stanley China A Share Fund Inc	0 - 860	20.00%	> 860 - 2,300	25.00%	> 2,300 - 5,000	35.00%	> 5,000	50.00%		
Morgan Stanley Emerging Markets Debt Fund Inc	0 - 370	30.00%	> 370 - 1,100	35.00%	> 1,100 - 4,500	45.00%	> 4,500	50.00%		
Morgan Stanley Emerging Markets Domestic Debt Fund Inc	0 - 470	30.00%	> 470 - 6,100	35.00%	> 6,100	50.00%				
Morgan Stanley India Investment Fund Inc	0 - 800	20.00%	> 800 - 1,600	25.00%	> 1,600	50.00%				
Morguard Corp	0 - 26	30.00%	> 26 - 150	40.00%	> 150 - 310	45.00%	> 310	50.00%		
Morguard North American Residential Real Estate Investment Trust	0 - 280	30.00%	> 280 - 3,400	40.00%	> 3,400	50.00%				
Morguard Real Estate Investment Trust	0 - 330	30.00%	> 330 - 3,900	35.00%	> 3,900	50.00%				
Mori Hills REIT Investment Corp	0 - 18	30.00%	> 18 - 130	35.00%	> 130 - 290	40.00%	> 290	50.00%		
Mori Trust Sogo Reit Inc	0 - 13	30.00%	> 13 - 220	35.00%	> 220	50.00%				
Morinaga & Co Ltd/Japan	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000	50.00%				
Morinaga Milk Industry Co Ltd	0 - 2,900	20.00%	> 2,900 - 5,900	35.00%	> 5,900 - 11,000	40.00%	> 11,000	50.00%		
Morita Holdings Corp	0 - 730	20.00%	> 730 - 1,900	40.00%	> 1,900	55.00%				
Morneau Shepell Inc	0 - 1,200	20.00%	> 1,200 - 3,400	25.00%	> 3,400 - 7,700	30.00%	> 7,700	50.00%		
Morningstar Inc	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Morpho Inc	0 - 410	20.00%	> 410 - 1,600	25.00%	> 1,600	50.00%				
Morphosys	0 - 260	25.00%	> 260 - 780	30.00%	> 780 - 1,500	50.00%	> 1,500	65.00%		
Morrisons	0 - 190,000	20.00%	> 190,000 - 390,000	40.00%	> 390,000	60.00%				
Mortgage Choice Ltd	0 - 9,700	20.00%	> 9,700 - 19,000	30.00%	> 19,000 - 39,000	35.00%	> 39,000	50.00%		
MOS Food Services Inc	0 - 640	20.00%	> 640 - 1,700	55.00%	> 1,700	75.00%				
Mosaic	0 - 3,500	20.00%	> 3,500 - 7,000	40.00%	> 7,000	65.00%				
Mota-Engil	0 - 8,200	20.00%	> 8,200 - 21,000	25.00%	> 21,000 - 49,000	30.00%	> 49,000	50.00%		
Mothercare	0 - 37,000	20.00%	> 37,000 - 140,000	35.00%	> 140,000	50.00%				
Motorcar Parts of America Inc	0 - 1,300	20.00%	> 1,300 - 2,700	65.00%	> 2,700	90.00%				
Motorola	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600 - 3,200	30.00%	> 3,200	50.00%		
Mount Gibson Iron	0 - 32,000	20.00%	> 32,000 - 64,000	50.00%	> 64,000	80.00%				
Movado Group Inc	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300 - 4,500	30.00%	> 4,500	50.00%		
MP Evans	0 - 3,100	20.00%	> 3,100 - 7,000	25.00%	> 7,000	50.00%				
MPLX LP	0 - 2,900	20.00%	> 2,900 - 5,800	35.00%	> 5,800	75.00%				
MRC Global Inc	0 - 8,400	20.00%	> 8,400 - 17,000	25.00%	> 17,000	50.00%				
MS & AD Insurance	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500 - 13,000	35.00%	> 13,000	50.00%		
MSA Safety Inc	0 - 800	20.00%	> 800 - 3,800	25.00%	> 3,800	50.00%				
MSC Industrial Direct Co Inc	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800	50.00%				
MSCI Inc	0 - 580	20.00%	> 580 - 1,100	25.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%		
MTGE Investment REIT	0 - 880	25.00%	> 880 - 2,600	30.00%	> 2,600 - 6,000	35.00%	> 6,000 - 11,000	40.00%	> 11,000	50.00%
MTI Ltd	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
MTR Corporation	0 - 18,000	20.00%	> 18,000 - 73,000	25.00%	> 73,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
MTS Systems Corp	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
MTU Aero	0 - 510	20.00%	> 510 - 1,000	25.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%		
MTY Food Group Inc	0 - 1,200	20.00%	> 1,200 - 2,700	25.00%	> 2,700	50.00%				
Mueller Industries Inc	0 - 1,000	20.00%	> 1,000 - 2,900	25.00%	> 2,900 - 6,500	35.00%	> 6,500	50.00%		
Mueller Water Products Inc	0 - 4,400	20.00%	> 4,400 - 11,000	25.00%	> 11,000 - 26,000	30.00%	> 26,000	50.00%		
Muenchen Rueck	0 - 450	20.00%	> 450 - 900	30.00%	> 900 - 1,800	40.00%	> 1,800	50.00%		
MuleSoft Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Mullen Group Ltd	0 - 1,700	20.00%	> 1,700 - 4,500	30.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Multi-Color Corp	0 - 600	20.00%	> 600 - 3,600	25.00%	> 3,600	50.00%				
Munsun Capital Group Ltd	0 - 98,000	30.00%	> 98,000 - 290,000	45.00%	> 290,000	90.00%				
Murata Manufacturing	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Murphy Oil	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000 - 12,000	30.00%	> 12,000	50.00%		
Murphy USA Inc	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500 - 5,000	30.00%	> 5,000	50.00%		
Murray Income Investment Trust	0 - 310	35.00%	> 310 - 2,400	50.00%	> 2,400	55.00%				
Murray International Trust	0 - 780	30.00%	> 780 - 14,000	35.00%	> 14,000	50.00%				
Musashi Seimitsu Industry Co Ltd	0 - 4,400	20.00%	> 4,400 - 8,300	25.00%	> 8,300	50.00%				
Musashino Bank Ltd/The	0 - 630	20.00%	> 630 - 1,600	30.00%	> 1,600 - 3,800	35.00%	> 3,800	50.00%		
MVV	0 - 110	30.00%	> 110 - 340	40.00%	> 340	90.00%				
Mycronic	0 - 4,300	20.00%	> 4,300 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Myer Holdings	0 - 56,000	20.00%	> 56,000 - 110,000	35.00%	> 110,000 - 210,000	45.00%	> 210,000	50.00%		
Myers Industries Inc	0 - 1,200	20.00%	> 1,200 - 3,300	35.00%	> 3,300 - 7,500	40.00%	> 7,500	50.00%		
Mylan	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400 - 10,000	30.00%	> 10,000	50.00%		
Myob Group Ltd	0 - 42,000	20.00%	> 42,000 - 150,000	30.00%	> 150,000	50.00%				
MYR Group Inc	0 - 470	20.00%	> 470 - 1,200	25.00%	> 1,200 - 2,800	30.00%	> 2,800	50.00%		
Myriad Genetics Inc	0 - 2,300	20.00%	> 2,300 - 4,600	40.00%	> 4,600 - 9,300	45.00%	> 9,300	50.00%		
Myriad Group AG	0 - 39,000	20.00%	> 39,000 - 79,000	70.00%	> 79,000	90.00%				
MySale Group PLC	> 0	50.00%								
MyState	0 - 2,700	20.00%	> 2,700 - 5,500	35.00%	> 5,500 - 11,000	40.00%	> 11,000	50.00%		
N Brown Group plc	0 - 9,100	20.00%	> 9,100	90.00%						
Nabors Indust	0 - 16,000	20.00%	> 16,000 - 65,000	30.00%	> 65,000	50.00%				
Nabtesco Corp	0 - 3,200	20.00%	> 3,200 - 6,500	35.00%	> 6,500 - 13,000	40.00%	> 13,000	50.00%		
NACCO Industries Inc	0 - 300	20.00%	> 300 - 600	40.00%	> 600	60.00%				
Nachi-Fujikoshi Corp	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800 - 6,900	35.00%	> 6,900	50.00%		
NagaCorp Ltd	0 - 65,000	20.00%	> 65,000 - 170,000	35.00%	> 170,000	50.00%				
Nagaileben Co Ltd	0 - 420	20.00%	> 420 - 1,100	30.00%	> 1,100 - 2,500	40.00%	> 2,500	50.00%		
Nagase	0 - 2,200	20.00%	> 2,200 - 13,000	25.00%	> 13,000	50.00%				
Nagatanien Holdings Co Ltd	0 - 1,500	20.00%	> 1,500 - 3,100	30.00%	> 3,100	50.00%				
Nagoya Railroad Co Ltd	0 - 8,100	20.00%	> 8,100 - 16,000	25.00%	> 16,000	50.00%				
Nakanishi Inc	0 - 6,300	20.00%	> 6,300 - 14,000	25.00%	> 14,000	50.00%				
Nam Cheong Ltd	> 0	100.00%								
Namura Shipbuilding Co Ltd	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500 - 17,000	30.00%	> 17,000	50.00%		
Nanjing Panda	0 - 29,000	20.00%	> 29,000 - 58,000	40.00%	> 58,000 - 110,000	45.00%	> 110,000	50.00%		
Nankai Electric Railway Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,800	35.00%	> 6,800 - 14,000	40.00%	> 14,000	50.00%		
Nanobiotix	0 - 1,100	20.00%	> 1,100 - 2,200	55.00%	> 2,200	90.00%				
NanoCarrier Co Ltd	0 - 6,900	20.00%	> 6,900 - 12,000	25.00%	> 12,000	50.00%				
Nanoco Group PLC	0 - 17,000	20.00%	> 17,000 - 35,000	45.00%	> 35,000	55.00%				
Nanometrics Inc	0 - 600	25.00%	> 600 - 1,800	35.00%	> 1,800 - 3,600	55.00%	> 3,600	65.00%		
Nanosonics Ltd	0 - 20,000	20.00%	> 20,000 - 41,000	35.00%	> 41,000	50.00%				
Nanto Bank Ltd/The	0 - 1,300	20.00%	> 1,300 - 3,500	35.00%	> 3,500 - 7,700	45.00%	> 7,700	50.00%		
NASDAQ OMX	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100 - 4,200	30.00%	> 4,200	50.00%		
Nathan's Famous Inc	0 - 100	20.00%	> 100 - 290	30.00%	> 290	50.00%				
National Agricultural Holdings Ltd	> 0	100.00%								
National Australia Bank	0 - 16,000	20.00%	> 16,000 - 32,000	40.00%	> 32,000	60.00%				
National Bank Holdings Corp	0 - 3,200	20.00%	> 3,200 - 7,100	30.00%	> 7,100	50.00%				
National Bank of Canada	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
National Bankshares Inc	0 - 430	20.00%	> 430 - 860	25.00%	> 860	50.00%				
National Beverage Corp	0 - 940	20.00%	> 940 - 3,700	25.00%	> 3,700	50.00%				
National CineMedia Inc	0 - 3,100	20.00%	> 3,100 - 8,500	35.00%	> 8,500 - 18,000	40.00%	> 18,000	50.00%		
National Express	0 - 8,600	20.00%	> 8,600 - 23,000	60.00%	> 23,000	85.00%				
National Fuel Gas Co	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
National General Holdings Corp	0 - 1,700	20.00%	> 1,700 - 4,600	30.00%	> 4,600 - 10,000	35.00%	> 10,000	50.00%		
National Grid	0 - 58,000	20.00%	> 58,000 - 110,000	40.00%	> 110,000	60.00%				
National Grid (US)	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
National Health Investors Inc	0 - 370	25.00%	> 370 - 1,100	30.00%	> 1,100 - 2,400	35.00%	> 2,400 - 5,100	40.00%	> 5,100	50.00%
National Healthcare Corp	0 - 360	20.00%	> 360 - 810	25.00%	> 810	50.00%				
National Instruments Corp	0 - 4,500	20.00%	> 4,500 - 9,000	30.00%	> 9,000	50.00%				
National Oilwell Varco	0 - 2,200	20.00%	> 2,200 - 4,400	30.00%	> 4,400	55.00%				
National Presto Industries Inc	0 - 480	20.00%	> 480 - 1,900	30.00%	> 1,900	50.00%				
National Retail Properties Inc	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400	50.00%				
National Storage REIT	0 - 8,600	25.00%	> 8,600 - 25,000	30.00%	> 25,000 - 66,000	35.00%	> 66,000 - 130,000	40.00%	> 130,000	50.00%
Nationstar Mortgage Holdings Inc	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Natixis	0 - 27,000	20.00%	> 27,000 - 55,000	25.00%	> 55,000	50.00%				
Natural Dairy	> 0	100.00%								
Natural Gas Services Group Inc	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700	50.00%				
Natural Grocers by Vitamin Cottage Inc	0 - 620	20.00%	> 620 - 3,000	30.00%	> 3,000	50.00%				
Natural Resource Partners LP	0 - 310	20.00%	> 310 - 630	65.00%	> 630	90.00%				
Naturex	0 - 110	20.00%	> 110 - 310	40.00%	> 310 - 710	45.00%	> 710	50.00%		
Natus Medical Inc	0 - 1,000	20.00%	> 1,000 - 2,600	25.00%	> 2,600 - 6,000	30.00%	> 6,000	50.00%		
Nautilus Inc	0 - 850	20.00%	> 850 - 2,200	40.00%	> 2,200	55.00%				
Navidea Biopharmaceuticals Inc	0 - 47,000	20.00%	> 47,000 - 95,000	45.00%	> 95,000	70.00%				
Navient Corp	0 - 7,400	20.00%	> 7,400 - 14,000	85.00%	> 14,000	90.00%				
Navigant Consulting Inc	0 - 1,600	20.00%	> 1,600 - 4,400	35.00%	> 4,400 - 10,000	45.00%	> 10,000	50.00%		
Navigator Global Investments Ltd	0 - 8,200	20.00%	> 8,200 - 20,000	35.00%	> 20,000	50.00%				
Navigator Holdings Ltd	0 - 790	20.00%	> 790 - 3,100	30.00%	> 3,100	50.00%				
Navigators Group Inc/The	0 - 550	20.00%	> 550 - 1,400	40.00%	> 1,400	50.00%				
Navios Maritime Acquisition Corp	0 - 17,000	20.00%	> 17,000 - 34,000	35.00%	> 34,000 - 69,000	45.00%	> 69,000	50.00%		
Navios Maritime Holdings Inc	0 - 11,000	20.00%	> 11,000 - 47,000	40.00%	> 47,000	50.00%				
Navios Maritime Partners LP	0 - 4,800	20.00%	> 4,800 - 10,000	45.00%	> 10,000	50.00%				
Navistar International Corp	0 - 2,300	20.00%	> 2,300 - 4,600	45.00%	> 4,600	55.00%				
Navitas	0 - 8,700	20.00%	> 8,700 - 52,000	30.00%	> 52,000	50.00%				
NB Global Floating Rate Income Fund Ltd	0 - 8,800	30.00%	> 8,800 - 26,000	35.00%	> 26,000 - 150,000	40.00%	> 150,000	50.00%		
NBT Bancorp Inc	0 - 1,700	20.00%	> 1,700 - 3,800	25.00%	> 3,800	50.00%				
NCC A	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000 - 2,100	30.00%	> 2,100	50.00%		
NCC B	0 - 5,800	20.00%	> 5,800 - 11,000	30.00%	> 11,000 - 22,000	35.00%	> 22,000	50.00%		
NCC Group	0 - 3,800	20.00%	> 3,800 - 10,000	30.00%	> 10,000 - 23,000	35.00%	> 23,000	50.00%		
NCI Building Systems Inc	0 - 1,700	20.00%	> 1,700 - 4,700	75.00%	> 4,700	90.00%				
NCR	0 - 3,100	20.00%	> 3,100 - 6,300	50.00%	> 6,300	70.00%				
NEC	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
NEC Networks & System Integrat	0 - 1,500	20.00%	> 1,500 - 4,000	40.00%	> 4,000 - 9,100	45.00%	> 9,100	50.00%		
Nederland Apparatenfabriek	0 - 180	20.00%	> 180 - 370	40.00%	> 370	55.00%				
Neenah Paper Inc	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				
Nektar Therapeutics	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
NEL ASA	0 - 78,000	20.00%	> 78,000 - 150,000	35.00%	> 150,000	60.00%				
Nelnet Inc	0 - 320	20.00%	> 320 - 870	65.00%	> 870	90.00%				
Nemaska Lithium Inc.	0 - 59,000	20.00%	> 59,000 - 120,000	35.00%	> 120,000 - 230,000	45.00%	> 230,000	50.00%		
Nemetschek AG	0 - 630	20.00%	> 630 - 1,400	45.00%	> 1,400	55.00%				
Neo Telemedia Ltd	0 - 330,000	20.00%	> 330,000 - 670,000	25.00%	> 670,000 - 1,300,000	30.00%	> 1,300,000	50.00%		
Neogen Corp	0 - 1,200	20.00%	> 1,200 - 2,400	35.00%	> 2,400	55.00%				
NeoGenomics Inc	0 - 680	25.00%	> 680 - 2,000	30.00%	> 2,000 - 5,400	45.00%	> 5,400	65.00%		
Neopost	0 - 4,300	20.00%	> 4,300 - 8,500	25.00%	> 8,500	50.00%				
Neste Oil	0 - 420	25.00%	> 420 - 1,200	40.00%	> 1,200	90.00%				
Nestle	0 - 8,300	20.00%	> 8,300 - 16,000	40.00%	> 16,000	60.00%				
Net 1 UEPS Technologies Inc	0 - 3,300	20.00%	> 3,300 - 7,400	25.00%	> 7,400	50.00%				
Net Entertainment B	0 - 10,000	20.00%	> 10,000 - 24,000	35.00%	> 24,000 - 48,000	40.00%	> 48,000	50.00%		
Net Insight AB	0 - 21,000	20.00%	> 21,000 - 43,000	45.00%	> 43,000	75.00%				
NET One Systems Co Ltd	0 - 5,700	20.00%	> 5,700 - 11,000	45.00%	> 11,000	55.00%				
NetApp	0 - 1,200	20.00%	> 1,200 - 2,400	45.00%	> 2,400	80.00%				
NetDragon Websoft Inc	0 - 15,000	20.00%	> 15,000 - 40,000	25.00%	> 40,000 - 91,000	30.00%	> 91,000	50.00%		
NetEase Inc	0 - 2,400	20.00%	> 2,400 - 4,900	40.00%	> 4,900	60.00%				
Netflix Inc	0 - 1,600	20.00%	> 1,600 - 3,300	40.00%	> 3,300	60.00%				
NETGEAR Inc	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300 - 4,900	30.00%	> 4,900	50.00%		
Netia SA	0 - 7,300	20.00%	> 7,300 - 14,000	35.00%	> 14,000 - 29,000	45.00%	> 29,000	50.00%		
Netscout Systems Inc	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400 - 12,000	30.00%	> 12,000	50.00%		
Neuberger Berman High Yield Strategies Fund Inc	0 - 300	40.00%	> 300 - 910	50.00%	> 910	90.00%				
Neuberger Berman Intermediate Municipal Fund Inc	0 - 240	30.00%	> 240 - 1,400	35.00%	> 1,400 - 2,900	40.00%	> 2,900	50.00%		
Neuberger Berman MLP Income Fund Inc	0 - 370	30.00%	> 370 - 2,200	35.00%	> 2,200 - 4,500	40.00%	> 4,500	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Neuberger Berman Real Estate Securities Income Fund Inc	0 - 650	35.00%	> 650 - 3,900	40.00%	> 3,900 - 7,800	45.00%	> 7,800	50.00%		
Neuralstem Inc	0 - 2,700	25.00%	> 2,700 - 16,000	30.00%	> 16,000 - 33,000	35.00%	> 33,000	50.00%		
Neurocrine Biosciences Inc	0 - 960	20.00%	> 960	90.00%						
Nevro Corp	0 - 1,500	20.00%	> 1,500 - 3,200	30.00%	> 3,200 - 6,700	35.00%	> 6,700	50.00%		
Nevsun Resources Ltd (CA)	0 - 12,000	20.00%	> 12,000 - 32,000	45.00%	> 32,000	55.00%				
Nevsun Resources Ltd (US)	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	55.00%				
New America High Income Fund Inc/The	0 - 390	35.00%	> 390 - 1,100	40.00%	> 1,100 - 2,300	60.00%	> 2,300	75.00%		
New China Life Insurance	0 - 49,000	20.00%	> 49,000 - 98,000	25.00%	> 98,000	50.00%				
New City High Yield Fund Ltd	0 - 4,000	35.00%	> 4,000 - 26,000	50.00%	> 26,000	60.00%				
New Flyer Industries Inc	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
New Germany Fund Inc/The	0 - 550	20.00%	> 550 - 2,200	25.00%	> 2,200	50.00%				
New Gold Inc (CA)	0 - 43,000	20.00%	> 43,000 - 97,000	25.00%	> 97,000	50.00%				
New Gold Inc (US)	0 - 5,700	20.00%	> 5,700 - 15,000	50.00%	> 15,000	80.00%				
New Hope	0 - 4,600	20.00%	> 4,600 - 12,000	50.00%	> 12,000	80.00%				
New Jersey Resources Corp	0 - 2,000	20.00%	> 2,000 - 4,100	35.00%	> 4,100 - 8,500	40.00%	> 8,500	50.00%		
New Media Investment Group Inc	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000	50.00%				
New Mountain Finance Corp	0 - 710	20.00%	> 710 - 1,700	30.00%	> 1,700 - 3,800	35.00%	> 3,800	50.00%		
New Oriental Education & Techn	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
New Provenance Everlasting Holdings	0 - 700,000	25.00%	> 700,000 - 2,100,000	40.00%	> 2,100,000	90.00%				
New Relic Inc	0 - 910	20.00%	> 910 - 1,800	40.00%	> 1,800	50.00%				
New Residential Investment Corp	0 - 1,800	30.00%	> 1,800 - 21,000	45.00%	> 21,000	50.00%				
New Senior Investment Group Inc	0 - 1,300	25.00%	> 1,300 - 3,900	30.00%	> 3,900 - 10,000	40.00%	> 10,000 - 20,000	45.00%	> 20,000	50.00%
New Wave Group B	0 - 1,700	20.00%	> 1,700 - 4,000	60.00%	> 4,000	70.00%				
New World Development	0 - 23,000	25.00%	> 23,000 - 140,000	35.00%	> 140,000 - 280,000	45.00%	> 280,000	50.00%		
New World Resources	> 0	100.00%								
New York Community Bancorp Inc	0 - 17,000	20.00%	> 17,000 - 34,000	25.00%	> 34,000	50.00%				
New York Mortgage Trust Inc	0 - 1,500	25.00%	> 1,500 - 4,600	30.00%	> 4,600 - 12,000	40.00%	> 12,000 - 26,000	45.00%	> 26,000	50.00%
New York REIT Inc	0 - 190	25.00%	> 190 - 580	30.00%	> 580 - 1,500	40.00%	> 1,500	50.00%		
New York Times	0 - 3,800	20.00%	> 3,800 - 7,700	85.00%	> 7,700	90.00%				
Newalta Corp	0 - 10,000	20.00%	> 10,000 - 42,000	25.00%	> 42,000	50.00%				
Newcrest Mining	0 - 21,000	20.00%	> 21,000 - 42,000	40.00%	> 42,000	60.00%				
Newell Rubber	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 14,000	30.00%	> 14,000	50.00%		
Newfield Exploration	0 - 1,100	25.00%	> 1,100 - 3,300	30.00%	> 3,300 - 6,600	60.00%	> 6,600	90.00%		
NewLink Genetics Corp	0 - 810	25.00%	> 810 - 10,000	35.00%	> 10,000	50.00%				
NewMarket Corp	0 - 240	20.00%	> 240	90.00%						
Newmont Mining	0 - 5,400	20.00%	> 5,400 - 10,000	40.00%	> 10,000	60.00%				
Newocean Energy Holdings Ltd	0 - 43,000	20.00%	> 43,000 - 90,000	35.00%	> 90,000 - 180,000	40.00%	> 180,000	50.00%		
Newpark Resources Inc	0 - 2,000	20.00%	> 2,000 - 5,500	25.00%	> 5,500 - 12,000	30.00%	> 12,000	50.00%		
NewRiver Retail Ltd	0 - 3,300	25.00%	> 3,300 - 10,000	30.00%	> 10,000 - 61,000	35.00%	> 61,000	50.00%		
Newron Pharmaceuticals SpA	0 - 250	25.00%	> 250 - 760	30.00%	> 760 - 1,700	45.00%	> 1,700	55.00%		
News Corp - A (US)	0 - 6,300	20.00%	> 6,300 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
News Corp - B (Australia)	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 23,000	35.00%	> 23,000	50.00%		
News Corp - B (US)	0 - 2,100	20.00%	> 2,100 - 12,000	25.00%	> 12,000	50.00%				
Newtree Group Holdings Ltd	0 - 130,000	20.00%	> 130,000 - 320,000	30.00%	> 320,000 - 620,000	35.00%	> 620,000	50.00%		
NEX Group plc	0 - 7,200	20.00%	> 7,200 - 14,000	40.00%	> 14,000	50.00%				
Nexans	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Nexity	0 - 510	30.00%	> 510 - 3,000	50.00%	> 3,000	70.00%				
Nexon Co Ltd	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
NexPoint Credit Strategies Fund	0 - 300	35.00%	> 300 - 920	40.00%	> 920 - 2,400	55.00%	> 2,400	65.00%		
Nexstar Broadcasting Group Inc	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,800	40.00%	> 4,800	50.00%		
Next	0 - 4,100	20.00%	> 4,100 - 8,300	40.00%	> 8,300	60.00%				
Next Biometrics Group	0 - 2,300	20.00%	> 2,300 - 9,400	25.00%	> 9,400	50.00%				
Next Co Ltd	0 - 8,500	20.00%	> 8,500 - 17,000	45.00%	> 17,000	50.00%				
NEXT FUNDS JPX-Nikkei Index 400 Exchange Traded Fund	0 - 170	20.00%	> 170 - 470	30.00%	> 470 - 1,000	40.00%	> 1,000	50.00%		
NEXT FUNDS NOMURA Crude Oil Long Index Linked Exchange Traded Fund	0 - 6,800	20.00%	> 6,800 - 12,000	25.00%	> 12,000	50.00%				
NEXT FUNDS Nomura Japan Equity High Dividend 70 ETF	0 - 45	20.00%	> 45 - 180	25.00%	> 180	50.00%				
NEXT FUNDS REIT Index ETF	0 - 3,600	20.00%	> 3,600 - 8,100	25.00%	> 8,100	50.00%				
NEXTDC Ltd	0 - 37,000	20.00%	> 37,000 - 74,000	25.00%	> 74,000	50.00%				
Nexteer Automotive Group Ltd	0 - 130,000	20.00%	> 130,000 - 270,000	25.00%	> 270,000	50.00%				
NextEnergy Solar Fund Ltd	0 - 2,300	35.00%	> 2,300 - 6,900	40.00%	> 6,900 - 15,000	65.00%	> 15,000	70.00%		
NextEra Energy	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
NextEra Energy Partners LP	0 - 1,500	20.00%	> 1,500 - 3,500	25.00%	> 3,500	50.00%				
Nexus AG	0 - 310	20.00%	> 310 - 630	35.00%	> 630	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
NGK Insulators	0 - 5,600	20.00%	> 5,600 - 22,000	30.00%	> 22,000	50.00%				
NGK Spark Plug Co Ltd	0 - 3,600	20.00%	> 3,600 - 7,200	30.00%	> 7,200 - 14,000	40.00%	> 14,000	50.00%		
NGL Energy Partners LP	0 - 2,700	20.00%	> 2,700 - 7,300	25.00%	> 7,300 - 16,000	30.00%	> 16,000	50.00%		
NH Foods Ltd	0 - 2,600	20.00%	> 2,600 - 5,300	60.00%	> 5,300	80.00%				
NH Hoteles	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000 - 53,000	35.00%	> 53,000	50.00%		
NHK Spring Co Ltd	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000 - 41,000	30.00%	> 41,000	50.00%		
NIB Holdings	0 - 24,000	20.00%	> 24,000 - 48,000	30.00%	> 48,000 - 97,000	35.00%	> 97,000	50.00%		
Nibe Industrier B	0 - 18,000	20.00%	> 18,000 - 36,000	25.00%	> 36,000	50.00%				
NIC Inc	0 - 1,600	20.00%	> 1,600 - 4,500	30.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Nice SpA	0 - 2,500	20.00%	> 2,500 - 5,100	55.00%	> 5,100	85.00%				
NICE Systems Ltd	0 - 920	20.00%	> 920 - 1,800	40.00%	> 1,800	65.00%				
Nichias Corp	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000 - 18,000	35.00%	> 18,000	50.00%		
Nichicon Corp	0 - 4,400	20.00%	> 4,400 - 9,700	30.00%	> 9,700 - 18,000	40.00%	> 18,000	50.00%		
Nichiha Corp	0 - 840	20.00%	> 840 - 2,200	30.00%	> 2,200 - 5,000	35.00%	> 5,000	50.00%		
Nichii Gakkan Co	0 - 2,900	20.00%	> 2,900 - 7,700	35.00%	> 7,700 - 15,000	45.00%	> 15,000	50.00%		
Nichi-iko Pharmaceutical Co Lt	0 - 4,400	20.00%	> 4,400 - 16,000	30.00%	> 16,000	50.00%				
Nichirei	0 - 4,300	20.00%	> 4,300 - 8,600	35.00%	> 8,600 - 17,000	45.00%	> 17,000	50.00%		
Nichols	0 - 510	20.00%	> 510 - 2,000	25.00%	> 2,000	50.00%				
NicOx	0 - 1,000	20.00%	> 1,000 - 2,300	30.00%	> 2,300 - 4,900	35.00%	> 4,900	50.00%		
Nidec	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Nielsen Holdings NV	0 - 6,400	20.00%	> 6,400 - 12,000	25.00%	> 12,000	50.00%				
Nifco Inc/Japan	0 - 3,200	20.00%	> 3,200 - 6,500	40.00%	> 6,500	55.00%				
Nihon Kohden Corp	0 - 1,100	25.00%	> 1,100 - 3,500	40.00%	> 3,500	90.00%				
Nihon M&A Center Inc	0 - 3,200	20.00%	> 3,200 - 6,500	35.00%	> 6,500 - 13,000	45.00%	> 13,000	50.00%		
Nihon Nohyaku Co Ltd	0 - 3,700	20.00%	> 3,700 - 17,000	30.00%	> 17,000	50.00%				
Nihon Parkerizing Co Ltd	0 - 1,900	20.00%	> 1,900 - 5,100	25.00%	> 5,100 - 11,000	30.00%	> 11,000	50.00%		
Nihon Unisys Ltd	0 - 4,100	20.00%	> 4,100 - 16,000	25.00%	> 16,000	50.00%				
NIKE	0 - 8,500	20.00%	> 8,500 - 17,000	40.00%	> 17,000	60.00%				
Nikkiso Co Ltd	0 - 2,900	20.00%	> 2,900 - 16,000	25.00%	> 16,000	50.00%				
Nikko Exchange Traded Index Fund 225	0 - 480	20.00%	> 480 - 960	25.00%	> 960 - 1,900	35.00%	> 1,900	50.00%		
Nikko Exchange Traded Index Fund TOPIX	0 - 4,700	20.00%	> 4,700 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Nikkon Holdings Co Ltd	0 - 3,400	20.00%	> 3,400 - 7,700	25.00%	> 7,700	50.00%				
Nikon	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Nine Dragons	0 - 92,000	20.00%	> 92,000 - 180,000	30.00%	> 180,000	50.00%				
Nine Entertainment	0 - 52,000	20.00%	> 52,000 - 210,000	35.00%	> 210,000	50.00%				
Nintendo	0 - 1,000	20.00%	> 1,000 - 2,000	40.00%	> 2,000	60.00%				
Nippo Corp	0 - 2,900	20.00%	> 2,900 - 7,900	40.00%	> 7,900	60.00%				
Nippon Beet Sugar Manufacturing Co Ltd	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
Nippon Building Fund Inc	0 - 18	20.00%	> 18 - 36	35.00%	> 36 - 71	40.00%	> 71	50.00%		
Nippon Carbon Co Ltd	0 - 1,600	20.00%	> 1,600 - 2,900	25.00%	> 2,900	50.00%				
Nippon Ceramic Co Ltd	0 - 1,900	20.00%	> 1,900 - 3,900	40.00%	> 3,900	55.00%				
Nippon Chemi-Con Corp	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,500	30.00%	> 4,500	50.00%		
Nippon Densetsu Kogyo Co Ltd	0 - 850	20.00%	> 850 - 2,200	40.00%	> 2,200	55.00%				
Nippon Electric Glass	0 - 3,500	20.00%	> 3,500 - 14,000	25.00%	> 14,000	50.00%				
Nippon Express	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000 - 6,100	35.00%	> 6,100	50.00%		
Nippon Flour	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
Nippon Gas Co Ltd	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Nippon Kanzai Co Ltd	0 - 530	20.00%	> 530 - 1,200	30.00%	> 1,200 - 2,600	35.00%	> 2,600	50.00%		
Nippon Kayaku	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Nippon Koei Co Ltd	0 - 830	20.00%	> 830 - 1,900	25.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%		
Nippon Light Metal	0 - 46,000	20.00%	> 46,000 - 92,000	35.00%	> 92,000 - 170,000	45.00%	> 170,000	50.00%		
Nippon Paint Co Ltd	0 - 4,800	20.00%	> 4,800 - 9,700	25.00%	> 9,700	50.00%				
Nippon Paper	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
Nippon Parking Development Co Ltd	0 - 13,000	20.00%	> 13,000 - 36,000	25.00%	> 36,000 - 79,000	35.00%	> 79,000	50.00%		
Nippon Prologis REIT Inc	0 - 16	30.00%	> 16 - 190	40.00%	> 190	50.00%				
NIPPON REIT Investment Corp	0 - 11	25.00%	> 11 - 33	30.00%	> 33 - 67	40.00%	> 67 - 120	45.00%	> 120	50.00%
Nippon Road Co Ltd/The	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Nippon Seiki Co Ltd	0 - 2,400	20.00%	> 2,400 - 5,400	25.00%	> 5,400	50.00%				
Nippon Sheet Glass	0 - 6,500	20.00%	> 6,500 - 13,000	30.00%	> 13,000 - 23,000	45.00%	> 23,000	50.00%		
Nippon Shinyaku Co Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	30.00%	> 3,700 - 7,400	35.00%	> 7,400	50.00%		
Nippon Shokubai Co Ltd	0 - 1,300	20.00%	> 1,300 - 5,500	30.00%	> 5,500	50.00%				
Nippon Signal Company Ltd	0 - 3,300	20.00%	> 3,300 - 8,300	25.00%	> 8,300 - 16,000	30.00%	> 16,000	50.00%		
Nippon Soda	0 - 2,200	20.00%	> 2,200 - 4,500	30.00%	> 4,500 - 8,300	40.00%	> 8,300	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Nippon Steel	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Nippon Steel & Sumikin Bussan Corp	0 - 810	20.00%	> 810 - 2,100	35.00%	> 2,100 - 4,800	40.00%	> 4,800	50.00%		
Nippon Suisan	0 - 21,000	20.00%	> 21,000 - 42,000	25.00%	> 42,000 - 82,000	30.00%	> 82,000	50.00%		
Nippon Television Holdings Inc	0 - 6,200	20.00%	> 6,200 - 12,000	35.00%	> 12,000 - 24,000	45.00%	> 24,000	50.00%		
Nippon Thompson Co Ltd	0 - 9,700	20.00%	> 9,700 - 17,000	25.00%	> 17,000	50.00%				
Nippon Valqua Industries Ltd	0 - 1,100	20.00%	> 1,100 - 2,400	35.00%	> 2,400 - 4,700	45.00%	> 4,700	50.00%		
Nipro Corp	0 - 8,400	20.00%	> 8,400 - 33,000	30.00%	> 33,000	50.00%				
Nishimatsu Construction Co Ltd	0 - 7,100	20.00%	> 7,100 - 13,000	25.00%	> 13,000	50.00%				
Nishimatsuya Chain Co Ltd	0 - 4,100	20.00%	> 4,100 - 17,000	25.00%	> 17,000	50.00%				
Nishi-Nippon Railroad Co Ltd	0 - 1,800	20.00%	> 1,800 - 4,800	30.00%	> 4,800 - 10,000	40.00%	> 10,000	50.00%		
Nishio Rent All Co Ltd	0 - 1,600	20.00%	> 1,600 - 3,700	30.00%	> 3,700 - 7,200	35.00%	> 7,200	50.00%		
NiSource	0 - 7,500	20.00%	> 7,500 - 15,000	30.00%	> 15,000	50.00%				
Nissan Chemical	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,600	35.00%	> 8,600	50.00%		
Nissan Motor	0 - 21,000	20.00%	> 21,000 - 43,000	25.00%	> 43,000	50.00%				
Nissan Shatai Co Ltd	0 - 7,100	20.00%	> 7,100 - 16,000	25.00%	> 16,000	50.00%				
Nissei Build Kogyo Co Ltd	0 - 2,100	20.00%	> 2,100 - 4,700	30.00%	> 4,700 - 9,000	35.00%	> 9,000	50.00%		
Nissha Printing Co Ltd	0 - 3,800	20.00%	> 3,800 - 7,700	35.00%	> 7,700 - 14,000	45.00%	> 14,000	50.00%		
Nisshin Group	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Nisshin OilliO	0 - 1,600	20.00%	> 1,600 - 4,200	25.00%	> 4,200 - 8,600	30.00%	> 8,600	50.00%		
Nisshin Steel Co Ltd	0 - 7,500	20.00%	> 7,500 - 15,000	30.00%	> 15,000 - 28,000	40.00%	> 28,000	50.00%		
Nisshinbo	0 - 9,500	20.00%	> 9,500 - 19,000	25.00%	> 19,000 - 38,000	30.00%	> 38,000	50.00%		
Nissin Corp	0 - 470	20.00%	> 470 - 1,200	35.00%	> 1,200 - 2,800	40.00%	> 2,800	50.00%		
Nissin Electric Co Ltd	0 - 5,100	20.00%	> 5,100 - 13,000	30.00%	> 13,000 - 26,000	45.00%	> 26,000	50.00%		
Nissin Foods Holdings	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,900	40.00%	> 5,900	50.00%		
Nissin Kogyo Co Ltd	0 - 6,900	20.00%	> 6,900 - 15,000	25.00%	> 15,000	50.00%				
Nitori Holdings	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300 - 2,600	35.00%	> 2,600	50.00%		
Nitta Corp	0 - 580	20.00%	> 580 - 3,500	30.00%	> 3,500	50.00%				
Nittetsu Mining Co Ltd	0 - 300	20.00%	> 300 - 1,800	25.00%	> 1,800	50.00%				
Nitto Boseki	0 - 2,400	20.00%	> 2,400 - 10,000	30.00%	> 10,000	50.00%				
Nitto Denko	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700 - 5,500	40.00%	> 5,500	50.00%		
Nitto Kogyo Corp	0 - 2,600	20.00%	> 2,600 - 5,700	25.00%	> 5,700 - 10,000	30.00%	> 10,000	50.00%		
Nitto Kohki Co Ltd	0 - 420	20.00%	> 420 - 1,000	35.00%	> 1,000 - 2,200	40.00%	> 2,200	50.00%		
NKT Holding	0 - 4,300	20.00%	> 4,300 - 7,900	25.00%	> 7,900	50.00%				
NMC Health PLC	0 - 6,700	20.00%	> 6,700 - 13,000	40.00%	> 13,000	60.00%				
NMI Holdings Inc	0 - 1,900	20.00%	> 1,900 - 5,100	30.00%	> 5,100 - 11,000	40.00%	> 11,000	50.00%		
NN Group NV	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	65.00%				
NN Inc	0 - 550	20.00%	> 550 - 1,400	25.00%	> 1,400 - 3,300	30.00%	> 3,300	50.00%		
Noah Holdings Ltd	0 - 2,100	20.00%	> 2,100 - 8,500	25.00%	> 8,500	50.00%				
Nobia	0 - 8,200	20.00%	> 8,200 - 20,000	30.00%	> 20,000 - 40,000	35.00%	> 40,000	50.00%		
Nobina publ AB	0 - 6,700	20.00%	> 6,700 - 13,000	30.00%	> 13,000 - 24,000	40.00%	> 24,000	50.00%		
Noble	0 - 5,100	35.00%	> 5,100 - 15,000	50.00%	> 15,000	90.00%				
Noble Century Investment Holdings Ltd	0 - 120,000	20.00%	> 120,000 - 240,000	30.00%	> 240,000 - 480,000	35.00%	> 480,000	50.00%		
Noble Energy	0 - 2,700	20.00%	> 2,700 - 5,500	45.00%	> 5,500	55.00%				
Noble Group	0 - 94,000	20.00%	> 94,000 - 180,000	30.00%	> 180,000 - 370,000	35.00%	> 370,000	50.00%		
Noevir Holdings Co Ltd	0 - 860	20.00%	> 860 - 2,200	35.00%	> 2,200 - 5,000	40.00%	> 5,000	50.00%		
NOF Corp	0 - 3,000	20.00%	> 3,000 - 6,000	35.00%	> 6,000	50.00%				
Nohmi Bosai Ltd	0 - 1,000	20.00%	> 1,000 - 6,300	25.00%	> 6,300	50.00%				
Nojima Corp	0 - 1,300	20.00%	> 1,300 - 3,600	25.00%	> 3,600 - 8,200	30.00%	> 8,200	50.00%		
NOK Corp	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Nokia (Finland)	0 - 56,000	20.00%	> 56,000 - 110,000	40.00%	> 110,000	60.00%				
Nokia (Italy)	0 - 1,700	20.00%	> 1,700 - 3,400	30.00%	> 3,400	55.00%				
Nokia (Sweden)	0 - 11,000	20.00%	> 11,000 - 28,000	30.00%	> 28,000	55.00%				
Nokia (US)	0 - 17,000	20.00%	> 17,000 - 34,000	30.00%	> 34,000	55.00%				
Nokian Renkaat	0 - 2,400	20.00%	> 2,400 - 4,900	35.00%	> 4,900	65.00%				
Nolato B	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%		
Nomad Foods	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Nomura Co Ltd	0 - 1,800	20.00%	> 1,800 - 4,800	25.00%	> 4,800 - 10,000	30.00%	> 10,000	50.00%		
Nomura ETF - Nikkei 225 Exchange Traded Fund	0 - 480	20.00%	> 480 - 960	35.00%	> 960 - 1,900	45.00%	> 1,900	50.00%		
Nomura Holdings Inc	0 - 41,000	20.00%	> 41,000 - 82,000	25.00%	> 82,000	50.00%				
Nomura Holdings Inc - ADR	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
Nomura Real Estate	0 - 1,500	25.00%	> 1,500 - 4,600	30.00%	> 4,600 - 18,000	35.00%	> 18,000	50.00%		
Nomura Real Estate Master Fund Inc	0 - 24	25.00%	> 24 - 72	30.00%	> 72 - 280	40.00%	> 280	50.00%		
Nomura TOPIX Banks Exchange Traded Fund	0 - 14,000	20.00%	> 14,000 - 37,000	30.00%	> 37,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Nomura TOPIX Exchange Traded Fund	0 - 6,300	20.00%	> 6,300 - 12,000	25.00%	> 12,000 - 25,000	30.00%	> 25,000	50.00%		
Noodles & Co	0 - 2,300	20.00%	> 2,300 - 5,300	25.00%	> 5,300	50.00%				
Norbord Inc	0 - 2,400	20.00%	> 2,400 - 4,800	50.00%	> 4,800	75.00%				
Nordea Bank	0 - 20,000	20.00%	> 20,000 - 40,000	25.00%	> 40,000	50.00%				
Nordea Bank (ADR)	0 - 20,000	20.00%	> 20,000 - 40,000	25.00%	> 40,000	50.00%				
Nordea Bank (Denmark)	0 - 20,000	20.00%	> 20,000 - 40,000	30.00%	> 40,000	50.00%				
Norden	0 - 1,600	20.00%	> 1,600 - 4,400	25.00%	> 4,400 - 9,400	35.00%	> 9,400	50.00%		
Nordex	0 - 6,400	20.00%	> 6,400 - 13,000	30.00%	> 13,000 - 25,000	35.00%	> 25,000	50.00%		
Nordic American Offshore Ltd	0 - 8,600	20.00%	> 8,600 - 17,000	50.00%	> 17,000	65.00%				
Nordic American Tanker Shipping	0 - 5,700	20.00%	> 5,700 - 15,000	70.00%	> 15,000	90.00%				
Nordic Nanovector ASA	0 - 3,800	20.00%	> 3,800 - 7,600	50.00%	> 7,600	85.00%				
Nordic Semicond	0 - 7,700	20.00%	> 7,700 - 20,000	45.00%	> 20,000	55.00%				
Nordic Waterproofing Holding	0 - 1,030	20.00%	> 1,030 - 2,030	25.00%	> 2,030 - 4,030	30.00%	> 4,030	50.00%		
Nordson Corp	0 - 750	20.00%	> 750 - 1,500	25.00%	> 1,500 - 3,000	30.00%	> 3,000	50.00%		
Nordstrom	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	35.00%	> 7,600	50.00%		
Norfolk Southern	0 - 620	20.00%	> 620 - 1,200	30.00%	> 1,200 - 2,400	35.00%	> 2,400	50.00%		
Noritake Co Ltd/Nagoya Japan	0 - 970	20.00%	> 970 - 3,900	25.00%	> 3,900	50.00%				
Noritz Corp	0 - 820	20.00%	> 820 - 2,200	25.00%	> 2,200 - 4,900	35.00%	> 4,900	50.00%		
NORMA Group AG	0 - 1,200	20.00%	> 1,200 - 2,600	25.00%	> 2,600 - 5,600	35.00%	> 5,600	50.00%		
Norsk Hydro ASA (NO)	0 - 57,000	20.00%	> 57,000 - 110,000	40.00%	> 110,000	60.00%				
Norsk Hydro ASA (SE)	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800	50.00%				
Norske Skogindustrier	> 0	100.00%								
North American Income Trust PLC	0 - 550	20.00%	> 550	90.00%						
North Atlantic Smaller Companies	0 - 85	30.00%	> 85 - 500	35.00%	> 500 - 1,000	40.00%	> 1,000	50.00%		
North Mining Shares	0 - 5,300,000	20.00%	> 5,300,000 - 10,000,000	25.00%	> 10,000,000	50.00%				
North Pacific Bank Ltd	0 - 13,000	20.00%	> 13,000 - 35,000	40.00%	> 35,000	55.00%				
North West Co Inc/The	0 - 3,900	20.00%	> 3,900 - 8,900	25.00%	> 8,900	50.00%				
Northeast Electric Development	0 - 170,000	20.00%	> 170,000 - 340,000	25.00%	> 340,000	50.00%				
Northern Dynasty Minerals Ltd. (US)	0 - 19,000	20.00%	> 19,000 - 39,000	30.00%	> 39,000 - 78,000	35.00%	> 78,000	50.00%		
Northern Oil and Gas Inc	0 - 3,900	35.00%	> 3,900 - 11,000	45.00%	> 11,000	90.00%				
Northern Star	0 - 18,000	20.00%	> 18,000 - 37,000	65.00%	> 37,000	75.00%				
Northern Trust	0 - 950	20.00%	> 950 - 1,900	30.00%	> 1,900 - 3,800	35.00%	> 3,800	50.00%		
Northfield Bancorp Inc	0 - 650	20.00%	> 650 - 1,700	25.00%	> 1,700 - 3,900	35.00%	> 3,900	50.00%		
Northgate	0 - 3,700	20.00%	> 3,700 - 22,000	25.00%	> 22,000	50.00%				
Northland Power Inc	0 - 4,500	20.00%	> 4,500 - 9,800	35.00%	> 9,800	55.00%				
Northrop Grumman Corp	0 - 1,000	20.00%	> 1,000	90.00%						
Northview Apartment Real Estate	0 - 990	25.00%	> 990 - 2,900	30.00%	> 2,900 - 14,000	35.00%	> 14,000	50.00%		
Northwest Bancshares Inc	0 - 6,500	20.00%	> 6,500 - 14,000	25.00%	> 14,000	50.00%				
NorthWest Healthcare Properties Real Estate Investment Trust	0 - 700	25.00%	> 700 - 2,100	30.00%	> 2,100 - 5,600	40.00%	> 5,600 - 12,000	45.00%	> 12,000	50.00%
Northwest Natural Gas Co	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
Northwest Pipe Co	0 - 990	20.00%	> 990 - 1,900	25.00%	> 1,900	50.00%				
NorthWestern Corp	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Norw Property	0 - 2,400	30.00%	> 2,400 - 7,400	35.00%	> 7,400 - 14,000	50.00%	> 14,000	65.00%		
Norway Royal Salmon ASA	0 - 1,400	20.00%	> 1,400 - 3,700	25.00%	> 3,700 - 8,400	30.00%	> 8,400	50.00%		
Norwegian Air Shuttle ASA	0 - 320	20.00%	> 320 - 650	40.00%	> 650	50.00%				
Norwegian Air Shuttle ASA (NO)	0 - 6,500	20.00%	> 6,500 - 13,000	40.00%	> 13,000	60.00%				
Norwegian Cruise Line Holdings Ltd	0 - 2,100	20.00%	> 2,100 - 4,200	35.00%	> 4,200 - 8,400	45.00%	> 8,400	50.00%		
Norwegian Finans Holding ASA	0 - 5,400	20.00%	> 5,400 - 14,000	85.00%	> 14,000	90.00%				
NOS SGPS	0 - 3,100	25.00%	> 3,100 - 9,300	35.00%	> 9,300 - 24,000	70.00%	> 24,000	80.00%		
Nostrum Oil & Gas PLC	0 - 2,400	25.00%	> 2,400 - 19,000	35.00%	> 19,000 - 44,000	40.00%	> 44,000	50.00%		
Nova Measuring Instruments Ltd	0 - 240	25.00%	> 240 - 720	30.00%	> 720 - 1,900	40.00%	> 1,900 - 4,300	45.00%	> 4,300	50.00%
Novagold Resources Inc (CA)	0 - 2,600	20.00%	> 2,600 - 6,900	30.00%	> 6,900 - 15,000	35.00%	> 15,000	50.00%		
Novagold Resources Inc (US)	0 - 2,700	20.00%	> 2,700 - 7,200	35.00%	> 7,200 - 16,000	40.00%	> 16,000	50.00%		
Novanta Inc	0 - 1,100	20.00%	> 1,100 - 2,600	35.00%	> 2,600 - 5,700	40.00%	> 5,700	50.00%		
Novartis AG	0 - 8,300	20.00%	> 8,300 - 16,000	40.00%	> 16,000	60.00%				
Novartis AG - ADR	0 - 1,200	20.00%	> 1,200 - 2,500	30.00%	> 2,500 - 5,000	35.00%	> 5,000	50.00%		
Novavax Inc	0 - 15,000	20.00%	> 15,000 - 89,000	30.00%	> 89,000	50.00%				
Novo Nordisk	0 - 6,600	20.00%	> 6,600 - 13,000	40.00%	> 13,000	60.00%				
Novo Nordisk A/S	0 - 660	25.00%	> 660 - 1,900	40.00%	> 1,900	90.00%				
Novocure Ltd	0 - 3,000	20.00%	> 3,000 - 6,000	35.00%	> 6,000	50.00%				
Novozymes	0 - 1,900	20.00%	> 1,900 - 3,800	50.00%	> 3,800	85.00%				
NOW Inc	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
NP3 Fastigheter	0 - 510	30.00%	> 510 - 1,500	40.00%	> 1,500 - 3,000	60.00%	> 3,000	70.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
NRG Energy	0 - 6,300	20.00%	> 6,300 - 12,000	25.00%	> 12,000	50.00%				
NRG Yield Inc	0 - 2,000	20.00%	> 2,000 - 12,000	25.00%	> 12,000	50.00%				
NRG Yield Inc - A	0 - 815	20.00%	> 815 - 4,900	30.00%	> 4,900	50.00%				
NRI	0 - 3,900	20.00%	> 3,900 - 7,900	30.00%	> 7,900	50.00%				
NRW Holdings	0 - 17,000	20.00%	> 17,000 - 46,000	25.00%	> 46,000 - 93,000	30.00%	> 93,000	50.00%		
NS Solutions Corp	0 - 2,600	20.00%	> 2,600 - 6,300	40.00%	> 6,300	50.00%				
NSD Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
NSI NV	0 - 140	25.00%	> 140 - 440	30.00%	> 440 - 1,100	40.00%	> 1,100 - 2,600	45.00%	> 2,600	50.00%
NSK	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000	50.00%				
NTN	0 - 24,000	20.00%	> 24,000 - 98,000	30.00%	> 98,000	50.00%				
NTT	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
NTT DATA	0 - 8,600	20.00%	> 8,600 - 17,000	30.00%	> 17,000 - 34,000	40.00%	> 34,000	50.00%		
NTT DoCoMo	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
NTT Urban Development Corp	0 - 2,900	25.00%	> 2,900 - 8,700	30.00%	> 8,700 - 38,000	35.00%	> 38,000	50.00%		
Nu Skin Enterprises Inc	0 - 1,200	20.00%	> 1,200 - 2,500	30.00%	> 2,500 - 5,100	40.00%	> 5,100	50.00%		
Nuance Communications	0 - 6,500	20.00%	> 6,500 - 13,000	30.00%	> 13,000 - 26,000	35.00%	> 26,000	50.00%		
Nucor	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,200	35.00%	> 6,200	50.00%		
Nufarm	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000 - 64,000	30.00%	> 64,000	50.00%		
Numis Corp PLC	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
NuStar Energy LP	0 - 2,500	20.00%	> 2,500 - 6,500	35.00%	> 6,500 - 14,000	45.00%	> 14,000	50.00%		
NuStar GP Holdings LLC	0 - 730	20.00%	> 730 - 1,600	40.00%	> 1,600	65.00%				
Nutanix Inc	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
Nutrien Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	50.00%	> 3,700	75.00%				
Nutrien Ltd	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
Nutrisystem Inc	0 - 4,400	20.00%	> 4,400 - 8,200	25.00%	> 8,200	50.00%				
NuVasive Inc	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600	60.00%				
Nuveen All Cap Energy MLP Opportunities Fund	0 - 420	30.00%	> 420 - 2,500	35.00%	> 2,500 - 5,100	40.00%	> 5,100	50.00%		
Nuveen AMT-Free Municipal Income Fund	0 - 360	35.00%	> 360 - 1,100	40.00%	> 1,100 - 2,900	65.00%	> 2,900	90.00%		
Nuveen AMT-Free Municipal Value Fund	0 - 210	30.00%	> 210 - 2,500	35.00%	> 2,500	50.00%				
Nuveen Build America Bond Fund	0 - 160	30.00%	> 160 - 490	35.00%	> 490 - 1,900	45.00%	> 1,900	50.00%		
Nuveen Build America Bond Opportunity Fund	0 - 150	30.00%	> 150 - 1,800	40.00%	> 1,800	50.00%				
Nuveen California AMT-Free Municipal Income Fund	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Nuveen California Dividend Advantage Municipal Fund	0 - 370	30.00%	> 370 - 1,100	35.00%	> 1,100 - 6,600	40.00%	> 6,600	50.00%		
Nuveen Connecticut Premium Income Municipal Fund	0 - 280	30.00%	> 280 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		
Nuveen Core Equity Alpha Fund	0 - 230	30.00%	> 230 - 2,700	35.00%	> 2,700	50.00%				
Nuveen Credit Strategies Income Fund	0 - 410	30.00%	> 410 - 1,200	35.00%	> 1,200 - 2,700	45.00%	> 2,700	55.00%		
Nuveen Diversified Dividend & Income Fund	0 - 290	30.00%	> 290 - 3,500	35.00%	> 3,500	50.00%				
Nuveen Dividend Advantage Municipal Fund	0 - 290	30.00%	> 290 - 5,200	35.00%	> 5,200	50.00%				
Nuveen Dividend Advantage Municipal Fund 3	0 - 260	30.00%	> 260 - 790	35.00%	> 790 - 4,700	40.00%	> 4,700	50.00%		
Nuveen Energy MLP Total Return Fund	0 - 310	30.00%	> 310 - 1,800	35.00%	> 1,800 - 3,700	40.00%	> 3,700	50.00%		
Nuveen Enhanced Municipal Value Fund	0 - 240	35.00%	> 240 - 2,900	45.00%	> 2,900	50.00%				
Nuveen Floating Rate Income Fund	0 - 320	30.00%	> 320 - 4,300	35.00%	> 4,300	50.00%				
Nuveen Floating Rate Income Opportunity Fund	0 - 320	30.00%	> 320 - 1,900	35.00%	> 1,900 - 3,900	40.00%	> 3,900	50.00%		
Nuveen Global High Income Fund	0 - 210	30.00%	> 210 - 2,600	35.00%	> 2,600	50.00%				
Nuveen Intermediate Duration Municipal Term Fund	0 - 260	30.00%	> 260 - 1,600	35.00%	> 1,600 - 3,200	40.00%	> 3,200	50.00%		
Nuveen Maryland Premium Income Municipal Fund	0 - 270	30.00%	> 270 - 1,600	35.00%	> 1,600 - 3,200	40.00%	> 3,200	50.00%		
Nuveen Michigan Quality Income Municipal Fund	0 - 260	30.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
Nuveen Mortgage Opportunity Term Fund	0 - 140	30.00%	> 140 - 1,700	35.00%	> 1,700	50.00%				
Nuveen Municipal High Income Opportunity Fund	0 - 250	30.00%	> 250 - 1,500	40.00%	> 1,500	50.00%				
Nuveen Municipal Value Fund Inc	0 - 340	30.00%	> 340 - 4,700	35.00%	> 4,700	50.00%				
Nuveen NASDAQ 100 Dynamic Overwrite Fund	0 - 130	30.00%	> 130 - 1,000	35.00%	> 1,000 - 2,300	40.00%	> 2,300	50.00%		
Nuveen New York AMT-Free Municipal Income Fund	0 - 270	30.00%	> 270 - 810	35.00%	> 810 - 1,600	45.00%	> 1,600	50.00%		
Nuveen Preferred & Income Term Fund	0 - 140	30.00%	> 140 - 1,700	35.00%	> 1,700	50.00%				
Nuveen Preferred Income Opportunities Fund	0 - 350	30.00%	> 350 - 2,300	35.00%	> 2,300 - 4,900	40.00%	> 4,900	50.00%		
Nuveen Quality Preferred Income Fund 2	0 - 540	30.00%	> 540 - 4,300	35.00%	> 4,300 - 9,700	40.00%	> 9,700	50.00%		
Nuveen Real Asset Income and Growth Fund	0 - 200	30.00%	> 200 - 2,400	35.00%	> 2,400	50.00%				
Nuveen Real Estate Income Fund	0 - 320	30.00%	> 320 - 1,900	35.00%	> 1,900 - 3,900	40.00%	> 3,900	50.00%		
Nuveen S&P 500 Buy-Write Income Fund	0 - 230	30.00%	> 230 - 1,600	35.00%	> 1,600 - 3,500	40.00%	> 3,500	50.00%		
Nuveen S&P 500 Dynamic Overwrite Fund	0 - 190	30.00%	> 190 - 590	35.00%	> 590 - 2,300	40.00%	> 2,300	50.00%		
Nuveen Select Tax-Free Income Portfolio	0 - 230	30.00%	> 230 - 700	35.00%	> 700 - 2,800	40.00%	> 2,800	50.00%		
Nuveen Select Tax-Free Income2 Portfolio	0 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Nuveen Select Tax-Free Income3 Portfolio	0 - 230	30.00%	> 230 - 1,300	35.00%	> 1,300 - 2,700	40.00%	> 2,700	50.00%		
Nuveen Senior Income Fund	0 - 540	30.00%	> 540 - 6,500	35.00%	> 6,500	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Nuveen Short Duration Credit Opportunities Fund	0 - 190	30.00%	> 190 - 2,300	35.00%	> 2,300	50.00%				
Nuveen Tax-Advantaged Dividend Growth Fund	0 - 190	30.00%	> 190 - 2,300	35.00%	> 2,300	50.00%				
Nuveen Tax-Advantaged Total Return Strategy Fund	0 - 250	30.00%	> 250 - 760	35.00%	> 760 - 1,500	40.00%	> 1,500 - 3,000	45.00%	> 3,000	50.00%
NuVista Energy Ltd	0 - 2,700	25.00%	> 2,700 - 8,100	30.00%	> 8,100 - 21,000	50.00%	> 21,000	55.00%		
NV Bekaert SA	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000	50.00%				
NVC Lighting	0 - 110,000	20.00%	> 110,000 - 450,000	40.00%	> 450,000	50.00%				
NVE Corp	0 - 110	20.00%	> 110 - 300	25.00%	> 300 - 690	30.00%	> 690	50.00%		
NVIDIA	0 - 1,300	20.00%	> 1,300 - 2,600	40.00%	> 2,600	60.00%				
NVR Inc	0 - 32	20.00%	> 32 - 65	25.00%	> 65 - 120	30.00%	> 120	50.00%		
NWS Holdings	0 - 68,000	20.00%	> 68,000 - 150,000	25.00%	> 150,000	50.00%				
NXP Semiconductor NV	0 - 6,100	20.00%	> 6,100 - 12,000	40.00%	> 12,000	60.00%				
NxStage Medical Inc	0 - 4,600	20.00%	> 4,600 - 10,000	25.00%	> 10,000	50.00%				
NYK Line	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%		
Nyrstar NV	0 - 7,900	20.00%	> 7,900 - 16,000	35.00%	> 16,000	50.00%				
NZX Ltd	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000 - 53,000	30.00%	> 53,000	50.00%		
Oaktree Capital Group LLC	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
Oaktree Strategic Income Corp	0 - 2,300	20.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%				
Oasis Petroleum Inc	0 - 7,900	20.00%	> 7,900 - 15,000	35.00%	> 15,000	50.00%				
Obara Group Inc	0 - 490	20.00%	> 490 - 1,300	45.00%	> 1,300	60.00%				
Obayashi	0 - 9,900	20.00%	> 9,900 - 19,000	30.00%	> 19,000 - 39,000	40.00%	> 39,000	50.00%		
Obic Business Consultants Co Ltd	0 - 360	20.00%	> 360 - 970	25.00%	> 970 - 2,100	30.00%	> 2,100	50.00%		
Obic Co Ltd	0 - 1,200	20.00%	> 1,200 - 4,800	25.00%	> 4,800	50.00%				
Obsidian Energy Ltd	0 - 8,800	20.00%	> 8,800 - 17,000	30.00%	> 17,000 - 35,000	35.00%	> 35,000	50.00%		
Obsidian Energy Ltd	0 - 2,900	25.00%	> 2,900 - 8,800	30.00%	> 8,800 - 17,000	40.00%	> 17,000 - 35,000	45.00%	> 35,000	50.00%
OC Oerlikon Corp AG	0 - 6,600	20.00%	> 6,600 - 13,000	35.00%	> 13,000	60.00%				
Ocado Group	0 - 24,000	20.00%	> 24,000 - 48,000	40.00%	> 48,000	60.00%				
Occidental	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	60.00%				
Ocean Rig UDW Inc	0 - 3,300	20.00%	> 3,300 - 6,700	30.00%	> 6,700 - 13,000	35.00%	> 13,000	50.00%		
Ocean Yield ASA	0 - 3,300	20.00%	> 3,300 - 8,800	25.00%	> 8,800 - 19,000	30.00%	> 19,000	50.00%		
OceanaGold	0 - 9,500	20.00%	> 9,500 - 25,000	35.00%	> 25,000	50.00%				
OceanaGold Corp	0 - 23,000	20.00%	> 23,000 - 58,000	25.00%	> 58,000 - 120,000	30.00%	> 120,000	50.00%		
Oceaneering International Inc	0 - 3,700	20.00%	> 3,700 - 7,500	45.00%	> 7,500	50.00%				
Oceanus Group	0 - 2,200,000	20.00%	> 2,200,000 - 4,500,000	40.00%	> 4,500,000	60.00%				
Och-Ziff Capital Management Gr	0 - 1,600	35.00%	> 1,600 - 4,800	55.00%	> 4,800	90.00%				
OCI NV	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 14,000	30.00%	> 14,000	50.00%		
Ocular Therapeutix Inc	0 - 540	25.00%	> 540 - 1,600	30.00%	> 1,600 - 4,300	60.00%	> 4,300	85.00%		
Ocwen Financial Corp	0 - 3,400	20.00%	> 3,400 - 9,000	60.00%	> 9,000	80.00%				
Odakyu Electric Railway	0 - 4,900	20.00%	> 4,900 - 9,900	80.00%	> 9,900	90.00%				
Oesterreichische Post	0 - 2,600	20.00%	> 2,600 - 5,800	25.00%	> 5,800	50.00%				
Office Depot	0 - 76,000	20.00%	> 76,000 - 140,000	25.00%	> 140,000	50.00%				
OFG Bancorp	0 - 980	20.00%	> 980 - 2,600	25.00%	> 2,600 - 5,900	30.00%	> 5,900	50.00%		
Ogaki Kyoritsu Bank Ltd/The	0 - 1,300	20.00%	> 1,300 - 3,600	60.00%	> 3,600	85.00%				
OGE Energy Corp	0 - 2,800	20.00%	> 2,800 - 5,600	25.00%	> 5,600 - 11,000	30.00%	> 11,000	50.00%		
OHL	0 - 43,000	20.00%	> 43,000 - 79,000	25.00%	> 79,000	50.00%				
Ohsho Food Service Corp	0 - 570	20.00%	> 570 - 1,500	30.00%	> 1,500 - 3,400	40.00%	> 3,400	50.00%		
Oil Search	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000 - 59,000	30.00%	> 59,000	50.00%		
Oil States International Inc	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000	50.00%				
Oiles Corp	0 - 680	20.00%	> 680 - 4,100	35.00%	> 4,100	50.00%				
Oita Bank Ltd/The	0 - 340	20.00%	> 340 - 900	25.00%	> 900 - 2,000	30.00%	> 2,000	50.00%		
Oji Paper	0 - 16,000	20.00%	> 16,000 - 33,000	25.00%	> 33,000 - 66,000	30.00%	> 66,000	50.00%		
Okabe Co Ltd	0 - 1,900	20.00%	> 1,900 - 11,000	25.00%	> 11,000	50.00%				
Okamoto Industries Inc	0 - 1,400	20.00%	> 1,400 - 5,200	25.00%	> 5,200	50.00%				
Okamura Corp	0 - 2,700	20.00%	> 2,700 - 7,200	30.00%	> 7,200 - 16,000	35.00%	> 16,000	50.00%		
Okasan Securities Group Inc	0 - 9,200	20.00%	> 9,200 - 24,000	30.00%	> 24,000 - 50,000	40.00%	> 50,000	50.00%		
Oki Electric	0 - 6,400	20.00%	> 6,400 - 23,000	30.00%	> 23,000	50.00%				
Okinawa Cellular Telephone Co	0 - 250	20.00%	> 250 - 510	35.00%	> 510 - 1,000	40.00%	> 1,000	50.00%		
Okinawa Electric Power Co Inc/The	0 - 5,600	20.00%	> 5,600 - 12,000	25.00%	> 12,000	50.00%				
Okta, Inc.	0 - 1,800	20.00%	> 1,800 - 7,300	25.00%	> 7,300	50.00%				
Okuma	0 - 1,800	20.00%	> 1,800 - 7,400	30.00%	> 7,400	50.00%				
Okumura Corp	0 - 3,000	20.00%	> 3,000 - 6,100	30.00%	> 6,100 - 11,000	35.00%	> 11,000	50.00%		
Okuwa Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,100	30.00%	> 2,100 - 4,200	45.00%	> 4,200	50.00%		
Olam Intl	0 - 21,000	20.00%	> 21,000 - 47,000	25.00%	> 47,000	50.00%				
Olav Thon Eiendomsselskap ASA	0 - 170	25.00%	> 170 - 520	30.00%	> 520 - 2,100	35.00%	> 2,100	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Old Dominion Freight Line Inc	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Old Mutual	0 - 95,000	20.00%	> 95,000 - 190,000	25.00%	> 190,000	50.00%				
Old National Bancorp/IN	0 - 3,800	20.00%	> 3,800 - 9,200	25.00%	> 9,200 - 20,000	30.00%	> 20,000	50.00%		
Old Republic International Cor	0 - 4,900	20.00%	> 4,900 - 9,900	25.00%	> 9,900 - 19,000	30.00%	> 19,000	50.00%		
Olin Corp	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800 - 13,000	35.00%	> 13,000	50.00%		
Ollie's Bargain Outlet Holdings Inc	0 - 1,300	20.00%	> 1,300 - 2,600	40.00%	> 2,600	65.00%				
Olvi OYJ	0 - 260	20.00%	> 260 - 520	25.00%	> 520 - 1,000	30.00%	> 1,000	50.00%		
Olympic Steel Inc	0 - 1,100	20.00%	> 1,100 - 2,400	25.00%	> 2,400	50.00%				
Olympus	0 - 2,500	20.00%	> 2,500 - 5,100	50.00%	> 5,100	70.00%				
Omega Healthcare Investors Inc	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 6,300	40.00%	> 6,300 - 12,000	45.00%	> 12,000	50.00%
Omeros Corp	0 - 810	25.00%	> 810 - 5,600	35.00%	> 5,600 - 10,000	40.00%	> 10,000	50.00%		
Omnicell Inc	0 - 1,100	20.00%	> 1,100 - 3,000	55.00%	> 3,000	60.00%				
Omnicom Group	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
OMNOVA Solutions Inc	0 - 320	25.00%	> 320 - 980	35.00%	> 980 - 1,900	75.00%	> 1,900	85.00%		
Omron	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
OMV	0 - 5,900	20.00%	> 5,900 - 11,000	40.00%	> 11,000	60.00%				
On Deck Capital Inc	0 - 3,000	20.00%	> 3,000 - 8,100	30.00%	> 8,100 - 17,000	35.00%	> 17,000	50.00%		
ON Semiconductor Corp	0 - 8,400	20.00%	> 8,400 - 16,000	25.00%	> 16,000	50.00%				
OncoMed Pharmaceuticals Inc	0 - 1,300	25.00%	> 1,300 - 8,000	35.00%	> 8,000 - 16,000	40.00%	> 16,000	50.00%		
OncoTherapy Science Inc	0 - 3,800	35.00%	> 3,800 - 11,000	40.00%	> 11,000 - 22,000	85.00%	> 22,000	90.00%		
ONE Gas Inc	0 - 1,300	20.00%	> 1,300 - 5,200	30.00%	> 5,200	50.00%				
One Liberty Properties Inc	0 - 120	30.00%	> 120 - 790	40.00%	> 790 - 1,600	45.00%	> 1,600	50.00%		
Onemain Holdings	0 - 1,600	20.00%	> 1,600 - 4,400	45.00%	> 4,400	55.00%				
OneMarket LTD	0 - 13,000	20.00%	> 13,000 - 26,000	30.00%	> 26,000 - 53,000	35.00%	> 53,000	50.00%		
Oneok	0 - 1,300	20.00%	> 1,300 - 2,700	55.00%	> 2,700	90.00%				
OneSavings Bank PLC	0 - 9,200	20.00%	> 9,200 - 24,000	30.00%	> 24,000 - 53,000	35.00%	> 53,000	50.00%		
OneSpan Inc	0 - 1,300	20.00%	> 1,300 - 3,500	30.00%	> 3,500 - 7,800	40.00%	> 7,800	50.00%		
Onex Corp	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Ono Pharmaceutical	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
Ontex Group NV	0 - 3,000	20.00%	> 3,000 - 6,300	45.00%	> 6,300	65.00%				
Onward Holdings Co Ltd	0 - 8,700	20.00%	> 8,700 - 41,000	25.00%	> 41,000	50.00%				
Onxeo (DK)	0 - 6,300	20.00%	> 6,300 - 12,000	35.00%	> 12,000 - 25,000	45.00%	> 25,000	50.00%		
Onxeo (FR)	0 - 2,200	25.00%	> 2,200 - 6,700	35.00%	> 6,700 - 13,000	85.00%	> 13,000	90.00%		
Ooh!Media Ltd	0 - 11,000	20.00%	> 11,000 - 29,000	80.00%	> 29,000	90.00%				
Open House Co Ltd	0 - 600	30.00%	> 600 - 3,600	50.00%	> 3,600	70.00%				
Open Text Corp	0 - 2,600	20.00%	> 2,600 - 10,000	25.00%	> 10,000	50.00%				
Open Text Corp	0 - 4,500	20.00%	> 4,500 - 9,700	25.00%	> 9,700	50.00%				
Ophir Energy	0 - 10,000	25.00%	> 10,000 - 31,000	35.00%	> 31,000 - 84,000	65.00%	> 84,000	80.00%		
Ophthotech Corp	0 - 3,600	20.00%	> 3,600 - 7,300	40.00%	> 7,300 - 14,000	45.00%	> 14,000	50.00%		
Opko Health Inc	0 - 16,000	20.00%	> 16,000	90.00%						
Oppenheimer Holdings Inc	0 - 350	20.00%	> 350 - 770	55.00%	> 770	65.00%				
Optex Co Ltd	0 - 2,200	20.00%	> 2,200 - 4,600	25.00%	> 4,600 - 8,700	35.00%	> 8,700	50.00%		
Optiva Inc	0 - 290	20.00%	> 290 - 580	40.00%	> 580	55.00%				
Opus Bank	0 - 570	20.00%	> 570 - 1,500	55.00%	> 1,500	60.00%				
Opus Group	0 - 13,000	20.00%	> 13,000 - 27,000	60.00%	> 27,000	80.00%				
Oracle Corp	0 - 4,000	20.00%	> 4,000 - 8,100	50.00%	> 8,100	80.00%				
Oracle Japan	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%		
Orange	0 - 38,000	20.00%	> 38,000 - 77,000	40.00%	> 77,000	60.00%				
Orange Polska SA	0 - 24,000	20.00%	> 24,000 - 64,000	35.00%	> 64,000 - 140,000	40.00%	> 140,000	50.00%		
Orange SA	0 - 1,300	20.00%	> 1,300 - 3,500	25.00%	> 3,500 - 7,900	35.00%	> 7,900	50.00%		
Orange SA	0 - 3,000	20.00%	> 3,000 - 6,900	25.00%	> 6,900	50.00%				
Orascom Development Holding AG	0 - 1,700	20.00%	> 1,700 - 3,900	25.00%	> 3,900	50.00%				
OraSure Technologies Inc	0 - 2,300	20.00%	> 2,300 - 6,200	30.00%	> 6,200 - 13,000	40.00%	> 13,000	50.00%		
ORBCOMM Inc	0 - 2,500	20.00%	> 2,500 - 6,700	60.00%	> 6,700	85.00%				
ORBIS	0 - 410	20.00%	> 410 - 830	35.00%	> 830 - 1,600	45.00%	> 1,600	50.00%		
Orbital ATK Inc	0 - 730	20.00%	> 730 - 1,400	25.00%	> 1,400 - 2,900	30.00%	> 2,900	50.00%		
Orbotech Ltd	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200	65.00%				
Orchid Island Capital Inc	0 - 750	30.00%	> 750 - 6,000	50.00%	> 6,000	65.00%				
Orchids Paper Products Co	0 - 2,500	20.00%	> 2,500 - 5,000	35.00%	> 5,000 - 10,000	45.00%	> 10,000	50.00%		
Ordina Beheer	0 - 5,600	20.00%	> 5,600 - 12,000	55.00%	> 12,000	75.00%				
O'Reilly Auto	0 - 340	20.00%	> 340 - 690	35.00%	> 690	50.00%				
Oresund	0 - 620	20.00%	> 620 - 1,300	40.00%	> 1,300	55.00%				
Orexigen Therapeutics Inc	0 - 15,000	35.00%	> 15,000 - 45,000	40.00%	> 45,000 - 90,000	45.00%	> 90,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Orexo	0 - 720	30.00%	> 720 - 2,100	50.00%	> 2,100	90.00%				
Organovo Holdings Inc	0 - 2,400	25.00%	> 2,400 - 7,400	30.00%	> 7,400 - 14,000	50.00%	> 14,000	75.00%		
Orica	0 - 7,500	20.00%	> 7,500 - 15,000	60.00%	> 15,000	85.00%				
Orient Corp	0 - 48,000	20.00%	> 48,000 - 120,000	30.00%	> 120,000 - 270,000	40.00%	> 270,000	50.00%		
Orient Overseas	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
Oriental Land Co Ltd/Japan	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800	50.00%				
Oriflame Cosmetics	0 - 5,900	20.00%	> 5,900 - 12,000	25.00%	> 12,000	50.00%				
Origin Energy	0 - 27,000	20.00%	> 27,000 - 55,000	45.00%	> 55,000	75.00%				
Origin Enterprises PLC (IE)	0 - 9,500	20.00%	> 9,500 - 34,000	25.00%	> 34,000	50.00%				
Oriola-KD OYJ	0 - 2,700	20.00%	> 2,700 - 15,000	25.00%	> 15,000	50.00%				
Orion Engineered Carbons SA	0 - 930	20.00%	> 930 - 5,500	25.00%	> 5,500	50.00%				
Orion Marine Group Inc	0 - 1,100	20.00%	> 1,100 - 2,200	30.00%	> 2,200 - 4,500	40.00%	> 4,500	50.00%		
Orion Oyj (A)	0 - 330	20.00%	> 330 - 660	40.00%	> 660 - 1,300	45.00%	> 1,300	50.00%		
Orion Oyj (B)	0 - 3,600	20.00%	> 3,600 - 7,200	40.00%	> 7,200	50.00%				
Orior AG	0 - 170	20.00%	> 170 - 460	40.00%	> 460 - 1,000	45.00%	> 1,000	50.00%		
Oritani Financial Corp	0 - 1,600	20.00%	> 1,600 - 3,700	25.00%	> 3,700	50.00%				
ORIX	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
ORIX Corp	0 - 260	20.00%	> 260 - 540	25.00%	> 540	50.00%				
Orix JREIT Inc	0 - 21	25.00%	> 21 - 63	30.00%	> 63 - 250	35.00%	> 250	50.00%		
Orkla ASA	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Orkla ASA (NO)	0 - 24,000	20.00%	> 24,000 - 49,000	25.00%	> 49,000	50.00%				
Ormat Technologies Inc	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300 - 7,100	30.00%	> 7,100	50.00%		
Orocobre	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000 - 58,000	30.00%	> 58,000	50.00%		
Orora Ltd	0 - 36,000	20.00%	> 36,000 - 73,000	40.00%	> 73,000	50.00%				
Orpea	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Orsted A/S	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
Orthofix International NV	0 - 2,200	20.00%	> 2,200 - 4,600	25.00%	> 4,600	50.00%				
Osaka Gas	0 - 4,900	20.00%	> 4,900 - 9,900	30.00%	> 9,900 - 19,000	40.00%	> 19,000	50.00%		
Osaka Soda Co Ltd	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
OSAKA Titanium Technologies Co	0 - 2,800	20.00%	> 2,800 - 10,000	25.00%	> 10,000	50.00%				
Osaki Electric Co Ltd	0 - 2,600	20.00%	> 2,600 - 12,000	25.00%	> 12,000	50.00%				
OSG Corp	0 - 4,500	20.00%	> 4,500 - 9,300	35.00%	> 9,300 - 18,000	40.00%	> 18,000	50.00%		
Oshkosh Corp	0 - 1,300	20.00%	> 1,300 - 5,400	35.00%	> 5,400	50.00%				
OSI Systems Inc	0 - 990	20.00%	> 990 - 2,200	25.00%	> 2,200 - 4,500	30.00%	> 4,500	50.00%		
Osisko Gold Royalties Ltd	0 - 3,200	20.00%	> 3,200 - 8,700	30.00%	> 8,700 - 19,000	35.00%	> 19,000	50.00%		
OSJB Holdings Corp	0 - 7,300	20.00%	> 7,300 - 16,000	55.00%	> 16,000	90.00%				
OSRAM Licht AG	0 - 2,400	20.00%	> 2,400 - 4,900	30.00%	> 4,900 - 9,900	40.00%	> 9,900	50.00%		
OSSIAM ETF EMERGING MARKETS MINIMUM VARIANCE EUR	0 - 26	25.00%	> 26 - 79	30.00%	> 79 - 150	60.00%	> 150	80.00%		
Ossur HF	0 - 2,200	20.00%	> 2,200 - 4,500	30.00%	> 4,500 - 9,100	35.00%	> 9,100	50.00%		
Otello Corp	0 - 4,100	20.00%	> 4,100 - 16,000	25.00%	> 16,000	50.00%				
Otonomy Inc	0 - 890	25.00%	> 890 - 2,600	35.00%	> 2,600 - 5,300	65.00%	> 5,300	80.00%		
Otsuka Corp	0 - 2,600	20.00%	> 2,600	90.00%						
Otsuka Holdings Co Ltd	0 - 2,200	20.00%	> 2,200 - 4,400	30.00%	> 4,400 - 8,800	35.00%	> 8,800	50.00%		
Otter Tail Corp	0 - 660	20.00%	> 660 - 3,900	25.00%	> 3,900	50.00%				
OUE Commercial Real Estate Investment Trust	0 - 6,500	30.00%	> 6,500 - 78,000	35.00%	> 78,000	50.00%				
OUE Hospitality Trust	0 - 5,700	25.00%	> 5,700 - 17,000	30.00%	> 17,000 - 44,000	35.00%	> 44,000 - 98,000	40.00%	> 98,000	50.00%
Outfront Media Inc	0 - 1,400	25.00%	> 1,400 - 4,400	30.00%	> 4,400 - 9,100	35.00%	> 9,100 - 18,000	40.00%	> 18,000	50.00%
Outokumpu	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000 - 65,000	35.00%	> 65,000	50.00%		
Outotec	0 - 10,000	20.00%	> 10,000 - 22,000	45.00%	> 22,000	55.00%				
Outsourcing Inc	0 - 5,200	20.00%	> 5,200 - 20,000	40.00%	> 20,000	50.00%				
OvaScience Inc	0 - 3,500	30.00%	> 3,500 - 10,000	35.00%	> 10,000 - 21,000	50.00%	> 21,000	55.00%		
Oversea-Chinese Banking Corp	0 - 24,000	20.00%	> 24,000 - 48,000	75.00%	> 48,000	90.00%				
Overseas Shipholding Group Inc	0 - 9,300	20.00%	> 9,300 - 20,000	25.00%	> 20,000	50.00%				
Overseas Union Enterprise Ltd	0 - 2,900	35.00%	> 2,900 - 8,700	45.00%	> 8,700 - 21,000	65.00%	> 21,000	75.00%		
Overstock.com Inc	0 - 3,700	20.00%	> 3,700 - 6,900	25.00%	> 6,900	50.00%				
OVS SpA	0 - 35,000	20.00%	> 35,000 - 65,000	25.00%	> 65,000	50.00%				
Owens & Minor Inc	0 - 3,000	20.00%	> 3,000 - 7,500	45.00%	> 7,500	55.00%				
Owens Corning	0 - 1,500	20.00%	> 1,500 - 3,000	35.00%	> 3,000	50.00%				
Owens Illinois	0 - 5,900	20.00%	> 5,900 - 11,000	30.00%	> 11,000 - 23,000	40.00%	> 23,000	50.00%		
Oxford Biomedica PLC	0 - 5,300	20.00%	> 5,300 - 19,000	35.00%	> 19,000	50.00%				
Oxford Immunotec Global PLC	0 - 840	20.00%	> 840 - 2,200	35.00%	> 2,200 - 5,000	40.00%	> 5,000	50.00%		
Oxford Industries Inc	0 - 830	20.00%	> 830 - 1,900	45.00%	> 1,900	65.00%				
Oxford Instruments	0 - 780	20.00%	> 780 - 2,000	30.00%	> 2,000 - 4,600	40.00%	> 4,600	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Oxford Lane Capital Corp	0 - 290	35.00%	> 290 - 890	40.00%	> 890 - 2,300	50.00%	> 2,300	55.00%		
Oxford Square Capital Corp	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,600	30.00%	> 5,600	50.00%		
Oxley Holdings Ltd	0 - 12,000	30.00%	> 12,000 - 37,000	35.00%	> 37,000 - 93,000	45.00%	> 93,000	50.00%		
Oyo Corp	0 - 790	20.00%	> 790 - 1,800	30.00%	> 1,800 - 3,800	35.00%	> 3,800	50.00%		
OZ Minerals	0 - 14,000	20.00%	> 14,000 - 29,000	45.00%	> 29,000	90.00%				
Ozforex Group	0 - 11,000	20.00%	> 11,000 - 29,000	30.00%	> 29,000 - 58,000	35.00%	> 58,000	50.00%		
Ozner Water International Holding Ltd	0 - 41,000	20.00%	> 41,000 - 82,000	55.00%	> 82,000	80.00%				
P2P Global Investments PLC/Fund	0 - 450	35.00%	> 450	50.00%						
Paccar	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,200	30.00%	> 6,200	50.00%		
Pacific Andes Resources Development	> 0	100.00%								
Pacific Assets	0 - 930	30.00%	> 930 - 11,000	35.00%	> 11,000	50.00%				
Pacific Basin Shipping	0 - 690,000	20.00%	> 690,000 - 1,200,000	25.00%	> 1,200,000	50.00%				
Pacific Biosciences of California Inc	0 - 1,200	25.00%	> 1,200 - 3,600	30.00%	> 3,600 - 21,000	40.00%	> 21,000	50.00%		
Pacific Century	0 - 35,000	20.00%	> 35,000	90.00%						
Pacific Coast Oil Trust	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800 - 17,000	30.00%	> 17,000	50.00%		
Pacific Current Group Ltd	0 - 2,000	20.00%	> 2,000 - 4,200	55.00%	> 4,200	80.00%				
Pacific Ethanol Inc	0 - 1,300	35.00%	> 1,300 - 4,000	55.00%	> 4,000	90.00%				
Pacific Industrial Co Ltd	0 - 2,200	20.00%	> 2,200 - 6,000	40.00%	> 6,000 - 13,000	45.00%	> 13,000	50.00%		
Pacific Metals	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400 - 6,200	35.00%	> 6,200	50.00%		
Pacific Premier Bancorp Inc	0 - 1,900	20.00%	> 1,900 - 4,600	30.00%	> 4,600 - 9,900	40.00%	> 9,900	50.00%		
Pacific Textile Holdings Ltd	0 - 21,000	20.00%	> 21,000 - 58,000	30.00%	> 58,000 - 130,000	35.00%	> 130,000	50.00%		
Pacira Pharmaceuticals Inc/DE	0 - 2,000	20.00%	> 2,000 - 4,700	30.00%	> 4,700 - 9,300	35.00%	> 9,300	50.00%		
Packaging Corp of America	0 - 860	20.00%	> 860 - 1,700	45.00%	> 1,700	50.00%				
Pact Group Holdings Ltd	0 - 10,000	20.00%	> 10,000 - 62,000	25.00%	> 62,000	50.00%				
PacWest Bancorp	0 - 2,000	20.00%	> 2,000 - 4,000	35.00%	> 4,000	55.00%				
Paddy Power Betfair PLC (GB)	0 - 890	20.00%	> 890 - 1,700	25.00%	> 1,700 - 3,500	35.00%	> 3,500	50.00%		
Paddy Power Betfair PLC (IE)	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Pagegroup	0 - 12,000	20.00%	> 12,000 - 51,000	25.00%	> 51,000	50.00%				
Painted Pony Energy Ltd	0 - 4,800	20.00%	> 4,800 - 12,000	35.00%	> 12,000 - 28,000	45.00%	> 28,000	50.00%		
Pal Co Ltd	0 - 680	20.00%	> 680 - 1,800	35.00%	> 1,800 - 4,000	40.00%	> 4,000	50.00%		
Paladin Energy	0 - 130,000	20.00%	> 130,000 - 270,000	40.00%	> 270,000 - 490,000	45.00%	> 490,000	50.00%		
Palfinger	0 - 250	20.00%	> 250 - 640	75.00%	> 640	90.00%				
Palo Alto Networks Inc	0 - 1,500	20.00%	> 1,500 - 3,000	40.00%	> 3,000	60.00%				
Paltac Corp	0 - 1,800	20.00%	> 1,800 - 3,600	45.00%	> 3,600	75.00%				
PAM Transportation Services Inc	0 - 480	20.00%	> 480 - 1,000	25.00%	> 1,000	50.00%				
Pampa Energia SA	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Pan African Resources PLC	0 - 100,000	20.00%	> 100,000 - 210,000	35.00%	> 210,000 - 420,000	40.00%	> 420,000	50.00%		
Pan American Silver Corp (CA)	0 - 3,100	20.00%	> 3,100 - 8,400	40.00%	> 8,400	55.00%				
Pan American Silver Corp (US)	0 - 4,700	20.00%	> 4,700 - 10,000	35.00%	> 10,000 - 22,000	40.00%	> 22,000	50.00%		
Panalpina Welttransport Holding AG	0 - 690	20.00%	> 690 - 1,400	35.00%	> 1,400 - 2,800	40.00%	> 2,800	50.00%		
Panasonic	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Panda Green Energy Group Ltd	0 - 160,000	20.00%	> 160,000 - 430,000	25.00%	> 430,000 - 980,000	30.00%	> 980,000	50.00%		
Pandora A/S	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,700	30.00%	> 5,700	50.00%		
Pandora Media Inc	0 - 12,000	20.00%	> 12,000 - 24,000	45.00%	> 24,000	55.00%				
Pandox AB	0 - 610	30.00%	> 610 - 1,800	35.00%	> 1,800 - 4,900	60.00%	> 4,900	90.00%		
Panhandle Oil and Gas Inc	0 - 480	20.00%	> 480 - 1,900	35.00%	> 1,900	50.00%				
Panoramic Resources	0 - 24,000	20.00%	> 24,000 - 48,000	30.00%	> 48,000 - 97,000	35.00%	> 97,000	50.00%		
Pantheon Intl	0 - 230	40.00%	> 230 - 700	45.00%	> 700 - 1,800	65.00%	> 1,800	75.00%		
Pantheon Resources	0 - 40,000	20.00%	> 40,000 - 160,000	25.00%	> 160,000	50.00%				
Papa John's International Inc	0 - 1,800	20.00%	> 1,800	90.00%						
Papeles y Cartones de Europa	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000 - 20,000	35.00%	> 20,000	50.00%		
Paragon Group	0 - 10,000	20.00%	> 10,000 - 25,000	25.00%	> 25,000 - 54,000	30.00%	> 54,000	50.00%		
Paramount Bed Holdings Co Ltd	0 - 790	20.00%	> 790 - 2,100	25.00%	> 2,100 - 4,700	35.00%	> 4,700	50.00%		
Paramount Gold and Silver Corp	0 - 8,400	20.00%	> 8,400 - 16,000	40.00%	> 16,000	50.00%				
Paramount Group Inc	0 - 2,000	30.00%	> 2,000 - 6,200	40.00%	> 6,200 - 12,000	60.00%	> 12,000	80.00%		
Paramount Resources Ltd	0 - 6,500	20.00%	> 6,500 - 31,000	30.00%	> 31,000	50.00%				
Paratek Pharmaceuticals Inc	0 - 480	25.00%	> 480 - 1,400	30.00%	> 1,400 - 3,600	40.00%	> 3,600 - 7,400	45.00%	> 7,400	50.00%
Parex Resources Inc	0 - 1,700	25.00%	> 1,700 - 5,100	30.00%	> 5,100 - 10,000	50.00%	> 10,000	60.00%		
Pargesa Holding SA	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,600	30.00%	> 4,600	50.00%		
Park Electrochemical Corp	0 - 1,900	20.00%	> 1,900 - 4,300	25.00%	> 4,300	50.00%				
Park Hotels and Resorts Inc	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 12,000	40.00%	> 12,000	50.00%		
Park National Corp	0 - 110	20.00%	> 110 - 290	25.00%	> 290 - 660	30.00%	> 660	50.00%		
Park24 Co Ltd	0 - 3,600	20.00%	> 3,600 - 7,300	30.00%	> 7,300 - 14,000	35.00%	> 14,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Parker Drilling Co	0 - 9,300	25.00%	> 9,300 - 110,000	40.00%	> 110,000	50.00%				
Parker-Hannifin	0 - 610	20.00%	> 610 - 1,200	35.00%	> 1,200	50.00%				
Parkland Fuel Corp	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000	50.00%				
Park-Ohio Holdings Corp	0 - 260	20.00%	> 260 - 1,000	25.00%	> 1,000	50.00%				
Parkson Retail	0 - 85,000	20.00%	> 85,000 - 170,000	25.00%	> 170,000 - 340,000	30.00%	> 340,000	50.00%		
Parkway Life REIT	0 - 4,100	30.00%	> 4,100 - 12,000	35.00%	> 12,000 - 33,000	45.00%	> 33,000	50.00%		
Parmalat	0 - 8,300	20.00%	> 8,300 - 18,000	25.00%	> 18,000	50.00%				
Parrot SA	0 - 4,300	20.00%	> 4,300 - 8,400	25.00%	> 8,400	50.00%				
Parsley Energy Inc	0 - 3,100	20.00%	> 3,100 - 6,200	50.00%	> 6,200	65.00%				
Partner Communications Co Ltd	0 - 2,600	20.00%	> 2,600 - 5,200	35.00%	> 5,200	50.00%				
Partners Group Holding AG	0 - 260	20.00%	> 260 - 530	25.00%	> 530	50.00%				
Party City Holdco Inc	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000	50.00%				
Pason Systems Inc	0 - 1,700	20.00%	> 1,700 - 4,600	25.00%	> 4,600 - 10,000	30.00%	> 10,000	50.00%		
Patisserie Holdings PLC	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 24,000	30.00%	> 24,000	50.00%		
Patrick Industries Inc	0 - 950	20.00%	> 950 - 2,500	30.00%	> 2,500 - 5,600	35.00%	> 5,600	50.00%		
Patrizia	0 - 330	30.00%	> 330 - 2,700	40.00%	> 2,700 - 6,100	45.00%	> 6,100	50.00%		
Pattern Energy Group Inc	0 - 3,800	20.00%	> 3,800 - 9,600	30.00%	> 9,600 - 21,000	35.00%	> 21,000	50.00%		
Patterson	0 - 4,300	20.00%	> 4,300 - 8,600	35.00%	> 8,600	50.00%				
Patterson Uti Energy	0 - 5,700	20.00%	> 5,700 - 11,000	35.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%		
PAX Global Technology Ltd	0 - 68,000	20.00%	> 68,000 - 150,000	35.00%	> 150,000 - 290,000	45.00%	> 290,000	50.00%		
Paychex Inc	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800 - 5,600	30.00%	> 5,600	50.00%		
Paycom Software Inc	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Paylocity Holding Corp	0 - 1,200	20.00%	> 1,200 - 2,700	40.00%	> 2,700	55.00%				
PayPal Inc	0 - 3,700	20.00%	> 3,700 - 7,500	40.00%	> 7,500	70.00%				
PayPoint	0 - 1,200	20.00%	> 1,200 - 7,400	30.00%	> 7,400	50.00%				
PBF Energy Inc	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600 - 9,300	40.00%	> 9,300	50.00%		
PBF Logistics LP	0 - 470	20.00%	> 470 - 940	30.00%	> 940 - 1,800	35.00%	> 1,800	50.00%		
PC Connection Inc	0 - 740	20.00%	> 740 - 1,600	25.00%	> 1,600	50.00%				
PC Depot Corp	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700 - 14,000	30.00%	> 14,000	50.00%		
PCCW	0 - 130,000	20.00%	> 130,000 - 300,000	25.00%	> 300,000 - 650,000	30.00%	> 650,000	50.00%		
PDC Energy Inc	0 - 1,600	20.00%	> 1,600 - 3,200	35.00%	> 3,200 - 6,400	40.00%	> 6,400	50.00%		
PDF Solutions Inc	0 - 950	20.00%	> 950 - 2,500	35.00%	> 2,500 - 5,700	40.00%	> 5,700	50.00%		
PDL BioPharma Inc	0 - 2,300	25.00%	> 2,300 - 6,900	30.00%	> 6,900 - 17,000	60.00%	> 17,000	70.00%		
Peab B	0 - 9,800	20.00%	> 9,800 - 22,000	35.00%	> 22,000 - 47,000	45.00%	> 47,000	50.00%		
Peabody Energy Corporation	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500 - 9,000	30.00%	> 9,000	50.00%		
Peace Map Holding Ltd	0 - 2,600,000	20.00%	> 2,600,000 - 5,300,000	40.00%	> 5,300,000 - 10,000,000	45.00%	> 10,000,000	50.00%		
Peapack Gladstone Financial Corp	0 - 460	20.00%	> 460 - 1,200	55.00%	> 1,200	80.00%				
Pearson	0 - 8,200	20.00%	> 8,200 - 16,000	45.00%	> 16,000	80.00%				
Pearson (US)	0 - 3,300	20.00%	> 3,300 - 7,500	25.00%	> 7,500	50.00%				
Pebblebrook Hotel Trust	0 - 850	30.00%	> 850 - 2,500	35.00%	> 2,500 - 5,100	65.00%	> 5,100	90.00%		
PEC	0 - 22,000	20.00%	> 22,000 - 45,000	55.00%	> 45,000	65.00%				
Peet	0 - 3,600	30.00%	> 3,600 - 43,000	40.00%	> 43,000	50.00%				
Pegasystems Inc	0 - 3,300	20.00%	> 3,300 - 6,700	25.00%	> 6,700	50.00%				
Pembina Pipeline Corp (CA)	0 - 2,800	20.00%	> 2,800 - 5,600	25.00%	> 5,600 - 11,000	35.00%	> 11,000	50.00%		
Pembina Pipeline Corp (US)	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Pendal Group Ltd	0 - 28,000	20.00%	> 28,000 - 57,000	25.00%	> 57,000	50.00%				
Pendragon	0 - 31,000	20.00%	> 31,000 - 130,000	30.00%	> 130,000	50.00%				
Pengana International	0 - 3,800	35.00%	> 3,800 - 11,000	40.00%	> 11,000 - 23,000	60.00%	> 23,000	80.00%		
Pengrowth Energy Corp (CA)	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 46,000	35.00%	> 46,000	50.00%		
Pengrowth Energy Corp (US)	0 - 4,900	30.00%	> 4,900 - 14,000	35.00%	> 14,000 - 29,000	55.00%	> 29,000	60.00%		
Penn National Gaming Inc	0 - 2,700	20.00%	> 2,700 - 5,400	40.00%	> 5,400	50.00%				
PennantPark Floating Rate Capital Ltd	0 - 720	20.00%	> 720 - 1,500	25.00%	> 1,500 - 3,200	35.00%	> 3,200	50.00%		
PennantPark Investment Corp	0 - 3,100	20.00%	> 3,100 - 6,700	25.00%	> 6,700	50.00%				
Pennon Group	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
Pennsylvania Real Estate Inves	0 - 1,600	25.00%	> 1,600 - 5,000	30.00%	> 5,000 - 18,000	35.00%	> 18,000	50.00%		
PennyMac Financial Services Inc	0 - 560	20.00%	> 560 - 1,400	30.00%	> 1,400 - 3,300	35.00%	> 3,300	50.00%		
PennyMac Mortgage Investment T	0 - 990	30.00%	> 990 - 7,600	40.00%	> 7,600 - 15,000	45.00%	> 15,000	50.00%		
Penske Automotive Group Inc	0 - 1,300	20.00%	> 1,300 - 3,400	25.00%	> 3,400 - 7,500	30.00%	> 7,500	50.00%		
Pentair Ltd	0 - 4,500	20.00%	> 4,500 - 9,100	30.00%	> 9,100	50.00%				
Penta-Ocean Construction Co Lt	0 - 16,000	20.00%	> 16,000 - 64,000	25.00%	> 64,000	50.00%				
Penumbra Inc	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Peoples Bancorp Inc/OH	0 - 810	20.00%	> 810 - 1,800	25.00%	> 1,800	50.00%				
Peoples Financial Services Corp	0 - 210	20.00%	> 210 - 860	25.00%	> 860	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
People's Insurance Company (Group) of China	0 - 220,000	20.00%	> 220,000 - 450,000	25.00%	> 450,000 - 900,000	30.00%	> 900,000	50.00%		
People's United Bank	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
PepsiCo	0 - 5,800	20.00%	> 5,800 - 11,000	40.00%	> 11,000	60.00%				
PeptiDream Inc	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
PER Aarsleff A/S	0 - 560	20.00%	> 560 - 1,400	25.00%	> 1,400 - 3,300	30.00%	> 3,300	50.00%		
Perennial Real Estate Holdings Ltd	0 - 5,600	35.00%	> 5,600	50.00%						
Perficient Inc	0 - 2,400	20.00%	> 2,400 - 5,600	25.00%	> 5,600	50.00%				
Performance Food Group Co	0 - 1,880	20.00%	> 1,880 - 4,450	35.00%	> 4,450 - 9,590	45.00%	> 9,590	50.00%		
Peritus High Yield ETF	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000	50.00%				
PerkinElmer Inc	0 - 1,300	20.00%	> 1,300 - 2,600	75.00%	> 2,600	90.00%				
Permian Basin Royalty Trust	0 - 1,100	20.00%	> 1,100 - 2,200	35.00%	> 2,200	50.00%				
Pernix Therapeutics Holdings Inc	0 - 4,100	20.00%	> 4,100 - 8,200	30.00%	> 8,200 - 16,000	35.00%	> 16,000	50.00%		
Pernod Ricard	0 - 610	20.00%	> 610 - 1,200	70.00%	> 1,200	80.00%				
Perpetual	0 - 6,000	20.00%	> 6,000 - 11,000	25.00%	> 11,000	50.00%				
Perpetual Income	0 - 1,900	30.00%	> 1,900 - 35,000	40.00%	> 35,000	50.00%				
Perrigo	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200	50.00%				
Perry Ellis International Inc	0 - 920	20.00%	> 920 - 2,100	35.00%	> 2,100 - 4,100	45.00%	> 4,100	50.00%		
Perseus Mining	0 - 34,000	20.00%	> 34,000 - 92,000	30.00%	> 92,000 - 200,000	40.00%	> 200,000	50.00%		
Pershing Square Holdings Ltd/Fund	0 - 2,100	35.00%	> 2,100 - 4,300	40.00%	> 4,300	60.00%				
Persimmon	0 - 20,000	20.00%	> 20,000 - 40,000	40.00%	> 40,000	60.00%				
Personal Assets Trust PLC/Fund	0 - 14	30.00%	> 14 - 43	35.00%	> 43 - 110	45.00%	> 110	50.00%		
Perspecta Inc.	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
Pescanova	> 0	100.00%								
PetMed Express Inc	0 - 590	25.00%	> 590 - 1,700	40.00%	> 1,700	90.00%				
Petra Diamonds	0 - 20,000	35.00%	> 20,000 - 61,000	40.00%	> 61,000 - 120,000	55.00%	> 120,000	60.00%		
Petro Welt Technologies	0 - 1,400	20.00%	> 1,400 - 2,900	50.00%	> 2,900	70.00%				
Petrobras	0 - 18,000	20.00%	> 18,000 - 37,000	80.00%	> 37,000	90.00%				
Petrobras Argentina SA	0 - 890	20.00%	> 890 - 3,500	30.00%	> 3,500	50.00%				
PetroChina	0 - 270,000	20.00%	> 270,000 - 540,000	25.00%	> 540,000	50.00%				
PetroChina Co Ltd	0 - 2,200	20.00%	> 2,200 - 4,900	25.00%	> 4,900	50.00%				
Petrofac	0 - 13,000	20.00%	> 13,000 - 26,000	50.00%	> 26,000	60.00%				
Petroleo Brasileiro SA - ADR (Preference)	0 - 10,000	20.00%	> 10,000 - 21,000	50.00%	> 21,000	90.00%				
Petroleum & Resources Corp	0 - 160	35.00%	> 160 - 500	45.00%	> 500 - 1,000	75.00%	> 1,000	90.00%		
Petroleum Geo-Services ASA	0 - 2,300	20.00%	> 2,300 - 4,600	40.00%	> 4,600 - 9,200	45.00%	> 9,200	50.00%		
Petroleum Geo-Services ASA (NO)	0 - 40,000	20.00%	> 40,000 - 80,000	25.00%	> 80,000	50.00%				
Petropavlovsk	0 - 130,000	20.00%	> 130,000 - 360,000	40.00%	> 360,000 - 790,000	45.00%	> 790,000	50.00%		
Pets at Home Group PLC	0 - 38,000	20.00%	> 38,000 - 76,000	30.00%	> 76,000 - 140,000	35.00%	> 140,000	50.00%		
Peugeot	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
Peyto Exploration & Development Corp	0 - 12,000	20.00%	> 12,000 - 24,000	30.00%	> 24,000 - 44,000	35.00%	> 44,000	50.00%		
Pfeiffer Vacuum	0 - 410	20.00%	> 410 - 1,000	30.00%	> 1,000 - 2,200	35.00%	> 2,200	50.00%		
Pfizer Inc (US)	0 - 8,800	20.00%	> 8,800 - 17,000	40.00%	> 17,000	60.00%				
Pfleiderer Group SA	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
PG&E	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500 - 9,100	35.00%	> 9,100	50.00%		
PGE SA	0 - 33,000	20.00%	> 33,000 - 71,000	35.00%	> 71,000 - 140,000	45.00%	> 140,000	50.00%		
PGT Inc	0 - 1,900	20.00%	> 1,900 - 11,000	30.00%	> 11,000	50.00%				
PH Glatfelter Co	0 - 990	20.00%	> 990 - 2,600	30.00%	> 2,600 - 5,900	40.00%	> 5,900	50.00%		
Pharma Mar	0 - 2,600	25.00%	> 2,600 - 21,000	40.00%	> 21,000 - 46,000	45.00%	> 46,000	50.00%		
Pharmaxis	0 - 14,000	30.00%	> 14,000 - 42,000	35.00%	> 42,000 - 84,000	80.00%	> 84,000	90.00%		
Pharol SGPS	0 - 45,000	20.00%	> 45,000 - 210,000	35.00%	> 210,000	50.00%				
PHH Corp	0 - 1,400	20.00%	> 1,400 - 3,800	50.00%	> 3,800	65.00%				
PHI Inc	0 - 1,000	20.00%	> 1,000 - 2,100	35.00%	> 2,100	60.00%				
Phibro Animal Health Corp	0 - 540	20.00%	> 540 - 1,400	40.00%	> 1,400 - 3,200	45.00%	> 3,200	50.00%		
Philip Morris	0 - 8,000	20.00%	> 8,000 - 16,000	40.00%	> 16,000	60.00%				
Philippine Long Distance Telephone Co	0 - 390	20.00%	> 390 - 970	30.00%	> 970	50.00%				
Philips Lighting	0 - 3,800	20.00%	> 3,800 - 7,600	30.00%	> 7,600 - 15,000	40.00%	> 15,000	50.00%		
Phillips 66	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Phillips 66 Partners LP	0 - 1,000	20.00%	> 1,000 - 2,700	35.00%	> 2,700 - 6,100	45.00%	> 6,100	50.00%		
Phoenix Group Holdings	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 44,000	45.00%	> 44,000	50.00%		
Phoenix Healthcare Group Co Ltd	0 - 120,000	20.00%	> 120,000 - 280,000	25.00%	> 280,000	50.00%				
Phoenix Mecano AG	0 - 15	20.00%	> 15 - 31	25.00%	> 31 - 63	30.00%	> 63	50.00%		
Phoenix New Media Ltd	0 - 2,200	20.00%	> 2,200 - 8,800	25.00%	> 8,800	50.00%				
Phoenix Satellite Television H	0 - 120,000	20.00%	> 120,000 - 240,000	40.00%	> 240,000 - 480,000	45.00%	> 480,000	50.00%		
Photo-Me International PLC	0 - 25,000	20.00%	> 25,000 - 52,000	35.00%	> 52,000 - 99,000	45.00%	> 99,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Photronics Inc	0 - 540	25.00%	> 540 - 1,600	30.00%	> 1,600 - 4,300	55.00%	> 4,300	90.00%		
PHX Energy Services Corp	0 - 6,100	20.00%	> 6,100 - 12,000	35.00%	> 12,000	50.00%				
Physicians Realty Trust	0 - 2,000	30.00%	> 2,000 - 6,200	35.00%	> 6,200 - 12,000	65.00%	> 12,000	80.00%		
Piaggio & C	0 - 14,000	20.00%	> 14,000 - 39,000	30.00%	> 39,000 - 82,000	35.00%	> 82,000	50.00%		
Picc Property And Casualty	0 - 170,000	20.00%	> 170,000 - 340,000	25.00%	> 340,000	50.00%				
Pico Far East Holdings Ltd	0 - 25,000	20.00%	> 25,000 - 100,000	25.00%	> 100,000	50.00%				
PICO Holdings Inc	0 - 790	20.00%	> 790 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Picton Property Income	0 - 3,300	30.00%	> 3,300 - 10,000	35.00%	> 10,000 - 27,000	45.00%	> 27,000	55.00%		
Piedmont Office Realty Trust I	0 - 1,600	25.00%	> 1,600 - 9,800	35.00%	> 9,800 - 19,000	45.00%	> 19,000	50.00%		
Pier 1 Imports Inc	0 - 12,000	20.00%	> 12,000 - 23,000	25.00%	> 23,000	50.00%				
Pigeon Corp	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	30.00%	> 7,600	50.00%		
Pilbara Minerals Ltd	0 - 59,000	20.00%	> 59,000 - 150,000	30.00%	> 150,000 - 340,000	35.00%	> 340,000	50.00%		
Pilgrim's Pride Corp	0 - 5,300	20.00%	> 5,300 - 10,000	40.00%	> 10,000 - 21,000	45.00%	> 21,000	50.00%		
Pilot Corp	0 - 980	20.00%	> 980 - 2,600	30.00%	> 2,600 - 5,900	35.00%	> 5,900	50.00%		
PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund	0 - 990	20.00%	> 990 - 1,900	30.00%	> 1,900 - 3,900	40.00%	> 3,900	50.00%		
PIMCO 1-5 Year U.S. TIPS Index Exchange-Traded Fund	0 - 380	20.00%	> 380 - 770	25.00%	> 770	50.00%				
PIMCO Corporate & Income Opportunity Fund	0 - 410	30.00%	> 410 - 1,200	35.00%	> 1,200 - 3,300	40.00%	> 3,300	50.00%		
PIMCO Corporate & Income Strategy Fund	0 - 180	30.00%	> 180 - 560	35.00%	> 560 - 2,200	40.00%	> 2,200	50.00%		
PIMCO Dynamic Credit Income Fund	0 - 440	30.00%	> 440 - 1,300	35.00%	> 1,300 - 3,500	40.00%	> 3,500 - 7,900	45.00%	> 7,900	50.00%
Pimco Dynamic Income Fund	0 - 160	30.00%	> 160 - 480	35.00%	> 480 - 1,300	50.00%	> 1,300	55.00%		
PIMCO Enhanced Short Maturity Active Exchange-Traded Fund	0 - 1,800	20.00%	> 1,800 - 3,800	25.00%	> 3,800	50.00%				
PIMCO Global StocksPLUS & Income Fund	0 - 210	30.00%	> 210 - 2,600	35.00%	> 2,600	50.00%				
PIMCO High Income Fund	0 - 550	30.00%	> 550 - 1,600	35.00%	> 1,600 - 4,400	55.00%	> 4,400	75.00%		
PIMCO Income Opportunity Fund	0 - 120	30.00%	> 120 - 360	35.00%	> 360 - 730	45.00%	> 730	55.00%		
PIMCO Income Strategy Fund II	0 - 310	30.00%	> 310 - 2,100	35.00%	> 2,100 - 4,400	40.00%	> 4,400	50.00%		
PIMCO Intermediate Municipal Bond Active Exchange-Traded Fund	0 - 370	20.00%	> 370 - 750	25.00%	> 750	50.00%				
PIMCO Investment Grade Corporate Bond Index Exchange-Traded Fund	0 - 190	20.00%	> 190 - 390	25.00%	> 390	50.00%				
PIMCO Municipal Income Fund	0 - 260	30.00%	> 260 - 3,100	40.00%	> 3,100	50.00%				
PIMCO Municipal Income Fund II	0 - 250	30.00%	> 250 - 3,000	40.00%	> 3,000	50.00%				
PIMCO Municipal Income Fund III	0 - 290	30.00%	> 290 - 3,400	35.00%	> 3,400	50.00%				
PIMCO Strategic Income Fund Inc	0 - 330	30.00%	> 330 - 1,000	35.00%	> 1,000 - 2,000	40.00%	> 2,000	50.00%		
PIMCO Total Return Active Exchange-Traded Fund	0 - 130	20.00%	> 130 - 350	25.00%	> 350 - 790	30.00%	> 790	50.00%		
Ping An Insurance	0 - 73,000	20.00%	> 73,000 - 140,000	40.00%	> 140,000	60.00%				
Ping An Securities Group Holdings Ltd	0 - 330,000	30.00%	> 330,000 - 1,000,000	35.00%	> 1,000,000 - 2,000,000	40.00%	> 2,000,000 - 4,000,000	45.00%	> 4,000,000	50.00%
Pinnacle Entertainment Inc	0 - 1,800	20.00%	> 1,800 - 4,700	40.00%	> 4,700	55.00%				
Pinnacle Financial Partners In	0 - 3,300	20.00%	> 3,300 - 6,600	25.00%	> 6,600	50.00%				
Pinnacle Foods Inc	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
Pinnacle West	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Piolax Inc	0 - 2,500	20.00%	> 2,500 - 5,700	25.00%	> 5,700	50.00%				
Pioneer	0 - 28,000	20.00%	> 28,000 - 57,000	30.00%	> 57,000 - 100,000	40.00%	> 100,000	50.00%		
Pioneer Energy Services Corp	0 - 3,300	20.00%	> 3,300 - 8,900	30.00%	> 8,900 - 18,000	35.00%	> 18,000	50.00%		
Pioneer Floating Rate Trust	0 - 290	40.00%	> 290 - 890	45.00%	> 890 - 1,700	65.00%	> 1,700	70.00%		
Pioneer High Income Trust	0 - 360	30.00%	> 360 - 4,300	35.00%	> 4,300	50.00%				
Pioneer Municipal High Income Advantage Trust	0 - 300	30.00%	> 300 - 3,700	40.00%	> 3,700	50.00%				
Pioneer Municipal High Income Trust	0 - 290	30.00%	> 290 - 3,500	40.00%	> 3,500	50.00%				
Pioneer Natl Rsc	0 - 1,700	20.00%	> 1,700 - 3,500	40.00%	> 3,500	60.00%				
Piper Jaffray Cos	0 - 420	20.00%	> 420 - 2,500	35.00%	> 2,500	50.00%				
Pirelli & C. S.p.A	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000 - 48,000	30.00%	> 48,000	50.00%		
Pitney Bowes	0 - 22,000	20.00%	> 22,000 - 44,000	25.00%	> 44,000	50.00%				
Pizza Pizza Royalty Corp	0 - 1,000	20.00%	> 1,000 - 2,100	60.00%	> 2,100	65.00%				
PJT Partners Inc	0 - 2,100	20.00%	> 2,100 - 4,900	25.00%	> 4,900	50.00%				
PKO Bank Polski SA	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000	50.00%				
PKP Cargo SA	0 - 820	20.00%	> 820 - 4,100	25.00%	> 4,100	50.00%				
Plains All American Pipeline L	0 - 8,400	20.00%	> 8,400 - 16,000	25.00%	> 16,000	50.00%				
Plains GP Holdings LP	0 - 4,200	20.00%	> 4,200 - 8,400	35.00%	> 8,400	60.00%				
Planet Fitness Inc	0 - 2,000	20.00%	> 2,000 - 4,000	35.00%	> 4,000	60.00%				
Plantronics Inc	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,800	30.00%	> 4,800	50.00%		
Plastic Omnium	0 - 2,200	20.00%	> 2,200 - 4,500	35.00%	> 4,500	55.00%				
Platform Specialty Products Corp	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000	50.00%				
Platinum Asset Management	0 - 19,000	20.00%	> 19,000 - 92,000	30.00%	> 92,000	50.00%				
Platinum Capital	0 - 2,200	35.00%	> 2,200 - 6,700	40.00%	> 6,700 - 13,000	55.00%	> 13,000	65.00%		
Platinum Group Metals Ltd (CA)	0 - 96,000	20.00%	> 96,000 - 190,000	25.00%	> 190,000 - 380,000	30.00%	> 380,000	50.00%		
Platzer Fastigheter Holding B	0 - 480	40.00%	> 480 - 1,400	45.00%	> 1,400 - 3,800	70.00%	> 3,800	80.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Play Communications SA	0 - 4,800	20.00%	> 4,800 - 29,000	25.00%	> 29,000	50.00%				
Playtech	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000 - 58,000	35.00%	> 58,000	50.00%		
Plaza Retail REIT	0 - 1,000	30.00%	> 1,000 - 3,100	35.00%	> 3,100 - 6,200	65.00%	> 6,200	90.00%		
Plenus Co Ltd	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Plexus Corp	0 - 1,100	20.00%	> 1,100 - 2,700	35.00%	> 2,700 - 5,900	40.00%	> 5,900	50.00%		
Plug Power Inc	0 - 7,900	20.00%	> 7,900 - 21,000	30.00%	> 21,000 - 47,000	40.00%	> 47,000	50.00%		
Pluristem Therapeutics Inc	0 - 7,600	20.00%	> 7,600 - 15,000	50.00%	> 15,000	55.00%				
Plus500 Ltd	0 - 4,200	20.00%	> 4,200 - 8,500	40.00%	> 8,500	60.00%				
Ply Gem Holdings Inc	0 - 1,800	20.00%	> 1,800 - 4,800	30.00%	> 4,800 - 10,000	35.00%	> 10,000	50.00%		
PME Group Ltd	0 - 1,800,000	20.00%	> 1,800,000 - 7,200,000	25.00%	> 7,200,000	50.00%				
PNC Financial Services	0 - 710	20.00%	> 710 - 1,400	60.00%	> 1,400	90.00%				
PNE Wind AG	0 - 3,400	20.00%	> 3,400 - 15,000	25.00%	> 15,000	50.00%				
PNM Resources Inc	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600 - 9,800	30.00%	> 9,800	50.00%		
Pocket Card Co Ltd	> 0	100.00%								
Pola Orbis Holdings Inc	0 - 2,200	20.00%	> 2,200 - 4,500	50.00%	> 4,500	85.00%				
Polar Cap Technology	0 - 690	35.00%	> 690 - 5,500	50.00%	> 5,500	55.00%				
Polar Capital Global Financials Trust PLC	0 - 1,800	30.00%	> 1,800 - 5,500	35.00%	> 5,500 - 30,000	40.00%	> 30,000	50.00%		
Polar Capital Global Healthcare Growth & Income PLC	0 - 7,400	20.00%	> 7,400 - 15,000	25.00%	> 15,000	50.00%				
Polar Capital Holdings PLC	0 - 5,300	20.00%	> 5,300 - 12,000	25.00%	> 12,000	50.00%				
Polaris	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Polimex-Mostostal SA	0 - 9,800	20.00%	> 9,800 - 19,000	35.00%	> 19,000	50.00%				
Polo Ralph Lauren	0 - 770	20.00%	> 770 - 1,500	45.00%	> 1,500	55.00%				
Polski Koncern Naftowy Orlen SA	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900 - 17,000	35.00%	> 17,000	50.00%		
Polskie Górnictwo Naftowe i Gazownictwo SA	0 - 50,000	20.00%	> 50,000 - 230,000	25.00%	> 230,000	50.00%				
Poly (Hong Kong) Investments	0 - 37,000	30.00%	> 37,000 - 670,000	40.00%	> 670,000	50.00%				
Poly Culture Group Corp Ltd	0 - 6,600	20.00%	> 6,600 - 13,000	35.00%	> 13,000 - 26,000	45.00%	> 26,000	50.00%		
Polymetal	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 45,000	30.00%	> 45,000	50.00%		
PolyOne Corp	0 - 2,000	20.00%	> 2,000 - 8,700	25.00%	> 8,700	50.00%				
Polypipe Group plc	0 - 8,400	20.00%	> 8,400 - 22,000	40.00%	> 22,000	60.00%				
Polytec Asset	0 - 38,000	30.00%	> 38,000 - 110,000	35.00%	> 110,000 - 460,000	45.00%	> 460,000	50.00%		
Ponsse Oy	0 - 280	20.00%	> 280 - 560	40.00%	> 560	50.00%				
Pool Corp	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Popular Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,600	35.00%	> 8,600	50.00%		
Porr Ag	0 - 570	20.00%	> 570 - 1,500	30.00%	> 1,500 - 3,400	35.00%	> 3,400	50.00%		
Porsche	0 - 1,500	20.00%	> 1,500 - 3,100	30.00%	> 3,100 - 6,200	45.00%	> 6,200	50.00%		
Port Of Tauranga Ltd	0 - 7,300	20.00%	> 7,300 - 16,000	25.00%	> 16,000	50.00%				
Portfolio Recovery Associates	0 - 3,000	20.00%	> 3,000 - 6,800	25.00%	> 6,800	50.00%				
Portland General Electric Co	0 - 2,200	20.00%	> 2,200 - 4,500	40.00%	> 4,500	50.00%				
Portola Pharmaceuticals Inc	0 - 4,900	20.00%	> 4,900 - 9,800	25.00%	> 9,800	50.00%				
Porvair PLC	0 - 1,500	20.00%	> 1,500 - 3,100	60.00%	> 3,100	80.00%				
POSCO	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
Post Holdings Inc	0 - 1,100	20.00%	> 1,100 - 2,200	30.00%	> 2,200 - 4,500	35.00%	> 4,500	50.00%		
Postal Savings Bank of China Co Ltd	0 - 150,000	20.00%	> 150,000 - 300,000	35.00%	> 300,000	55.00%				
Poste Italiane	0 - 22,000	20.00%	> 22,000 - 44,000	25.00%	> 44,000	50.00%				
PostNL NV	0 - 26,000	20.00%	> 26,000 - 100,000	25.00%	> 100,000	50.00%				
Potbelly Corp	0 - 780	20.00%	> 780 - 1,700	25.00%	> 1,700 - 3,600	30.00%	> 3,600	50.00%		
Potlatch Corp	0 - 680	30.00%	> 680 - 4,000	50.00%	> 4,000	70.00%				
Pou Sheng International Holdings Ltd	0 - 94,000	20.00%	> 94,000 - 560,000	25.00%	> 560,000	50.00%				
Powell Industries Inc	0 - 270	20.00%	> 270 - 690	75.00%	> 690	90.00%				
Power Asset Holdings	0 - 13,000	20.00%	> 13,000 - 27,000	30.00%	> 27,000 - 54,000	45.00%	> 54,000	50.00%		
Power Corp of Canada	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
Power Financial Corp	0 - 8,400	20.00%	> 8,400 - 16,000	25.00%	> 16,000	50.00%				
Power Integrations Inc	0 - 820	20.00%	> 820 - 4,700	25.00%	> 4,700	50.00%				
Powerlong	0 - 18,000	25.00%	> 18,000 - 54,000	30.00%	> 54,000 - 320,000	35.00%	> 320,000	50.00%		
PowerShares 1-30 Laddered Treasury Portfolio	0 - 310	20.00%	> 310 - 620	35.00%	> 620 - 1,200	40.00%	> 1,200	50.00%		
PowerShares 1-5 Year Laddered Investment Grade Corporate Bond Index ETF	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
PowerShares Aerospace & Defense Portfolio	0 - 420	20.00%	> 420 - 930	25.00%	> 930	50.00%				
PowerShares Build America Bond Portfolio	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300	50.00%				
PowerShares CEF Income Composite Portfolio	0 - 870	20.00%	> 870 - 1,700	25.00%	> 1,700	50.00%				
PowerShares China Small Cap Portfolio	0 - 670	20.00%	> 670 - 1,300	25.00%	> 1,300	50.00%				
PowerShares DB Agriculture Fund	0 - 5,800	20.00%	> 5,800 - 10,000	25.00%	> 10,000	50.00%				
PowerShares DB Base Metals Fund	0 - 1,200	20.00%	> 1,200 - 2,600	25.00%	> 2,600	50.00%				
PowerShares DB Commodity Index Tracking Fund	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
PowerShares DB Gold Fund	0 - 500	20.00%	> 500 - 1,000	25.00%	> 1,000	50.00%				
PowerShares DB Oil Fund	0 - 4,500	20.00%	> 4,500 - 8,300	25.00%	> 8,300	50.00%				
PowerShares DB Precious Metals Fund	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000	50.00%				
PowerShares DB US Dollar Index Bullish Fund	0 - 3,100	20.00%	> 3,100 - 5,800	25.00%	> 5,800	50.00%				
PowerShares DWA Developed Markets Momentum Portfolio	0 - 700	20.00%	> 700 - 1,400	25.00%	> 1,400	50.00%				
PowerShares DWA Emerging Markets Momentum Portfolio	0 - 490	20.00%	> 490 - 980	25.00%	> 980 - 1,900	30.00%	> 1,900	50.00%		
PowerShares DWA Energy Momentum Portfolio	0 - 460	20.00%	> 460 - 920	25.00%	> 920	50.00%				
PowerShares DWA Momentum Portfolio	0 - 340	20.00%	> 340 - 700	25.00%	> 700	50.00%				
PowerShares DWA SmallCap Momentum Portfolio	0 - 170	20.00%	> 170 - 700	25.00%	> 700	50.00%				
Powershares Dynamic Biotechnology & Genome Portfolio	0 - 170	20.00%	> 170 - 340	35.00%	> 340 - 680	40.00%	> 680	50.00%		
Powershares Dynamic Food & Beverage Portfolio	0 - 290	20.00%	> 290 - 1,100	25.00%	> 1,100	50.00%				
PowerShares Dynamic Large Cap Growth Portfolio	0 - 420	20.00%	> 420 - 850	25.00%	> 850	50.00%				
PowerShares Dynamic Large Cap Value Portfolio	0 - 680	20.00%	> 680 - 1,500	25.00%	> 1,500	50.00%				
Powershares Dynamic Leisure & Entertainment Portfolio	0 - 410	20.00%	> 410 - 830	25.00%	> 830	50.00%				
Powershares Dynamic Pharmaceuticals Portfolio	0 - 280	20.00%	> 280 - 580	25.00%	> 580	50.00%				
PowerShares Emerging Markets Sovereign Debt Portfolio	0 - 3,600	20.00%	> 3,600 - 14,000	30.00%	> 14,000	50.00%				
PowerShares EQQQ Nasdaq-100 UCITS ETF	0 - 500	20.00%	> 500 - 1,100	25.00%	> 1,100	50.00%				
PowerShares Financial Preferred Portfolio	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
PowerShares FTSE RAFI Developed Markets ex-U.S. Portfolio	0 - 460	20.00%	> 460 - 920	25.00%	> 920	50.00%				
PowerShares FTSE RAFI Emerging Markets Portfolio	0 - 600	20.00%	> 600 - 1,600	25.00%	> 1,600 - 3,600	30.00%	> 3,600	50.00%		
Powershares FTSE RAFI US 1000 Portfolio	0 - 680	20.00%	> 680 - 1,500	25.00%	> 1,500	50.00%				
PowerShares FTSE RAFI US 1000 UCITS ETF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
PowerShares Fundamental High Yield Corporate Bond Portfolio	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
PowerShares Global Listed Private Equity Portfolio	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
PowerShares Global Water Portfolio	0 - 780	20.00%	> 780 - 1,500	25.00%	> 1,500	50.00%				
Powershares Golden Dragon China Portfolio	0 - 430	20.00%	> 430 - 860	25.00%	> 860	50.00%				
PowerShares High Yield Equity Dividend Achievers Portfolio	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
PowerShares India Portfolio	0 - 780	20.00%	> 780 - 1,500	25.00%	> 1,500	50.00%				
PowerShares International Corporate Bond Portfolio	0 - 750	20.00%	> 750 - 1,500	25.00%	> 1,500	50.00%				
PowerShares International Dividend Achievers Portfolio	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
PowerShares KBW Bank Portfolio	0 - 3,100	20.00%	> 3,100 - 5,800	25.00%	> 5,800	50.00%				
PowerShares KBW High Dividend Yield Financial Portfolio	0 - 420	20.00%	> 420 - 1,700	25.00%	> 1,700	50.00%				
PowerShares MSCI Global Timber Portfolio	0 - 100	30.00%	> 100 - 1,200	35.00%	> 1,200	50.00%				
PowerShares NASDAQ Internet Portfolio	0 - 330	20.00%	> 330 - 760	25.00%	> 760	50.00%				
PowerShares National AMT-Free Municipal Bond Portfolio	0 - 930	20.00%	> 930 - 2,000	25.00%	> 2,000	50.00%				
PowerShares Preferred Portfolio	0 - 10,000	20.00%	> 10,000 - 23,000	25.00%	> 23,000	50.00%				
PowerShares S&P 500 BuyWrite Portfolio	0 - 900	20.00%	> 900 - 1,800	25.00%	> 1,800	50.00%				
PowerShares S&P 500 High Beta Port ETF	0 - 780	20.00%	> 780 - 1,700	25.00%	> 1,700	50.00%				
PowerShares S&P 500 High Dividend Portfolio	0 - 3,000	20.00%	> 3,000 - 6,800	25.00%	> 6,800	50.00%				
PowerShares S&P 500 High Quality Portfolio	0 - 1,100	20.00%	> 1,100 - 2,600	25.00%	> 2,600	50.00%				
PowerShares S&P 500 Low Volatility Portfolio	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
PowerShares S&P Emerging Markets Low Volatility Portfolio	0 - 400	20.00%	> 400 - 800	25.00%	> 800 - 1,600	30.00%	> 1,600	50.00%		
PowerShares S&P International Developed Low Volatility Portfolio	0 - 610	20.00%	> 610 - 1,200	25.00%	> 1,200	50.00%				
PowerShares S&P MidCap 400 Equal Weight Portfolio	0 - 140	20.00%	> 140 - 580	25.00%	> 580	50.00%				
PowerShares S&P SmallCap Information Technology Portfolio	0 - 230	20.00%	> 230 - 460	25.00%	> 460	50.00%				
PowerShares Senior Loan Portfolio	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
PowerShares Solar Portfolio	0 - 1,600	20.00%	> 1,600 - 3,500	25.00%	> 3,500	50.00%				
PowerShares Tactical Bond ETF	0 - 700	20.00%	> 700 - 2,800	25.00%	> 2,800	50.00%				
PowerShares Water Resources Portfolio	0 - 640	20.00%	> 640 - 1,200	25.00%	> 1,200	50.00%				
Powszechny Zakład Ubezpieczeń SA	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000	50.00%				
Poyry	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500 - 5,100	30.00%	> 5,100	50.00%		
PPG Industries	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
PPL	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000	50.00%				
PRA Health Sciences Inc	0 - 990	20.00%	> 990 - 1,900	25.00%	> 1,900 - 3,900	30.00%	> 3,900	50.00%		
Prada SpA	0 - 37,000	20.00%	> 37,000 - 81,000	25.00%	> 81,000	50.00%				
PrairieSky Royalty Ltd	0 - 5,100	20.00%	> 5,100 - 10,000	30.00%	> 10,000	50.00%				
Praxair	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
Precinct Properties New Zealand	0 - 4,600	25.00%	> 4,600 - 13,000	30.00%	> 13,000 - 83,000	35.00%	> 83,000	50.00%		
Precise Biometrics AB	0 - 100,000	20.00%	> 100,000 - 200,000	25.00%	> 200,000	50.00%				
Precision Drilling Corp (CA)	0 - 6,600	25.00%	> 6,600 - 19,000	35.00%	> 19,000 - 39,000	70.00%	> 39,000	90.00%		
Precision Drilling Corp (US)	0 - 1,700	25.00%	> 1,700 - 5,100	30.00%	> 5,100 - 13,000	40.00%	> 13,000 - 30,000	45.00%	> 30,000	50.00%
Preferred Apartment Communities Inc	0 - 720	30.00%	> 720 - 2,100	35.00%	> 2,100 - 5,000	45.00%	> 5,000	50.00%		
Preferred Bank/Los Angeles CA	0 - 590	20.00%	> 590 - 1,500	30.00%	> 1,500 - 3,500	35.00%	> 3,500	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Premier Foods	0 - 5,300	25.00%	> 5,300 - 16,000	40.00%	> 16,000 - 32,000	85.00%	> 32,000	90.00%		
Premier Gold Mines Ltd	0 - 4,900	20.00%	> 4,900 - 12,000	30.00%	> 12,000 - 27,000	40.00%	> 27,000	50.00%		
Premier Inc	0 - 2,600	20.00%	> 2,600 - 5,200	40.00%	> 5,200 - 10,000	45.00%	> 10,000	50.00%		
Premier Investment Corp	0 - 20	30.00%	> 20 - 61	35.00%	> 61 - 160	45.00%	> 160	50.00%		
Premier Invs	0 - 8,800	20.00%	> 8,800 - 20,000	25.00%	> 20,000	50.00%				
Premier Oil	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Premium Brands Holdings Corp	0 - 1,100	20.00%	> 1,100 - 2,300	85.00%	> 2,300	90.00%				
Press Kogyo Co Ltd	0 - 7,900	20.00%	> 7,900 - 16,000	25.00%	> 16,000 - 29,000	30.00%	> 29,000	50.00%		
Pressance Corp	0 - 1,000	30.00%	> 1,000 - 7,800	45.00%	> 7,800	50.00%				
Prestige Brands Holdings Inc	0 - 2,500	20.00%	> 2,500 - 5,100	30.00%	> 5,100 - 10,000	35.00%	> 10,000	50.00%		
Prestige International Inc	0 - 2,800	20.00%	> 2,800 - 7,400	30.00%	> 7,400 - 15,000	40.00%	> 15,000	50.00%		
Pretium Resources Inc (CA)	0 - 4,300	20.00%	> 4,300 - 11,000	30.00%	> 11,000 - 26,000	35.00%	> 26,000	50.00%		
Pretium Resources Inc (US)	0 - 5,000	20.00%	> 5,000 - 13,000	55.00%	> 13,000	85.00%				
Pricesmart Inc	0 - 800	20.00%	> 800 - 2,000	55.00%	> 2,000	85.00%				
Prima Meat Packers Ltd	0 - 4,100	20.00%	> 4,100 - 8,300	30.00%	> 8,300 - 15,000	35.00%	> 15,000	50.00%		
Primary Health	0 - 64,000	20.00%	> 64,000 - 120,000	25.00%	> 120,000	50.00%				
Primary Health (UK)	0 - 7,300	30.00%	> 7,300 - 58,000	40.00%	> 58,000 - 130,000	45.00%	> 130,000	50.00%		
Prime Media Group Ltd	0 - 46,000	20.00%	> 46,000 - 92,000	45.00%	> 92,000	55.00%				
Primerica Inc	0 - 950	20.00%	> 950 - 1,900	40.00%	> 1,900	50.00%				
Primero Mining Corp (CA)	0 - 14,000	30.00%	> 14,000 - 43,000	40.00%	> 43,000 - 87,000	80.00%	> 87,000	90.00%		
Primero Mining Corp (US)	> 0	100.00%								
Primoris Services Corp	0 - 1,400	20.00%	> 1,400 - 3,800	25.00%	> 3,800 - 8,500	30.00%	> 8,500	50.00%		
Princess Private Equity Holding Ltd	0 - 280	30.00%	> 280 - 4,600	40.00%	> 4,600	50.00%				
Principal Financial	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
PRISA	0 - 11,000	20.00%	> 11,000 - 29,000	25.00%	> 29,000 - 67,000	30.00%	> 67,000	50.00%		
ProAssurance Corp	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400 - 10,000	30.00%	> 10,000	50.00%		
Procter & Gamble	0 - 2,500	20.00%	> 2,500 - 5,000	55.00%	> 5,000	90.00%				
Progenics Pharmaceuticals Inc	0 - 5,000	20.00%	> 5,000 - 19,000	25.00%	> 19,000	50.00%				
Progress Software Corp	0 - 2,500	20.00%	> 2,500 - 5,100	45.00%	> 5,100	55.00%				
Progressive Insurance	0 - 1,700	20.00%	> 1,700 - 3,400	35.00%	> 3,400	50.00%				
ProLogis REIT	0 - 1,500	20.00%	> 1,500 - 3,000	40.00%	> 3,000	55.00%				
Prometic Life Sciences Inc	0 - 17,000	20.00%	> 17,000 - 47,000	25.00%	> 47,000 - 100,000	30.00%	> 100,000	50.00%		
Proofpoint Inc	0 - 1,500	20.00%	> 1,500 - 3,100	65.00%	> 3,100	90.00%				
Property For Industry Ltd	0 - 2,800	30.00%	> 2,800 - 8,500	35.00%	> 8,500 - 17,000	45.00%	> 17,000	50.00%		
ProPetro Holding Corp	0 - 12,000	20.00%	> 12,000 - 22,000	25.00%	> 22,000	50.00%				
ProQR Therapeutics NV	0 - 1,400	20.00%	> 1,400 - 5,700	40.00%	> 5,700	50.00%				
PROS Holdings Inc	0 - 810	20.00%	> 810 - 2,100	40.00%	> 2,100	55.00%				
Prosafe	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
Prosegur	0 - 8,100	20.00%	> 8,100 - 21,000	80.00%	> 21,000	90.00%				
ProShares Large Cap Core Plus	0 - 140	20.00%	> 140 - 280	55.00%	> 280	65.00%				
ProShares S&P 500 Dividend Aristocrats ETF	0 - 440	20.00%	> 440 - 2,600	25.00%	> 2,600	50.00%				
ProSiebenSat 1	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000	50.00%				
Prospect Capital Corp	0 - 3,400	20.00%	> 3,400 - 20,000	25.00%	> 20,000	50.00%				
Prosperity Bancshares Inc	0 - 1,400	20.00%	> 1,400 - 2,900	55.00%	> 2,900	60.00%				
Prosperity REIT	0 - 8,100	30.00%	> 8,100 - 55,000	40.00%	> 55,000 - 110,000	45.00%	> 110,000	50.00%		
Protector Forsikring ASA	0 - 9,000	20.00%	> 9,000 - 20,000	25.00%	> 20,000	50.00%				
Prothena Corp PLC	0 - 1,000	25.00%	> 1,000 - 6,000	40.00%	> 6,000	50.00%				
Proto Labs Inc	0 - 770	20.00%	> 770 - 1,500	30.00%	> 1,500 - 3,000	45.00%	> 3,000	50.00%		
Providence Service Corp/The	0 - 210	25.00%	> 210 - 640	40.00%	> 640 - 1,700	85.00%	> 1,700	90.00%		
Provident Financial	0 - 10,000	20.00%	> 10,000 - 44,000	25.00%	> 44,000	50.00%				
Provident Financial Services I	0 - 1,000	20.00%	> 1,000 - 2,800	25.00%	> 2,800 - 6,400	35.00%	> 6,400	50.00%		
Proximus SA	0 - 4,100	20.00%	> 4,100	90.00%						
Prudential	0 - 29,000	20.00%	> 29,000 - 58,000	40.00%	> 58,000	60.00%				
Prudential (US)	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Prudential Financial	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Prudential Global Short Duration High Yield Fund Inc	0 - 240	30.00%	> 240 - 1,600	35.00%	> 1,600 - 3,500	40.00%	> 3,500	50.00%		
Prudential Short Duration High Yield Fund Inc	0 - 240	30.00%	> 240 - 720	35.00%	> 720 - 1,400	45.00%	> 1,400	50.00%		
Prysmian	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
PS Business Parks Inc	0 - 200	30.00%	> 200 - 2,800	45.00%	> 2,800	50.00%				
PS S&P Downside Hdgd	0 - 690	20.00%	> 690 - 1,300	25.00%	> 1,300	50.00%				
PSB Industries SA	0 - 340	20.00%	> 340 - 680	25.00%	> 680	50.00%				
PSI AG	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
PSP Swiss Property AG	0 - 350	25.00%	> 350 - 1,000	30.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
PTC Inc	0 - 2,000	20.00%	> 2,000 - 4,000	25.00%	> 4,000	50.00%				
PTC Therapeutics Inc	0 - 2,700	20.00%	> 2,700 - 10,000	40.00%	> 10,000	50.00%				
Public Financial Holdings	0 - 23,000	20.00%	> 23,000 - 47,000	30.00%	> 47,000 - 94,000	40.00%	> 94,000	50.00%		
Public Service Enterprise	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
Public Storage	0 - 430	20.00%	> 430 - 1,700	30.00%	> 1,700	50.00%				
Publicis Groupe	0 - 2,800	20.00%	> 2,800 - 5,600	25.00%	> 5,600	50.00%				
Pulte Group	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
Puma	0 - 170	20.00%	> 170 - 350	70.00%	> 350	90.00%				
Puma Biotechnology Inc	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700 - 7,400	40.00%	> 7,400	50.00%		
Pure Storage Inc	0 - 8,200	20.00%	> 8,200 - 16,000	25.00%	> 16,000	50.00%				
PureFunds ISE Cyber Security ETF	0 - 950	20.00%	> 950 - 2,500	50.00%	> 2,500	55.00%				
Purplebricks Group	0 - 20,000	20.00%	> 20,000 - 45,000	25.00%	> 45,000	50.00%				
Putnam Managed Municipal Income Trust	0 - 460	35.00%	> 460 - 1,400	40.00%	> 1,400 - 2,800	55.00%	> 2,800	60.00%		
Putnam Master Intermediate Income Trust	0 - 720	30.00%	> 720 - 8,700	40.00%	> 8,700	50.00%				
Putnam Municipal Opportunities Trust	0 - 290	30.00%	> 290 - 1,700	35.00%	> 1,700 - 3,400	40.00%	> 3,400	50.00%		
Putnam Premier Income Trust	0 - 640	30.00%	> 640 - 3,800	35.00%	> 3,800 - 7,600	40.00%	> 7,600	50.00%		
PVH Corp	0 - 670	20.00%	> 670 - 1,300	30.00%	> 1,300 - 2,600	35.00%	> 2,600	50.00%		
PW Medtech Group Ltd	0 - 49,000	20.00%	> 49,000 - 99,000	25.00%	> 99,000 - 190,000	30.00%	> 190,000	50.00%		
PZ Cussons	0 - 8,200	20.00%	> 8,200 - 21,000	35.00%	> 21,000 - 49,000	45.00%	> 49,000	50.00%		
Q&M Dental Group	0 - 54,000	20.00%	> 54,000 - 100,000	25.00%	> 100,000	50.00%				
Q2 Holdings Inc	0 - 1,500	20.00%	> 1,500 - 6,300	25.00%	> 6,300	50.00%				
QAD Inc	0 - 280	20.00%	> 280 - 750	25.00%	> 750 - 1,600	30.00%	> 1,600	50.00%		
Qantas Airways	0 - 68,000	20.00%	> 68,000 - 130,000	40.00%	> 130,000	65.00%				
QBE Insurance	0 - 90,000	20.00%	> 90,000 - 180,000	40.00%	> 180,000	60.00%				
QEP Resources	0 - 7,800	20.00%	> 7,800 - 15,000	40.00%	> 15,000	50.00%				
Qiagen (Germany)	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
QIAGEN NV	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300 - 10,000	45.00%	> 10,000	50.00%		
Qinetiq Group	0 - 26,000	20.00%	> 26,000 - 53,000	25.00%	> 53,000 - 100,000	30.00%	> 100,000	50.00%		
Qingdao Port International Co Ltd	0 - 25,000	20.00%	> 25,000 - 67,000	30.00%	> 67,000 - 150,000	35.00%	> 150,000	50.00%		
Qingling Motors	0 - 33,000	20.00%	> 33,000 - 67,000	25.00%	> 67,000 - 130,000	30.00%	> 130,000	50.00%		
Qinhuangdao Port Co Ltd	0 - 43,000	20.00%	> 43,000 - 86,000	25.00%	> 86,000 - 170,000	35.00%	> 170,000	50.00%		
QIWI plc	0 - 1,200	20.00%	> 1,200 - 3,200	25.00%	> 3,200 - 7,200	35.00%	> 7,200	50.00%		
Qliro Group	0 - 6,600	20.00%	> 6,600 - 17,000	40.00%	> 17,000	60.00%				
QMS MEDIA LIMITED	0 - 12,000	20.00%	> 12,000 - 30,000	35.00%	> 30,000 - 66,000	40.00%	> 66,000	50.00%		
Qol Co Ltd	0 - 2,500	20.00%	> 2,500 - 5,600	40.00%	> 5,600 - 10,000	45.00%	> 10,000	50.00%		
Qorvo Inc	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,800	35.00%	> 4,800	50.00%		
QSC	0 - 5,700	20.00%	> 5,700 - 11,000	30.00%	> 11,000 - 22,000	45.00%	> 22,000	50.00%		
QTS Realty Trust Inc	0 - 800	35.00%	> 800 - 2,400	45.00%	> 2,400 - 4,800	75.00%	> 4,800	85.00%		
Quad/Graphics Inc	0 - 1,900	20.00%	> 1,900 - 5,100	35.00%	> 5,100 - 11,000	40.00%	> 11,000	50.00%		
Quadrise Fuels International PLC	0 - 220,000	20.00%	> 220,000 - 440,000	50.00%	> 440,000	55.00%				
Quaker Chemical Corp	0 - 67	25.00%	> 67 - 190	45.00%	> 190	90.00%				
Qualcomm	0 - 5,600	20.00%	> 5,600 - 11,000	40.00%	> 11,000	60.00%				
Quality Care Properties	0 - 970	30.00%	> 970 - 7,700	50.00%	> 7,700	65.00%				
Quality Systems Inc	0 - 2,600	20.00%	> 2,600 - 7,000	35.00%	> 7,000 - 15,000	45.00%	> 15,000	50.00%		
Qualys Inc	0 - 1,000	20.00%	> 1,000 - 2,100	30.00%	> 2,100 - 4,200	35.00%	> 4,200	50.00%		
Quanex Building Products Corp	0 - 920	20.00%	> 920 - 5,500	25.00%	> 5,500	50.00%				
Quanta Services	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900 - 11,000	30.00%	> 11,000	50.00%		
Quantum Corp	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Quantum Thinking Ltd	0 - 27,000	25.00%	> 27,000 - 83,000	35.00%	> 83,000 - 160,000	75.00%	> 160,000	90.00%		
Quarterhill Inc (CA)	0 - 9,600	20.00%	> 9,600 - 19,000	60.00%	> 19,000	70.00%				
Qube Logistics	0 - 46,000	20.00%	> 46,000 - 100,000	55.00%	> 100,000	65.00%				
Qudian Inc	0 - 10,000	20.00%	> 10,000 - 22,000	25.00%	> 22,000 - 45,000	30.00%	> 45,000	50.00%		
Quebecor Inc	0 - 4,600	20.00%	> 4,600 - 9,300	65.00%	> 9,300	80.00%				
Quest Diagnostics	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Questerre Energy Corp	0 - 30,000	20.00%	> 30,000 - 61,000	35.00%	> 61,000 - 110,000	40.00%	> 110,000	50.00%		
Quidel Corp	0 - 1,400	20.00%	> 1,400 - 2,800	75.00%	> 2,800	90.00%				
QuinStreet Inc	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Quintis Ltd	> 0	100.00%								
Quotient Technology Inc	0 - 1,200	20.00%	> 1,200 - 3,300	60.00%	> 3,300	75.00%				
Qurate Retail Group Inc QVC Group	0 - 4,500	20.00%	> 4,500 - 9,000	30.00%	> 9,000 - 18,000	35.00%	> 18,000	50.00%		
R. R. Donnelley & Sons	0 - 4,600	20.00%	> 4,600 - 10,000	45.00%	> 10,000	70.00%				
Radian Group Inc	0 - 6,200	20.00%	> 6,200 - 12,000	25.00%	> 12,000 - 24,000	30.00%	> 24,000	50.00%		
Radisson Hospitality	0 - 3,500	20.00%	> 3,500 - 9,500	40.00%	> 9,500	55.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Radius Health Inc	0 - 3,300	25.00%	> 3,300 - 6,700	40.00%	> 6,700	60.00%				
RadNet Inc	0 - 860	20.00%	> 860 - 2,300	40.00%	> 2,300	60.00%				
RADWARE Ltd	0 - 1,000	20.00%	> 1,000 - 2,800	35.00%	> 2,800	50.00%				
Rafael Holdings Inc-B	0 - 1,000	20.00%	> 1,000 - 2,100	40.00%	> 2,100 - 4,200	45.00%	> 4,200	50.00%		
Raffles Education	0 - 79,000	20.00%	> 79,000 - 310,000	30.00%	> 310,000	50.00%				
Raffles Medical	0 - 27,000	20.00%	> 27,000 - 74,000	35.00%	> 74,000 - 160,000	40.00%	> 160,000	50.00%		
Raging River Exploration Inc	0 - 16,000	20.00%	> 16,000 - 32,000	30.00%	> 32,000 - 59,000	35.00%	> 59,000	50.00%		
RAI Way SpA	0 - 2,000	20.00%	> 2,000 - 5,100	25.00%	> 5,100 - 11,000	35.00%	> 11,000	50.00%		
Raiffeisen Bank International	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Raisio PLC	0 - 2,300	20.00%	> 2,300 - 4,600	30.00%	> 4,600 - 9,200	40.00%	> 9,200	50.00%		
Raito Kogyo Co Ltd	0 - 3,700	20.00%	> 3,700 - 7,400	30.00%	> 7,400 - 13,000	35.00%	> 13,000	50.00%		
Rakuten Inc	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000 - 57,000	30.00%	> 57,000	50.00%		
Rallye	0 - 1,900	20.00%	> 1,900 - 5,200	25.00%	> 5,200 - 11,000	30.00%	> 11,000	50.00%		
Rambus	0 - 3,400	20.00%	> 3,400 - 9,100	40.00%	> 9,100 - 20,000	45.00%	> 20,000	50.00%		
Ramco-Gershenson Properties Tr	0 - 1,000	30.00%	> 1,000 - 8,200	45.00%	> 8,200	50.00%				
Ramirent	0 - 4,300	20.00%	> 4,300 - 24,000	40.00%	> 24,000	50.00%				
Ramsay Hlth Care	0 - 8,100	20.00%	> 8,100 - 16,000	40.00%	> 16,000	60.00%				
Randgold Resources	0 - 4,500	20.00%	> 4,500 - 9,100	40.00%	> 9,100	60.00%				
Randgold Resources (US)	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
Randstad	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,500	35.00%	> 6,500	50.00%		
Range Resources	0 - 2,000	45.00%	> 2,000 - 6,000	65.00%	> 6,000	90.00%				
Range Resources Ltd	0 - 5,000,000	20.00%	> 5,000,000 - 10,000,000	25.00%	> 10,000,000 - 20,000,00	30.00%	> 20,000,000	50.00%		
Rank Group	0 - 6,800	20.00%	> 6,800 - 18,000	35.00%	> 18,000 - 40,000	45.00%	> 40,000	50.00%		
Rathbone Brothers	0 - 730	20.00%	> 730 - 1,900	35.00%	> 1,900	50.00%				
Ratos B	0 - 33,000	20.00%	> 33,000 - 62,000	25.00%	> 62,000	50.00%				
Raven Industries Inc	0 - 620	20.00%	> 620 - 1,600	40.00%	> 1,600	55.00%				
Raven Property Group Ltd	0 - 5,700	30.00%	> 5,700 - 17,000	35.00%	> 17,000	50.00%				
Raymond James Financial Inc	0 - 1,000	20.00%	> 1,000 - 2,100	45.00%	> 2,100	55.00%				
Rayonier Advanced Materials Inc	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500 - 13,000	30.00%	> 13,000	50.00%		
Rayonier Inc	0 - 860	30.00%	> 860 - 2,600	35.00%	> 2,600 - 5,200	65.00%	> 5,200	80.00%		
RaySearch Laboratories B	0 - 1,400	20.00%	> 1,400 - 3,100	25.00%	> 3,100 - 5,900	30.00%	> 5,900	50.00%		
Raytheon	0 - 3,300	20.00%	> 3,300 - 6,600	40.00%	> 6,600	60.00%				
Razer Inc	0 - 640,000	20.00%	> 640,000 - 1,400,000	25.00%	> 1,400,000	50.00%				
RBC Bearings Inc	0 - 180	25.00%	> 180 - 550	40.00%	> 550	90.00%				
RCR Tomlinson	0 - 12,000	20.00%	> 12,000 - 47,000	25.00%	> 47,000	50.00%				
RCS Mediagroup	0 - 7,700	20.00%	> 7,700 - 33,000	30.00%	> 33,000	50.00%				
RE/MAX Holdings Inc	0 - 150	30.00%	> 150 - 470	35.00%	> 470 - 1,200	45.00%	> 1,200	50.00%		
REA Group	0 - 500	25.00%	> 500 - 3,000	50.00%	> 3,000	75.00%				
Reach PLC	0 - 10,000	20.00%	> 10,000 - 21,000	75.00%	> 21,000	90.00%				
Reading International Inc	0 - 620	20.00%	> 620 - 1,200	35.00%	> 1,200 - 2,400	40.00%	> 2,400	50.00%		
Real Estate Select Sector SPDR Fund	0 - 3,000	20.00%	> 3,000 - 12,000	25.00%	> 12,000	50.00%				
Real Gold Mining	> 0	100.00%								
Real Nutriceutical Group Ltd	0 - 790,000	20.00%	> 790,000 - 1,500,000	25.00%	> 1,500,000	50.00%				
Realia Business SA	0 - 2,600	30.00%	> 2,600 - 32,000	40.00%	> 32,000	50.00%				
Realogy Holdings Corp	0 - 1,400	25.00%	> 1,400 - 4,200	30.00%	> 4,200 - 17,000	40.00%	> 17,000	50.00%		
RealPage Inc	0 - 1,700	20.00%	> 1,700 - 3,400	35.00%	> 3,400 - 6,900	40.00%	> 6,900	50.00%		
Realty Income Corp	0 - 600	25.00%	> 600 - 3,600	45.00%	> 3,600	55.00%				
Reaves Utility Income Fund	0 - 330	20.00%	> 330 - 670	25.00%	> 670 - 1,300	30.00%	> 1,300	50.00%		
Recipharm B	0 - 2,700	20.00%	> 2,700 - 12,000	25.00%	> 12,000	50.00%				
Reckitt Benckiser	0 - 3,800	20.00%	> 3,800 - 7,700	40.00%	> 7,700	60.00%				
Reckon	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000 - 62,000	35.00%	> 62,000	50.00%		
Recordati	0 - 2,700	20.00%	> 2,700 - 5,500	30.00%	> 5,500 - 11,000	35.00%	> 11,000	50.00%		
Recruit Holdings Co Ltd	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 14,000	40.00%	> 14,000	50.00%		
Red 5	0 - 170,000	20.00%	> 170,000 - 340,000	30.00%	> 340,000 - 690,000	40.00%	> 690,000	50.00%		
Red Electrica	0 - 4,600	20.00%	> 4,600 - 9,300	65.00%	> 9,300	90.00%				
Red Hat	0 - 4,400	20.00%	> 4,400 - 8,900	40.00%	> 8,900	60.00%				
Red Robin Gourmet Burgers Inc	0 - 950	20.00%	> 950 - 1,900	35.00%	> 1,900	50.00%				
Red Star Macalline Group Corp Ltd	0 - 57,000	20.00%	> 57,000 - 130,000	25.00%	> 130,000 - 270,000	30.00%	> 270,000	50.00%		
Redcentric PLC	0 - 8,900	20.00%	> 8,900 - 17,000	35.00%	> 17,000 - 35,000	45.00%	> 35,000	50.00%		
Redde PLC	0 - 5,400	20.00%	> 5,400 - 14,000	75.00%	> 14,000	90.00%				
Redefine International PLC/Isle of Man	0 - 19,000	25.00%	> 19,000 - 59,000	30.00%	> 59,000 - 150,000	40.00%	> 150,000 - 350,000	45.00%	> 350,000	50.00%
Redrow	0 - 14,000	20.00%	> 14,000 - 28,000	40.00%	> 28,000 - 56,000	45.00%	> 56,000	50.00%		
Redwood Monthly Income Fund	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Redwood Trust Inc	0 - 1,000	30.00%	> 1,000 - 3,100	40.00%	> 3,100 - 8,200	65.00%	> 8,200	90.00%		
Refresco Gerber NV	0 - 1,700	20.00%	> 1,700 - 4,000	25.00%	> 4,000	50.00%				
Regal Real Estate Investment Trust	0 - 11,000	30.00%	> 11,000 - 130,000	40.00%	> 130,000	50.00%				
Regal-Beloit Corp	0 - 2,200	20.00%	> 2,200 - 4,600	25.00%	> 4,600	50.00%				
Regency Centers Corp	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200 - 6,500	35.00%	> 6,500	50.00%		
Regeneron Pharmaceuticals Inc	0 - 900	20.00%	> 900 - 1,800	40.00%	> 1,800	60.00%				
Regina Miracle International Holdings Ltd	0 - 12,000	20.00%	> 12,000 - 50,000	30.00%	> 50,000	50.00%				
Regional Management Corp	0 - 280	20.00%	> 280 - 700	30.00%	> 700 - 1,500	35.00%	> 1,500	50.00%		
Regions Financial	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Regis Corp	0 - 900	20.00%	> 900 - 2,400	30.00%	> 2,400 - 5,400	35.00%	> 5,400	50.00%		
Regis Healthcare Ltd	0 - 10,000	20.00%	> 10,000 - 27,000	35.00%	> 27,000	50.00%				
Regis Resources	0 - 26,000	20.00%	> 26,000 - 53,000	35.00%	> 53,000	55.00%				
Regulus Therapeutics Inc	0 - 33,000	20.00%	> 33,000 - 67,000	40.00%	> 67,000 - 130,000	45.00%	> 130,000	50.00%		
Reinsurance Group of America I	0 - 730	20.00%	> 730 - 1,400	80.00%	> 1,400	90.00%				
Reis Inc	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600	50.00%				
Reitmans Canada Ltd	0 - 3,100	20.00%	> 3,100 - 6,300	30.00%	> 6,300 - 12,000	35.00%	> 12,000	50.00%		
Reject Shop	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800 - 9,600	30.00%	> 9,600	50.00%		
Relia Inc	0 - 2,600	20.00%	> 2,600 - 7,000	75.00%	> 7,000	90.00%				
Reliance Steel & Aluminum Co	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200 - 4,400	30.00%	> 4,400	50.00%		
Religare Health Trust	0 - 5,800	30.00%	> 5,800 - 45,000	40.00%	> 45,000 - 100,000	45.00%	> 100,000	50.00%		
Relo Holdings Inc	0 - 1,200	25.00%	> 1,200 - 3,700	30.00%	> 3,700 - 7,400	40.00%	> 7,400 - 14,000	45.00%	> 14,000	50.00%
RELX NV	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
RELX NV - ADR	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
RELX PLC	0 - 29,000	20.00%	> 29,000 - 59,000	40.00%	> 59,000	60.00%				
RELX Plc - ADR	0 - 2,700	20.00%	> 2,700 - 6,200	25.00%	> 6,200	50.00%				
Remy Cointreau	0 - 740	20.00%	> 740 - 1,400	25.00%	> 1,400 - 2,900	30.00%	> 2,900	50.00%		
REN - Redes Energéticas Nacionais	0 - 57,000	20.00%	> 57,000 - 120,000	25.00%	> 120,000	50.00%				
RenaissanceRe Holdings Ltd	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Renasant Corp	0 - 1,200	20.00%	> 1,200 - 3,200	25.00%	> 3,200 - 7,400	30.00%	> 7,400	50.00%		
Renault SA (FR)	0 - 7,600	20.00%	> 7,600 - 15,000	40.00%	> 15,000	60.00%				
Renesas Electronics Corp	0 - 10,000	20.00%	> 10,000 - 43,000	30.00%	> 43,000	50.00%				
Renew Holdings PLC	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700 - 7,500	40.00%	> 7,500	50.00%		
Renewable Energy	0 - 320,000	20.00%	> 320,000 - 650,000	40.00%	> 650,000	60.00%				
Renewable Energy Group Inc	0 - 2,600	20.00%	> 2,600 - 5,400	30.00%	> 5,400 - 10,000	35.00%	> 10,000	50.00%		
Renewables Infrastructure Group Ltd/The	0 - 20,000	20.00%	> 20,000 - 54,000	55.00%	> 54,000	65.00%				
Renewi plc	0 - 84,000	20.00%	> 84,000 - 180,000	25.00%	> 180,000	50.00%				
Rengo Co Ltd	0 - 11,000	20.00%	> 11,000 - 22,000	30.00%	> 22,000 - 45,000	35.00%	> 45,000	50.00%		
Renhe Commercial	0 - 170,000	30.00%	> 170,000 - 1,000,000	40.00%	> 1,000,000 - 2,100,000	45.00%	> 2,100,000	50.00%		
Renishaw	0 - 1,100	20.00%	> 1,100 - 2,500	45.00%	> 2,500	50.00%				
Renold PLC	0 - 25,000	20.00%	> 25,000 - 51,000	30.00%	> 51,000	50.00%				
Renren Inc	0 - 1,700	25.00%	> 1,700 - 5,100	30.00%	> 5,100 - 10,000	55.00%	> 10,000	85.00%		
Rent-A-Center Inc/TX	0 - 4,000	20.00%	> 4,000 - 8,000	50.00%	> 8,000	60.00%				
Rentian Technology Hldg Ltd	0 - 240,000	20.00%	> 240,000 - 480,000	65.00%	> 480,000	90.00%				
Rentokil Initial	0 - 42,000	20.00%	> 42,000 - 85,000	30.00%	> 85,000	50.00%				
Repligen Corp	0 - 1,700	20.00%	> 1,700 - 3,800	55.00%	> 3,800	60.00%				
Reply SpA	0 - 840	20.00%	> 840 - 2,200	35.00%	> 2,200	50.00%				
Repsol YPF	0 - 33,000	20.00%	> 33,000 - 66,000	40.00%	> 66,000	60.00%				
Republic Services	0 - 2,800	20.00%	> 2,800 - 5,600	25.00%	> 5,600	50.00%				
Resmed	0 - 18,000	20.00%	> 18,000 - 36,000	25.00%	> 36,000	50.00%				
ResMed Inc	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Resolute Forest Products	0 - 880	20.00%	> 880 - 5,000	25.00%	> 5,000	50.00%				
Resolute Mining	0 - 58,000	20.00%	> 58,000 - 110,000	60.00%	> 110,000	90.00%				
Resona Holdings	0 - 18,000	20.00%	> 18,000 - 37,000	40.00%	> 37,000 - 74,000	45.00%	> 74,000	50.00%		
Resorttrust Inc	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Resources Connection Inc	0 - 640	20.00%	> 640 - 1,700	30.00%	> 1,700	50.00%				
Restaurant Brands International Inc (CA)	0 - 1,500	20.00%	> 1,500 - 3,100	60.00%	> 3,100	70.00%				
Restaurant Brands International Inc (US)	0 - 3,100	20.00%	> 3,100 - 6,200	25.00%	> 6,200	50.00%				
Restaurant Brands International LP	0 - 310	20.00%	> 310 - 620	25.00%	> 620	50.00%				
Restaurant Group	0 - 18,000	20.00%	> 18,000 - 37,000	40.00%	> 37,000	60.00%				
Restoration Hardware Holdings Inc	0 - 690	20.00%	> 690 - 1,300	35.00%	> 1,300 - 2,700	45.00%	> 2,700	50.00%		
Resurs Holding AB	0 - 6,200	20.00%	> 6,200 - 16,000	50.00%	> 16,000	85.00%				
Retail Estates NV	0 - 95	25.00%	> 95 - 280	30.00%	> 280 - 750	40.00%	> 750 - 1,700	45.00%	> 1,700	50.00%
Retail Food	0 - 62,000	20.00%	> 62,000 - 120,000	25.00%	> 120,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Retail Opportunity Investments	0 - 1,700	35.00%	> 1,700 - 5,200	45.00%	> 5,200	90.00%				
Retail Properties of America I	0 - 2,500	25.00%	> 2,500 - 7,700	30.00%	> 7,700 - 15,000	35.00%	> 15,000 - 31,000	40.00%	> 31,000	50.00%
Retrophin Inc	0 - 800	25.00%	> 800 - 2,400	30.00%	> 2,400 - 5,300	40.00%	> 5,300 - 10,000	45.00%	> 10,000	50.00%
Revance Therapeutics Inc	0 - 1,300	20.00%	> 1,300 - 3,600	30.00%	> 3,600 - 7,900	40.00%	> 7,900	50.00%		
RevenueShares Large Cap ETF	0 - 190	20.00%	> 190 - 380	35.00%	> 380 - 760	40.00%	> 760	50.00%		
RevenueShares Mid Cap ETF	0 - 150	20.00%	> 150 - 630	25.00%	> 630	50.00%				
RevenueShares Small Cap ETF	0 - 130	20.00%	> 130 - 530	25.00%	> 530	50.00%				
Revlon Inc	0 - 1,900	20.00%	> 1,900 - 4,300	25.00%	> 4,300	50.00%				
REX American Resources Corp	0 - 190	20.00%	> 190 - 520	25.00%	> 520 - 1,100	30.00%	> 1,100	50.00%		
Rex Energy Corp	0 - 6,000	30.00%	> 6,000 - 18,000	40.00%	> 18,000 - 36,000	75.00%	> 36,000	90.00%		
Rex International Holding Ltd	0 - 100,000	45.00%	> 100,000 - 320,000	60.00%	> 320,000	90.00%				
Rexel	0 - 7,000	20.00%	> 7,000 - 14,000	55.00%	> 14,000	75.00%				
Rexford Industrial Realty Inc	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 12,000	40.00%	> 12,000	50.00%		
REXLot Holdings Ltd	0 - 4,500,000	20.00%	> 4,500,000 - 9,100,000	30.00%	> 9,100,000 - 18,000,000	35.00%	> 18,000,000	50.00%		
Rexnord Corp	0 - 6,300	20.00%	> 6,300 - 13,000	25.00%	> 13,000	50.00%				
Reyal Urbis	> 0	100.00%								
Rheinmetall	0 - 830	20.00%	> 830 - 1,600	30.00%	> 1,600 - 3,300	45.00%	> 3,300	50.00%		
RHI Magnesita N.V.	0 - 170	20.00%	> 170 - 450	50.00%	> 450	55.00%				
Rhoen-Klinikum	0 - 700	20.00%	> 700 - 4,200	25.00%	> 4,200	50.00%				
Rhythmone PLC	0 - 3,700	20.00%	> 3,700 - 17,000	25.00%	> 17,000	50.00%				
RIB Software AG	0 - 7,100	20.00%	> 7,100 - 13,000	25.00%	> 13,000	50.00%				
Ribbon Communication	0 - 1,300	20.00%	> 1,300 - 3,500	30.00%	> 3,500 - 7,900	35.00%	> 7,900	50.00%		
Ricardo PLC	0 - 780	20.00%	> 780 - 1,700	45.00%	> 1,700	55.00%				
Rice Midstream Partners LP	0 - 1,700	20.00%	> 1,700 - 4,600	25.00%	> 4,600 - 10,000	35.00%	> 10,000	50.00%		
Richelieu Hardware Ltd	0 - 1,000	20.00%	> 1,000 - 2,700	40.00%	> 2,700	80.00%				
Richemont	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Ricoh	0 - 22,000	20.00%	> 22,000 - 44,000	25.00%	> 44,000	50.00%				
Ricoh Leasing Co Ltd	0 - 460	20.00%	> 460 - 2,700	25.00%	> 2,700	50.00%				
Ridley	0 - 10,000	20.00%	> 10,000 - 20,000	30.00%	> 20,000 - 40,000	35.00%	> 40,000	50.00%		
Rieter Holding AG	0 - 280	20.00%	> 280 - 600	25.00%	> 600 - 1,100	30.00%	> 1,100	50.00%		
Rigel Pharmaceuticals Inc	0 - 3,900	25.00%	> 3,900 - 11,000	30.00%	> 11,000 - 24,000	45.00%	> 24,000	50.00%		
Right On Co Ltd	0 - 1,000	20.00%	> 1,000 - 2,700	50.00%	> 2,700	55.00%				
Rightmove	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,800	30.00%	> 5,800	50.00%		
RigNet Inc	0 - 860	20.00%	> 860 - 1,700	25.00%	> 1,700 - 3,400	35.00%	> 3,400	50.00%		
Riken Corp	0 - 300	20.00%	> 300 - 1,800	25.00%	> 1,800	50.00%				
Riken Vitamin Co Ltd	0 - 260	20.00%	> 260 - 610	30.00%	> 610 - 1,300	40.00%	> 1,300	50.00%		
Ring Energy Inc	0 - 2,100	20.00%	> 2,100 - 5,800	30.00%	> 5,800 - 12,000	35.00%	> 12,000	50.00%		
RingCentral Inc	0 - 1,200	20.00%	> 1,200 - 5,000	35.00%	> 5,000	50.00%				
Ringer Hut Co Ltd	0 - 1,100	20.00%	> 1,100 - 6,500	30.00%	> 6,500	50.00%				
Ringkjoebing Landbobank A/S	0 - 740	20.00%	> 740 - 1,900	30.00%	> 1,900 - 4,400	40.00%	> 4,400	50.00%		
Rinnai Corp	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Rio Tinto (Australia)	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Rio Tinto (US)	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	60.00%				
Rio Tinto PLC	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	60.00%				
RioCan Real Estate Investment Trust	0 - 1,700	25.00%	> 1,700 - 5,300	30.00%	> 5,300 - 10,000	35.00%	> 10,000 - 21,000	40.00%	> 21,000	50.00%
Riso Kagaku Corp	0 - 290	25.00%	> 290 - 880	40.00%	> 880	90.00%				
RIT Capital	0 - 500	35.00%	> 500 - 1,500	40.00%	> 1,500 - 4,000	75.00%	> 4,000	90.00%		
Ritchie Bros Auctioneers Inc (CA)	0 - 1,500	20.00%	> 1,500 - 4,000	25.00%	> 4,000 - 9,000	35.00%	> 9,000	50.00%		
Ritchie Bros Auctioneers Inc (US)	0 - 1,300	20.00%	> 1,300 - 3,500	35.00%	> 3,500 - 7,900	40.00%	> 7,900	50.00%		
Rite Aid Corp	0 - 60,000	20.00%	> 60,000 - 120,000	35.00%	> 120,000 - 240,000	40.00%	> 240,000	50.00%		
Riverfront Strategic Income Fund	0 - 400	20.00%	> 400 - 810	60.00%	> 810	85.00%				
Riverstone Energy Ltd	0 - 230	30.00%	> 230 - 4,200	35.00%	> 4,200	50.00%				
RLI Corp	0 - 2,400	20.00%	> 2,400 - 5,300	25.00%	> 5,300	50.00%				
RLJ Lodging Trust	0 - 1,400	25.00%	> 1,400 - 4,400	30.00%	> 4,400 - 8,800	45.00%	> 8,800	55.00%		
RMR Group Inc	0 - 100	40.00%	> 100 - 310	60.00%	> 310	90.00%				
RMR Real Estate Income Fund	0 - 540	20.00%	> 540 - 2,100	30.00%	> 2,100	50.00%				
Road King Infrastructure Ltd	0 - 3,200	30.00%	> 3,200 - 58,000	40.00%	> 58,000	50.00%				
Roadrunner Transportation Systems Inc	0 - 3,600	20.00%	> 3,600 - 7,200	35.00%	> 7,200 - 14,000	40.00%	> 14,000	50.00%		
Robert Half	0 - 1,400	20.00%	> 1,400 - 2,900	70.00%	> 2,900	90.00%				
Robert Walters	0 - 980	20.00%	> 980 - 1,900	35.00%	> 1,900 - 3,900	40.00%	> 3,900	50.00%		
Roche Holding AG (CH)	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600	50.00%				
Roche Holding AG Participation	0 - 2,800	20.00%	> 2,800 - 5,700	40.00%	> 5,700	60.00%				
Rock Field Co Ltd	0 - 3,300	20.00%	> 3,300 - 6,800	25.00%	> 6,800	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Rocket Internet AG	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Rockhopper Exploration	0 - 7,300	25.00%	> 7,300 - 21,000	30.00%	> 21,000 - 54,000	45.00%	> 54,000	60.00%		
Rockwell Automat	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300	50.00%				
Rockwell Collins	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Rockwell Medical Inc	0 - 4,600	20.00%	> 4,600 - 9,300	30.00%	> 9,300	50.00%				
Rockwool B	0 - 240	20.00%	> 240 - 490	50.00%	> 490	65.00%				
Rogers Communications Inc - B (CA)	0 - 3,900	20.00%	> 3,900 - 7,900	25.00%	> 7,900	50.00%				
Rogers Communications Inc (US)	0 - 3,500	20.00%	> 3,500 - 7,500	25.00%	> 7,500	50.00%				
Rogers Corp	0 - 1,400	20.00%	> 1,400 - 3,100	25.00%	> 3,100	50.00%				
Rogers Sugar Inc	0 - 2,600	20.00%	> 2,600 - 7,000	35.00%	> 7,000	50.00%				
Rohm	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,600	35.00%	> 4,600	50.00%		
Rohto Pharmaceutical Co Ltd	0 - 3,200	20.00%	> 3,200 - 12,000	30.00%	> 12,000	50.00%				
Roku Inc	0 - 2,000	20.00%	> 2,000 - 4,000	30.00%	> 4,000 - 8,100	40.00%	> 8,100	50.00%		
Rollins Inc	0 - 1,800	20.00%	> 1,800 - 3,600	45.00%	> 3,600	55.00%				
Rolls-Royce	0 - 50,000	20.00%	> 50,000 - 100,000	40.00%	> 100,000	60.00%				
Rongxin China Holdings Co Ltd	0 - 27,000	30.00%	> 27,000 - 320,000	40.00%	> 320,000	50.00%				
Roper Industries	0 - 350	20.00%	> 350 - 700	35.00%	> 700	55.00%				
Rosenbauer International AG	0 - 170	20.00%	> 170 - 700	25.00%	> 700	50.00%				
Rosetta Stone Inc	0 - 730	20.00%	> 730 - 1,900	25.00%	> 1,900 - 4,400	30.00%	> 4,400	50.00%		
Ross Stores	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,600	30.00%	> 4,600	50.00%		
Rothschild & Co	0 - 420	20.00%	> 420 - 2,500	25.00%	> 2,500	50.00%				
Rotork	0 - 43,000	20.00%	> 43,000 - 86,000	25.00%	> 86,000	50.00%				
Round One Corp	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 24,000	35.00%	> 24,000	50.00%		
Rovio Entertainment Ltd	0 - 1,500	20.00%	> 1,500 - 4,200	30.00%	> 4,200 - 9,400	40.00%	> 9,400	50.00%		
Rowan	0 - 2,000	25.00%	> 2,000 - 6,000	30.00%	> 6,000 - 12,000	85.00%	> 12,000	90.00%		
Royal Bank of Canada (CA)	0 - 4,200	20.00%	> 4,200 - 8,500	40.00%	> 8,500	60.00%				
Royal Bank of Canada (US)	0 - 2,500	20.00%	> 2,500 - 5,100	25.00%	> 5,100	50.00%				
Royal Bank of Scotland	0 - 200,000	20.00%	> 200,000 - 400,000	40.00%	> 400,000	60.00%				
Royal Bank of Scotland (US)	0 - 10,000	20.00%	> 10,000 - 23,000	25.00%	> 23,000	50.00%				
Royal Canadian Mint	0 - 750	20.00%	> 750 - 1,500	25.00%	> 1,500 - 3,000	30.00%	> 3,000	50.00%		
Royal Caribbean Cruises Ltd	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Royal Dutch Shell PLC - A (CH)	0 - 780	20.00%	> 780 - 1,700	25.00%	> 1,700	50.00%				
Royal Dutch Shell PLC - A (GB)	0 - 18,000	20.00%	> 18,000 - 37,000	40.00%	> 37,000	60.00%				
Royal Dutch Shell PLC - A (NL)	0 - 18,000	20.00%	> 18,000 - 37,000	40.00%	> 37,000	60.00%				
Royal Dutch Shell PLC - A (US)	0 - 9,400	20.00%	> 9,400 - 18,000	40.00%	> 18,000	60.00%				
Royal Dutch Shell PLC - B (GB)	0 - 18,000	20.00%	> 18,000 - 36,000	40.00%	> 36,000	60.00%				
Royal Dutch Shell PLC - B (NL)	0 - 540	20.00%	> 540 - 1,000	25.00%	> 1,000	50.00%				
Royal Dutch Shell PLC (B Shares US)	0 - 2,700	20.00%	> 2,700 - 5,400	25.00%	> 5,400	50.00%				
Royal Gold Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Royal Holdings Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,000	35.00%	> 6,000 - 11,000	40.00%	> 11,000	50.00%		
Royal Mail Group	0 - 100,000	20.00%	> 100,000 - 200,000	40.00%	> 200,000	60.00%				
Royal Unibrew	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,800	30.00%	> 4,800	50.00%		
Royce Micro-Cap Trust Inc	0 - 320	30.00%	> 320 - 970	35.00%	> 970 - 1,900	50.00%	> 1,900	55.00%		
Royce Value Trust Inc	0 - 360	30.00%	> 360 - 1,000	35.00%	> 1,000 - 6,500	40.00%	> 6,500	50.00%		
RPC Group	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
RPC Inc	0 - 5,900	20.00%	> 5,900 - 12,000	35.00%	> 12,000 - 25,000	45.00%	> 25,000	50.00%		
RPM International Inc	0 - 1,600	20.00%	> 1,600 - 3,200	30.00%	> 3,200 - 6,500	40.00%	> 6,500	50.00%		
RPS group	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 24,000	30.00%	> 24,000	50.00%		
RPX Corp	0 - 2,100	20.00%	> 2,100 - 5,600	50.00%	> 5,600	60.00%				
RSA Insurance	0 - 23,000	20.00%	> 23,000 - 46,000	35.00%	> 46,000	50.00%				
RSP Permian Inc	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
RTI Surgical Inc	0 - 700	25.00%	> 700 - 2,100	30.00%	> 2,100 - 8,400	40.00%	> 8,400	50.00%		
RTL Group SA (DE)	0 - 1,300	20.00%	> 1,300 - 2,600	30.00%	> 2,600 - 5,300	35.00%	> 5,300	50.00%		
RTL Group SA (LU)	0 - 130	20.00%	> 130 - 260	25.00%	> 260 - 530	30.00%	> 530	50.00%		
Rubicon Minerals Corp (CA)	0 - 20,000	20.00%	> 20,000 - 41,000	25.00%	> 41,000	50.00%				
Rubis	0 - 1,600	20.00%	> 1,600	90.00%						
Rudolph Technologies Inc	0 - 910	20.00%	> 910 - 2,400	35.00%	> 2,400 - 5,500	45.00%	> 5,500	50.00%		
Ruffer Investment Company Ltd	0 - 1,000	35.00%	> 1,000 - 8,000	45.00%	> 8,000	50.00%				
Rush Enterprises Inc - A	0 - 2,200	20.00%	> 2,200 - 5,000	25.00%	> 5,000	50.00%				
Russel Metals Inc	0 - 2,200	20.00%	> 2,200 - 5,800	30.00%	> 5,800 - 13,000	45.00%	> 13,000	50.00%		
Russell High Dividend Australian Shares ETF	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800	50.00%				
Ruth's Hospitality Group Inc	0 - 2,200	20.00%	> 2,200 - 5,100	25.00%	> 5,100	50.00%				
RWE AG (DE)	0 - 7,800	20.00%	> 7,800 - 15,000	75.00%	> 15,000	90.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
RWE AG Preference (DE)	0 - 1,300	20.00%	> 1,300 - 3,600	30.00%	> 3,600 - 8,200	45.00%	> 8,200	50.00%		
RWS Holdings PLC	0 - 8,000	20.00%	> 8,000 - 21,000	30.00%	> 21,000 - 48,000	35.00%	> 48,000	50.00%		
Ryanair Holdings PLC (GB)	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
Ryanair Holdings PLC (IE)	0 - 35,000	20.00%	> 35,000 - 71,000	40.00%	> 71,000	60.00%				
Ryanair Holdings PLC (US)	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Ryder System Inc	0 - 1,300	20.00%	> 1,300 - 5,300	25.00%	> 5,300	50.00%				
Ryman Healthcare	0 - 8,600	20.00%	> 8,600 - 20,000	40.00%	> 20,000	50.00%				
Ryman Hospitality Properties	0 - 390	25.00%	> 390 - 1,100	30.00%	> 1,100 - 2,300	35.00%	> 2,300 - 4,700	40.00%	> 4,700	50.00%
Ryobi Ltd	0 - 4,100	20.00%	> 4,100 - 8,300	25.00%	> 8,300	50.00%				
Ryohin Keikaku Co Ltd	0 - 640	20.00%	> 640 - 1,200	25.00%	> 1,200	50.00%				
Ryosan Co Ltd	0 - 890	20.00%	> 890 - 2,300	25.00%	> 2,300 - 5,300	30.00%	> 5,300	50.00%		
Ryoyo Electro Corp	0 - 740	20.00%	> 740 - 4,400	25.00%	> 4,400	50.00%				
S E A Holdings Ltd	0 - 2,600	30.00%	> 2,600 - 8,000	35.00%	> 8,000 - 16,000	50.00%	> 16,000	55.00%		
S Foods Inc	0 - 970	20.00%	> 970 - 2,600	35.00%	> 2,600 - 5,800	45.00%	> 5,800	50.00%		
S IMMO AG	0 - 550	25.00%	> 550 - 1,600	30.00%	> 1,600 - 4,400	40.00%	> 4,400 - 10,000	45.00%	> 10,000	50.00%
S&P Global Inc	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
S&T AG	0 - 6,000	20.00%	> 6,000 - 13,000	25.00%	> 13,000	50.00%				
S&T Bancorp Inc	0 - 710	20.00%	> 710 - 1,800	35.00%	> 1,800 - 4,200	40.00%	> 4,200	50.00%		
Sa Sa International Holdings	0 - 150,000	20.00%	> 150,000 - 330,000	40.00%	> 330,000	60.00%				
Saab	0 - 2,300	20.00%	> 2,300 - 4,600	30.00%	> 4,600 - 9,300	35.00%	> 9,300	50.00%		
Sabana Shari'ah Compliant Industrial Real Estate Investment Trust	0 - 10,000	30.00%	> 10,000 - 62,000	35.00%	> 62,000 - 120,000	40.00%	> 120,000	50.00%		
Sabine Royalty Trust	0 - 210	20.00%	> 210 - 430	25.00%	> 430 - 870	35.00%	> 870	50.00%		
Sabra Health Care REIT Inc	0 - 1,400	25.00%	> 1,400 - 4,400	30.00%	> 4,400 - 8,800	35.00%	> 8,800 - 17,000	40.00%	> 17,000	50.00%
Sabre Corp	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
Sac's Bar Holdings Inc	0 - 1,100	20.00%	> 1,100 - 2,700	40.00%	> 2,700 - 5,800	45.00%	> 5,800	50.00%		
Sacyr Vallehermoso	0 - 32,000	20.00%	> 32,000 - 67,000	30.00%	> 67,000 - 130,000	35.00%	> 130,000	50.00%		
Saeta Yield SA	0 - 1,100	20.00%	> 1,100 - 3,100	30.00%	> 3,100 - 7,100	35.00%	> 7,100	50.00%		
Safeguard Scientifics Inc	0 - 780	20.00%	> 780 - 1,500	80.00%	> 1,500	90.00%				
Safestore Holdings	0 - 7,000	20.00%	> 7,000 - 18,000	30.00%	> 18,000 - 42,000	35.00%	> 42,000	50.00%		
SafeStyle UK PLC	0 - 43,000	20.00%	> 43,000 - 86,000	25.00%	> 86,000	50.00%				
Safety Insurance Group Inc	0 - 650	20.00%	> 650 - 1,400	25.00%	> 1,400	50.00%				
SAF-Holland SA	0 - 2,000	20.00%	> 2,000 - 10,000	25.00%	> 10,000	50.00%				
Safilo Group	0 - 4,000	20.00%	> 4,000 - 8,300	25.00%	> 8,300	50.00%				
Safran	0 - 790	20.00%	> 790 - 1,500	35.00%	> 1,500 - 3,100	45.00%	> 3,100	50.00%		
Saga Group PLC	0 - 110,000	20.00%	> 110,000 - 240,000	25.00%	> 240,000	50.00%				
Sagami Chain Co Ltd	0 - 780	20.00%	> 780 - 1,500	35.00%	> 1,500	70.00%				
Sagax B	0 - 480	25.00%	> 480 - 1,400	30.00%	> 1,400 - 8,700	35.00%	> 8,700	50.00%		
Sagax pref	0 - 810	25.00%	> 810 - 2,400	30.00%	> 2,400 - 4,800	35.00%	> 4,800 - 9,700	40.00%	> 9,700	50.00%
Sage Group	0 - 24,000	20.00%	> 24,000 - 48,000	25.00%	> 48,000	50.00%				
Sage Therapeutics Inc	0 - 590	20.00%	> 590 - 1,100	30.00%	> 1,100 - 2,300	35.00%	> 2,300	50.00%		
Saia Inc	0 - 1,200	20.00%	> 1,200 - 2,500	45.00%	> 2,500	50.00%				
Saibu Gas Co Ltd	0 - 400	20.00%	> 400 - 960	25.00%	> 960 - 2,000	30.00%	> 2,000	50.00%		
Sainsbury	0 - 150,000	20.00%	> 150,000 - 300,000	40.00%	> 300,000	60.00%				
Saint Gobain	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	60.00%				
Saipem	0 - 40,000	20.00%	> 40,000 - 81,000	25.00%	> 81,000	50.00%				
Saizen REIT	> 0	100.00%								
Saizeriya Co Ltd	0 - 3,900	20.00%	> 3,900	90.00%						
Sakai Chemical Industry Co Ltd	0 - 660	20.00%	> 660 - 1,700	35.00%	> 1,700	50.00%				
Sakai Moving Service Co Ltd	0 - 630	20.00%	> 630 - 1,600	30.00%	> 1,600 - 3,800	40.00%	> 3,800	50.00%		
Sakata INX Corp	0 - 1,800	20.00%	> 1,800 - 5,000	35.00%	> 5,000 - 11,000	45.00%	> 11,000	50.00%		
Sakata Seed Corp	0 - 1,500	20.00%	> 1,500 - 4,000	45.00%	> 4,000	70.00%				
Salesforce.com	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400	60.00%				
Salient Midstream & MLP Fund	0 - 320	35.00%	> 320 - 3,900	45.00%	> 3,900	50.00%				
Sally Beauty Holdings Inc	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
SalMar	0 - 4,400	20.00%	> 4,400 - 8,900	40.00%	> 8,900	60.00%				
Salvatore Ferragamo SpA	0 - 4,200	20.00%	> 4,200 - 8,500	40.00%	> 8,500	50.00%				
Salzgitter	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400 - 8,800	30.00%	> 8,800	50.00%		
Sampo	0 - 4,000	20.00%	> 4,000 - 8,000	25.00%	> 8,000	50.00%				
Samsonite International SA	0 - 54,000	20.00%	> 54,000 - 100,000	25.00%	> 100,000	50.00%				
San Juan Basin Royalty Trust	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300 - 6,700	35.00%	> 6,700	50.00%		
San-A Co Ltd	0 - 600	20.00%	> 600 - 1,600	65.00%	> 1,600	90.00%				
San-Ai Oil Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,900	25.00%	> 2,900 - 6,600	30.00%	> 6,600	50.00%		
Sanchez Energy Corp	0 - 1,800	25.00%	> 1,800 - 11,000	40.00%	> 11,000 - 20,000	45.00%	> 20,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sanden Corp	0 - 2,100	20.00%	> 2,100 - 4,200	40.00%	> 4,200 - 7,800	45.00%	> 7,800	50.00%		
Sanderson Farms Inc	0 - 970	20.00%	> 970 - 1,900	40.00%	> 1,900	50.00%				
Sandfire Resources NL	0 - 11,000	20.00%	> 11,000 - 23,000	35.00%	> 23,000 - 43,000	45.00%	> 43,000	50.00%		
SandRidge Mississippian Trust	0 - 1,700	30.00%	> 1,700 - 5,100	40.00%	> 5,100 - 10,000	60.00%	> 10,000	65.00%		
SandRidge Permian Trust	0 - 1,200	25.00%	> 1,200 - 3,700	35.00%	> 3,700 - 7,500	55.00%	> 7,500	70.00%		
Sands China	0 - 37,000	20.00%	> 37,000 - 74,000	25.00%	> 74,000	50.00%				
Sandstorm Gold Ltd (CA)	0 - 3,100	20.00%	> 3,100 - 8,300	25.00%	> 8,300 - 18,000	30.00%	> 18,000	50.00%		
Sandstorm Gold Ltd (US)	0 - 2,200	20.00%	> 2,200 - 5,600	40.00%	> 5,600 - 12,000	45.00%	> 12,000	50.00%		
Sandvik	0 - 5,700	20.00%	> 5,700 - 11,000	35.00%	> 11,000 - 22,000	40.00%	> 22,000	50.00%		
Sandy Spring Bancorp Inc	0 - 2,600	20.00%	> 2,600 - 6,000	25.00%	> 6,000	50.00%				
Sangamo Biosciences Inc	0 - 6,600	20.00%	> 6,600 - 13,000	35.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Sangetsu Co Ltd	0 - 2,300	20.00%	> 2,300 - 6,100	60.00%	> 6,100	90.00%				
San-In Godo Bank Ltd/The	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 24,000	35.00%	> 24,000	50.00%		
Sanken Electric Co Ltd	0 - 1,700	20.00%	> 1,700 - 7,100	25.00%	> 7,100	50.00%				
Sanki Engineering Co Ltd	0 - 1,400	20.00%	> 1,400 - 3,900	40.00%	> 3,900	50.00%				
Sankyo Co Ltd	0 - 2,500	20.00%	> 2,500 - 5,100	30.00%	> 5,100 - 10,000	40.00%	> 10,000	50.00%		
Sankyo Tateyama Inc	0 - 3,500	20.00%	> 3,500 - 7,200	25.00%	> 7,200	50.00%				
Sankyu Inc	0 - 2,000	20.00%	> 2,000 - 4,000	30.00%	> 4,000 - 8,000	35.00%	> 8,000	50.00%		
Sanmina Sci	0 - 5,200	20.00%	> 5,200 - 11,000	25.00%	> 11,000	50.00%				
Sanofi - ADR	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
Sanofi (FR)	0 - 7,800	20.00%	> 7,800 - 15,000	40.00%	> 15,000	60.00%				
Sanofi (IT)	0 - 230	20.00%	> 230 - 470	25.00%	> 470	50.00%				
Sanoma	0 - 6,600	20.00%	> 6,600 - 15,000	25.00%	> 15,000	50.00%				
Sanrio Co Ltd	0 - 5,000	20.00%	> 5,000 - 10,000	35.00%	> 10,000 - 20,000	45.00%	> 20,000	50.00%		
Santander Consumer USA Holdings Inc	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Sante Fe Group	0 - 2,200	20.00%	> 2,200 - 8,800	30.00%	> 8,800	50.00%				
Santen Pharmaceutical Co Ltd	0 - 5,900	20.00%	> 5,900 - 11,000	30.00%	> 11,000 - 23,000	45.00%	> 23,000	50.00%		
Santhera Pharmaceutical Holding AG (CH)	0 - 580	20.00%	> 580 - 1,100	30.00%	> 1,100 - 2,300	40.00%	> 2,300	50.00%		
Santos	0 - 7,300	25.00%	> 7,300 - 21,000	35.00%	> 21,000	90.00%				
Sanwa Holdings Corp	0 - 9,300	20.00%	> 9,300 - 18,000	35.00%	> 18,000 - 37,000	40.00%	> 37,000	50.00%		
Sany Heavy Equipment Internati	0 - 100,000	20.00%	> 100,000 - 290,000	25.00%	> 290,000 - 650,000	30.00%	> 650,000	50.00%		
Sanyo Chemical Industries Ltd	0 - 540	20.00%	> 540 - 1,400	30.00%	> 1,400 - 3,200	35.00%	> 3,200	50.00%		
Sanyo Denki Co Ltd	0 - 920	20.00%	> 920 - 1,800	30.00%	> 1,800 - 3,300	45.00%	> 3,300	50.00%		
Sanyo Electric Railway Co Ltd	0 - 420	20.00%	> 420 - 1,700	30.00%	> 1,700	50.00%				
Sanyo Shokai Ltd	0 - 520	20.00%	> 520 - 1,200	25.00%	> 1,200 - 2,800	30.00%	> 2,800	50.00%		
Sanyo Special Steel Co Ltd	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600 - 8,500	30.00%	> 8,500	50.00%		
SAP	0 - 5,500	20.00%	> 5,500 - 11,000	40.00%	> 11,000	60.00%				
SAP SE	0 - 5,500	20.00%	> 5,500 - 11,000	40.00%	> 11,000	60.00%				
Sapporo Holdings	0 - 4,100	20.00%	> 4,100 - 16,000	30.00%	> 16,000	50.00%				
Saputo Inc	0 - 5,800	20.00%	> 5,800 - 11,000	25.00%	> 11,000	50.00%				
Saracen Mineral	0 - 120,000	20.00%	> 120,000 - 220,000	30.00%	> 220,000	50.00%				
Saras	0 - 43,000	20.00%	> 43,000 - 86,000	35.00%	> 86,000 - 170,000	40.00%	> 170,000	50.00%		
Sarepta Therapeutics Inc	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	60.00%				
Sarine Technologies Ltd	0 - 15,000	20.00%	> 15,000 - 30,000	35.00%	> 30,000 - 61,000	40.00%	> 61,000	50.00%		
Sartorius AG	0 - 580	20.00%	> 580 - 1,100	35.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%		
Sartorius Stedim	0 - 1,600	20.00%	> 1,600 - 3,400	25.00%	> 3,400	50.00%				
SAS (Sweden)	0 - 57,000	20.00%	> 57,000 - 100,000	25.00%	> 100,000	50.00%				
SAS AB (DK)	0 - 7,900	20.00%	> 7,900 - 21,000	35.00%	> 21,000 - 47,000	45.00%	> 47,000	50.00%		
SAS pref	0 - 310	20.00%	> 310 - 630	25.00%	> 630	50.00%				
Sasol Ltd - ADR	0 - 990	20.00%	> 990 - 2,600	25.00%	> 2,600 - 5,900	40.00%	> 5,900	50.00%		
Sato Holdings Corp	0 - 1,300	20.00%	> 1,300 - 3,600	25.00%	> 3,600 - 7,900	30.00%	> 7,900	50.00%		
Sato Restaurant Systems Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,400	35.00%	> 2,400 - 4,900	40.00%	> 4,900	50.00%		
Sats	0 - 53,000	20.00%	> 53,000 - 100,000	25.00%	> 100,000	50.00%				
Saul Centers Inc	0 - 89	25.00%	> 89 - 260	30.00%	> 260 - 1,500	35.00%	> 1,500	50.00%		
Savencia SA	0 - 110	20.00%	> 110 - 440	25.00%	> 440	50.00%				
Savills	0 - 11,000	20.00%	> 11,000 - 24,000	25.00%	> 24,000	50.00%				
Sawai Pharmaceutical Co Ltd	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300 - 8,700	30.00%	> 8,700	50.00%		
SBA Communications Corp	0 - 200	25.00%	> 200 - 600	30.00%	> 600 - 1,200	45.00%	> 1,200	55.00%		
SBI Holdings Inc/Japan	0 - 4,100	20.00%	> 4,100 - 16,000	25.00%	> 16,000	50.00%				
SBM Offshore	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
SCA A	0 - 900	20.00%	> 900 - 1,800	35.00%	> 1,800	50.00%				
SCA B	0 - 18,000	20.00%	> 18,000 - 36,000	30.00%	> 36,000	50.00%				
SCANA	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Scandi Standard	0 - 1,500	20.00%	> 1,500 - 3,100	40.00%	> 3,100	60.00%				
Scandic Hotels Group AB	0 - 4,900	20.00%	> 4,900 - 12,000	25.00%	> 12,000 - 24,000	30.00%	> 24,000	50.00%		
Scandinavian Tobacco Group	0 - 2,600	20.00%	> 2,600 - 6,900	40.00%	> 6,900	65.00%				
ScanSource Inc	0 - 460	20.00%	> 460 - 1,200	30.00%	> 1,200 - 2,700	35.00%	> 2,700	50.00%		
Scapa Group PLC	0 - 5,400	20.00%	> 5,400 - 14,000	35.00%	> 14,000	55.00%				
Scatec Solar ASA	0 - 7,100	20.00%	> 7,100 - 15,000	35.00%	> 15,000 - 28,000	40.00%	> 28,000	50.00%		
Scentre Group	0 - 10,000	25.00%	> 10,000 - 120,000	35.00%	> 120,000	50.00%				
Schaeffler AG	0 - 15,000	20.00%	> 15,000 - 30,000	25.00%	> 30,000	50.00%				
Schaffner Holding AG	0 - 31	20.00%	> 31 - 62	35.00%	> 62 - 120	45.00%	> 120	50.00%		
Schaltbau Holding AG	0 - 330	20.00%	> 330 - 1,300	25.00%	> 1,300	50.00%				
Schibsted ASA (NO)	0 - 2,600	20.00%	> 2,600 - 5,700	35.00%	> 5,700 - 11,000	45.00%	> 11,000	50.00%		
Schindler Holding AG	0 - 880	20.00%	> 880 - 1,700	25.00%	> 1,700	50.00%				
Schindler Holding AG (Participation Certificate)	0 - 900	20.00%	> 900 - 1,800	25.00%	> 1,800	50.00%				
Schlumberger Ltd (FR)	0 - 290	20.00%	> 290 - 580	25.00%	> 580	50.00%				
Schlumberger Ltd (US)	0 - 9,700	20.00%	> 9,700 - 19,000	40.00%	> 19,000	60.00%				
Schmolz + Bickenbach AG	0 - 26,000	20.00%	> 26,000 - 52,000	25.00%	> 52,000	50.00%				
Schneider Electric	0 - 8,000	20.00%	> 8,000 - 16,000	40.00%	> 16,000	60.00%				
Schnitzer Steel Industries Inc	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400	50.00%				
Schoeller Bleckmann	0 - 400	20.00%	> 400 - 2,400	30.00%	> 2,400	50.00%				
Scholastic Corp	0 - 680	20.00%	> 680 - 1,800	25.00%	> 1,800 - 4,000	35.00%	> 4,000	50.00%		
Schouw & Co.	0 - 450	20.00%	> 450 - 1,200	25.00%	> 1,200 - 2,700	30.00%	> 2,700	50.00%		
Schroder Asian Total Return Investment Co PLC	0 - 690	30.00%	> 690 - 9,000	40.00%	> 9,000	50.00%				
Schroder AsiaPacific	0 - 990	30.00%	> 990 - 2,900	35.00%	> 2,900 - 7,900	40.00%	> 7,900 - 17,000	45.00%	> 17,000	50.00%
Schroder ImmoPLUS	0 - 21	30.00%	> 21 - 120	35.00%	> 120 - 250	40.00%	> 250	50.00%		
Schroder Income	0 - 840	30.00%	> 840 - 2,500	35.00%	> 2,500 - 10,000	40.00%	> 10,000	50.00%		
Schroder Japan	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000	50.00%				
Schroder Oriental	0 - 1,300	30.00%	> 1,300 - 4,000	35.00%	> 4,000 - 10,000	40.00%	> 10,000 - 24,000	45.00%	> 24,000	50.00%
Schroder Real Estate Investment	0 - 4,000	25.00%	> 4,000 - 64,000	30.00%	> 64,000	50.00%				
Schroder UK Growth	0 - 1,500	30.00%	> 1,500 - 4,700	35.00%	> 4,700 - 12,000	45.00%	> 12,000	55.00%		
Schroder UK Mid Cap Fund	0 - 440	30.00%	> 440 - 5,300	35.00%	> 5,300	50.00%				
Schroders	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
Schroders (non voting shares)	0 - 1,800	20.00%	> 1,800 - 4,100	25.00%	> 4,100	50.00%				
Schwab Emerging Markets Equity ETF	0 - 1,700	20.00%	> 1,700 - 4,500	30.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Schwab Fundamental International Large Company Index	0 - 330	20.00%	> 330 - 1,600	25.00%	> 1,600	50.00%				
Schwab Fundamental U.S. Broad Market Index ETF	0 - 520	20.00%	> 520 - 1,000	25.00%	> 1,000	50.00%				
Schwab Fundamental U.S. Large Company Index	0 - 940	20.00%	> 940 - 2,100	25.00%	> 2,100	50.00%				
Schwab Fundamental U.S. Small Company Index	0 - 770	20.00%	> 770 - 1,700	25.00%	> 1,700	50.00%				
Schwab Intermediate-Term U.S. Treasury ETF	0 - 1,800	20.00%	> 1,800 - 4,200	25.00%	> 4,200	50.00%				
Schwab International Equity ETF	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
Schwab International Small-Cap Equity ETF	> 0	100.00%								
Schwab Short-Term U.S. Treasury ETF	0 - 2,500	20.00%	> 2,500 - 5,600	25.00%	> 5,600	50.00%				
Schwab U.S. Aggregate Bond ETF	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Schwab U.S. Large-Cap Growth ETF	0 - 1,900	20.00%	> 1,900 - 4,200	25.00%	> 4,200	50.00%				
Schwab U.S. Large-Cap Value ETF	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
Schwab U.S. Mid-Cap ETF	0 - 630	20.00%	> 630 - 3,800	25.00%	> 3,800	50.00%				
Schwab U.S. REIT ETF	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
Schwab U.S. TIPs ETF	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Schwab US Broad Market ETF	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Schwab US Dividend Equity ETF	0 - 3,300	20.00%	> 3,300 - 7,200	25.00%	> 7,200	50.00%				
Schwab US Large-Cap ETF	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900	50.00%				
Schwab US Small-Cap ETF	0 - 2,400	20.00%	> 2,400 - 5,000	25.00%	> 5,000	50.00%				
Schweiter Technologies AG	0 - 56	20.00%	> 56 - 120	25.00%	> 120	50.00%				
Schweitzer-Mauduit Internation	0 - 2,500	20.00%	> 2,500 - 5,600	25.00%	> 5,600	50.00%				
Science Applications International Corp	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Scientific Games Corp	0 - 1,900	20.00%	> 1,900 - 3,900	45.00%	> 3,900	60.00%				
SCOR	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
Scorpio Bulkers Inc	0 - 1,400	20.00%	> 1,400 - 3,600	40.00%	> 3,600	50.00%				
Scorpio Tankers Inc	0 - 16,000	20.00%	> 16,000 - 43,000	35.00%	> 43,000 - 88,000	40.00%	> 88,000	50.00%		
Scott American	0 - 670	35.00%	> 670 - 2,000	40.00%	> 2,000	55.00%				
Scottish Investment Trust	0 - 450	30.00%	> 450 - 8,100	40.00%	> 8,100	50.00%				
Scottish Mortgage IT	0 - 13,000	25.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
Scottish Oriental Smaller Companies	0 - 250	30.00%	> 250 - 750	35.00%	> 750 - 1,800	40.00%	> 1,800 - 4,000	45.00%	> 4,000	50.00%
Scottish Southern Energy	0 - 36,000	20.00%	> 36,000 - 72,000	40.00%	> 72,000	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Scotts Miracle-Gro Co/The	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Scout24 AG	0 - 1,800	20.00%	> 1,800 - 3,600	35.00%	> 3,600	50.00%				
SCSK Corp	0 - 4,300	20.00%	> 4,300 - 8,700	25.00%	> 8,700	50.00%				
SDL	0 - 1,600	20.00%	> 1,600 - 6,800	25.00%	> 6,800	50.00%				
Seaboard Corp	0 - 3	20.00%	> 3 - 7	35.00%	> 7 - 16	45.00%	> 16	50.00%		
Seabridge Gold Inc	0 - 870	20.00%	> 870 - 2,200	25.00%	> 2,200 - 4,900	30.00%	> 4,900	50.00%		
Seabridge Gold Inc	0 - 870	20.00%	> 870 - 4,700	40.00%	> 4,700	50.00%				
Seachange International Inc	0 - 3,200	20.00%	> 3,200 - 12,000	40.00%	> 12,000	50.00%				
Seacoast Banking Corp of Florida	0 - 2,300	20.00%	> 2,300 - 5,400	30.00%	> 5,400 - 11,000	35.00%	> 11,000	50.00%		
SEACOR Holdings Inc	0 - 800	20.00%	> 800 - 2,100	50.00%	> 2,100	80.00%				
Seadrill Ltd (NO)	0 - 13,000	35.00%	> 13,000 - 40,000	50.00%	> 40,000	90.00%				
Seadrill Ltd (US)	0 - 1,500	30.00%	> 1,500 - 4,500	45.00%	> 4,500	90.00%				
Seadrill Partners LLC	0 - 860	25.00%	> 860 - 5,100	35.00%	> 5,100 - 10,000	40.00%	> 10,000	50.00%		
Seagate Technology	0 - 3,300	20.00%	> 3,300 - 6,700	65.00%	> 6,700	90.00%				
Sealed Air	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600	55.00%				
Sears Holdings	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000 - 29,000	35.00%	> 29,000	50.00%		
Seaspan Corp	0 - 5,400	20.00%	> 5,400 - 14,000	65.00%	> 14,000	90.00%				
Seattle Genetics Inc	0 - 1,400	20.00%	> 1,400 - 2,800	45.00%	> 2,800	55.00%				
SeaWorld Entertainment Inc	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000	50.00%				
SEB	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
SEB A	0 - 10,000	20.00%	> 10,000 - 20,000	50.00%	> 20,000	90.00%				
SEB C	0 - 1,000	20.00%	> 1,000 - 4,000	25.00%	> 4,000	50.00%				
SECOM	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200	50.00%				
Secure Energy Services Inc	0 - 4,400	20.00%	> 4,400 - 26,000	25.00%	> 26,000	50.00%				
Secure Trust Bank PLC	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600	50.00%				
Securitas B	0 - 6,000	20.00%	> 6,000 - 12,000	35.00%	> 12,000	50.00%				
Securities Trust	0 - 1,400	30.00%	> 1,400 - 4,400	35.00%	> 4,400 - 17,000	45.00%	> 17,000	50.00%		
SEEK	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Sega Sammy Holdings Inc	0 - 6,000	20.00%	> 6,000 - 12,000	45.00%	> 12,000	55.00%				
SEGRO	0 - 22,000	20.00%	> 22,000 - 44,000	40.00%	> 44,000	60.00%				
SEI Investments Co	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Seibu Holdings Inc	0 - 6,100	20.00%	> 6,100 - 12,000	30.00%	> 12,000 - 24,000	35.00%	> 24,000	50.00%		
Seikagaku Corp	0 - 1,600	20.00%	> 1,600 - 4,500	30.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Seiko Epson	0 - 5,700	20.00%	> 5,700 - 11,000	45.00%	> 11,000	75.00%				
Seiko Holdings Corp	0 - 2,700	20.00%	> 2,700 - 5,900	30.00%	> 5,900 - 11,000	35.00%	> 11,000	50.00%		
Seino Holdings Co Ltd	0 - 5,900	20.00%	> 5,900 - 11,000	30.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Seiren Co Ltd	0 - 2,000	20.00%	> 2,000 - 5,400	25.00%	> 5,400 - 12,000	30.00%	> 12,000	50.00%		
Sekisui Chemical	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000 - 23,000	30.00%	> 23,000	50.00%		
Sekisui House	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000	50.00%				
Sekisui House Reit Inc	0 - 43	25.00%	> 43 - 120	30.00%	> 120 - 280	35.00%	> 280 - 590	40.00%	> 590	50.00%
Sekisui House SI Residential Investment Corp	0 - 13	30.00%	> 13 - 40	35.00%	> 40 - 100	40.00%	> 100 - 240	45.00%	> 240	50.00%
Sekisui Jushi Corp	0 - 730	20.00%	> 730 - 1,900	25.00%	> 1,900 - 4,400	30.00%	> 4,400	50.00%		
Select Harvests Ltd	0 - 5,100	20.00%	> 5,100 - 13,000	35.00%	> 13,000 - 26,000	45.00%	> 26,000	50.00%		
Select Income REIT	0 - 550	30.00%	> 550 - 1,600	35.00%	> 1,600 - 4,400	50.00%	> 4,400	60.00%		
Select Medical Holdings Corp	0 - 5,500	20.00%	> 5,500 - 12,000	25.00%	> 12,000	50.00%				
Selective Insurance Group Inc	0 - 960	20.00%	> 960	90.00%						
Seligson & Co OMX Helsinki 25 Exchange Traded Fund	0 - 170	20.00%	> 170 - 350	30.00%	> 350 - 710	35.00%	> 710	50.00%		
Sellas Life Sciences Group	0 - 1,900	25.00%	> 1,900 - 11,000	30.00%	> 11,000 - 23,000	35.00%	> 23,000	50.00%		
Selvaag Bolig ASA	0 - 1,400	40.00%	> 1,400 - 4,300	55.00%	> 4,300	90.00%				
SEMAFO Inc	0 - 3,500	20.00%	> 3,500 - 7,000	40.00%	> 7,000	55.00%				
SEMAFO Inc (CA)	0 - 10,000	20.00%	> 10,000 - 28,000	30.00%	> 28,000 - 64,000	40.00%	> 64,000	50.00%		
Semapa	0 - 850	20.00%	> 850 - 2,200	35.00%	> 2,200 - 5,100	40.00%	> 5,100	50.00%		
Sembcorp Industries	0 - 100,000	20.00%	> 100,000 - 200,000	25.00%	> 200,000	50.00%				
Sembcorp Marine	0 - 130,000	20.00%	> 130,000 - 270,000	25.00%	> 270,000	50.00%				
SemGroup Corp	0 - 2,400	20.00%	> 2,400 - 14,000	25.00%	> 14,000	50.00%				
Semperit AG Holding	0 - 520	20.00%	> 520 - 1,000	35.00%	> 1,000 - 2,100	45.00%	> 2,100	50.00%		
Sempra Energy	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Semtech Corp	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900 - 7,900	30.00%	> 7,900	50.00%		
Seneca Foods Corp	0 - 360	20.00%	> 360 - 1,400	30.00%	> 1,400	50.00%				
Senex Energy	0 - 13,000	25.00%	> 13,000 - 39,000	30.00%	> 39,000 - 100,000	40.00%	> 100,000 - 230,000	45.00%	> 230,000	50.00%
Senior	0 - 11,000	20.00%	> 11,000 - 70,000	25.00%	> 70,000	50.00%				
Senior Housing Properties Trus	0 - 1,800	30.00%	> 1,800 - 5,400	35.00%	> 5,400 - 10,000	45.00%	> 10,000	50.00%		
Senko Co Ltd	0 - 8,900	20.00%	> 8,900 - 20,000	30.00%	> 20,000 - 40,000	45.00%	> 40,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Senomyx Inc	0 - 8,500	20.00%	> 8,500 - 34,000	25.00%	> 34,000	50.00%				
Senshu Ikeda Holdings Inc	0 - 3,500	25.00%	> 3,500 - 10,000	35.00%	> 10,000	90.00%				
Senshukai Co Ltd	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500 - 10,000	30.00%	> 10,000	50.00%		
Sensient Technologies Corp	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700 - 5,500	35.00%	> 5,500	50.00%		
SEPLAT Petroleum Development Co Plc	0 - 1,700	30.00%	> 1,700 - 5,300	35.00%	> 5,300 - 10,000	55.00%	> 10,000	65.00%		
Septeni Holdings Co Ltd	0 - 9,100	20.00%	> 9,100 - 18,000	30.00%	> 18,000 - 33,000	35.00%	> 33,000	50.00%		
Sequana	0 - 17,000	20.00%	> 17,000 - 34,000	55.00%	> 34,000	65.00%				
Sequential Brands Group Inc	0 - 5,000	20.00%	> 5,000 - 10,000	55.00%	> 10,000	85.00%				
Serco Group	0 - 28,000	20.00%	> 28,000 - 76,000	80.00%	> 76,000	90.00%				
Seres Therapeutics Inc	0 - 370	25.00%	> 370 - 1,100	30.00%	> 1,100 - 2,800	50.00%	> 2,800	75.00%		
Seria Co Ltd	0 - 1,900	20.00%	> 1,900	90.00%						
Servcorp Ltd	0 - 3,200	20.00%	> 3,200 - 6,500	30.00%	> 6,500 - 13,000	35.00%	> 13,000	50.00%		
Service Corp International/US	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300	60.00%				
Service Stream Ltd	0 - 8,700	20.00%	> 8,700 - 22,000	70.00%	> 22,000	90.00%				
ServiceMaster Global Holdings Inc	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,400	30.00%	> 6,400	50.00%		
ServiceNow Inc	0 - 3,400	20.00%	> 3,400 - 6,900	40.00%	> 6,900	60.00%				
ServiceSource International Inc	0 - 2,600	20.00%	> 2,600 - 5,200	30.00%	> 5,200 - 10,000	35.00%	> 10,000	50.00%		
ServisFirst Bancshares Inc	0 - 820	20.00%	> 820 - 2,100	55.00%	> 2,100	90.00%				
SES	0 - 4,800	20.00%	> 4,800 - 9,700	35.00%	> 9,700 - 19,000	45.00%	> 19,000	50.00%		
Sevan Marine	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Seven & I Holdings	0 - 2,400	20.00%	> 2,400 - 4,900	30.00%	> 4,900 - 9,900	40.00%	> 9,900	50.00%		
Seven Bank Ltd	0 - 66,000	20.00%	> 66,000 - 130,000	25.00%	> 130,000	50.00%				
Seven Generations Energy Ltd	0 - 8,600	20.00%	> 8,600 - 17,000	30.00%	> 17,000 - 34,000	35.00%	> 34,000	50.00%		
Seven Group	0 - 5,900	20.00%	> 5,900 - 12,000	40.00%	> 12,000	55.00%				
Seven West Media	0 - 65,000	20.00%	> 65,000 - 170,000	25.00%	> 170,000 - 360,000	30.00%	> 360,000	50.00%		
Severfield Rowen	0 - 8,800	20.00%	> 8,800 - 17,000	45.00%	> 17,000	60.00%				
Severn Trent	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800 - 15,000	30.00%	> 15,000	50.00%		
SFS Group AG	0 - 380	20.00%	> 380 - 1,000	40.00%	> 1,000	55.00%				
SG Fleet Group Ltd	0 - 4,500	20.00%	> 4,500 - 27,000	25.00%	> 27,000	50.00%				
SGL	0 - 3,600	20.00%	> 3,600 - 9,800	30.00%	> 9,800 - 22,000	35.00%	> 22,000	50.00%		
SGS SA	0 - 75	20.00%	> 75 - 150	25.00%	> 150	50.00%				
SGX	0 - 36,000	20.00%	> 36,000 - 72,000	25.00%	> 72,000	50.00%				
Shaftesbury	0 - 2,700	25.00%	> 2,700 - 8,100	30.00%	> 8,100 - 32,000	35.00%	> 32,000	50.00%		
Shake Shack Inc	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Shandong Chenming Paper Holdings Ltd	0 - 39,000	20.00%	> 39,000 - 140,000	30.00%	> 140,000	50.00%				
Shandong Molong	0 - 61,000	20.00%	> 61,000 - 240,000	30.00%	> 240,000	50.00%				
Shandong Xinhua Pharmaceutical Company	0 - 14,000	20.00%	> 14,000 - 29,000	55.00%	> 29,000	70.00%				
Shanghai Electric	0 - 200,000	20.00%	> 200,000 - 420,000	25.00%	> 420,000 - 790,000	35.00%	> 790,000	50.00%		
Shanghai Fosun Pharmaceutical Group Co Ltd	0 - 18,000	20.00%	> 18,000 - 74,000	30.00%	> 74,000	50.00%				
Shanghai Fudan Microelectronics Group Co Ltd	0 - 3,200	25.00%	> 3,200 - 9,600	30.00%	> 9,600 - 54,000	40.00%	> 54,000	50.00%		
Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co Ltd	0 - 7,100	25.00%	> 7,100 - 21,000	30.00%	> 21,000 - 86,000	40.00%	> 86,000	50.00%		
Shanghai Industrial	0 - 6,600	30.00%	> 6,600 - 53,000	40.00%	> 53,000 - 120,000	45.00%	> 120,000	50.00%		
Shanghai Industrial Urban Development Group Ltd	0 - 19,000	30.00%	> 19,000 - 58,000	35.00%	> 58,000 - 150,000	50.00%	> 150,000	70.00%		
Shanghai Jin Jiang International Hotels	0 - 100,000	20.00%	> 100,000 - 650,000	25.00%	> 650,000	50.00%				
Shanghai Pharmaceuticals Holding Co Ltd	0 - 35,000	20.00%	> 35,000 - 71,000	25.00%	> 71,000 - 140,000	30.00%	> 140,000	50.00%		
Shanghai Prime Machinery Company	0 - 67,000	20.00%	> 67,000 - 130,000	25.00%	> 130,000 - 260,000	30.00%	> 260,000	50.00%		
Shangri-La Asia	0 - 52,000	20.00%	> 52,000 - 100,000	30.00%	> 100,000 - 220,000	35.00%	> 220,000	50.00%		
Shanshui Cement	> 0	100.00%								
Sharp	0 - 4,100	20.00%	> 4,100 - 8,200	45.00%	> 8,200	65.00%				
Shaw Communications Inc (CA)	0 - 4,700	20.00%	> 4,700 - 9,400	30.00%	> 9,400 - 18,000	40.00%	> 18,000	50.00%		
Shaw Communications Inc (US)	0 - 7,500	20.00%	> 7,500 - 16,000	25.00%	> 16,000	50.00%				
ShawCor Ltd	0 - 3,300	20.00%	> 3,300 - 7,500	25.00%	> 7,500	50.00%				
Shell Midstream Partners LP	0 - 2,300	20.00%	> 2,300 - 14,000	25.00%	> 14,000	50.00%				
Shenandoah Telecommunications Co	0 - 870	20.00%	> 870 - 2,300	25.00%	> 2,300 - 5,200	35.00%	> 5,200	50.00%		
Sheng Siong Group Ltd	0 - 45,000	20.00%	> 45,000 - 120,000	35.00%	> 120,000	50.00%				
Shengjing Bank Co Ltd	0 - 33,000	20.00%	> 33,000 - 67,000	25.00%	> 67,000	50.00%				
Shenguan Holdings Group Ltd	0 - 180,000	20.00%	> 180,000 - 370,000	30.00%	> 370,000 - 750,000	35.00%	> 750,000	50.00%		
Shenji Group Kunming Machine	> 0	100.00%								
Shenyin Wanguo	0 - 39,000	20.00%	> 39,000 - 79,000	35.00%	> 79,000	50.00%				
Shenzhen Expressway	0 - 49,000	20.00%	> 49,000 - 100,000	30.00%	> 100,000 - 200,000	35.00%	> 200,000	50.00%		
Shenzhen International	0 - 100,000	20.00%	> 100,000 - 200,000	25.00%	> 200,000	50.00%				
Shenzhen Investment	0 - 94,000	25.00%	> 94,000 - 280,000	30.00%	> 280,000 - 560,000	35.00%	> 560,000 - 1,100,000	45.00%	> 1,100,000	50.00%
Shenzhou International Group H	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sherritt International Corp	0 - 14,000	20.00%	> 14,000 - 39,000	25.00%	> 39,000 - 88,000	30.00%	> 88,000	50.00%		
Sherwin-Williams	0 - 230	20.00%	> 230 - 950	35.00%	> 950	50.00%				
Shibaura Mechatronics	0 - 3,000	20.00%	> 3,000 - 6,100	30.00%	> 6,100 - 12,000	40.00%	> 12,000	50.00%		
Shibuya Kogyo Co Ltd	0 - 720	20.00%	> 720 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Shifang Holding Ltd	0 - 600,000	20.00%	> 600,000 - 2,400,000	35.00%	> 2,400,000	50.00%				
Shiga Bank Ltd/The	0 - 3,800	20.00%	> 3,800 - 7,700	35.00%	> 7,700 - 14,000	45.00%	> 14,000	50.00%		
Shikoku Bank Ltd/The	0 - 2,000	20.00%	> 2,000 - 4,400	25.00%	> 4,400	50.00%				
Shikoku Chemicals Corp	0 - 720	20.00%	> 720 - 1,400	25.00%	> 1,400 - 3,000	30.00%	> 3,000	50.00%		
Shikoku Electric Power Co Inc	0 - 7,600	20.00%	> 7,600 - 30,000	25.00%	> 30,000	50.00%				
Shima Seiki Manufacturing Ltd	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800 - 7,700	35.00%	> 7,700	50.00%		
Shimachu Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,200	35.00%	> 6,200 - 12,000	40.00%	> 12,000	50.00%		
Shimadzu Corp	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
Shimamura Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200 - 4,400	30.00%	> 4,400	50.00%		
Shimano Inc	0 - 690	20.00%	> 690 - 1,300	35.00%	> 1,300	50.00%				
Shimao Property	0 - 11,000	25.00%	> 11,000 - 71,000	35.00%	> 71,000 - 140,000	45.00%	> 140,000	50.00%		
Shimizu	0 - 9,500	20.00%	> 9,500 - 19,000	25.00%	> 19,000 - 38,000	30.00%	> 38,000	50.00%		
Shindengen Electric Manufacturing Co Ltd	0 - 250	25.00%	> 250 - 760	30.00%	> 760 - 1,500	35.00%	> 1,500 - 2,800	40.00%	> 2,800	50.00%
Shine Corporate Ltd	0 - 15,000	20.00%	> 15,000 - 30,000	35.00%	> 30,000 - 61,000	40.00%	> 61,000	50.00%		
Shin-Etsu Chemical	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
Shin-Etsu Polymer Co Ltd	0 - 2,000	20.00%	> 2,000 - 5,400	25.00%	> 5,400 - 12,000	30.00%	> 12,000	50.00%		
Shinhan Financial Group Co Ltd	0 - 500	20.00%	> 500 - 1,300	35.00%	> 1,300 - 3,000	40.00%	> 3,000	50.00%		
Shinko Electric Industries Co	0 - 9,300	20.00%	> 9,300 - 34,000	25.00%	> 34,000	50.00%				
Shinko Plantech Co Ltd	0 - 2,300	20.00%	> 2,300 - 5,800	45.00%	> 5,800	65.00%				
Shinmaywa Industries Ltd	0 - 7,200	20.00%	> 7,200 - 14,000	25.00%	> 14,000 - 26,000	30.00%	> 26,000	50.00%		
Shinoken Group Co Ltd	0 - 790	30.00%	> 790 - 2,300	35.00%	> 2,300 - 4,700	50.00%	> 4,700	55.00%		
Shinsei Bank	0 - 6,700	20.00%	> 6,700 - 13,000	30.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Shionogi	0 - 4,000	20.00%	> 4,000 - 8,000	30.00%	> 8,000	50.00%				
Ship Finance International Ltd	0 - 3,100	20.00%	> 3,100 - 8,400	25.00%	> 8,400 - 18,000	30.00%	> 18,000	50.00%		
Ship Healthcare Holdings Inc	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 10,000	30.00%	> 10,000	50.00%		
Shire	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Shire (US)	0 - 1,900	20.00%	> 1,900 - 3,800	40.00%	> 3,800	60.00%				
Shiseido	0 - 8,300	20.00%	> 8,300 - 16,000	40.00%	> 16,000	60.00%				
Shizuoka Bank	0 - 11,000	20.00%	> 11,000 - 23,000	25.00%	> 23,000 - 46,000	30.00%	> 46,000	50.00%		
Shizuoka Gas Co Ltd	0 - 1,300	20.00%	> 1,300 - 3,600	25.00%	> 3,600 - 8,200	30.00%	> 8,200	50.00%		
SHO-BOND Holdings Co Ltd	0 - 2,200	20.00%	> 2,200 - 5,000	30.00%	> 5,000	50.00%				
Shochiku Co Ltd	0 - 420	20.00%	> 420 - 2,500	25.00%	> 2,500	50.00%				
Shoe Carnival Inc	0 - 1,100	20.00%	> 1,100 - 4,300	25.00%	> 4,300	50.00%				
Shoei Foods Corp	0 - 880	20.00%	> 880 - 2,100	30.00%	> 2,100 - 4,300	35.00%	> 4,300	50.00%		
Shopify Inc	0 - 2,000	20.00%	> 2,000 - 4,000	40.00%	> 4,000	60.00%				
Shopping Centres Australasia P	0 - 12,000	30.00%	> 12,000 - 36,000	35.00%	> 36,000 - 90,000	50.00%	> 90,000	60.00%		
Shougang Concord International	0 - 2,700,000	20.00%	> 2,700,000 - 5,300,000	25.00%	> 5,300,000	50.00%				
Shougang Fushan Resources Group	0 - 160,000	20.00%	> 160,000 - 440,000	35.00%	> 440,000	60.00%				
Showa Corp	0 - 5,100	20.00%	> 5,100 - 10,000	35.00%	> 10,000 - 19,000	40.00%	> 19,000	50.00%		
Showa Denko	0 - 2,100	20.00%	> 2,100 - 8,500	35.00%	> 8,500	50.00%				
Showa Sangyo Co Ltd	0 - 400	20.00%	> 400 - 890	40.00%	> 890	50.00%				
Showa Shell Sekiyu	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
Shui On Land	0 - 130,000	30.00%	> 130,000 - 400,000	35.00%	> 400,000 - 840,000	50.00%	> 840,000	65.00%		
Shun Tak	0 - 25,000	30.00%	> 25,000 - 76,000	35.00%	> 76,000 - 200,000	55.00%	> 200,000	75.00%		
Shunfeng International Clean Energy Ltd	0 - 280,000	20.00%	> 280,000 - 1,100,000	35.00%	> 1,100,000	50.00%				
Shutterfly Inc	0 - 1,000	20.00%	> 1,000 - 2,100	40.00%	> 2,100	50.00%				
Shutterstock Inc	0 - 840	20.00%	> 840 - 2,200	40.00%	> 2,200	50.00%				
SHW AG	0 - 260	20.00%	> 260 - 520	25.00%	> 520 - 1,000	30.00%	> 1,000	50.00%		
SIA Engineering	0 - 10,000	20.00%	> 10,000 - 28,000	25.00%	> 28,000 - 64,000	30.00%	> 64,000	50.00%		
SIAS	0 - 10,000	20.00%	> 10,000 - 23,000	30.00%	> 23,000	50.00%				
Sibanye Gold Ltd - ADR	0 - 19,000	20.00%	> 19,000 - 52,000	45.00%	> 52,000	55.00%				
Sichuan Expressway	0 - 33,000	20.00%	> 33,000 - 84,000	45.00%	> 84,000	50.00%				
Siegfried Holding AG	0 - 210	20.00%	> 210 - 930	25.00%	> 930	50.00%				
Siem Offshore	0 - 89,000	20.00%	> 89,000 - 170,000	25.00%	> 170,000	50.00%				
Siemens AG (DE)	0 - 2,400	20.00%	> 2,400 - 4,800	40.00%	> 4,800	60.00%				
Siemens AG (IT)	0 - 140	20.00%	> 140 - 290	25.00%	> 290	50.00%				
Siemens Gamesa Renewable Energy	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000	50.00%				
Sienna Senior Living	0 - 2,300	20.00%	> 2,300 - 6,200	35.00%	> 6,200	55.00%				
Sierra Wireless Inc (CA)	0 - 3,300	20.00%	> 3,300 - 7,600	25.00%	> 7,600	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sierra Wireless Inc (US)	0 - 590	20.00%	> 590 - 1,400	50.00%	> 1,400	65.00%				
SIG	0 - 41,000	20.00%	> 41,000 - 160,000	25.00%	> 160,000	50.00%				
Sigma Designs Inc	0 - 540	25.00%	> 540 - 1,600	30.00%	> 1,600 - 3,200	60.00%	> 3,200	80.00%		
Sigma Pharmaceuticals	0 - 74,000	20.00%	> 74,000 - 140,000	55.00%	> 140,000	85.00%				
Signature Bank/New York NY	0 - 800	20.00%	> 800 - 1,600	65.00%	> 1,600	90.00%				
Signet Jewelers Ltd	0 - 3,400	20.00%	> 3,400 - 6,800	40.00%	> 6,800	60.00%				
Sihuan Pharmaceutical Holdings	0 - 390,000	20.00%	> 390,000 - 870,000	70.00%	> 870,000	90.00%				
SIIC Environment Holdings Ltd	0 - 33,000	20.00%	> 33,000 - 67,000	45.00%	> 67,000	70.00%				
Siix Corp	0 - 2,900	20.00%	> 2,900 - 6,600	35.00%	> 6,600	50.00%				
Sika AG	0 - 720	20.00%	> 720 - 2,800	25.00%	> 2,800	50.00%				
Silex Systems	0 - 56,000	20.00%	> 56,000 - 220,000	25.00%	> 220,000	50.00%				
Silgan Holdings Inc	0 - 2,300	20.00%	> 2,300 - 6,000	60.00%	> 6,000	75.00%				
Silicom Ltd	0 - 660	20.00%	> 660 - 1,400	25.00%	> 1,400	50.00%				
Silicon Laboratories Inc	0 - 950	20.00%	> 950 - 1,900	65.00%	> 1,900	90.00%				
Silicon Motion Technology Corp	0 - 1,400	20.00%	> 1,400 - 7,100	35.00%	> 7,100	50.00%				
Siliconware Precision Industri	0 - 380	25.00%	> 380 - 2,300	40.00%	> 2,300 - 4,600	45.00%	> 4,600	50.00%		
Silk Road Energy Services Group	0 - 630,000	40.00%	> 630,000 - 1,900,000	45.00%	> 1,900,000 - 3,800,000	60.00%	> 3,800,000	70.00%		
Siltronic AG	0 - 210	30.00%	> 210 - 650	35.00%	> 650 - 1,300	60.00%	> 1,300	65.00%		
Silver Grant	0 - 57,000	30.00%	> 57,000 - 170,000	35.00%	> 170,000 - 350,000	50.00%	> 350,000	55.00%		
Silver Lake Resources	0 - 23,000	20.00%	> 23,000 - 61,000	50.00%	> 61,000	85.00%				
Silver Standard Resources Inc	0 - 3,100	20.00%	> 3,100 - 8,400	45.00%	> 8,400	55.00%				
Silver Standard Resources Inc	0 - 2,500	20.00%	> 2,500 - 6,700	45.00%	> 6,700	55.00%				
Silvercorp Metals Inc (CA)	0 - 3,900	20.00%	> 3,900 - 10,000	40.00%	> 10,000	50.00%				
Silverlake Axis	0 - 26,000	20.00%	> 26,000	50.00%						
Simcorp	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Simmons First National Corp	0 - 2,300	20.00%	> 2,300 - 5,600	30.00%	> 5,600 - 12,000	35.00%	> 12,000	50.00%		
Simon Property Group	0 - 1,900	20.00%	> 1,900 - 3,800	40.00%	> 3,800	60.00%				
Simpson Manufacturing Co Inc	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900	50.00%				
Sims Metal	0 - 16,000	20.00%	> 16,000 - 33,000	25.00%	> 33,000	50.00%				
SINA Corp/China	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%		
Sinarmas Land Ltd	0 - 15,000	30.00%	> 15,000 - 45,000	35.00%	> 45,000 - 90,000	45.00%	> 90,000	60.00%		
Sinclair Broadcast Group Inc	0 - 3,000	20.00%	> 3,000 - 12,000	30.00%	> 12,000	50.00%				
Sinclair IS Pharma PLC	0 - 33,000	20.00%	> 33,000 - 66,000	35.00%	> 66,000 - 130,000	40.00%	> 130,000	50.00%		
Singamas Container Holdings Ltd	0 - 69,000	20.00%	> 69,000 - 150,000	35.00%	> 150,000 - 320,000	45.00%	> 320,000	50.00%		
Singapore Airlines	0 - 13,000	20.00%	> 13,000 - 52,000	25.00%	> 52,000	50.00%				
Singapore Press Holdings	0 - 98,000	20.00%	> 98,000 - 190,000	30.00%	> 190,000	50.00%				
Singapore Technologies Engineering	0 - 260,000	20.00%	> 260,000 - 530,000	40.00%	> 530,000	60.00%				
Singpost	0 - 170,000	20.00%	> 170,000 - 370,000	25.00%	> 370,000	50.00%				
Singtel	0 - 270,000	20.00%	> 270,000 - 550,000	40.00%	> 550,000	60.00%				
Singulus	0 - 1,300	20.00%	> 1,300 - 2,600	25.00%	> 2,600	50.00%				
Sinko Industries Ltd	0 - 1,000	20.00%	> 1,000	90.00%						
Sino Biopharmaceutical	0 - 63,000	20.00%	> 63,000 - 120,000	25.00%	> 120,000 - 250,000	30.00%	> 250,000	50.00%		
Sino Gas & Energy Holdings Ltd	0 - 55,000	25.00%	> 55,000 - 160,000	30.00%	> 160,000 - 600,000	40.00%	> 600,000	50.00%		
Sino Land	0 - 20,000	25.00%	> 20,000 - 62,000	30.00%	> 62,000 - 120,000	45.00%	> 120,000	50.00%		
Sino Oil And Gas Holdings Ltd	0 - 960,000	25.00%	> 960,000 - 2,800,000	30.00%	> 2,800,000 - 5,700,000	40.00%	> 5,700,000 - 11,000,000	45.00%	> 11,000,000	50.00%
Sino Techfibre	> 0	100.00%								
Sinofert	0 - 110,000	20.00%	> 110,000 - 290,000	30.00%	> 290,000 - 670,000	35.00%	> 670,000	50.00%		
Sinofortune Financial Holdings Ltd	0 - 1,300,000	20.00%	> 1,300,000 - 2,700,000	35.00%	> 2,700,000 - 5,400,000	45.00%	> 5,400,000	50.00%		
Sinolink Worldwide Holdings Ltd	0 - 30,000	35.00%	> 30,000 - 92,000	40.00%	> 92,000 - 180,000	55.00%	> 180,000	60.00%		
Sino-Ocean Land	0 - 47,000	25.00%	> 47,000 - 670,000	30.00%	> 670,000	50.00%				
Sinopec Engineering Group Co	0 - 98,000	20.00%	> 98,000 - 190,000	40.00%	> 190,000	55.00%				
Sinopec Kantons Holdings Ltd	0 - 84,000	20.00%	> 84,000 - 500,000	25.00%	> 500,000	50.00%				
Sinopec Shanghai Petrochemical	0 - 150,000	20.00%	> 150,000 - 310,000	30.00%	> 310,000 - 620,000	35.00%	> 620,000	50.00%		
Sinopec Shanghai Petrochemical Co - ADR	0 - 190	20.00%	> 190 - 520	30.00%	> 520 - 1,100	40.00%	> 1,100	50.00%		
Sinopharm Group	0 - 46,000	20.00%	> 46,000 - 93,000	25.00%	> 93,000	50.00%				
Sinosoft Technology Group Ltd	0 - 79,000	20.00%	> 79,000 - 160,000	35.00%	> 160,000	60.00%				
Sinotrans	0 - 200,000	20.00%	> 200,000 - 810,000	35.00%	> 810,000	50.00%				
Sinotrans Shipping	0 - 68,000	20.00%	> 68,000 - 180,000	50.00%	> 180,000	65.00%				
Sinotruk Hong Kong Ltd	0 - 67,000	20.00%	> 67,000 - 130,000	30.00%	> 130,000 - 260,000	35.00%	> 260,000	50.00%		
Sinovac Biotech Ltd	0 - 420	25.00%	> 420 - 1,200	30.00%	> 1,200 - 2,500	50.00%	> 2,500	60.00%		
Sintokogio Ltd	0 - 1,800	20.00%	> 1,800 - 4,900	25.00%	> 4,900 - 11,000	35.00%	> 11,000	50.00%		
Sipef SA	0 - 140	20.00%	> 140 - 280	30.00%	> 280 - 570	35.00%	> 570	50.00%		
Sirius Minerals PLC	0 - 390,000	20.00%	> 390,000 - 830,000	25.00%	> 830,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sirius XM Holdings	0 - 28,000	20.00%	> 28,000 - 56,000	30.00%	> 56,000	50.00%				
Sirtex Medical Ltd	0 - 8,200	20.00%	> 8,200 - 15,000	25.00%	> 15,000	50.00%				
SITC International Holdings Co	0 - 23,000	20.00%	> 23,000 - 62,000	35.00%	> 62,000	50.00%				
Sitoy Group Holdings Ltd	0 - 35,000	20.00%	> 35,000 - 71,000	75.00%	> 71,000	90.00%				
Six Flags Entertainment Corp	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800 - 5,700	40.00%	> 5,700	50.00%		
Sixt	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Sixt AG	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
SJM Holdings	0 - 78,000	20.00%	> 78,000 - 150,000	25.00%	> 150,000 - 310,000	30.00%	> 310,000	50.00%		
SJW Corp	0 - 780	20.00%	> 780 - 2,100	25.00%	> 2,100 - 4,700	30.00%	> 4,700	50.00%		
SK Kaken Co Ltd	0 - 100	20.00%	> 100 - 430	25.00%	> 430	50.00%				
SK Telecom Co Ltd	0 - 4,500	20.00%	> 4,500 - 10,000	25.00%	> 10,000	50.00%				
Skandiabanken ASA	0 - 3,500	20.00%	> 3,500 - 9,400	25.00%	> 9,400 - 21,000	30.00%	> 21,000	50.00%		
Skanska B	0 - 10,000	20.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%				
Skechers U.S.A. Inc	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300 - 12,000	30.00%	> 12,000	50.00%		
SKF A	0 - 1,800	20.00%	> 1,800 - 4,200	25.00%	> 4,200	50.00%				
SKF B	0 - 10,000	20.00%	> 10,000 - 21,000	25.00%	> 21,000	50.00%				
SkiStar B	0 - 600	20.00%	> 600 - 1,600	60.00%	> 1,600	85.00%				
SKW Metallurgie	0 - 170,000	20.00%	> 170,000 - 340,000	25.00%	> 340,000 - 690,000	30.00%	> 690,000	50.00%		
Sky City Entertainment (Australia)	0 - 5,700	20.00%	> 5,700 - 34,000	30.00%	> 34,000	50.00%				
Sky City Entertainment (NZ)	0 - 10,000	20.00%	> 10,000 - 26,000	30.00%	> 26,000 - 60,000	45.00%	> 60,000	50.00%		
Sky Network	0 - 5,600	20.00%	> 5,600 - 11,000	40.00%	> 11,000 - 22,000	45.00%	> 22,000	50.00%		
Sky Network Television (NZ)	0 - 10,000	20.00%	> 10,000 - 28,000	30.00%	> 28,000 - 63,000	45.00%	> 63,000	50.00%		
Sky Perfect Jsat Holdings	0 - 11,000	20.00%	> 11,000 - 30,000	40.00%	> 30,000 - 68,000	45.00%	> 68,000	50.00%		
Skylark Co Ltd	0 - 7,100	20.00%	> 7,100 - 14,000	25.00%	> 14,000 - 28,000	30.00%	> 28,000	50.00%		
SkyWest Inc	0 - 1,000	20.00%	> 1,000 - 6,100	25.00%	> 6,100	50.00%				
Skyworks Solutions Inc	0 - 3,300	20.00%	> 3,300 - 6,600	40.00%	> 6,600	60.00%				
Skyworth Digital	0 - 240,000	20.00%	> 240,000 - 920,000	30.00%	> 920,000	50.00%				
SL Green Realty Corp	0 - 320	40.00%	> 320 - 960	60.00%	> 960	90.00%				
Slate Retail REIT	0 - 340	30.00%	> 340 - 1,000	35.00%	> 1,000 - 2,000	45.00%	> 2,000	50.00%		
Slater & Gordon	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100 - 18,000	30.00%	> 18,000	50.00%		
Sleep Number Corp	0 - 880	25.00%	> 880 - 2,600	35.00%	> 2,600 - 5,300	80.00%	> 5,300	90.00%		
Sligro Food Group NV	0 - 580	20.00%	> 580 - 1,500	40.00%	> 1,500	50.00%				
SLM	0 - 8,600	20.00%	> 8,600 - 17,000	45.00%	> 17,000	55.00%				
SLM Solutions Group AG	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
SM Energy Co	0 - 3,700	20.00%	> 3,700 - 7,500	35.00%	> 7,500 - 15,000	40.00%	> 15,000	50.00%		
SMA Solar Tech	0 - 1,400	20.00%	> 1,400 - 3,700	40.00%	> 3,700 - 8,100	45.00%	> 8,100	50.00%		
Smart & Final Stores Inc	0 - 3,800	20.00%	> 3,800 - 8,100	25.00%	> 8,100	50.00%				
Smart Metering Systems PLC	0 - 2,600	20.00%	> 2,600 - 7,000	55.00%	> 7,000	65.00%				
Smart Real Estate Investment Trust	0 - 970	25.00%	> 970 - 2,900	30.00%	> 2,900 - 7,200	40.00%	> 7,200 - 15,000	45.00%	> 15,000	50.00%
Smartgroup Corporation Ltd	0 - 14,000	20.00%	> 14,000 - 28,000	25.00%	> 28,000	50.00%				
SmarTone Telecommunications	0 - 26,000	20.00%	> 26,000 - 58,000	25.00%	> 58,000	50.00%				
SMC Corp/Japan	0 - 290	20.00%	> 290 - 590	40.00%	> 590	55.00%				
SMIC	0 - 26,000	25.00%	> 26,000 - 160,000	40.00%	> 160,000 - 320,000	45.00%	> 320,000	50.00%		
Smith & Nephew	0 - 37,000	20.00%	> 37,000 - 74,000	40.00%	> 74,000	60.00%				
Smith And Nephew (US)	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Smiths Group	0 - 8,600	20.00%	> 8,600 - 17,000	25.00%	> 17,000	50.00%				
SMK Corp	0 - 3,000	20.00%	> 3,000 - 6,100	40.00%	> 6,100	50.00%				
SMS Co Ltd	0 - 5,600	20.00%	> 5,600 - 11,000	40.00%	> 11,000	55.00%				
Smurfit Kappa Group PLC (IE)	0 - 15,000	20.00%	> 15,000 - 31,000	40.00%	> 31,000	60.00%				
Smurfit Kappa Group PLC GB	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
SNAI	0 - 7,400	20.00%	> 7,400 - 19,000	65.00%	> 19,000	90.00%				
Snam Rete Gas	0 - 46,000	20.00%	> 46,000 - 93,000	25.00%	> 93,000	50.00%				
Snap Inc	0 - 49,000	20.00%	> 49,000 - 99,000	40.00%	> 99,000	60.00%				
Snap-on	0 - 600	20.00%	> 600 - 1,200	55.00%	> 1,200	70.00%				
SNC-Lavalin Group Inc	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500 - 9,100	30.00%	> 9,100	50.00%		
Sociedad Quimica y Minera de C	0 - 4,000	20.00%	> 4,000 - 8,100	40.00%	> 8,100	60.00%				
Societa Cattolica di Assicurazione	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 43,000	35.00%	> 43,000	50.00%		
Societe BIC	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000 - 4,100	30.00%	> 4,100	50.00%		
Societe Fonciere Lyonnaise SA	0 - 49	30.00%	> 49 - 290	50.00%	> 290	55.00%				
Société Générale	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	60.00%				
SOCO International	0 - 2,500	25.00%	> 2,500 - 15,000	30.00%	> 15,000 - 31,000	35.00%	> 31,000	50.00%		
SodaStream International Ltd	0 - 1,900	20.00%	> 1,900 - 4,100	25.00%	> 4,100	50.00%				
Sodexo	0 - 930	20.00%	> 930 - 3,700	25.00%	> 3,700	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sodick Co Ltd	0 - 3,500	20.00%	> 3,500 - 7,000	35.00%	> 7,000	55.00%				
Sofina SA	0 - 160	20.00%	> 160 - 430	25.00%	> 430 - 980	30.00%	> 980	50.00%		
Softbank	0 - 7,600	20.00%	> 7,600 - 15,000	40.00%	> 15,000	60.00%				
Softcat	0 - 3,100	20.00%	> 3,100 - 8,400	30.00%	> 8,400 - 19,000	35.00%	> 19,000	50.00%		
Software	0 - 2,000	20.00%	> 2,000 - 4,100	35.00%	> 4,100 - 8,300	45.00%	> 8,300	50.00%		
Sogo Medical Co Ltd	0 - 700	20.00%	> 700 - 1,800	30.00%	> 1,800 - 4,200	35.00%	> 4,200	50.00%		
Sohgo Security Services Co Ltd	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600	50.00%				
SOHO China	0 - 40,000	30.00%	> 40,000 - 120,000	35.00%	> 120,000 - 320,000	45.00%	> 320,000	50.00%		
Sohu.com Inc	0 - 2,400	20.00%	> 2,400 - 5,400	35.00%	> 5,400 - 10,000	45.00%	> 10,000	50.00%		
Soilbuild Business Space REIT	0 - 6,800	30.00%	> 6,800 - 41,000	45.00%	> 41,000	55.00%				
Soitec	0 - 3,800	20.00%	> 3,800 - 7,600	40.00%	> 7,600	60.00%				
Sojitz	0 - 28,000	20.00%	> 28,000 - 56,000	30.00%	> 56,000 - 110,000	35.00%	> 110,000	50.00%		
SOL	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500 - 3,000	30.00%	> 3,000	50.00%		
Solar A/S	0 - 150	20.00%	> 150 - 310	45.00%	> 310	50.00%				
Solar Capital Ltd	0 - 480	20.00%	> 480 - 1,100	25.00%	> 1,100 - 2,300	30.00%	> 2,300	50.00%		
SolarEdge Technologies Inc	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
SolarWorld	0 - 25,000	20.00%	> 25,000 - 51,000	35.00%	> 51,000 - 100,000	40.00%	> 100,000	50.00%		
SolGold PLC	0 - 32,000	20.00%	> 32,000 - 65,000	25.00%	> 65,000 - 130,000	30.00%	> 130,000	50.00%		
Solium Capital Inc	0 - 350	35.00%	> 350 - 1,000	55.00%	> 1,000	90.00%				
Solocal Group	0 - 39,000	20.00%	> 39,000 - 87,000	25.00%	> 87,000	50.00%				
Solvac SA	0 - 67	20.00%	> 67 - 130	45.00%	> 130	50.00%				
Solvalor 61	0 - 13	30.00%	> 13 - 39	35.00%	> 39 - 150	40.00%	> 150	50.00%		
Solvay SA	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
Sompo Japan Nipponkoa Holdings	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Sonae Industria	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300 - 14,000	30.00%	> 14,000	50.00%		
Sonae SGPS	0 - 50,000	20.00%	> 50,000 - 130,000	30.00%	> 130,000 - 290,000	35.00%	> 290,000	50.00%		
Sonaecom	0 - 3,400	20.00%	> 3,400 - 13,000	25.00%	> 13,000	50.00%				
Songa Offshore	0 - 2,300	20.00%	> 2,300 - 9,300	25.00%	> 9,300	50.00%				
Sonic Automotive Inc	0 - 950	20.00%	> 950 - 2,500	85.00%	> 2,500	90.00%				
Sonic Corp	0 - 2,600	20.00%	> 2,600 - 5,300	35.00%	> 5,300 - 9,700	40.00%	> 9,700	50.00%		
Sonic Healthcare	0 - 5,000	20.00%	> 5,000 - 10,000	30.00%	> 10,000	50.00%				
Sonoco Products Co	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
Sonova Holding AG	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Sony	0 - 12,000	20.00%	> 12,000 - 24,000	40.00%	> 24,000	60.00%				
Sony Corp	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
Sony Financial Holdings	0 - 10,000	20.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%				
Sophos Group	0 - 14,000	20.00%	> 14,000 - 59,000	25.00%	> 59,000	50.00%				
Sopra Group	0 - 480	20.00%	> 480 - 970	40.00%	> 970	60.00%				
Sorrento Therapeutics Inc	0 - 8,800	20.00%	> 8,800 - 17,000	30.00%	> 17,000 - 32,000	35.00%	> 32,000	50.00%		
Sosei Group Corp	0 - 1,900	30.00%	> 1,900 - 5,900	40.00%	> 5,900	90.00%				
Sotetsu Holdings Inc	0 - 2,100	20.00%	> 2,100 - 5,400	35.00%	> 5,400 - 12,000	40.00%	> 12,000	50.00%		
Sotheby's	0 - 3,200	20.00%	> 3,200 - 6,700	25.00%	> 6,700	50.00%				
Sound Energy	0 - 6,900	25.00%	> 6,900 - 55,000	40.00%	> 55,000 - 120,000	45.00%	> 120,000	50.00%		
Sound Global Ltd (HK)	> 0	100.00%								
Source Capital Inc	0 - 82	30.00%	> 82 - 980	35.00%	> 980	50.00%				
SOURCE CONS DISC S&P US SECTOR	0 - 27	20.00%	> 27 - 100	25.00%	> 100	50.00%				
Source EURO STOXX 50 UCITS ETF - A EUR Accumulating	0 - 230	20.00%	> 230 - 460	25.00%	> 460	50.00%				
SOURCE FINANCIAL S&P US SECTOR	0 - 230	20.00%	> 230 - 530	25.00%	> 530	50.00%				
SOURCE FTSE 100 UCITS ETF	0 - 110	20.00%	> 110 - 460	25.00%	> 460	50.00%				
Source MSCI World UCITS ETF	0 - 330	20.00%	> 330 - 660	25.00%	> 660	50.00%				
Source Physical Gold P-ETC	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Source Physical Gold P-ETC	0 - 160	20.00%	> 160 - 320	25.00%	> 320	50.00%				
SOURCE TECHNOLOG S&P US SECTOR	0 - 95	20.00%	> 95 - 250	25.00%	> 250 - 570	40.00%	> 570	50.00%		
South Jersey Industries Inc	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
South State Corporation	0 - 800	20.00%	> 800 - 1,900	25.00%	> 1,900 - 4,200	35.00%	> 4,200	50.00%		
South32 Ltd (Australia)	0 - 73,000	20.00%	> 73,000 - 140,000	25.00%	> 140,000	50.00%				
South32 PLC (GB)	0 - 36,000	20.00%	> 36,000 - 73,000	25.00%	> 73,000 - 140,000	30.00%	> 140,000	50.00%		
Southcross Energy Partners LP	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 25,000	40.00%	> 25,000	50.00%		
Southern	0 - 2,000	20.00%	> 2,000 - 4,100	30.00%	> 4,100 - 8,200	40.00%	> 8,200	50.00%		
Southern Copper	0 - 2,200	20.00%	> 2,200 - 4,400	35.00%	> 4,400 - 8,800	40.00%	> 8,800	50.00%		
Southern Cross Media	0 - 62,000	20.00%	> 62,000 - 130,000	25.00%	> 130,000	50.00%				
Southside Bancshares Inc	0 - 2,900	20.00%	> 2,900 - 6,500	25.00%	> 6,500	50.00%				
Southwest Air	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Southwest Gas Corp	0 - 1,200	20.00%	> 1,200 - 2,400	30.00%	> 2,400 - 4,900	40.00%	> 4,900	50.00%		
Southwestern Energy	0 - 18,000	20.00%	> 18,000 - 73,000	30.00%	> 73,000	50.00%				
SP Plus Corp	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
Spar Nord Bank	0 - 1,400	20.00%	> 1,400 - 3,900	35.00%	> 3,900 - 8,900	40.00%	> 8,900	50.00%		
Sparebank 1 Nord Norge	0 - 6,300	20.00%	> 6,300 - 14,000	25.00%	> 14,000	50.00%				
SpareBank 1 SMN	0 - 7,800	20.00%	> 7,800 - 17,000	25.00%	> 17,000	50.00%				
SpareBank 1 SR Bank ASA	0 - 11,000	20.00%	> 11,000 - 24,000	25.00%	> 24,000	50.00%				
Sparebanken More	0 - 590	20.00%	> 590 - 1,100	25.00%	> 1,100	50.00%				
Spark Infrastructure	0 - 59,000	20.00%	> 59,000 - 110,000	25.00%	> 110,000 - 230,000	30.00%	> 230,000	50.00%		
Spark New Zealand LTD	0 - 16,000	20.00%	> 16,000 - 42,000	25.00%	> 42,000 - 96,000	30.00%	> 96,000	50.00%		
Spark New Zealand Ltd	0 - 77,000	20.00%	> 77,000 - 150,000	25.00%	> 150,000	50.00%				
Spark Therapeutics Inc	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,600	35.00%	> 4,600	50.00%		
Spartan Energy Corp	0 - 4,300	25.00%	> 4,300 - 13,000	30.00%	> 13,000 - 26,000	50.00%	> 26,000	60.00%		
SpartanNash Co	0 - 2,200	20.00%	> 2,200 - 4,900	60.00%	> 4,900	90.00%				
Sparton Corp	0 - 600	20.00%	> 600 - 1,300	50.00%	> 1,300	75.00%				
SPDR Barclays 1 - 3 Year Euro Government Bond UCITS ETF	0 - 160	20.00%	> 160 - 320	25.00%	> 320 - 650	30.00%	> 650	50.00%		
SPDR Barclays 1 - 5 Year Gilt UCITS ETF	0 - 720	20.00%	> 720 - 1,600	25.00%	> 1,600	50.00%				
SPDR Barclays 1-3 Month T-Bill	0 - 1,800	20.00%	> 1,800 - 4,000	25.00%	> 4,000	50.00%				
SPDR Barclays Aggregate Bond ETF	0 - 940	20.00%	> 940 - 2,100	25.00%	> 2,100	50.00%				
SPDR Barclays Convertible Securities ETF	0 - 3,400	20.00%	> 3,400 - 7,100	25.00%	> 7,100	50.00%				
SPDR Barclays Emerging Markets Local Bond UCITS ETF	0 - 140	20.00%	> 140 - 370	25.00%	> 370 - 850	30.00%	> 850	50.00%		
SPDR Barclays High Yield Bond ETF	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
SPDR Barclays Intermediate Term Corporate Bond ETF	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700	50.00%				
SPDR Barclays Intermediate Term Treasury ETF	0 - 330	20.00%	> 330 - 670	25.00%	> 670	50.00%				
SPDR Barclays International Corporate Bond ETF	0 - 940	20.00%	> 940 - 1,700	25.00%	> 1,700	50.00%				
SPDR Barclays International Treasury Bond ETF	0 - 3,500	20.00%	> 3,500 - 7,900	25.00%	> 7,900	50.00%				
SPDR Barclays Investment Grade Floating Rate ETF	0 - 2,100	20.00%	> 2,100 - 4,900	25.00%	> 4,900	50.00%				
SPDR Barclays Long Term Corporate Bond ETF	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
SPDR Barclays Long Term Treasury ETF	0 - 1,100	20.00%	> 1,100 - 2,500	25.00%	> 2,500	50.00%				
SPDR Barclays Short Term Corporate Bond ETF	0 - 2,300	20.00%	> 2,300 - 5,300	25.00%	> 5,300	50.00%				
SPDR Barclays Short Term High Yield Bond ETF	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000	50.00%				
SPDR Barclays Short Term International Treasury Bond ETF	0 - 1,400	20.00%	> 1,400 - 2,700	25.00%	> 2,700	50.00%				
SPDR Barclays Sterling Corporate Bond UCITS ETF	0 - 280	20.00%	> 280 - 600	25.00%	> 600	50.00%				
SPDR Barclays TIPS ETF	0 - 350	20.00%	> 350 - 710	25.00%	> 710	50.00%				
SPDR Blackstone / GSO Senior Loan ETF	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
SPDR DB International Government Inflation-Protected Bond ETF	0 - 450	20.00%	> 450 - 980	25.00%	> 980	50.00%				
SPDR Dow Jones Industrial Average ETF Trust	0 - 2,600	20.00%	> 2,600 - 5,300	40.00%	> 5,300	60.00%				
SPDR Dow Jones International Real Estate ETF	0 - 3,400	20.00%	> 3,400 - 7,600	25.00%	> 7,600	50.00%				
SPDR Dow Jones REIT ETF	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
SPDR EURO STOXX 50 ETF	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
SPDR FTSE UK All Share UCITS ETF	0 - 590	20.00%	> 590 - 1,300	25.00%	> 1,300	50.00%				
SPDR Gold	0 - 460	20.00%	> 460 - 1,000	25.00%	> 1,000	50.00%				
SPDR Gold Shares	0 - 5,600	20.00%	> 5,600 - 11,000	40.00%	> 11,000	60.00%				
SPDR MSCI ACWI ex-US ETF	0 - 640	20.00%	> 640 - 1,300	25.00%	> 1,300	50.00%				
SPDR MSCI EM Asia UCITS ETF	0 - 150	20.00%	> 150 - 300	25.00%	> 300 - 600	30.00%	> 600	50.00%		
SPDR MSCI Emerging Markets UCITS ETF	0 - 180	20.00%	> 180 - 720	30.00%	> 720	50.00%				
SPDR MSCI Europe Financials UCITS ETF	0 - 1,400	20.00%	> 1,400 - 3,300	25.00%	> 3,300	50.00%				
SPDR MSCI Europe UCITS ETF	0 - 200	20.00%	> 200 - 470	25.00%	> 470	50.00%				
SPDR Nuveen Barclays Municipal Bond ETF	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
SPDR Nuveen S&P High Yield Municipal Bond ETF	0 - 580	20.00%	> 580 - 1,100	40.00%	> 1,100	60.00%				
SPDR Russell 3000 ETF	0 - 4,700	20.00%	> 4,700 - 10,000	25.00%	> 10,000	50.00%				
SPDR S&P 400 Mid CapGrowth ETF	0 - 660	20.00%	> 660 - 1,400	25.00%	> 1,400	50.00%				
SPDR S&P 400 US Mid Cap UCITS ETF	0 - 920	20.00%	> 920 - 2,000	25.00%	> 2,000	50.00%				
SPDR S&P 500 ETF Trust	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	60.00%				
SPDR S&P 500 Growth ETF	0 - 2,600	20.00%	> 2,600 - 6,000	25.00%	> 6,000	50.00%				
SPDR S&P 500 UCITS ETF	0 - 580	20.00%	> 580 - 1,200	25.00%	> 1,200	50.00%				
SPDR S&P 500 Value ETF	0 - 1,200	20.00%	> 1,200 - 2,700	25.00%	> 2,700	50.00%				
SPDR S&P 600 Small Cap Growth ETF	0 - 760	20.00%	> 760 - 1,700	25.00%	> 1,700	50.00%				
SPDR S&P 600 Small CapETF	0 - 130	20.00%	> 130 - 530	25.00%	> 530	50.00%				
SPDR S&P 600 Small CapValue ETF	0 - 480	20.00%	> 480 - 2,800	25.00%	> 2,800	50.00%				
SPDR S&P Bank ETF	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
SPDR S&P Biotech ETF	0 - 980	20.00%	> 980 - 3,900	25.00%	> 3,900	50.00%				
SPDR S&P China ETF	0 - 150	20.00%	> 150 - 920	25.00%	> 920	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
SPDR S&P Dividend ETF	0 - 2,100	20.00%	> 2,100 - 4,200	25.00%	> 4,200	50.00%				
SPDR S&P Emerging Asia Pacific ETF	0 - 190	20.00%	> 190 - 390	25.00%	> 390	50.00%				
SPDR S&P Emerging Markets Dividend ETF	0 - 320	20.00%	> 320 - 1,200	30.00%	> 1,200	50.00%				
SPDR S&P Emerging Markets SmallCap ETF	0 - 200	20.00%	> 200 - 940	30.00%	> 940	50.00%				
SPDR S&P Global Natural Resources ETF	0 - 950	20.00%	> 950 - 2,100	25.00%	> 2,100	50.00%				
SPDR S&P Homebuilders ETF	0 - 3,000	20.00%	> 3,000 - 5,600	25.00%	> 5,600	50.00%				
SPDR S&P Insurance ETF	0 - 1,600	20.00%	> 1,600 - 3,700	25.00%	> 3,700	50.00%				
SPDR S&P International Dividend ETF	0 - 510	20.00%	> 510 - 1,000	25.00%	> 1,000	50.00%				
SPDR S&P International Small Cap ETF	0 - 580	20.00%	> 580 - 1,100	25.00%	> 1,100	50.00%				
SPDR S&P Metals & Mining ETF	0 - 1,700	20.00%	> 1,700 - 6,500	25.00%	> 6,500	50.00%				
SPDR S&P MidCap 400 ETF Trust	0 - 270	20.00%	> 270 - 1,000	25.00%	> 1,000	50.00%				
SPDR S&P Oil & Gas Equipment & Services ETF	0 - 3,400	20.00%	> 3,400 - 6,300	25.00%	> 6,300	50.00%				
SPDR S&P Oil & Gas Exploration & Production ETF	0 - 2,300	20.00%	> 2,300 - 9,200	25.00%	> 9,200	50.00%				
SPDR S&P Pharmaceuticals ETF	0 - 890	20.00%	> 890 - 1,800	25.00%	> 1,800	50.00%				
SPDR S&P Regional Banking ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,500	30.00%	> 6,500	50.00%		
SPDR S&P Retail ETF	0 - 560	20.00%	> 560 - 2,000	25.00%	> 2,000	50.00%				
SPDR S&P Semiconductor ETF	0 - 640	20.00%	> 640 - 1,100	25.00%	> 1,100	50.00%				
SPDR S&P Transportation ETF	0 - 150	20.00%	> 150 - 620	25.00%	> 620	50.00%				
SPDR S&P US Dividend Aristocrats UCITS ETF	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
SPDR S&P World ex-US ETF	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
SPDR S&P/ASX 200	0 - 3,700	20.00%	> 3,700 - 8,300	25.00%	> 8,300	50.00%				
SPDR S&P/ASX 200 Listed Property Fund	0 - 3,000	20.00%	> 3,000 - 6,700	25.00%	> 6,700	50.00%				
SPDR STOXX Europe 50 ETF	0 - 290	20.00%	> 290 - 1,100	25.00%	> 1,100	50.00%				
SPDR Straits Times Index ETF	0 - 21,000	20.00%	> 21,000 - 45,000	25.00%	> 45,000	50.00%				
SPDR Wells Fargo Preferred Stock ETF	0 - 850	20.00%	> 850 - 1,900	25.00%	> 1,900	50.00%				
Spectra Energy Partners LP	0 - 2,700	20.00%	> 2,700 - 5,500	45.00%	> 5,500	80.00%				
Spectranetics Corp	> 0	100.00%								
Spectris	0 - 2,900	20.00%	> 2,900 - 5,800	30.00%	> 5,800 - 11,000	40.00%	> 11,000	50.00%		
Spectrum Brands Holdings Inc	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300 - 4,700	30.00%	> 4,700	50.00%		
Spectrum Pharmaceuticals Inc	0 - 1,400	25.00%	> 1,400 - 4,300	30.00%	> 4,300 - 8,600	50.00%	> 8,600	75.00%		
Speedcast International Ltd	0 - 16,000	20.00%	> 16,000 - 34,000	50.00%	> 34,000	65.00%				
Speedway Motorsports Inc	0 - 560	20.00%	> 560 - 2,200	30.00%	> 2,200	50.00%				
Speedy Hire	0 - 20,000	20.00%	> 20,000 - 55,000	35.00%	> 55,000	50.00%				
SPH REIT	0 - 5,500	25.00%	> 5,500 - 44,000	30.00%	> 44,000 - 99,000	35.00%	> 99,000	50.00%		
Spie SA	0 - 4,700	20.00%	> 4,700 - 19,000	25.00%	> 19,000	50.00%				
Spir Communication	> 0	100.00%								
Spirax Sarco	0 - 1,100	20.00%	> 1,100 - 2,200	40.00%	> 2,200	55.00%				
Spire Healthcare Group PLC	0 - 58,000	20.00%	> 58,000 - 100,000	25.00%	> 100,000	50.00%				
Spire Inc	0 - 1,300	20.00%	> 1,300 - 2,700	40.00%	> 2,700	60.00%				
Spirent Comm	0 - 14,000	20.00%	> 14,000 - 30,000	25.00%	> 30,000	50.00%				
Spirit Aerosystems Holdings In	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Spirit Airlines Inc	0 - 2,400	20.00%	> 2,400 - 4,800	30.00%	> 4,800 - 9,700	35.00%	> 9,700	50.00%		
Spirit Realty Capital Inc	0 - 4,000	30.00%	> 4,000 - 12,000	35.00%	> 12,000 - 24,000	50.00%	> 24,000	75.00%		
Splunk Inc	0 - 3,100	20.00%	> 3,100 - 6,200	40.00%	> 6,200	70.00%				
Spok Holdings Inc	0 - 650	20.00%	> 650 - 1,600	35.00%	> 1,600 - 3,500	40.00%	> 3,500	50.00%		
Sponda	0 - 560	30.00%	> 560 - 1,600	35.00%	> 1,600 - 3,300	45.00%	> 3,300	50.00%		
Sports Direct	0 - 30,000	20.00%	> 30,000 - 65,000	25.00%	> 65,000	50.00%				
Spotify Technology SA	0 - 1,700	20.00%	> 1,700 - 3,500	40.00%	> 3,500	60.00%				
Spotless Group Holdings Ltd	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000 - 48,000	30.00%	> 48,000	50.00%		
Spring Real Estate Investment Trust	0 - 7,900	30.00%	> 7,900 - 23,000	35.00%	> 23,000 - 47,000	50.00%	> 47,000	65.00%		
Springland International Holdings	0 - 52,000	20.00%	> 52,000 - 310,000	25.00%	> 310,000	50.00%				
Sprint Corp	0 - 35,000	20.00%	> 35,000 - 70,000	25.00%	> 70,000	50.00%				
Sprott Gold Miners ETF	0 - 520	20.00%	> 520 - 1,000	50.00%	> 1,000	55.00%				
Sprott Inc	0 - 4,100	20.00%	> 4,100 - 17,000	35.00%	> 17,000	50.00%				
Sprott Physical Gold and Silver ETV Units	0 - 350	30.00%	> 350 - 1,000	35.00%	> 1,000 - 2,100	40.00%	> 2,100 - 4,200	45.00%	> 4,200	50.00%
Sprott Physical Gold Trust and Silver Trust	0 - 260	35.00%	> 260 - 1,600	50.00%	> 1,600	55.00%				
Sprouts Farmers Market Inc	0 - 8,900	20.00%	> 8,900 - 17,000	25.00%	> 17,000	50.00%				
SPS Commerce Inc	0 - 1,800	20.00%	> 1,800 - 3,900	25.00%	> 3,900	50.00%				
SPT Energy Group Inc	0 - 140,000	20.00%	> 140,000 - 280,000	40.00%	> 280,000	65.00%				
SPX Corp	0 - 1,000	20.00%	> 1,000 - 6,100	35.00%	> 6,100	50.00%				
SQN Asset Finance Income Fund Ltd	0 - 8,200	20.00%	> 8,200 - 20,000	30.00%	> 20,000 - 45,000	35.00%	> 45,000	50.00%		
Square Enix Holdings Co Ltd	0 - 2,000	20.00%	> 2,000 - 8,300	45.00%	> 8,300	50.00%				
Square Inc	0 - 4,900	20.00%	> 4,900 - 9,900	40.00%	> 9,900	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sra Holdings Inc	0 - 480	20.00%	> 480 - 1,200	40.00%	> 1,200	55.00%				
SRC Energy Inc	0 - 8,200	20.00%	> 8,200 - 36,000	30.00%	> 36,000	50.00%				
SRE Group Ltd	0 - 150,000	30.00%	> 150,000 - 470,000	35.00%	> 470,000 - 1,800,000	45.00%	> 1,800,000	50.00%		
SRP Groupe SA	0 - 1,200	20.00%	> 1,200 - 3,100	35.00%	> 3,100 - 6,900	45.00%	> 6,900	50.00%		
SS&C Technologies Holdings Inc	0 - 1,800	20.00%	> 1,800 - 3,600	70.00%	> 3,600	90.00%				
SSAB A	0 - 20,000	20.00%	> 20,000 - 78,000	25.00%	> 78,000	50.00%				
SSAB AB - A (FI)	0 - 4,100	20.00%	> 4,100 - 8,200	25.00%	> 8,200	50.00%				
SSAB AB - B (FI)	0 - 4,400	20.00%	> 4,400 - 26,000	25.00%	> 26,000	50.00%				
SSAB B	0 - 25,000	20.00%	> 25,000 - 100,000	25.00%	> 100,000	50.00%				
SSP Group Plc	0 - 11,000	20.00%	> 11,000 - 46,000	25.00%	> 46,000	50.00%				
SSY Group Ltd	0 - 94,000	20.00%	> 94,000 - 180,000	25.00%	> 180,000 - 370,000	30.00%	> 370,000	50.00%		
St Barbara	0 - 26,000	20.00%	> 26,000 - 53,000	30.00%	> 53,000 - 100,000	40.00%	> 100,000	50.00%		
St Galler Kantonalbank AG	0 - 26	20.00%	> 26 - 68	40.00%	> 68 - 150	45.00%	> 150	50.00%		
St James's Place	0 - 12,000	20.00%	> 12,000 - 25,000	25.00%	> 25,000	50.00%				
St Joe Co/The	0 - 530	25.00%	> 530 - 1,500	30.00%	> 1,500 - 9,500	35.00%	> 9,500	50.00%		
St Marc Holdings Co Ltd	0 - 1,000	20.00%	> 1,000 - 5,700	25.00%	> 5,700	50.00%				
St Modwen	0 - 1,600	25.00%	> 1,600 - 5,000	30.00%	> 5,000 - 13,000	40.00%	> 13,000	50.00%		
STAAR Surgical Co	0 - 1,700	20.00%	> 1,700 - 4,700	25.00%	> 4,700 - 9,800	35.00%	> 9,800	50.00%		
Stabilus SA	0 - 940	20.00%	> 940 - 2,100	25.00%	> 2,100 - 4,500	35.00%	> 4,500	50.00%		
Stada	0 - 810	20.00%	> 810 - 1,800	25.00%	> 1,800 - 3,900	30.00%	> 3,900	50.00%		
Staffline Group PLC	0 - 2,000	20.00%	> 2,000 - 4,500	30.00%	> 4,500	50.00%				
STAG Industrial Inc	0 - 970	30.00%	> 970 - 6,500	40.00%	> 6,500 - 13,000	45.00%	> 13,000	50.00%		
Stage Stores Inc	0 - 4,300	20.00%	> 4,300 - 17,000	30.00%	> 17,000	50.00%				
Stagecoach	0 - 24,000	20.00%	> 24,000 - 64,000	50.00%	> 64,000	60.00%				
Stamford Land	0 - 28,000	20.00%	> 28,000 - 110,000	30.00%	> 110,000	50.00%				
Stamps.com Inc	0 - 730	20.00%	> 730 - 1,400	30.00%	> 1,400	50.00%				
Standard Chartered	0 - 73,000	20.00%	> 73,000 - 140,000	40.00%	> 140,000	60.00%				
Standard Chartered (HK)	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	65.00%				
Standard Life Aberdeen PLC	0 - 160,000	20.00%	> 160,000 - 320,000	40.00%	> 320,000	60.00%				
Standard Life Equity Income Trust	0 - 510	35.00%	> 510 - 3,100	45.00%	> 3,100	50.00%				
Standard Life Investment Property Income Trust PLC	0 - 2,600	25.00%	> 2,600 - 7,900	30.00%	> 7,900 - 46,000	35.00%	> 46,000	50.00%		
Standard Life Private Equity	0 - 740	30.00%	> 740 - 2,200	35.00%	> 2,200 - 4,600	40.00%	> 4,600	55.00%		
Standard Life UK Smaller Companies Trust	0 - 480	30.00%	> 480 - 8,000	35.00%	> 8,000	50.00%				
Standard Motor Products Inc	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Standex International Corp	0 - 170	20.00%	> 170 - 1,000	25.00%	> 1,000	50.00%				
Stanley Black	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
Stanley Electric Co Ltd	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000	50.00%				
Stanley Gibbons Group PLC	0 - 200,000	20.00%	> 200,000 - 400,000	25.00%	> 400,000 - 810,000	30.00%	> 810,000	50.00%		
Stantec Inc (CA)	0 - 2,700	20.00%	> 2,700 - 6,500	75.00%	> 6,500	90.00%				
Stantec Inc (US)	0 - 380	20.00%	> 380 - 760	25.00%	> 760 - 1,500	40.00%	> 1,500	50.00%		
Star Bulk Carriers Corp	0 - 2,000	20.00%	> 2,000 - 5,500	25.00%	> 5,500 - 12,000	40.00%	> 12,000	50.00%		
Star Entertainment Group Ltd	0 - 27,000	20.00%	> 27,000 - 54,000	40.00%	> 54,000	50.00%				
Star Gas Partners LP	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Star Micronics Co Ltd	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,100	30.00%	> 9,100	50.00%		
Starbreeze AB	0 - 19,000	20.00%	> 19,000 - 39,000	30.00%	> 39,000 - 72,000	40.00%	> 72,000	50.00%		
Starbucks	0 - 3,800	20.00%	> 3,800 - 7,700	60.00%	> 7,700	90.00%				
Starhill Global REIT	0 - 13,000	25.00%	> 13,000 - 39,000	30.00%	> 39,000 - 100,000	45.00%	> 100,000	50.00%		
StarHub	0 - 78,000	20.00%	> 78,000 - 310,000	25.00%	> 310,000	50.00%				
Starpharma Holdings	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 47,000	35.00%	> 47,000	50.00%		
Start Today Co Ltd	0 - 2,300	20.00%	> 2,300 - 9,500	25.00%	> 9,500	50.00%				
Starts Corp Inc	0 - 460	30.00%	> 460 - 1,300	35.00%	> 1,300 - 3,600	45.00%	> 3,600	50.00%		
Starwood European Real Estate Finance Ltd	0 - 2,300	35.00%	> 2,300 - 27,000	45.00%	> 27,000	50.00%				
Starwood Property Trust Inc	0 - 1,400	25.00%	> 1,400 - 17,000	30.00%	> 17,000	50.00%				
Starzen Co Ltd	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
State Auto Financial Corp	0 - 320	20.00%	> 320 - 1,600	25.00%	> 1,600	50.00%				
State Bank Financial Corp	0 - 2,900	20.00%	> 2,900 - 10,000	25.00%	> 10,000	50.00%				
State Street	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Steadfast Group Ltd	0 - 22,000	20.00%	> 22,000 - 130,000	25.00%	> 130,000	50.00%				
StealthGas Inc	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
Steel Connect Inc	0 - 4,800	20.00%	> 4,800 - 9,700	40.00%	> 9,700	60.00%				
Steel Dynamics	0 - 2,100	20.00%	> 2,100 - 8,400	30.00%	> 8,400	50.00%				
Steelcase Inc	0 - 5,800	20.00%	> 5,800 - 13,000	25.00%	> 13,000	50.00%				
Steelmaker Japan Steel Works	0 - 4,000	20.00%	> 4,000 - 8,000	35.00%	> 8,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Stef SA	0 - 83	20.00%	> 83 - 160	35.00%	> 160 - 330	45.00%	> 330	50.00%		
Stein Mart Inc	0 - 4,600	20.00%	> 4,600 - 18,000	25.00%	> 18,000	50.00%				
Steinhoff International Holdings NV	0 - 170,000	20.00%	> 170,000	90.00%						
Stella Chemifa Corp	0 - 330	30.00%	> 330 - 1,000	45.00%	> 1,000	90.00%				
Stella International Holdings	0 - 16,000	20.00%	> 16,000 - 44,000	45.00%	> 44,000	55.00%				
Stella-Jones Inc	0 - 1,100	20.00%	> 1,100 - 2,900	25.00%	> 2,900 - 6,700	30.00%	> 6,700	50.00%		
Stellus Capital Investment Corp	0 - 770	20.00%	> 770 - 1,500	30.00%	> 1,500 - 3,000	35.00%	> 3,000	50.00%		
Stemline Therapeutics Inc	0 - 1,100	20.00%	> 1,100 - 3,000	35.00%	> 3,000 - 6,800	45.00%	> 6,800	50.00%		
Stepan Co	0 - 620	20.00%	> 620 - 1,600	65.00%	> 1,600	90.00%				
Stericycle	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800	55.00%				
STERIS Corp	0 - 900	20.00%	> 900	90.00%						
Sterling Bancorp/DE	0 - 4,300	20.00%	> 4,300 - 8,600	40.00%	> 8,600	65.00%				
Steven Madden Ltd	0 - 1,600	20.00%	> 1,600 - 7,300	25.00%	> 7,300	50.00%				
Stewart Information Services C	0 - 760	20.00%	> 760 - 2,000	25.00%	> 2,000 - 4,600	35.00%	> 4,600	50.00%		
SThree	0 - 2,100	20.00%	> 2,100 - 4,700	25.00%	> 4,700 - 9,900	30.00%	> 9,900	50.00%		
Stifel Financial Corp	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,700	35.00%	> 7,700	50.00%		
STMicroelectronics (FR)	0 - 28,000	20.00%	> 28,000 - 57,000	40.00%	> 57,000	60.00%				
STMicroelectronics (IT)	0 - 4,300	20.00%	> 4,300 - 8,600	30.00%	> 8,600 - 17,000	35.00%	> 17,000	50.00%		
STMicroelectronics (US)	0 - 4,300	20.00%	> 4,300 - 8,700	30.00%	> 8,700 - 17,000	35.00%	> 17,000	50.00%		
Sto AG	0 - 77	20.00%	> 77 - 300	40.00%	> 300	50.00%				
Stobart Group	0 - 13,000	20.00%	> 13,000 - 37,000	30.00%	> 37,000 - 81,000	40.00%	> 81,000	50.00%		
Stock Spirits Group PLC	0 - 3,200	20.00%	> 3,200 - 8,400	25.00%	> 8,400 - 18,000	30.00%	> 18,000	50.00%		
Stock Yards Bancorp Inc	0 - 320	20.00%	> 320 - 1,900	25.00%	> 1,900	50.00%				
Stockland	0 - 10,000	25.00%	> 10,000 - 65,000	35.00%	> 65,000 - 130,000	40.00%	> 130,000	50.00%		
Stockmann B	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
Stolt-Nielsen	0 - 700	20.00%	> 700 - 4,200	25.00%	> 4,200	50.00%				
Stomil Sanok SA	0 - 1,100	20.00%	> 1,100 - 4,500	25.00%	> 4,500	50.00%				
Stone Energy Corp	0 - 280	40.00%	> 280 - 850	60.00%	> 850	90.00%				
Stone Harbor Emerging Markets Income Fund	0 - 240	35.00%	> 240 - 730	40.00%	> 730 - 1,400	50.00%	> 1,400	60.00%		
Stonemor Partners LP	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500	50.00%				
Stoneridge Inc	0 - 1,700	20.00%	> 1,700 - 3,900	75.00%	> 3,900	90.00%				
Stora Enso R	0 - 510	20.00%	> 510 - 1,000	25.00%	> 1,000 - 2,000	30.00%	> 2,000	50.00%		
Stora Enso R (Finland)	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
STORE Capital Corp	0 - 1,200	30.00%	> 1,200 - 14,000	45.00%	> 14,000	50.00%				
Store Electronic Systems SA	0 - 310	20.00%	> 310 - 620	25.00%	> 620 - 1,200	30.00%	> 1,200	50.00%		
Storebrand ASA	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Storebrand ASA (NO)	0 - 23,000	20.00%	> 23,000 - 46,000	40.00%	> 46,000	60.00%				
Stornoway Diamond Corp	0 - 9,500	35.00%	> 9,500 - 57,000	40.00%	> 57,000 - 110,000	45.00%	> 110,000	50.00%		
STOXX Europe 600 THEAM Easy UCITS ETF - C/D	0 - 1,300	20.00%	> 1,300 - 2,800	25.00%	> 2,800 - 5,300	35.00%	> 5,300	50.00%		
Strabag	0 - 250	20.00%	> 250 - 1,200	30.00%	> 1,200	50.00%				
Stratasys Ltd	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
STRATEC Biomedical AG	0 - 320	20.00%	> 320 - 730	25.00%	> 730	50.00%				
Strattec Security Corp	0 - 330	20.00%	> 330 - 660	45.00%	> 660	55.00%				
Straumann Holding AG	0 - 130	20.00%	> 130 - 260	30.00%	> 260 - 520	35.00%	> 520	50.00%		
Strayer Education Inc	0 - 1,400	20.00%	> 1,400 - 2,800	25.00%	> 2,800	50.00%				
Stroeer Media SE	0 - 1,100	20.00%	> 1,100 - 2,700	30.00%	> 2,700 - 5,900	40.00%	> 5,900	50.00%		
Stryker	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200	50.00%				
Student Transportation Inc (US)	0 - 2,400	20.00%	> 2,400 - 6,500	25.00%	> 6,500 - 14,000	30.00%	> 14,000	50.00%		
Sturm Ruger & Co Inc	0 - 2,000	20.00%	> 2,000 - 4,200	25.00%	> 4,200	50.00%				
STV Group PLC	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400 - 6,800	30.00%	> 6,800	50.00%		
Subsea 7 SA	0 - 640	20.00%	> 640 - 2,500	25.00%	> 2,500	50.00%				
Subsea 7 SA (NO)	0 - 12,000	20.00%	> 12,000 - 25,000	40.00%	> 25,000	60.00%				
Suburban Propane Partners LP	0 - 450	20.00%	> 450 - 2,700	25.00%	> 2,700	50.00%				
Suedzucker	0 - 6,900	20.00%	> 6,900 - 27,000	25.00%	> 27,000	50.00%				
Suess Microtec	0 - 210	25.00%	> 210 - 3,900	40.00%	> 3,900	50.00%				
Suez Environnement	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000 - 29,000	30.00%	> 29,000	50.00%		
Sugi Holdings Co Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700	60.00%				
Sulzer AG	0 - 790	20.00%	> 790 - 1,500	25.00%	> 1,500 - 3,100	35.00%	> 3,100	50.00%		
Sumco	0 - 4,800	20.00%	> 4,800 - 9,600	40.00%	> 9,600	60.00%				
Sumitomo	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Sumitomo Bakelite Co Ltd	0 - 3,300	20.00%	> 3,300 - 6,700	30.00%	> 6,700 - 12,000	40.00%	> 12,000	50.00%		
Sumitomo Chemical	0 - 36,000	20.00%	> 36,000 - 72,000	25.00%	> 72,000	50.00%				
Sumitomo Dainippon Pharma Co L	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200 - 18,000	35.00%	> 18,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Sumitomo Electric Industries	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
Sumitomo Forestry Co Ltd	0 - 6,500	20.00%	> 6,500 - 13,000	30.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Sumitomo Heavy Industries	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
Sumitomo Metal Mining	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Sumitomo Mitsui Construction Co Ltd	0 - 11,000	20.00%	> 11,000 - 40,000	25.00%	> 40,000	50.00%				
Sumitomo Mitsui Financial Group	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Sumitomo Mitsui Financial Group - ADR	0 - 8,300	20.00%	> 8,300 - 18,000	25.00%	> 18,000	50.00%				
Sumitomo Osaka Cement	0 - 5,700	20.00%	> 5,700 - 10,000	25.00%	> 10,000	50.00%				
Sumitomo Realty and Development	0 - 2,700	20.00%	> 2,700 - 5,400	35.00%	> 5,400 - 10,000	40.00%	> 10,000	50.00%		
Sumitomo Riko Co Ltd	0 - 1,500	20.00%	> 1,500 - 9,400	25.00%	> 9,400	50.00%				
Sumitomo Rubber Industries Ltd	0 - 6,400	20.00%	> 6,400 - 12,000	30.00%	> 12,000 - 25,000	35.00%	> 25,000	50.00%		
Sumitomo Seika Chemicals Co Ltd	0 - 550	20.00%	> 550 - 3,200	30.00%	> 3,200	50.00%				
Sumitomo Warehouse Co Ltd/The	0 - 5,400	20.00%	> 5,400 - 12,000	25.00%	> 12,000 - 23,000	35.00%	> 23,000	50.00%		
Summerset Group Holdings Ltd	0 - 13,000	20.00%	> 13,000 - 31,000	25.00%	> 31,000	50.00%				
Summit Ascent Holdings Ltd	0 - 100,000	20.00%	> 100,000 - 210,000	25.00%	> 210,000 - 430,000	30.00%	> 430,000	50.00%		
Summit Hotel Properties Inc	0 - 1,100	30.00%	> 1,100 - 3,500	40.00%	> 3,500 - 9,500	65.00%	> 9,500	75.00%		
Summit Materials Inc	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400 - 14,000	35.00%	> 14,000	50.00%		
Summit Midstream Partners LP	0 - 610	20.00%	> 610 - 3,200	25.00%	> 3,200	50.00%				
Sumpo Food Holdings Ltd	0 - 100,000	20.00%	> 100,000 - 590,000	25.00%	> 590,000	50.00%				
Sun Art Retail Group Ltd	0 - 76,000	20.00%	> 76,000 - 300,000	25.00%	> 300,000	50.00%				
Sun Communities Inc	0 - 330	25.00%	> 330 - 1,000	30.00%	> 1,000 - 2,000	45.00%	> 2,000	55.00%		
Sun Frontier Fudousan Co Ltd	0 - 1,000	30.00%	> 1,000 - 12,000	35.00%	> 12,000	50.00%				
Sun Hung Kai	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000 - 71,000	35.00%	> 71,000	50.00%		
Sun Hung Kai Properties	0 - 2,200	25.00%	> 2,200 - 6,600	30.00%	> 6,600 - 13,000	50.00%	> 13,000	65.00%		
Sun Hydraulics Corp	0 - 2,200	20.00%	> 2,200 - 5,000	25.00%	> 5,000	50.00%				
Sun Life Financial Inc (CA)	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,800	30.00%	> 9,800	50.00%		
Sun Life Financial Inc (US)	0 - 4,800	20.00%	> 4,800 - 9,700	25.00%	> 9,700	50.00%				
Sunac China Holdings Ltd	0 - 29,000	20.00%	> 29,000 - 59,000	35.00%	> 59,000 - 110,000	40.00%	> 110,000	50.00%		
SunCoke Energy Inc	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
SunCoke Energy Partners LP	0 - 660	20.00%	> 660 - 1,300	40.00%	> 1,300	50.00%				
Suncor Energy Inc (CA)	0 - 2,300	20.00%	> 2,300 - 9,400	30.00%	> 9,400	50.00%				
Suncor Energy Inc (US)	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400	50.00%				
Suncorp Group	0 - 59,000	20.00%	> 59,000 - 110,000	40.00%	> 110,000	60.00%				
Suncorp Technologies Ltd	0 - 6,000,000	20.00%	> 6,000,000 - 12,000,000	25.00%	> 12,000,000 - 24,000,00	30.00%	> 24,000,000	50.00%		
Sundance Energy Australia Ltd	0 - 62,000	30.00%	> 62,000 - 180,000	35.00%	> 180,000 - 370,000	65.00%	> 370,000	75.00%		
Sundance Resources	0 - 1,100,000	25.00%	> 1,100,000 - 3,300,000	35.00%	> 3,300,000 - 6,600,000	60.00%	> 6,600,000	75.00%		
Sundart Holdings Ltd	0 - 5,900	40.00%	> 5,900 - 17,000	60.00%	> 17,000	90.00%				
Sundrug Co Ltd	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,900	30.00%	> 9,900	50.00%		
Sunedison Inc	0 - 300,000	45.00%	> 300,000 - 900,000	70.00%	> 900,000	90.00%				
SUNeVision Holdings Ltd	0 - 15,000	20.00%	> 15,000 - 39,000	25.00%	> 39,000 - 88,000	30.00%	> 88,000	50.00%		
Sunlight Real Estate Investmen	0 - 4,800	25.00%	> 4,800 - 14,000	30.00%	> 14,000 - 58,000	40.00%	> 58,000	50.00%		
Sunny Optical Technology Group	0 - 10,000	20.00%	> 10,000 - 20,000	25.00%	> 20,000	50.00%				
Sunoco LP	0 - 1,000	20.00%	> 1,000 - 2,800	35.00%	> 2,800 - 6,400	45.00%	> 6,400	50.00%		
SunOpta Inc	0 - 1,100	20.00%	> 1,100 - 2,300	35.00%	> 2,300 - 4,700	40.00%	> 4,700	50.00%		
SunOpta Inc	0 - 1,100	20.00%	> 1,100 - 2,300	35.00%	> 2,300 - 4,600	45.00%	> 4,600	50.00%		
SunPower Corp	0 - 7,200	20.00%	> 7,200 - 18,000	35.00%	> 18,000	50.00%				
Sunshine 100 China Holdings Ltd	0 - 14,000	30.00%	> 14,000 - 110,000	40.00%	> 110,000 - 250,000	45.00%	> 250,000	50.00%		
Sunshine Oilsands Ltd	0 - 110,000	25.00%	> 110,000 - 340,000	30.00%	> 340,000 - 690,000	45.00%	> 690,000	55.00%		
Sunstone Hotel Investors Inc	0 - 1,900	30.00%	> 1,900 - 5,900	40.00%	> 5,900 - 11,000	55.00%	> 11,000	60.00%		
Suntec Real Estate Investment Trust	0 - 24,000	25.00%	> 24,000 - 74,000	30.00%	> 74,000 - 290,000	40.00%	> 290,000	50.00%		
Suntory Beverage & Food Ltd	0 - 4,700	20.00%	> 4,700 - 9,500	25.00%	> 9,500	50.00%				
SunTrust Banks	0 - 1,400	20.00%	> 1,400 - 2,900	60.00%	> 2,900	90.00%				
Super Micro Computer Inc	0 - 3,400	20.00%	> 3,400 - 7,700	25.00%	> 7,700	50.00%				
Super Retail Group	0 - 14,000	20.00%	> 14,000 - 28,000	50.00%	> 28,000	85.00%				
Superdry	0 - 5,300	20.00%	> 5,300 - 10,000	35.00%	> 10,000	50.00%				
Superior Energy Services Inc	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	65.00%				
Superior Industries Internatio	0 - 880	20.00%	> 880 - 2,300	45.00%	> 2,300	60.00%				
Superior Plus Corp	0 - 7,500	20.00%	> 7,500 - 17,000	35.00%	> 17,000	50.00%				
Supernus Pharmaceuticals Inc	0 - 1,900	20.00%	> 1,900 - 3,800	30.00%	> 3,800 - 7,600	35.00%	> 7,600	50.00%		
Supervalu	0 - 2,700	20.00%	> 2,700 - 5,500	50.00%	> 5,500	75.00%				
Surfstitch Group Ltd	> 0	100.00%								
Surge Energy Inc	0 - 2,900	30.00%	> 2,900 - 8,800	40.00%	> 8,800	90.00%				
Surgery Partners Inc	0 - 1,000	20.00%	> 1,000 - 6,400	30.00%	> 6,400	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
SurModics Inc	0 - 420	20.00%	> 420 - 1,100	45.00%	> 1,100	50.00%				
Suruga Bank	0 - 11,000	20.00%	> 11,000 - 23,000	70.00%	> 23,000	90.00%				
Suzuken	0 - 2,300	20.00%	> 2,300 - 4,700	45.00%	> 4,700	60.00%				
Suzuki Motor	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100	50.00%				
SVB Financial Group	0 - 670	20.00%	> 670 - 1,300	25.00%	> 1,300	50.00%				
Svenska Handelsbanken A	0 - 17,000	20.00%	> 17,000 - 35,000	25.00%	> 35,000	50.00%				
Svenska Handelsbanken B	0 - 850	20.00%	> 850 - 1,700	25.00%	> 1,700 - 3,400	35.00%	> 3,400	50.00%		
Svolder AB - B	0 - 890	20.00%	> 890 - 1,700	25.00%	> 1,700 - 3,500	35.00%	> 3,500	50.00%		
Swatch Group	0 - 430	20.00%	> 430 - 860	25.00%	> 860	50.00%				
Swatch Group AG/The	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Sweco B	0 - 4,100	20.00%	> 4,100 - 9,300	30.00%	> 9,300	50.00%				
Swedbank A	0 - 9,000	20.00%	> 9,000 - 18,000	25.00%	> 18,000	50.00%				
Swedish Match	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700	50.00%				
Swedish Orphan Biovitrum	0 - 4,200	20.00%	> 4,200 - 8,400	30.00%	> 8,400 - 16,000	35.00%	> 16,000	50.00%		
Swiber	> 0	100.00%								
Swire Pacific	0 - 18,000	20.00%	> 18,000 - 37,000	25.00%	> 37,000	50.00%				
Swire Pacific Ltd	0 - 30,000	20.00%	> 30,000 - 80,000	30.00%	> 80,000 - 180,000	40.00%	> 180,000	50.00%		
Swire Properties Ltd	0 - 26,000	20.00%	> 26,000 - 100,000	30.00%	> 100,000	50.00%				
Swiss Helvetia Fund Inc/The	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
Swiss Life	0 - 1,900	20.00%	> 1,900 - 3,800	40.00%	> 3,800	60.00%				
Swiss Prime Site AG	0 - 360	25.00%	> 360 - 2,200	30.00%	> 2,200 - 4,400	35.00%	> 4,400	50.00%		
Swiss Reinsurance	0 - 7,400	20.00%	> 7,400 - 14,000	40.00%	> 14,000	60.00%				
Swisscanto CH Real Estate Fund lfca	0 - 26	30.00%	> 26 - 77	35.00%	> 77 - 300	40.00%	> 300	50.00%		
Swisscom	0 - 440	20.00%	> 440 - 880	25.00%	> 880	50.00%				
Swissquote Group Holding SA	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Sword Group	0 - 250	20.00%	> 250 - 500	25.00%	> 500 - 1,000	35.00%	> 1,000	50.00%		
Sydbank	0 - 5,500	20.00%	> 5,500 - 11,000	25.00%	> 11,000	50.00%				
Sydney Airport	0 - 37,000	20.00%	> 37,000 - 75,000	25.00%	> 75,000	50.00%				
Sykes Enterprises Inc	0 - 900	20.00%	> 900 - 2,400	25.00%	> 2,400 - 5,400	30.00%	> 5,400	50.00%		
Symantec	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	60.00%				
Symphony International Holdings Ltd	0 - 14,000	20.00%	> 14,000 - 28,000	50.00%	> 28,000	90.00%				
Symrise	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Synaptics Inc	0 - 1,900	20.00%	> 1,900 - 3,900	30.00%	> 3,900 - 7,800	35.00%	> 7,800	50.00%		
Synchronoss Technologies Inc	0 - 3,400	20.00%	> 3,400 - 6,900	45.00%	> 6,900	70.00%				
Synchrony Financial	0 - 3,000	20.00%	> 3,000	90.00%						
SYNCONA LTD	0 - 6,700	20.00%	> 6,700 - 17,000	35.00%	> 17,000 - 40,000	40.00%	> 40,000	50.00%		
Syndax Pharmaceuticals Inc	0 - 1,300	20.00%	> 1,300 - 3,100	35.00%	> 3,100	55.00%				
Syneos Health Inc	0 - 2,000	20.00%	> 2,000 - 4,000	60.00%	> 4,000	75.00%				
Synergie SA	0 - 200	20.00%	> 200 - 410	65.00%	> 410	90.00%				
Synergy Pharmaceuticals Inc	0 - 13,000	20.00%	> 13,000 - 59,000	30.00%	> 59,000	50.00%				
Synertone Communication Corp	0 - 190,000	25.00%	> 190,000 - 580,000	30.00%	> 580,000 - 1,100,000	55.00%	> 1,100,000	65.00%		
SYNNEX Corp	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900	50.00%				
Synopsys Inc	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Synovus Financial	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700 - 7,500	30.00%	> 7,500	50.00%		
Syntel Inc	0 - 2,500	20.00%	> 2,500 - 10,000	25.00%	> 10,000	50.00%				
Synthomer	0 - 8,900	20.00%	> 8,900 - 23,000	40.00%	> 23,000	60.00%				
Synthos SA	> 0	100.00%								
Syrah Resources Ltd	0 - 42,000	20.00%	> 42,000 - 77,000	25.00%	> 77,000	50.00%				
Sysco	0 - 1,400	20.00%	> 1,400 - 2,800	45.00%	> 2,800	70.00%				
Sysmex Corp	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
Systemair	0 - 930	20.00%	> 930 - 1,800	30.00%	> 1,800 - 3,700	35.00%	> 3,700	50.00%		
Systena Corp	0 - 5,500	20.00%	> 5,500 - 12,000	30.00%	> 12,000 - 23,000	35.00%	> 23,000	50.00%		
T Hasegawa Co Ltd	0 - 490	20.00%	> 490 - 1,200	30.00%	> 1,200 - 2,800	35.00%	> 2,800	50.00%		
T Rowe Price	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300	50.00%				
T&D Holdings	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000	50.00%				
T2 Biosystems Inc	0 - 2,700	20.00%	> 2,700 - 10,000	25.00%	> 10,000	50.00%				
Tabcorp Holdings	0 - 55,000	20.00%	> 55,000 - 110,000	25.00%	> 110,000	50.00%				
Tableau Software Inc	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Tachi-S Co Ltd	0 - 1,200	20.00%	> 1,200 - 3,300	75.00%	> 3,300	90.00%				
Tadano Ltd	0 - 8,000	20.00%	> 8,000 - 16,000	35.00%	> 16,000	50.00%				
TAG Immobilien AG	0 - 1,400	35.00%	> 1,400 - 4,400	40.00%	> 4,400 - 8,800	85.00%	> 8,800	90.00%		
Tahoe Resources Inc (CA)	0 - 10,000	20.00%	> 10,000 - 29,000	40.00%	> 29,000 - 65,000	45.00%	> 65,000	50.00%		
Tahoe Resources Inc (US)	0 - 6,500	25.00%	> 6,500 - 19,000	30.00%	> 19,000 - 40,000	70.00%	> 40,000	90.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Taihei Dengyo Kaisha Ltd	0 - 910	20.00%	> 910 - 2,200	65.00%	> 2,200	90.00%				
Taiheiyo Cement Corporation	0 - 3,100	20.00%	> 3,100 - 6,300	35.00%	> 6,300	50.00%				
Taikisha Ltd	0 - 1,400	20.00%	> 1,400 - 3,800	25.00%	> 3,800 - 8,200	30.00%	> 8,200	50.00%		
Tailored Brands Inc	0 - 4,100	20.00%	> 4,100 - 8,300	30.00%	> 8,300 - 15,000	35.00%	> 15,000	50.00%		
Taisei	0 - 1,800	20.00%	> 1,800 - 3,600	55.00%	> 3,600	90.00%				
Taisho Pharmaceutical Holdings	0 - 860	20.00%	> 860 - 3,400	25.00%	> 3,400	50.00%				
Taiwan Semiconductor Manufacturing Co Ltd	0 - 8,700	20.00%	> 8,700 - 17,000	40.00%	> 17,000	60.00%				
Taiyo Holdings Co Ltd	0 - 860	20.00%	> 860 - 5,100	25.00%	> 5,100	50.00%				
Taiyo Nippon Sanso	0 - 6,100	20.00%	> 6,100 - 12,000	25.00%	> 12,000 - 24,000	35.00%	> 24,000	50.00%		
Taiyo Yuden	0 - 3,200	20.00%	> 3,200 - 12,000	25.00%	> 12,000	50.00%				
Takamatsu Construction Group Co Ltd	0 - 340	20.00%	> 340 - 880	35.00%	> 880 - 1,900	45.00%	> 1,900	50.00%		
Takara Bio Inc	0 - 4,700	20.00%	> 4,700 - 9,500	30.00%	> 9,500 - 19,000	35.00%	> 19,000	50.00%		
Takara Holdings	0 - 8,400	20.00%	> 8,400 - 33,000	25.00%	> 33,000	50.00%				
Takara Leben Co Ltd	0 - 2,700	30.00%	> 2,700 - 30,000	40.00%	> 30,000	50.00%				
Takara Standard Co Ltd	0 - 830	20.00%	> 830 - 2,200	30.00%	> 2,200 - 5,000	35.00%	> 5,000	50.00%		
Takasago International Corp	0 - 310	20.00%	> 310 - 1,800	25.00%	> 1,800	50.00%				
Takasago Thermal Engineering Co Ltd	0 - 2,500	20.00%	> 2,500 - 6,700	30.00%	> 6,700 - 15,000	35.00%	> 15,000	50.00%		
Takashimaya	0 - 12,000	20.00%	> 12,000 - 46,000	25.00%	> 46,000	50.00%				
Takata Corp	> 0	100.00%								
Takeda Pharmaceutical	0 - 2,300	20.00%	> 2,300 - 4,700	30.00%	> 4,700 - 9,500	45.00%	> 9,500	50.00%		
Take-Two Interactive Software	0 - 5,200	20.00%	> 5,200 - 10,000	40.00%	> 10,000	60.00%				
Takeuchi Manufacturing Co Ltd	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000 - 11,000	30.00%	> 11,000	50.00%		
Takiron Co Ltd	0 - 1,900	20.00%	> 1,900 - 4,200	40.00%	> 4,200	50.00%				
Takkt	0 - 1,100	20.00%	> 1,100 - 3,100	25.00%	> 3,100 - 7,000	30.00%	> 7,000	50.00%		
Takuma Co Ltd	0 - 4,800	20.00%	> 4,800 - 11,000	25.00%	> 11,000 - 22,000	30.00%	> 22,000	50.00%		
TAL Education Group	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Talanx AG	0 - 1,900	20.00%	> 1,900 - 9,900	25.00%	> 9,900	50.00%				
Talgo	0 - 7,600	20.00%	> 7,600 - 17,000	25.00%	> 17,000	50.00%				
Talktalk	0 - 61,000	20.00%	> 61,000 - 120,000	25.00%	> 120,000 - 260,000	30.00%	> 260,000	50.00%		
Tallgrass Energy Partners LP	0 - 2,200	20.00%	> 2,200 - 4,500	35.00%	> 4,500 - 9,000	40.00%	> 9,000	50.00%		
Tamburi Investment Partners SpA	0 - 3,900	20.00%	> 3,900 - 10,000	25.00%	> 10,000 - 23,000	30.00%	> 23,000	50.00%		
Tamedia AG	0 - 67	20.00%	> 67 - 360	25.00%	> 360	50.00%				
Tamron Co Ltd	0 - 3,100	20.00%	> 3,100 - 6,400	25.00%	> 6,400	50.00%				
Tandem Diabetes Care Inc	0 - 3,100	20.00%	> 3,100 - 6,200	30.00%	> 6,200 - 11,000	40.00%	> 11,000	50.00%		
Tanger Factory Outlet Centers	0 - 1,400	30.00%	> 1,400 - 16,000	45.00%	> 16,000	50.00%				
Tanseisha Co Ltd	0 - 3,600	20.00%	> 3,600 - 7,200	30.00%	> 7,200 - 13,000	35.00%	> 13,000	50.00%		
Tap Oil Ltd	0 - 160,000	20.00%	> 160,000 - 320,000	35.00%	> 320,000 - 640,000	40.00%	> 640,000	50.00%		
Tapestry Inc	0 - 2,100	20.00%	> 2,100 - 4,200	35.00%	> 4,200 - 8,500	45.00%	> 8,500	50.00%		
Taptica International Ltd	0 - 4,900	20.00%	> 4,900 - 9,800	35.00%	> 9,800	50.00%				
Tarena International Inc	0 - 1,100	20.00%	> 1,100 - 7,100	35.00%	> 7,100	50.00%				
Targa Resources Corp	0 - 1,900	20.00%	> 1,900 - 3,800	50.00%	> 3,800	75.00%				
Target	0 - 4,200	20.00%	> 4,200 - 8,500	40.00%	> 8,500	60.00%				
Tarkett SA	0 - 1,900	20.00%	> 1,900 - 5,100	35.00%	> 5,100 - 11,000	45.00%	> 11,000	50.00%		
Taro Pharmaceutical Industries	0 - 220	20.00%	> 220 - 1,300	30.00%	> 1,300	50.00%				
Tarsus Group	0 - 2,500	20.00%	> 2,500 - 5,500	30.00%	> 5,500 - 11,000	35.00%	> 11,000	50.00%		
Taseko Mines Ltd	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000	50.00%				
Tassal Group Ltd	0 - 8,600	20.00%	> 8,600 - 21,000	45.00%	> 21,000	50.00%				
Tata Motors	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Tate & Lyle	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 46,000	40.00%	> 46,000	50.00%		
Taubman Centers Inc	0 - 550	25.00%	> 550 - 3,300	35.00%	> 3,300 - 6,600	40.00%	> 6,600	50.00%		
Tauron Polska Energia SA	0 - 120,000	20.00%	> 120,000 - 240,000	25.00%	> 240,000 - 440,000	30.00%	> 440,000	50.00%		
Taylor Morrison Home Corp	0 - 4,300	20.00%	> 4,300 - 9,000	25.00%	> 9,000 - 18,000	30.00%	> 18,000	50.00%		
Taylor Wimpey	0 - 280,000	20.00%	> 280,000 - 570,000	40.00%	> 570,000	60.00%				
TC Pipelines LP	0 - 1,300	20.00%	> 1,300 - 3,500	40.00%	> 3,500	50.00%				
TCF Financial Corp	0 - 4,000	20.00%	> 4,000 - 8,000	55.00%	> 8,000	90.00%				
TCL Multimedia	0 - 54,000	20.00%	> 54,000 - 140,000	30.00%	> 140,000 - 320,000	35.00%	> 320,000	50.00%		
TCP Capital Corp	0 - 790	20.00%	> 790 - 2,100	25.00%	> 2,100 - 4,700	30.00%	> 4,700	50.00%		
TCW Strategic Income Fund Inc	0 - 600	30.00%	> 600 - 1,800	35.00%	> 1,800 - 7,300	40.00%	> 7,300	50.00%		
TD Ameritrade Holding Corp	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
TDK	0 - 950	20.00%	> 950 - 1,900	25.00%	> 1,900 - 3,800	35.00%	> 3,800	50.00%		
TE Connectivity	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Team Inc	0 - 1,100	20.00%	> 1,100 - 2,900	25.00%	> 2,900 - 6,600	30.00%	> 6,600	50.00%		
Tecan Group AG	0 - 300	20.00%	> 300 - 690	60.00%	> 690	90.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Tech Data Corp	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Tech Pro Technology Development Ltd	> 0	100.00%								
Techne Corp	0 - 650	20.00%	> 650 - 1,300	30.00%	> 1,300 - 2,600	40.00%	> 2,600	50.00%		
Technicolor	0 - 30,000	20.00%	> 30,000 - 110,000	25.00%	> 110,000	50.00%				
TechnipFMC	0 - 6,300	20.00%	> 6,300 - 12,000	25.00%	> 12,000	50.00%				
TechnipFMC Inc	0 - 3,200	20.00%	> 3,200 - 6,400	70.00%	> 6,400	85.00%				
Technology One	0 - 22,000	20.00%	> 22,000 - 44,000	30.00%	> 44,000 - 81,000	40.00%	> 81,000	50.00%		
Technology Select Sector SPDR Fund	0 - 4,500	20.00%	> 4,500 - 9,100	40.00%	> 9,100	60.00%				
Technopolis OYJ	0 - 1,000	25.00%	> 1,000 - 3,100	30.00%	> 3,100 - 8,300	35.00%	> 8,300 - 18,000	40.00%	> 18,000	50.00%
TechnoPro Holdings Inc	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200 - 6,500	30.00%	> 6,500	50.00%		
Technovator International Ltd	0 - 41,000	20.00%	> 41,000 - 160,000	25.00%	> 160,000	50.00%				
TechTarget Inc	0 - 1,900	20.00%	> 1,900	90.00%						
Techtronic Industries	0 - 18,000	20.00%	> 18,000 - 36,000	30.00%	> 36,000 - 72,000	35.00%	> 72,000	50.00%		
Teck Resources Ltd (CA)	0 - 8,000	20.00%	> 8,000 - 16,000	30.00%	> 16,000	50.00%				
Teck Resources Ltd (US)	0 - 4,000	20.00%	> 4,000 - 8,000	30.00%	> 8,000 - 16,000	35.00%	> 16,000	50.00%		
Tecnicas Reunida	0 - 3,100	20.00%	> 3,100 - 6,300	35.00%	> 6,300 - 12,000	40.00%	> 12,000	50.00%		
Ted Baker	0 - 1,300	20.00%	> 1,300 - 3,400	35.00%	> 3,400	55.00%				
Teekay Corp	0 - 2,900	20.00%	> 2,900 - 7,700	30.00%	> 7,700 - 17,000	45.00%	> 17,000	50.00%		
Teekay LNG Partners LP	0 - 600	20.00%	> 600 - 1,500	40.00%	> 1,500	50.00%				
Teekay Offshore Partners LP	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800 - 15,000	30.00%	> 15,000	50.00%		
Teekay Tankers Ltd	0 - 8,800	20.00%	> 8,800 - 17,000	60.00%	> 17,000	70.00%				
Tegna Inc	0 - 8,300	20.00%	> 8,300 - 16,000	35.00%	> 16,000 - 33,000	40.00%	> 33,000	50.00%		
Teijin	0 - 5,500	20.00%	> 5,500 - 22,000	30.00%	> 22,000	50.00%				
Tejon Ranch Co	0 - 750	20.00%	> 750 - 2,000	25.00%	> 2,000 - 4,500	30.00%	> 4,500	50.00%		
Tekken Corp	0 - 810	20.00%	> 810 - 2,000	50.00%	> 2,000	55.00%				
Tekla Healthcare Investors	0 - 140	30.00%	> 140 - 440	35.00%	> 440 - 2,100	45.00%	> 2,100	50.00%		
Tekla Healthcare Opportunities Fund	0 - 180	30.00%	> 180 - 560	35.00%	> 560 - 2,200	40.00%	> 2,200	50.00%		
Tekla Life Sciences Investors	0 - 160	30.00%	> 160 - 490	35.00%	> 490 - 1,900	40.00%	> 1,900	50.00%		
Teladoc Inc	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
Tele2 B	0 - 8,500	20.00%	> 8,500 - 17,000	25.00%	> 17,000 - 34,000	30.00%	> 34,000	50.00%		
Telecom Argentina SA	0 - 1,800	20.00%	> 1,800 - 4,900	25.00%	> 4,900 - 11,000	30.00%	> 11,000	50.00%		
Telecom Italia	0 - 270,000	20.00%	> 270,000 - 550,000	25.00%	> 550,000	50.00%				
Telecom Italia - ADR	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Telecom Italia (R)	0 - 150,000	20.00%	> 150,000 - 310,000	30.00%	> 310,000 - 630,000	45.00%	> 630,000	50.00%		
Telecom Italia (R) - ADR	0 - 1,600	20.00%	> 1,600 - 3,200	35.00%	> 3,200 - 6,400	40.00%	> 6,400	50.00%		
Telecom Plus	0 - 1,700	20.00%	> 1,700 - 4,500	25.00%	> 4,500 - 10,000	35.00%	> 10,000	50.00%		
Teledyne Technologies Inc	0 - 480	20.00%	> 480 - 960	25.00%	> 960 - 1,900	35.00%	> 1,900	50.00%		
Teleflex Inc	0 - 720	20.00%	> 720 - 1,400	25.00%	> 1,400	50.00%				
Telefonica Brasil SA	0 - 8,400	20.00%	> 8,400 - 17,000	25.00%	> 17,000 - 34,000	35.00%	> 34,000	50.00%		
Telefonica Deutschland Holding	0 - 23,000	20.00%	> 23,000 - 47,000	30.00%	> 47,000 - 94,000	35.00%	> 94,000	50.00%		
Telefonica SA - ADR	0 - 11,000	20.00%	> 11,000 - 26,000	25.00%	> 26,000	50.00%				
Telefonica SA (ES)	0 - 75,000	20.00%	> 75,000 - 150,000	40.00%	> 150,000	60.00%				
Telefonica SA (GB)	0 - 23,000	20.00%	> 23,000 - 47,000	25.00%	> 47,000	50.00%				
Telekom Austria	0 - 6,500	20.00%	> 6,500 - 14,000	25.00%	> 14,000	50.00%				
Telekomunikasi Indonesia Persero Tbk PT	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000	50.00%				
Telenav Inc	0 - 1,800	20.00%	> 1,800 - 7,300	25.00%	> 7,300	50.00%				
Telenet Group Holding NV	0 - 1,900	20.00%	> 1,900 - 3,900	30.00%	> 3,900 - 7,900	35.00%	> 7,900	50.00%		
Telenor ASA	0 - 980	20.00%	> 980 - 1,900	25.00%	> 1,900	50.00%				
Telenor ASA (NO)	0 - 9,800	20.00%	> 9,800 - 19,000	25.00%	> 19,000	50.00%				
Teleperformance	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Telephone & Data Systems Inc	0 - 7,400	20.00%	> 7,400 - 15,000	30.00%	> 15,000	50.00%				
Telepizza Group	0 - 3,000	20.00%	> 3,000 - 8,100	30.00%	> 8,100 - 18,000	35.00%	> 18,000	50.00%		
Television Broadcasts (TVB)	0 - 13,000	20.00%	> 13,000 - 36,000	30.00%	> 36,000 - 82,000	35.00%	> 82,000	50.00%		
Telford Homes PLC	0 - 1,100	30.00%	> 1,100 - 8,900	40.00%	> 8,900 - 18,000	45.00%	> 18,000	50.00%		
Telia Co AB	0 - 42,000	20.00%	> 42,000 - 85,000	25.00%	> 85,000	50.00%				
Telia Co AB	0 - 38,000	20.00%	> 38,000 - 80,000	25.00%	> 80,000	50.00%				
Teligent Inc	0 - 2,600	20.00%	> 2,600 - 12,000	25.00%	> 12,000	50.00%				
Telit Communications PLC	0 - 2,400	25.00%	> 2,400 - 7,300	30.00%	> 7,300 - 16,000	70.00%	> 16,000	90.00%		
Telstra (Australia)	0 - 160,000	20.00%	> 160,000 - 320,000	55.00%	> 320,000	60.00%				
Telstra Corp (NZ)	0 - 4,800	20.00%	> 4,800 - 9,700	25.00%	> 9,700 - 19,000	35.00%	> 19,000	50.00%		
TELUS Corp (CA)	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000	50.00%				
TELUS Corp (US)	0 - 4,600	20.00%	> 4,600 - 10,000	25.00%	> 10,000	50.00%				
Temenos Group AG	0 - 630	20.00%	> 630 - 1,200	25.00%	> 1,200 - 2,500	35.00%	> 2,500	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Temp Holdings Co Ltd	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200 - 18,000	30.00%	> 18,000	50.00%		
Temple Bar	0 - 440	35.00%	> 440 - 3,500	45.00%	> 3,500	50.00%				
Templeton Dragon Fund Inc	0 - 940	20.00%	> 940 - 1,800	25.00%	> 1,800	50.00%				
Templeton Emerging Markets Fund/United States	> 0	100.00%								
Templeton Emerging Markets Income Fund	0 - 310	30.00%	> 310 - 1,900	35.00%	> 1,900 - 3,800	40.00%	> 3,800	50.00%		
Templeton Emerging Markets IT	0 - 3,400	30.00%	> 3,400 - 10,000	35.00%	> 10,000 - 20,000	40.00%	> 20,000	50.00%		
Templeton Global Growth Fund Ltd	0 - 3,100	30.00%	> 3,100 - 9,400	35.00%	> 9,400 - 37,000	40.00%	> 37,000	50.00%		
Templeton Global Income Fund	0 - 530	30.00%	> 530 - 6,400	40.00%	> 6,400	50.00%				
Tempur-Pedic International Inc	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
Tenaris	0 - 10,000	20.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%				
Tenaris SA	0 - 2,600	20.00%	> 2,600 - 5,200	55.00%	> 5,200	85.00%				
Tencent	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
Tenet Healthcare	0 - 2,900	20.00%	> 2,900 - 11,000	25.00%	> 11,000	50.00%				
Tenma Corp	0 - 560	20.00%	> 560 - 1,300	55.00%	> 1,300	80.00%				
Tennant Co	0 - 450	20.00%	> 450 - 1,200	35.00%	> 1,200 - 2,700	40.00%	> 2,700	50.00%		
Tenneco Inc	0 - 2,000	20.00%	> 2,000 - 4,300	25.00%	> 4,300 - 8,800	35.00%	> 8,800	50.00%		
Tenwow International Holdings Ltd	0 - 170,000	20.00%	> 170,000 - 340,000	35.00%	> 340,000 - 690,000	45.00%	> 690,000	50.00%		
Teradata	0 - 2,300	20.00%	> 2,300 - 4,600	55.00%	> 4,600	75.00%				
Teradyne	0 - 2,500	20.00%	> 2,500 - 5,000	35.00%	> 5,000 - 10,000	40.00%	> 10,000	50.00%		
Teranga Gold Corp (CA)	0 - 4,100	20.00%	> 4,100 - 10,000	35.00%	> 10,000	55.00%				
Terex	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400 - 8,800	45.00%	> 8,800	50.00%		
Terna	0 - 35,000	20.00%	> 35,000 - 71,000	25.00%	> 71,000	50.00%				
Ternium SA	0 - 2,900	20.00%	> 2,900 - 6,700	25.00%	> 6,700	50.00%				
TerraForm Power Inc	0 - 4,300	20.00%	> 4,300 - 11,000	25.00%	> 11,000 - 25,000	30.00%	> 25,000	50.00%		
Terreno Realty Corp	0 - 560	25.00%	> 560 - 9,600	30.00%	> 9,600	50.00%				
Terumo	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
TESARO Inc	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Tesco	0 - 190,000	20.00%	> 190,000 - 390,000	40.00%	> 390,000	60.00%				
Tesla Motors Inc	0 - 2,000	20.00%	> 2,000 - 4,100	40.00%	> 4,100	60.00%				
Tessco Technologies Inc	0 - 570	20.00%	> 570 - 2,200	35.00%	> 2,200	50.00%				
Tessenderlo Chemie NV	0 - 600	20.00%	> 600 - 3,600	30.00%	> 3,600	50.00%				
Tessi	0 - 49	20.00%	> 49 - 190	25.00%	> 190	50.00%				
Tethys Oil	0 - 2,500	20.00%	> 2,500 - 5,000	30.00%	> 5,000 - 9,100	35.00%	> 9,100	50.00%		
Tetra Tech Inc	0 - 1,200	20.00%	> 1,200 - 2,900	30.00%	> 2,900 - 6,200	35.00%	> 6,200	50.00%		
TETRA Technologies Inc	0 - 3,900	20.00%	> 3,900 - 10,000	40.00%	> 10,000 - 23,000	45.00%	> 23,000	50.00%		
Tetragon Financial Group Ltd	0 - 260	30.00%	> 260 - 800	35.00%	> 800 - 1,600	45.00%	> 1,600	50.00%		
Tetraphase Pharmaceuticals Inc	0 - 6,300	20.00%	> 6,300 - 13,000	25.00%	> 13,000	50.00%				
Teva Depository Receipt	0 - 28,000	20.00%	> 28,000 - 56,000	40.00%	> 56,000	60.00%				
Texas Capital Bancshares Inc	0 - 1,000	20.00%	> 1,000 - 2,100	60.00%	> 2,100	75.00%				
Texas Instrument	0 - 2,800	20.00%	> 2,800 - 5,700	40.00%	> 5,700	60.00%				
Texas Pacific Land Trust	0 - 47	20.00%	> 47 - 120	25.00%	> 120 - 270	35.00%	> 270	50.00%		
Texas Roadhouse Inc	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,800	40.00%	> 5,800	50.00%		
Texhong Textile Group Ltd	0 - 19,000	20.00%	> 19,000 - 53,000	35.00%	> 53,000	50.00%				
Textainer Group Holdings Ltd	0 - 640	20.00%	> 640 - 1,600	30.00%	> 1,600 - 3,600	35.00%	> 3,600	50.00%		
Textron Inc	0 - 1,400	20.00%	> 1,400 - 2,900	50.00%	> 2,900	60.00%				
Texwinca Holdings	0 - 23,000	20.00%	> 23,000 - 48,000	25.00%	> 48,000 - 98,000	30.00%	> 98,000	50.00%		
TF1	0 - 4,300	20.00%	> 4,300 - 11,000	45.00%	> 11,000	55.00%				
TFS Financial Corp	0 - 1,000	20.00%	> 1,000 - 2,700	30.00%	> 2,700 - 6,200	35.00%	> 6,200	50.00%		
TG Therapeutics Inc	0 - 4,000	20.00%	> 4,000 - 9,900	25.00%	> 9,900 - 19,000	30.00%	> 19,000	50.00%		
T-Gaia Corp	0 - 1,500	20.00%	> 1,500 - 4,200	25.00%	> 4,200 - 9,500	30.00%	> 9,500	50.00%		
TGS Nopec Geophysical Co ASA	0 - 250	20.00%	> 250 - 1,000	25.00%	> 1,000	50.00%				
TGS Nopec Geophysical Co ASA (NO)	0 - 2,500	20.00%	> 2,500 - 5,000	45.00%	> 5,000	60.00%				
Thai Beverage	0 - 180,000	20.00%	> 180,000 - 370,000	30.00%	> 370,000 - 750,000	35.00%	> 750,000	50.00%		
Thales	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900	50.00%				
The A2 Milk Company LTD	0 - 86,000	20.00%	> 86,000 - 170,000	40.00%	> 170,000	60.00%				
The AES Corp	0 - 7,500	20.00%	> 7,500 - 15,000	35.00%	> 15,000 - 30,000	40.00%	> 30,000	50.00%		
The Bank of New York	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
The Navigator Company	0 - 29,000	20.00%	> 29,000 - 62,000	25.00%	> 62,000	50.00%				
The Simplybiz Group Limited	0 - 4,700	20.00%	> 4,700 - 9,400	25.00%	> 9,400 - 18,000	30.00%	> 18,000	50.00%		
The Stars Group Inc	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000	50.00%				
The Vitec Group	0 - 1,800	20.00%	> 1,800 - 4,800	30.00%	> 4,800 - 10,000	35.00%	> 10,000	50.00%		
TherapeuticsMD Inc	0 - 12,000	20.00%	> 12,000 - 53,000	25.00%	> 53,000	50.00%				
Theravance Biopharma Inc	0 - 320	30.00%	> 320 - 980	35.00%	> 980 - 2,600	65.00%	> 2,600	75.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Thermador Groupe	0 - 150	20.00%	> 150 - 300	55.00%	> 300	70.00%				
Thermo Fisher	0 - 3,100	20.00%	> 3,100 - 6,200	40.00%	> 6,200	60.00%				
Thermon Group Holdings Inc	0 - 1,400	20.00%	> 1,400 - 3,200	25.00%	> 3,200	50.00%				
Thin Film Electronics ASA	0 - 44,000	20.00%	> 44,000 - 95,000	25.00%	> 95,000 - 190,000	35.00%	> 190,000	50.00%		
Think Global Equity UCITS ETF	0 - 540	20.00%	> 540 - 3,200	35.00%	> 3,200	50.00%				
Think iBoxx AAA-AA Government Bond UCITS ETF	0 - 860	20.00%	> 860 - 1,600	25.00%	> 1,600	50.00%				
Third Point Offshore Investors Ltd	0 - 250	30.00%	> 250 - 4,600	35.00%	> 4,600	50.00%				
Third Point Reinsurance Ltd	0 - 5,300	20.00%	> 5,300 - 11,000	25.00%	> 11,000	50.00%				
THK Co Ltd	0 - 3,500	20.00%	> 3,500 - 14,000	25.00%	> 14,000	50.00%				
THL Credit Inc	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400 - 4,800	30.00%	> 4,800	50.00%		
Thomas Cook	0 - 140,000	20.00%	> 140,000 - 290,000	25.00%	> 290,000	50.00%				
Thomson Reuters Corp (CA)	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600 - 9,300	30.00%	> 9,300	50.00%		
Thomson Reuters Corp (US)	0 - 4,600	20.00%	> 4,600 - 9,300	25.00%	> 9,300	50.00%				
Thor Industries Inc	0 - 990	20.00%	> 990 - 1,900	25.00%	> 1,900 - 3,900	30.00%	> 3,900	50.00%		
Thorn Group	0 - 22,000	20.00%	> 22,000 - 44,000	35.00%	> 44,000 - 89,000	40.00%	> 89,000	50.00%		
ThromboGenics NV	0 - 2,100	20.00%	> 2,100 - 4,300	55.00%	> 4,300	70.00%				
Thule Group	0 - 3,600	20.00%	> 3,600 - 7,500	40.00%	> 7,500	60.00%				
Thurgauer Kantonalbank	0 - 190	20.00%	> 190 - 380	25.00%	> 380	50.00%				
ThyssenKrupp	0 - 27,000	20.00%	> 27,000 - 54,000	40.00%	> 54,000	60.00%				
Tian Ge Interactive Holdings Ltd	0 - 54,000	20.00%	> 54,000 - 140,000	30.00%	> 140,000 - 290,000	35.00%	> 290,000	50.00%		
Tianhe Chemicals Group Ltd	> 0	100.00%								
Tianjin Capital Environmental Protection	0 - 21,000	20.00%	> 21,000 - 91,000	30.00%	> 91,000	50.00%				
Tianjin Development	0 - 25,000	20.00%	> 25,000 - 50,000	25.00%	> 50,000 - 100,000	30.00%	> 100,000	50.00%		
Tianjin Port	0 - 83,000	20.00%	> 83,000 - 330,000	25.00%	> 330,000	50.00%				
Tianjin Tianlian	0 - 130,000	20.00%	> 130,000 - 270,000	30.00%	> 270,000 - 500,000	35.00%	> 500,000	50.00%		
Tianjin ZhongXin Pharmaceutical Group Corp Ltd	0 - 13,000	20.00%	> 13,000 - 48,000	25.00%	> 48,000	50.00%				
Tianneng Power International L	0 - 65,000	20.00%	> 65,000 - 130,000	25.00%	> 130,000 - 270,000	30.00%	> 270,000	50.00%		
Tibet 5100 Water Resources Holdings Ltd	0 - 27,000	20.00%	> 27,000 - 72,000	30.00%	> 72,000 - 160,000	35.00%	> 160,000	50.00%		
Tidewater Inc	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700 - 6,800	30.00%	> 6,800	50.00%		
Tieto	0 - 1,500	20.00%	> 1,500 - 4,000	25.00%	> 4,000 - 9,200	30.00%	> 9,200	50.00%		
Tieto (Sweden)	0 - 100	25.00%	> 100 - 300	35.00%	> 300	90.00%				
Tiffany	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
Tikkurila Oyj	0 - 180	25.00%	> 180 - 560	45.00%	> 560	90.00%				
Tile Shop Holdings Inc	0 - 1,700	20.00%	> 1,700 - 4,700	30.00%	> 4,700 - 10,000	35.00%	> 10,000	50.00%		
Tilly's Inc	0 - 740	20.00%	> 740 - 1,900	85.00%	> 1,900	90.00%				
Tilt Renewables Ltd	0 - 6,800	20.00%	> 6,800 - 13,000	50.00%	> 13,000	65.00%				
Tim Participacoes SA	0 - 10,000	20.00%	> 10,000 - 22,000	25.00%	> 22,000	50.00%				
Time Warner	0 - 3,300	20.00%	> 3,300 - 6,700	40.00%	> 6,700	60.00%				
Times China Holdings Ltd	0 - 18,000	25.00%	> 18,000 - 55,000	30.00%	> 55,000 - 130,000	40.00%	> 130,000 - 280,000	45.00%	> 280,000	50.00%
Timken Co	0 - 2,000	20.00%	> 2,000 - 4,200	30.00%	> 4,200 - 8,700	40.00%	> 8,700	50.00%		
TimkenSteel Corp	0 - 1,400	20.00%	> 1,400 - 3,700	25.00%	> 3,700 - 8,500	30.00%	> 8,500	50.00%		
Tingyi	0 - 42,000	20.00%	> 42,000 - 85,000	45.00%	> 85,000	50.00%				
Titan International Inc	0 - 1,300	20.00%	> 1,300 - 3,400	25.00%	> 3,400 - 7,800	30.00%	> 7,800	50.00%		
Titan Machinery Inc	0 - 1,600	20.00%	> 1,600 - 3,300	45.00%	> 3,300	55.00%				
TiVo Corp	0 - 2,600	20.00%	> 2,600 - 16,000	25.00%	> 16,000	50.00%				
TJX Companies	0 - 1,000	20.00%	> 1,000 - 2,000	45.00%	> 2,000	50.00%				
TKC Corp	0 - 770	20.00%	> 770 - 2,000	25.00%	> 2,000 - 4,600	30.00%	> 4,600	50.00%		
TKH Group NV	0 - 1,300	20.00%	> 1,300 - 2,900	30.00%	> 2,900 - 6,100	35.00%	> 6,100	50.00%		
TLG Immobilien AG	0 - 630	30.00%	> 630 - 1,800	40.00%	> 1,800	90.00%				
T-Mobile USA Inc	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
TMX Group Ltd	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
Toagosei	0 - 3,600	20.00%	> 3,600 - 9,700	45.00%	> 9,700	60.00%				
Tobii AB	0 - 3,200	20.00%	> 3,200 - 19,000	25.00%	> 19,000	50.00%				
Tobishima Corp	0 - 5,500	20.00%	> 5,500 - 22,000	30.00%	> 22,000	50.00%				
Tobu Railway	0 - 3,400	20.00%	> 3,400 - 13,000	25.00%	> 13,000	50.00%				
TOC Co Ltd	0 - 490	30.00%	> 490 - 3,900	40.00%	> 3,900 - 8,800	45.00%	> 8,800	50.00%		
Tocalo Co Ltd	0 - 8,000	20.00%	> 8,000 - 15,000	25.00%	> 15,000	50.00%				
Tochigi Bank Ltd/The	0 - 3,000	20.00%	> 3,000 - 17,000	30.00%	> 17,000	50.00%				
Tod's SpA	0 - 670	20.00%	> 670 - 1,700	30.00%	> 1,700 - 4,000	40.00%	> 4,000	50.00%		
Toda Corp	0 - 11,000	20.00%	> 11,000 - 23,000	30.00%	> 23,000 - 47,000	40.00%	> 47,000	50.00%		
Toei Company	0 - 290	20.00%	> 290 - 780	25.00%	> 780 - 1,700	30.00%	> 1,700	50.00%		
Toenec Corp	0 - 330	20.00%	> 330 - 1,300	30.00%	> 1,300	50.00%				
Toho Bank Ltd/The	0 - 3,800	20.00%	> 3,800 - 10,000	30.00%	> 10,000 - 23,000	35.00%	> 23,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Toho Gas Co Ltd	0 - 2,700	20.00%	> 2,700 - 11,000	25.00%	> 11,000	50.00%				
Toho Holdings Co Ltd	0 - 3,200	20.00%	> 3,200 - 15,000	25.00%	> 15,000	50.00%				
Toho Titanium Co Ltd	0 - 5,200	20.00%	> 5,200 - 10,000	30.00%	> 10,000 - 19,000	35.00%	> 19,000	50.00%		
Toho Tokyo	0 - 3,200	20.00%	> 3,200 - 6,500	35.00%	> 6,500 - 13,000	40.00%	> 13,000	50.00%		
Toho Zinc	0 - 2,100	20.00%	> 2,100 - 3,800	25.00%	> 3,800	50.00%				
Tohoku Electric	0 - 8,400	20.00%	> 8,400 - 16,000	35.00%	> 16,000 - 33,000	45.00%	> 33,000	50.00%		
Tokai Carbon	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000 - 20,000	30.00%	> 20,000	50.00%		
Tokai Corp/Gifu	0 - 480	20.00%	> 480 - 1,300	40.00%	> 1,300	55.00%				
TOKAI Holdings Corp	0 - 3,700	20.00%	> 3,700 - 10,000	30.00%	> 10,000 - 22,000	40.00%	> 22,000	50.00%		
Tokai Rika Co Ltd	0 - 4,200	20.00%	> 4,200 - 20,000	25.00%	> 20,000	50.00%				
Tokai Tokyo Financial Holdings	0 - 13,000	20.00%	> 13,000 - 61,000	25.00%	> 61,000	50.00%				
Token Corp	0 - 830	20.00%	> 830 - 3,500	30.00%	> 3,500	50.00%				
Tokio Marine Holdings	0 - 2,100	20.00%	> 2,100 - 4,200	30.00%	> 4,200 - 8,400	35.00%	> 8,400	50.00%		
Tokushu Tokai Paper Co Ltd	0 - 260	20.00%	> 260 - 1,000	25.00%	> 1,000	50.00%				
Tokuyama	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
Tokyo Broadcasting System Hold	0 - 4,300	20.00%	> 4,300 - 18,000	30.00%	> 18,000	50.00%				
Tokyo dome	0 - 5,300	20.00%	> 5,300 - 12,000	25.00%	> 12,000 - 25,000	30.00%	> 25,000	50.00%		
Tokyo Electric Power	0 - 43,000	20.00%	> 43,000 - 87,000	30.00%	> 87,000	50.00%				
Tokyo Electron Ltd	0 - 590	20.00%	> 590 - 1,100	35.00%	> 1,100 - 2,300	40.00%	> 2,300	50.00%		
Tokyo Gas	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
Tokyo Ohka Kogyo Co Ltd	0 - 5,200	20.00%	> 5,200 - 10,000	25.00%	> 10,000	50.00%				
Tokyo Seimitsu Co Ltd	0 - 2,400	20.00%	> 2,400 - 5,500	35.00%	> 5,500 - 10,000	40.00%	> 10,000	50.00%		
Tokyo Steel Manufacturing Co L	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 40,000	30.00%	> 40,000	50.00%		
Tokyo Tatemono	0 - 2,500	30.00%	> 2,500 - 7,700	35.00%	> 7,700 - 15,000	50.00%	> 15,000	55.00%		
Tokyo TY Financial Group Inc	0 - 1,000	20.00%	> 1,000 - 2,600	30.00%	> 2,600 - 6,000	35.00%	> 6,000	50.00%		
Tokyotokeiba Co Ltd	0 - 1,200	20.00%	> 1,200 - 3,400	25.00%	> 3,400 - 7,000	30.00%	> 7,000	50.00%		
Tokyu	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Tokyu Construction Co Ltd	0 - 6,800	20.00%	> 6,800 - 14,000	25.00%	> 14,000 - 27,000	30.00%	> 27,000	50.00%		
Tokyu Fudosan Holdings Corp	0 - 5,000	25.00%	> 5,000 - 15,000	30.00%	> 15,000 - 30,000	45.00%	> 30,000	60.00%		
Tokyu REIT Inc	0 - 11	30.00%	> 11 - 200	40.00%	> 200	50.00%				
Toll Brothers Inc	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000	50.00%				
Tom Group	0 - 36,000	20.00%	> 36,000 - 72,000	30.00%	> 72,000 - 140,000	40.00%	> 140,000	50.00%		
Tom Tailor Holding AG	0 - 1,900	20.00%	> 1,900 - 9,900	25.00%	> 9,900	50.00%				
TOMONY Holdings Inc	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 24,000	35.00%	> 24,000	50.00%		
Tompkins Financial Corp	0 - 130	20.00%	> 130 - 820	35.00%	> 820	50.00%				
Tomra Systems	0 - 3,900	20.00%	> 3,900 - 17,000	25.00%	> 17,000	50.00%				
TomTom	0 - 11,000	20.00%	> 11,000 - 23,000	35.00%	> 23,000 - 46,000	40.00%	> 46,000	50.00%		
Tomy Co Ltd	0 - 7,300	20.00%	> 7,300 - 14,000	25.00%	> 14,000 - 27,000	30.00%	> 27,000	50.00%		
Tong Ren Tang Technologies Co	0 - 63,000	20.00%	> 63,000 - 120,000	45.00%	> 120,000	65.00%				
Tongda Group Holdings Ltd	0 - 230,000	20.00%	> 230,000 - 1,300,000	25.00%	> 1,300,000	50.00%				
Tootsie Roll Industries Inc	0 - 620	20.00%	> 620 - 1,600	35.00%	> 1,600 - 3,700	40.00%	> 3,700	50.00%		
Topcon Corp	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Topdanmark	0 - 1,900	20.00%	> 1,900 - 8,100	30.00%	> 8,100	50.00%				
Toppan Forms Co Ltd	0 - 1,600	20.00%	> 1,600 - 4,300	25.00%	> 4,300 - 9,700	30.00%	> 9,700	50.00%		
Toppan Printing	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000 - 54,000	30.00%	> 54,000	50.00%		
Topps Tiles PLC	0 - 23,000	20.00%	> 23,000 - 47,000	25.00%	> 47,000	50.00%				
Topre Corp	0 - 1,900	20.00%	> 1,900 - 5,100	35.00%	> 5,100 - 11,000	45.00%	> 11,000	50.00%		
Topy Industries	0 - 660	20.00%	> 660 - 4,000	25.00%	> 4,000	50.00%				
Toray Industries	0 - 25,000	20.00%	> 25,000 - 51,000	30.00%	> 51,000	50.00%				
TORC Oil & Gas Ltd	0 - 3,400	30.00%	> 3,400 - 10,000	45.00%	> 10,000	90.00%				
Torchmark	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Torex Gold Resources Inc	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Toridoll.corp	0 - 3,500	20.00%	> 3,500 - 7,000	50.00%	> 7,000	65.00%				
Torii Pharmaceutical Co Ltd	0 - 480	20.00%	> 480 - 1,200	45.00%	> 1,200	50.00%				
Torishima Pump Mfg Co Ltd	0 - 1,100	20.00%	> 1,100 - 2,200	25.00%	> 2,200 - 4,500	35.00%	> 4,500	50.00%		
Torm PLC	0 - 1,200	20.00%	> 1,200 - 2,800	30.00%	> 2,800 - 5,900	40.00%	> 5,900	50.00%		
Toro Co/The	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500	50.00%				
Toromont Industries Ltd	0 - 1,500	20.00%	> 1,500 - 3,700	25.00%	> 3,700 - 8,300	35.00%	> 8,300	50.00%		
Toronto-Dominion Bank/The (CA)	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Toronto-Dominion Bank/The (US)	0 - 3,400	20.00%	> 3,400 - 6,800	25.00%	> 6,800	50.00%				
Torstar Corp	0 - 21,000	20.00%	> 21,000 - 43,000	25.00%	> 43,000	50.00%				
Tortoise Energy Infrastructure Corp	0 - 370	20.00%	> 370 - 1,000	30.00%	> 1,000 - 2,200	35.00%	> 2,200	50.00%		
Tortoise MLP Fund Inc	0 - 220	30.00%	> 220 - 660	35.00%	> 660 - 3,900	45.00%	> 3,900	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Toshiba	0 - 64,000	20.00%	> 64,000 - 120,000	25.00%	> 120,000	50.00%				
Toshiba Machine Co Ltd	0 - 2,000	20.00%	> 2,000 - 8,300	25.00%	> 8,300	50.00%				
Toshiba Plant Systems & Servic	0 - 2,800	20.00%	> 2,800 - 7,100	35.00%	> 7,100	50.00%				
Toshiba TEC Corp	0 - 4,200	20.00%	> 4,200 - 15,000	25.00%	> 15,000	50.00%				
Tosho Co Ltd	0 - 740	20.00%	> 740 - 1,900	60.00%	> 1,900	70.00%				
Tosoh	0 - 6,600	20.00%	> 6,600 - 13,000	25.00%	> 13,000 - 26,000	30.00%	> 26,000	50.00%		
Total Energy Services Inc	0 - 1,100	20.00%	> 1,100 - 2,300	30.00%	> 2,300 - 4,600	40.00%	> 4,600	50.00%		
Total Gabon	0 - 20	25.00%	> 20 - 61	30.00%	> 61 - 120	35.00%	> 120 - 240	40.00%	> 240	50.00%
Total Produce	0 - 13,000	20.00%	> 13,000 - 29,000	25.00%	> 29,000	50.00%				
Total SA - ADR	0 - 3,100	20.00%	> 3,100 - 6,300	25.00%	> 6,300	50.00%				
Total SA (FR)	0 - 10,000	20.00%	> 10,000 - 21,000	40.00%	> 21,000	60.00%				
Total SA (GB)	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400	50.00%				
Total System Services	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
Totetsu Kogyo Co Ltd	0 - 1,300	20.00%	> 1,300 - 3,500	25.00%	> 3,500 - 8,000	35.00%	> 8,000	50.00%		
Toto	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500	50.00%				
Touax	0 - 920	20.00%	> 920 - 1,800	30.00%	> 1,800 - 3,700	40.00%	> 3,700	50.00%		
Tourmaline Oil Corp	0 - 1,700	25.00%	> 1,700 - 10,000	55.00%	> 10,000	80.00%				
Towa Bank Ltd	0 - 1,900	20.00%	> 1,900 - 9,500	25.00%	> 9,500	50.00%				
Towa Pharmaceutical Co Ltd	0 - 760	20.00%	> 760 - 1,900	30.00%	> 1,900 - 4,000	40.00%	> 4,000	50.00%		
Tower International Inc	0 - 410	20.00%	> 410 - 1,100	35.00%	> 1,100	55.00%				
Tower Ltd (NZ)	0 - 19,000	20.00%	> 19,000 - 38,000	35.00%	> 38,000 - 77,000	40.00%	> 77,000	50.00%		
Tower Semiconductor Ltd	0 - 2,300	20.00%	> 2,300 - 6,200	60.00%	> 6,200	90.00%				
Town Health International Medical Group Ltd	> 0	100.00%								
TowneBank/Portsmouth VA	0 - 930	20.00%	> 930 - 2,400	40.00%	> 2,400	55.00%				
Towngas China Co Ltd	0 - 97,000	20.00%	> 97,000 - 190,000	25.00%	> 190,000 - 390,000	30.00%	> 390,000	50.00%		
Toyo Construction Co Ltd	0 - 5,900	20.00%	> 5,900 - 13,000	30.00%	> 13,000 - 25,000	35.00%	> 25,000	50.00%		
Toyo Corp	0 - 1,200	20.00%	> 1,200 - 2,500	40.00%	> 2,500 - 5,100	45.00%	> 5,100	50.00%		
Toyo Engineering Corp	0 - 2,900	20.00%	> 2,900 - 10,000	25.00%	> 10,000	50.00%				
Toyo Ink SC Holdings Co Ltd	0 - 1,300	20.00%	> 1,300 - 3,500	40.00%	> 3,500	65.00%				
Toyo Kanetsu KK	0 - 590	20.00%	> 590 - 1,200	25.00%	> 1,200 - 2,400	30.00%	> 2,400	50.00%		
Toyo Securities Co Ltd	0 - 4,500	20.00%	> 4,500 - 10,000	30.00%	> 10,000 - 21,000	35.00%	> 21,000	50.00%		
Toyo Seikan	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000 - 22,000	30.00%	> 22,000	50.00%		
Toyo Suisan Kaisha	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Toyo Tire & Rubber Co Ltd	0 - 6,900	20.00%	> 6,900 - 27,000	30.00%	> 27,000	50.00%				
Toyobo	0 - 6,200	20.00%	> 6,200 - 12,000	40.00%	> 12,000	60.00%				
Toyoda Gosei Co Ltd	0 - 4,200	20.00%	> 4,200 - 8,400	35.00%	> 8,400 - 16,000	45.00%	> 16,000	50.00%		
Toyota	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Toyota Boshoku Corp	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Toyota Industries	0 - 1,800	20.00%	> 1,800 - 7,400	25.00%	> 7,400	50.00%				
Toyota Motor Corp	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
Toyota Tsusho	0 - 6,000	20.00%	> 6,000 - 12,000	25.00%	> 12,000	50.00%				
TP Icap Plc	0 - 27,000	20.00%	> 27,000 - 110,000	30.00%	> 110,000	50.00%				
TPG Specialty Lending Inc	0 - 1,100	20.00%	> 1,100 - 3,100	30.00%	> 3,100 - 7,000	40.00%	> 7,000	50.00%		
TPG Telecom	0 - 48,000	20.00%	> 48,000 - 96,000	25.00%	> 96,000	50.00%				
TPR Co Ltd	0 - 960	20.00%	> 960 - 2,500	50.00%	> 2,500	70.00%				
TPV Technology	0 - 90,000	20.00%	> 90,000 - 180,000	35.00%	> 180,000 - 360,000	40.00%	> 360,000	50.00%		
TR Euro Growth	0 - 630	35.00%	> 630 - 1,800	40.00%	> 1,800 - 5,000	65.00%	> 5,000	85.00%		
TR Property	0 - 2,000	30.00%	> 2,000 - 6,100	35.00%	> 6,100 - 36,000	40.00%	> 36,000	50.00%		
Tracker Fund of Hong Kong Ltd	0 - 53,000	20.00%	> 53,000 - 100,000	25.00%	> 100,000	50.00%				
Tractor Supply Co	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Trade Desk Inc.	0 - 1,000	20.00%	> 1,000 - 2,100	35.00%	> 2,100	55.00%				
Trade Me Group Ltd (AUS)	0 - 8,000	20.00%	> 8,000 - 21,000	40.00%	> 21,000	50.00%				
Trade Me Group Ltd (NZ)	> 0	100.00%								
Trancom Co Ltd	0 - 160	20.00%	> 160 - 440	25.00%	> 440 - 1,000	30.00%	> 1,000	50.00%		
TransAlta Corp (CA)	0 - 5,500	20.00%	> 5,500 - 33,000	30.00%	> 33,000	50.00%				
TransAlta Corp (US)	0 - 3,800	20.00%	> 3,800 - 7,600	25.00%	> 7,600	50.00%				
TransAlta Renewables Inc	0 - 16,000	20.00%	> 16,000 - 37,000	25.00%	> 37,000	50.00%				
Transat AT Inc	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000 - 6,100	30.00%	> 6,100	50.00%		
TransCanada Corp (CA)	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100	50.00%				
TransCanada Corp (US)	0 - 4,500	20.00%	> 4,500 - 9,100	25.00%	> 9,100	50.00%				
Transcontinental Inc	0 - 4,100	20.00%	> 4,100 - 8,400	35.00%	> 8,400 - 17,000	40.00%	> 17,000	50.00%		
Transcosmos Inc	0 - 1,100	20.00%	> 1,100 - 6,600	25.00%	> 6,600	50.00%				
TransDigm Group Inc	0 - 550	20.00%	> 550 - 1,100	25.00%	> 1,100	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
TransForce Inc	0 - 3,100	20.00%	> 3,100 - 6,200	30.00%	> 6,200 - 12,000	35.00%	> 12,000	50.00%		
TransGlobe Energy Corp (CA)	0 - 820	25.00%	> 820 - 9,800	30.00%	> 9,800	50.00%				
TransGlobe Energy Corp (US)	0 - 820	25.00%	> 820 - 2,400	30.00%	> 2,400 - 4,900	50.00%	> 4,900	65.00%		
TransMontaigne Partners LP	0 - 260	20.00%	> 260 - 520	40.00%	> 520	55.00%				
Transocean (US)	0 - 2,400	25.00%	> 2,400 - 7,300	30.00%	> 7,300 - 29,000	40.00%	> 29,000	50.00%		
Transport International	0 - 3,400	20.00%	> 3,400 - 13,000	30.00%	> 13,000	50.00%				
Transportadora de Gas del Sur SA	0 - 2,100	20.00%	> 2,100 - 4,800	25.00%	> 4,800	50.00%				
Transurban	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000 - 45,000	30.00%	> 45,000	50.00%		
TravelCenters of America LLC	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Travelers Companies Inc	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
Travelport Worldwide Ltd	0 - 9,700	20.00%	> 9,700 - 19,000	25.00%	> 19,000	50.00%				
TravelSky Tech	0 - 32,000	20.00%	> 32,000 - 65,000	50.00%	> 65,000	75.00%				
Travis Perkins	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Treasury Wine Estates Ltd	0 - 7,500	20.00%	> 7,500 - 15,000	25.00%	> 15,000 - 30,000	35.00%	> 30,000	50.00%		
Trecora Resources	0 - 680	20.00%	> 680 - 2,700	25.00%	> 2,700	50.00%				
Tredegar Corp	0 - 510	20.00%	> 510 - 1,300	25.00%	> 1,300 - 3,100	30.00%	> 3,100	50.00%		
TreeHouse Foods Inc	0 - 1,800	20.00%	> 1,800 - 3,700	35.00%	> 3,700	50.00%				
Trelleborg B	0 - 4,800	20.00%	> 4,800 - 9,600	65.00%	> 9,600	90.00%				
Trend Micro	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Trevali Mining Corp	0 - 42,000	20.00%	> 42,000 - 220,000	25.00%	> 220,000	50.00%				
Trevi-Finanziaria Industriale	0 - 24,000	20.00%	> 24,000 - 49,000	35.00%	> 49,000 - 99,000	40.00%	> 99,000	50.00%		
Trex Co Inc	0 - 1,500	20.00%	> 1,500 - 3,000	60.00%	> 3,000	70.00%				
TRI Pointe Homes Inc	0 - 5,600	20.00%	> 5,600 - 11,000	60.00%	> 11,000	65.00%				
Triangle Capital Corp	0 - 1,000	20.00%	> 1,000 - 6,000	25.00%	> 6,000	50.00%				
Tribal Group PLC	0 - 8,700	20.00%	> 8,700 - 17,000	45.00%	> 17,000	65.00%				
Tribune Media Co	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Trican Well Service Ltd	0 - 46,000	20.00%	> 46,000 - 85,000	25.00%	> 85,000	50.00%				
Trico Bancshares	0 - 520	20.00%	> 520 - 1,400	30.00%	> 1,400 - 3,100	35.00%	> 3,100	50.00%		
Tricon Capital Group Inc	0 - 4,000	20.00%	> 4,000 - 10,000	25.00%	> 10,000 - 24,000	30.00%	> 24,000	50.00%		
Tri-Continental Corp	0 - 120	35.00%	> 120 - 900	50.00%	> 900	55.00%				
Trifast PLC	0 - 7,700	20.00%	> 7,700 - 16,000	25.00%	> 16,000	50.00%				
Trigano	0 - 1,200	20.00%	> 1,200 - 2,600	25.00%	> 2,600	50.00%				
Trimas Corp	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Trimble Navigation Ltd	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
TrimTabs Float Shrink ETF	0 - 140	20.00%	> 140 - 280	35.00%	> 280	65.00%				
TriNet Group Inc	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				
Trinidad Drilling Ltd	0 - 7,300	20.00%	> 7,300 - 29,000	25.00%	> 29,000	50.00%				
Trinity Biotech PLC	0 - 2,000	20.00%	> 2,000 - 4,000	30.00%	> 4,000 - 8,000	35.00%	> 8,000	50.00%		
Trinity Industries Inc	0 - 2,800	20.00%	> 2,800 - 5,700	65.00%	> 5,700	90.00%				
Trinity Ltd	0 - 160,000	20.00%	> 160,000 - 800,000	30.00%	> 800,000	50.00%				
Trinseo SA	0 - 1,000	20.00%	> 1,000 - 2,400	55.00%	> 2,400	80.00%				
TripAdvisor	0 - 11,000	20.00%	> 11,000 - 22,000	40.00%	> 22,000	60.00%				
Triple-S Management Corp	0 - 860	20.00%	> 860 - 2,300	50.00%	> 2,300	75.00%				
TriState Capital Holdings Inc	0 - 670	20.00%	> 670 - 1,700	25.00%	> 1,700 - 4,000	30.00%	> 4,000	50.00%		
Tritax Big Box REIT Plc	0 - 16,000	30.00%	> 16,000 - 97,000	40.00%	> 97,000 - 190,000	45.00%	> 190,000	50.00%		
Triton International Ltd	0 - 4,100	20.00%	> 4,100 - 9,200	25.00%	> 9,200	50.00%				
Triumph Group Inc	0 - 2,800	20.00%	> 2,800 - 6,400	40.00%	> 6,400	50.00%				
Trivity Health Inc	0 - 2,700	20.00%	> 2,700 - 5,400	55.00%	> 5,400	80.00%				
Troax Group AB	0 - 520	20.00%	> 520 - 1,300	55.00%	> 1,300	90.00%				
tronc Inc	0 - 260	25.00%	> 260 - 780	35.00%	> 780 - 2,000	85.00%	> 2,000	90.00%		
Tronox Ltd	0 - 4,600	20.00%	> 4,600 - 20,000	25.00%	> 20,000	50.00%				
Troy Income & Growth Trust PLC	0 - 3,200	35.00%	> 3,200 - 9,700	45.00%	> 9,700 - 20,000	70.00%	> 20,000	90.00%		
Troy Resources	0 - 170,000	20.00%	> 170,000 - 350,000	25.00%	> 350,000	50.00%				
TrueBlue Inc	0 - 1,000	20.00%	> 1,000 - 2,800	70.00%	> 2,800	90.00%				
TrueCar Inc	0 - 5,300	20.00%	> 5,300 - 12,000	35.00%	> 12,000 - 23,000	45.00%	> 23,000	50.00%		
Truly International Holdings	0 - 180,000	20.00%	> 180,000 - 420,000	65.00%	> 420,000	90.00%				
Trusco Nakayama Corp	0 - 1,900	20.00%	> 1,900 - 5,100	45.00%	> 5,100	55.00%				
TrustCo Bank Corp NY	0 - 1,100	20.00%	> 1,100 - 3,100	30.00%	> 3,100 - 7,000	35.00%	> 7,000	50.00%		
Trustmark Corp	0 - 2,100	20.00%	> 2,100 - 11,000	25.00%	> 11,000	50.00%				
Trustpower Ltd	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
TrygVesta	0 - 8,200	20.00%	> 8,200 - 16,000	25.00%	> 16,000	50.00%				
TS Tech Co Ltd	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,900	30.00%	> 9,900	50.00%		
Tsakos Energy	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
TSI Holdings Co Ltd	0 - 3,100	20.00%	> 3,100 - 8,300	30.00%	> 8,300 - 18,000	35.00%	> 18,000	50.00%		
Tsingtao Brewery	0 - 18,000	20.00%	> 18,000 - 37,000	30.00%	> 37,000 - 74,000	35.00%	> 74,000	50.00%		
Tsubaki Nakashima Co Ltd	0 - 2,900	20.00%	> 2,900 - 5,900	25.00%	> 5,900 - 10,000	30.00%	> 10,000	50.00%		
Tsubakimoto Chain Co	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300 - 9,800	30.00%	> 9,800	50.00%		
Tsugami Corp	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800 - 14,000	30.00%	> 14,000	50.00%		
Tsui Wah Holdings Ltd	0 - 80,000	20.00%	> 80,000 - 160,000	30.00%	> 160,000 - 320,000	35.00%	> 320,000	50.00%		
Tsukishima Kikai Co Ltd	0 - 1,600	20.00%	> 1,600 - 10,000	25.00%	> 10,000	50.00%				
Tsukuba Bank Ltd	0 - 5,200	20.00%	> 5,200 - 11,000	25.00%	> 11,000 - 22,000	30.00%	> 22,000	50.00%		
Tsukui Corp	0 - 4,900	20.00%	> 4,900 - 10,000	35.00%	> 10,000 - 19,000	40.00%	> 19,000	50.00%		
Tsumura & Co	0 - 3,100	20.00%	> 3,100 - 6,300	40.00%	> 6,300	55.00%				
Tsuruha Holdings Inc	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
TT Electronics	0 - 3,700	20.00%	> 3,700 - 10,000	25.00%	> 10,000 - 22,000	35.00%	> 22,000	50.00%		
TTEC Holdings Inc	0 - 380	20.00%	> 380 - 1,000	25.00%	> 1,000 - 2,300	30.00%	> 2,300	50.00%		
TTM Technologies Inc	0 - 4,100	20.00%	> 4,100 - 9,600	25.00%	> 9,600 - 20,000	30.00%	> 20,000	50.00%		
Tuan Sing Holdings	0 - 34,000	20.00%	> 34,000 - 68,000	25.00%	> 68,000 - 130,000	30.00%	> 130,000	50.00%		
Tubacex	0 - 16,000	20.00%	> 16,000 - 32,000	25.00%	> 32,000	50.00%				
Tubos Reunidos	0 - 19,000	20.00%	> 19,000 - 39,000	25.00%	> 39,000 - 78,000	30.00%	> 78,000	50.00%		
Tuesday Morning Corp	0 - 3,600	20.00%	> 3,600 - 7,200	60.00%	> 7,200	90.00%				
TUI AG (DE)	0 - 4,500	20.00%	> 4,500 - 9,100	35.00%	> 9,100	55.00%				
TUI AG (GB)	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000	50.00%				
Tullow Oil PLC (GB)	0 - 65,000	30.00%	> 65,000 - 130,000	40.00%	> 130,000 - 190,000	65.00%	> 190,000	90.00%		
Tungsten Corp PLC	0 - 15,000	20.00%	> 15,000 - 30,000	40.00%	> 30,000	55.00%				
Tuniu Corp	0 - 1,600	20.00%	> 1,600 - 9,900	35.00%	> 9,900	50.00%				
Tupperware Brands Corp	0 - 2,300	20.00%	> 2,300 - 4,700	35.00%	> 4,700 - 9,400	40.00%	> 9,400	50.00%		
Turkcell Iletisim Hizmetleri AS	0 - 4,800	20.00%	> 4,800 - 12,000	35.00%	> 12,000 - 29,000	45.00%	> 29,000	50.00%		
Turquoise Hill Resources Ltd (CA)	0 - 8,400	20.00%	> 8,400 - 50,000	25.00%	> 50,000	50.00%				
Turquoise Hill Resources Ltd (US)	0 - 5,200	20.00%	> 5,200 - 13,000	25.00%	> 13,000 - 31,000	30.00%	> 31,000	50.00%		
Tutor Perini Corp	0 - 1,200	20.00%	> 1,200 - 3,300	25.00%	> 3,300 - 7,400	30.00%	> 7,400	50.00%		
TV Asahi Holdings Corp	0 - 2,100	20.00%	> 2,100 - 5,700	25.00%	> 5,700 - 12,000	30.00%	> 12,000	50.00%		
Tv Tokyo Holdings Corp	0 - 740	20.00%	> 740 - 1,900	35.00%	> 1,900 - 4,400	40.00%	> 4,400	50.00%		
Twenty-First Century Fox (A shares)	0 - 13,000	20.00%	> 13,000 - 27,000	40.00%	> 27,000	60.00%				
Twenty-First Century Fox (B shares)	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
TwentyFour Income Fund Ltd	0 - 3,000	30.00%	> 3,000 - 9,000	35.00%	> 9,000 - 24,000	45.00%	> 24,000	50.00%		
TwentyFour Select Monthly Income Fund	0 - 2,500	30.00%	> 2,500 - 33,000	35.00%	> 33,000	50.00%				
Twilio Inc.	0 - 3,200	20.00%	> 3,200 - 6,500	25.00%	> 6,500	50.00%				
Twin Disc Inc	0 - 390	20.00%	> 390 - 780	50.00%	> 780	85.00%				
Twitter Inc	0 - 14,000	20.00%	> 14,000 - 29,000	40.00%	> 29,000	60.00%				
Two Harbors Investment Corp	0 - 1,900	30.00%	> 1,900 - 5,700	35.00%	> 5,700 - 11,000	70.00%	> 11,000	80.00%		
Tyler Technologies Inc	0 - 840	20.00%	> 840 - 1,600	25.00%	> 1,600	50.00%				
Tyman PLC	0 - 3,400	20.00%	> 3,400 - 20,000	25.00%	> 20,000	50.00%				
Tyson Foods	0 - 3,000	20.00%	> 3,000 - 6,000	25.00%	> 6,000	50.00%				
U and I Group PLC	0 - 1,100	30.00%	> 1,100 - 9,100	50.00%	> 9,100	70.00%				
UACJ Corp	0 - 7,300	20.00%	> 7,300 - 13,000	25.00%	> 13,000	50.00%				
Ube Industries	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Ubiquiti Networks Inc	0 - 1,100	20.00%	> 1,100 - 4,500	35.00%	> 4,500	50.00%				
Ubisoft Entertainment	0 - 1,700	20.00%	> 1,700 - 3,400	50.00%	> 3,400	75.00%				
U-Blox AG	0 - 500	20.00%	> 500 - 1,000	25.00%	> 1,000 - 1,800	30.00%	> 1,800	50.00%		
UBS	0 - 43,000	20.00%	> 43,000 - 86,000	40.00%	> 86,000	60.00%				
UBS ETF - MSCI Japan 100% hedged to USD UCITS ETF	0 - 150	25.00%	> 150 - 470	30.00%	> 470 - 1,200	50.00%	> 1,200	55.00%		
UBS ETF - MSCI Switzerland 20/35 100% hedged to USD UCITS ETF	0 - 1,400	20.00%	> 1,400 - 2,600	25.00%	> 2,600	50.00%				
UBS ETF - MSCI Switzerland 20/35 UCITS ETF	0 - 5,900	20.00%	> 5,900 - 11,000	25.00%	> 11,000	50.00%				
UBS ETF CH - MSCI Switzerland	0 - 3,200	20.00%	> 3,200 - 6,400	25.00%	> 6,400	50.00%				
UBS ETF CH - MSCI Switzerland 100% hedged to USD	0 - 1,100	20.00%	> 1,100 - 2,300	25.00%	> 2,300	50.00%				
UBS ETF CH-Gold CHF hedged CHF	0 - 850	20.00%	> 850 - 1,900	25.00%	> 1,900	50.00%				
UBS ETF CH-SLI CHF	0 - 180	20.00%	> 180 - 400	25.00%	> 400	50.00%				
UBS ETF CH-SMIM CHF	0 - 240	20.00%	> 240 - 550	25.00%	> 550	50.00%				
UBS ETF CH-SPI CHF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
UBS ETF CH-SXI Real Estate Funds CHF	0 - 790	20.00%	> 790 - 1,700	25.00%	> 1,700	50.00%				
UBS ETF MSCI EMU 100% hedged to USD UCITS ETF	0 - 3,600	20.00%	> 3,600 - 8,300	25.00%	> 8,300	50.00%				
UBS ETF MSCI United Kingdom 100% hedged to EUR UCITS ETF	0 - 2,800	20.00%	> 2,800 - 6,400	25.00%	> 6,400	50.00%				
UBS ETF-MSCI Canada UCITS ETF	0 - 1,500	20.00%	> 1,500 - 3,500	25.00%	> 3,500	50.00%				
UBS ETF-MSCI Emerging Markets UCITS ETF	0 - 320	20.00%	> 320 - 1,900	25.00%	> 1,900	50.00%				
UBS ETF-MSCI EMU 100% hedged to CHF UCITS ETF	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
UBS ETF-MSCI EMU UCITS ETF	0 - 350	20.00%	> 350 - 790	25.00%	> 790	50.00%				
UBS ETF-MSCI Japan UCITS ETF	0 - 260	20.00%	> 260 - 690	30.00%	> 690 - 1,500	40.00%	> 1,500	50.00%		
UBS ETF-MSCI USA UCITS ETF	0 - 74	20.00%	> 74 - 140	25.00%	> 140	50.00%				
UBS ETFs plc - MSCI EMU SF UCITS ETF	0 - 47	20.00%	> 47 - 180	25.00%	> 180	50.00%				
UBS ETFs plc - MSCI USA SF UCITS ETF	0 - 270	20.00%	> 270 - 540	25.00%	> 540	50.00%				
UBS Group AG	0 - 13,000	20.00%	> 13,000 - 26,000	25.00%	> 26,000	50.00%				
UBS Irl ETF plc - MSCI USA 100% hedged to CHF UCITS ETF	0 - 3,100	20.00%	> 3,100 - 7,000	25.00%	> 7,000	50.00%				
UBS Irl ETF plc - MSCI USA 100% hedged to EUR UCITS ETF	0 - 4,600	20.00%	> 4,600 - 10,000	25.00%	> 10,000	50.00%				
UCB SA	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800	50.00%				
UDG Heathcare Plc	0 - 8,900	20.00%	> 8,900 - 17,000	35.00%	> 17,000	55.00%				
UDR Inc	0 - 2,600	20.00%	> 2,600 - 10,000	35.00%	> 10,000	50.00%				
UGI Corp	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
UK Commercial Property Trust	0 - 6,000	30.00%	> 6,000 - 18,000	35.00%	> 18,000 - 48,000	45.00%	> 48,000	50.00%		
UKC Holdings Corp	0 - 260	25.00%	> 260 - 780	40.00%	> 780	90.00%				
Ulta Beauty Inc	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
Ultimate Software Group Inc	0 - 350	20.00%	> 350 - 700	25.00%	> 700 - 1,400	30.00%	> 1,400	50.00%		
Ultra Clean Holdings Inc	0 - 980	25.00%	> 980 - 5,900	40.00%	> 5,900	50.00%				
Ultra Electronic	0 - 3,200	20.00%	> 3,200 - 7,500	50.00%	> 7,500	65.00%				
Ultra Petroleum	0 - 6,000	25.00%	> 6,000 - 18,000	30.00%	> 18,000 - 36,000	55.00%	> 36,000	75.00%		
Ultragenyx Pharmaceutical Inc	0 - 390	30.00%	> 390 - 1,100	35.00%	> 1,100 - 2,300	60.00%	> 2,300	70.00%		
Ultrapar Participacoes SA	0 - 5,900	20.00%	> 5,900 - 14,000	40.00%	> 14,000 - 32,000	45.00%	> 32,000	50.00%		
Ulvac Inc	0 - 870	25.00%	> 870 - 2,600	30.00%	> 2,600 - 5,200	45.00%	> 5,200	50.00%		
UMB Financial Corp	0 - 1,300	20.00%	> 1,300 - 2,600	30.00%	> 2,600 - 5,200	40.00%	> 5,200	50.00%		
UMH Properties Inc	0 - 520	25.00%	> 520 - 1,500	30.00%	> 1,500 - 4,200	40.00%	> 4,200 - 8,700	45.00%	> 8,700	50.00%
Umicore SA	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300 - 6,700	35.00%	> 6,700	50.00%		
Umpqua Holdings Corp	0 - 8,800	20.00%	> 8,800 - 17,000	25.00%	> 17,000	50.00%				
UMS Holdings	0 - 12,000	30.00%	> 12,000 - 36,000	40.00%	> 36,000	90.00%				
Under Armour Inc - A	0 - 4,600	20.00%	> 4,600 - 18,000	25.00%	> 18,000	50.00%				
Under Armour Inc - C	0 - 4,900	20.00%	> 4,900 - 9,800	60.00%	> 9,800	85.00%				
Unibail Rodamco SE & WFD	0 - 1,500	20.00%	> 1,500 - 3,000	40.00%	> 3,000	60.00%				
Unicaja Banco SA	0 - 98,000	20.00%	> 98,000 - 210,000	25.00%	> 210,000	50.00%				
Unicharm	0 - 6,300	20.00%	> 6,300 - 12,000	25.00%	> 12,000	50.00%				
UniCredit	0 - 19,000	20.00%	> 19,000 - 39,000	40.00%	> 39,000	60.00%				
Unifi Inc	0 - 340	20.00%	> 340 - 920	25.00%	> 920 - 2,000	30.00%	> 2,000	50.00%		
UniFirst Corp/MA	0 - 340	20.00%	> 340 - 860	30.00%	> 860 - 1,900	35.00%	> 1,900	50.00%		
Unilever (US)	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500 - 7,100	35.00%	> 7,100	50.00%		
Unilever NV	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Unilever NV - ADR	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
Unilever PLC	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Unilever PLC - ADR	0 - 350	20.00%	> 350 - 710	25.00%	> 710	50.00%				
Unilife Corp (AUS)	> 0	100.00%								
Union Bankshares Corp	0 - 2,500	20.00%	> 2,500 - 10,000	25.00%	> 10,000	50.00%				
Union Financiere de France Banque	0 - 310	20.00%	> 310 - 620	25.00%	> 620 - 1,200	30.00%	> 1,200	50.00%		
Union Pacific Corp	0 - 4,700	20.00%	> 4,700 - 9,400	40.00%	> 9,400	60.00%				
Union Tool Co	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200 - 4,200	30.00%	> 4,200	50.00%		
Unione di Banche Italiane SCPA	0 - 24,000	20.00%	> 24,000 - 98,000	25.00%	> 98,000	50.00%				
Uniper AG	0 - 3,200	20.00%	> 3,200 - 12,000	25.00%	> 12,000	50.00%				
Unipol Gruppo Finanziario	0 - 49,000	20.00%	> 49,000 - 98,000	25.00%	> 98,000	50.00%				
Unipolsai Spa	0 - 43,000	20.00%	> 43,000 - 87,000	25.00%	> 87,000 - 170,000	35.00%	> 170,000	50.00%		
Unipres Corp	0 - 3,500	20.00%	> 3,500 - 7,100	25.00%	> 7,100 - 13,000	30.00%	> 13,000	50.00%		
Uni-President China	0 - 83,000	20.00%	> 83,000 - 160,000	60.00%	> 160,000	80.00%				
UNIQA	0 - 7,400	20.00%	> 7,400 - 18,000	35.00%	> 18,000 - 39,000	45.00%	> 39,000	50.00%		
uniQure B.V.	0 - 1,700	20.00%	> 1,700 - 4,200	65.00%	> 4,200	90.00%				
Uni-Select Inc	0 - 1,000	20.00%	> 1,000 - 2,600	25.00%	> 2,600 - 6,000	30.00%	> 6,000	50.00%		
Unisys	0 - 1,200	20.00%	> 1,200 - 3,300	25.00%	> 3,300 - 7,400	30.00%	> 7,400	50.00%		
Unit Corp	0 - 650	25.00%	> 650 - 1,900	30.00%	> 1,900 - 5,200	55.00%	> 5,200	85.00%		
Unite	0 - 2,900	25.00%	> 2,900 - 8,900	30.00%	> 8,900 - 35,000	35.00%	> 35,000	50.00%		
United Arrows Ltd	0 - 2,000	20.00%	> 2,000 - 4,000	50.00%	> 4,000	65.00%				
United Bankshares Inc/WV	0 - 2,800	20.00%	> 2,800 - 11,000	30.00%	> 11,000	50.00%				
United Business Media	0 - 6,900	20.00%	> 6,900 - 13,000	25.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%		
United Community Banks Inc/GA	0 - 2,600	20.00%	> 2,600 - 12,000	25.00%	> 12,000	50.00%				
United Community Financial Corp/OH	0 - 1,100	20.00%	> 1,100 - 3,000	25.00%	> 3,000 - 6,800	35.00%	> 6,800	50.00%		
United Continental Holdings Inc	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
United Energy	0 - 35,000	25.00%	> 35,000 - 100,000	30.00%	> 100,000 - 280,000	45.00%	> 280,000	55.00%		
United Engineers	0 - 11,000	20.00%	> 11,000 - 31,000	50.00%	> 31,000	65.00%				
United Financial Bancorp Inc	0 - 2,300	20.00%	> 2,300 - 5,300	25.00%	> 5,300	50.00%				
United Fire Group Inc	0 - 640	20.00%	> 640 - 1,700	25.00%	> 1,700 - 3,800	30.00%	> 3,800	50.00%		
United Industrial Corporation	0 - 1,400	30.00%	> 1,400 - 8,500	45.00%	> 8,500	60.00%				
United Insurance Holdings Corp	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
United International Enterpris	0 - 44	20.00%	> 44 - 87	45.00%	> 87	75.00%				
United Internet	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
United Laboratories International Holdings Ltd/The	0 - 72,000	20.00%	> 72,000 - 160,000	35.00%	> 160,000 - 360,000	40.00%	> 360,000	50.00%		
United Microelectronics Corp	0 - 5,700	20.00%	> 5,700 - 15,000	35.00%	> 15,000 - 34,000	45.00%	> 34,000	50.00%		
United Natural Foods Inc	0 - 2,200	20.00%	> 2,200 - 9,000	25.00%	> 9,000	50.00%				
United Overseas Bank	0 - 17,000	20.00%	> 17,000 - 34,000	40.00%	> 34,000	60.00%				
United Parcel	0 - 6,000	20.00%	> 6,000 - 12,000	40.00%	> 12,000	60.00%				
United Rentals Inc	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
United States Cellular Corp	0 - 610	20.00%	> 610 - 3,600	30.00%	> 3,600	50.00%				
United States Commodity Index Fund	0 - 270	20.00%	> 270 - 730	30.00%	> 730 - 1,600	45.00%	> 1,600	50.00%		
United States Natural Gas Fund LP	0 - 2,200	20.00%	> 2,200 - 4,000	25.00%	> 4,000	50.00%				
United States Oil Fund LP	0 - 6,800	20.00%	> 6,800 - 13,000	30.00%	> 13,000 - 27,000	35.00%	> 27,000	50.00%		
United Super Markets Holdings Inc	0 - 2,300	20.00%	> 2,300 - 13,000	25.00%	> 13,000	50.00%				
United Technologies	0 - 5,100	20.00%	> 5,100 - 10,000	40.00%	> 10,000	60.00%				
United Therapeutics Corp	0 - 790	20.00%	> 790 - 1,500	30.00%	> 1,500 - 3,100	35.00%	> 3,100	50.00%		
United Urban Investment Corp	0 - 21	30.00%	> 21 - 64	35.00%	> 64 - 120	50.00%	> 120	60.00%		
United Utilities	0 - 68,000	20.00%	> 68,000 - 130,000	40.00%	> 130,000	60.00%				
UnitedHealth	0 - 2,500	20.00%	> 2,500 - 5,100	40.00%	> 5,100	60.00%				
Unitika	0 - 8,600	20.00%	> 8,600 - 15,000	25.00%	> 15,000	50.00%				
Unitil Corp	0 - 290	20.00%	> 290 - 770	30.00%	> 770 - 1,700	35.00%	> 1,700	50.00%		
Univar Inc	0 - 7,055	20.00%	> 7,055 - 14,185	25.00%	> 14,185	50.00%				
Universal Corp/VA	0 - 1,400	20.00%	> 1,400 - 2,900	25.00%	> 2,900 - 5,800	30.00%	> 5,800	50.00%		
Universal Display Corp	0 - 2,100	20.00%	> 2,100 - 4,200	40.00%	> 4,200	60.00%				
Universal Electronics Inc	0 - 560	20.00%	> 560 - 1,500	40.00%	> 1,500	55.00%				
Universal Entertainment Corp	0 - 2,500	20.00%	> 2,500 - 5,100	65.00%	> 5,100	90.00%				
Universal Forest Products Inc	0 - 1,800	20.00%	> 1,800 - 4,400	45.00%	> 4,400	55.00%				
Universal Health	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400	50.00%				
Universal Health International	0 - 830,000	20.00%	> 830,000 - 1,600,000	35.00%	> 1,600,000 - 3,300,000	40.00%	> 3,300,000	50.00%		
Universal Health Realty Income	0 - 80	30.00%	> 80 - 240	35.00%	> 240	50.00%				
Universal Insurance Holdings Inc	0 - 720	20.00%	> 720 - 1,900	35.00%	> 1,900 - 4,300	40.00%	> 4,300	50.00%		
Universal Logistics Holdings Inc	0 - 360	20.00%	> 360 - 820	40.00%	> 820	55.00%				
Universal Medical Financial & Technical Advisory Services Co Ltd	0 - 67,000	20.00%	> 67,000 - 170,000	35.00%	> 170,000 - 380,000	40.00%	> 380,000	50.00%		
Universal Stainless & Alloy Products Inc	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300 - 2,400	30.00%	> 2,400	50.00%		
Universal Technical Institute Inc	0 - 3,000	20.00%	> 3,000 - 6,000	35.00%	> 6,000 - 12,000	45.00%	> 12,000	50.00%		
Univest Corp of Pennsylvania	0 - 560	20.00%	> 560 - 1,400	55.00%	> 1,400	70.00%				
Unum	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200 - 10,000	35.00%	> 10,000	50.00%		
UOB Kay Hian Holding	0 - 21,000	20.00%	> 21,000 - 42,000	25.00%	> 42,000	50.00%				
UOL	0 - 6,600	25.00%	> 6,600 - 39,000	30.00%	> 39,000 - 79,000	35.00%	> 79,000	50.00%		
UPM-Kymmene	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000	50.00%				
Uponor	0 - 1,500	20.00%	> 1,500 - 4,100	35.00%	> 4,100	55.00%				
Uranium Participation Corp	0 - 3,000	20.00%	> 3,000 - 18,000	40.00%	> 18,000	50.00%				
Urban Edge Properties	0 - 1,200	25.00%	> 1,200 - 3,800	30.00%	> 3,800 - 8,100	40.00%	> 8,100 - 16,000	45.00%	> 16,000	50.00%
Urban Outfitters	0 - 4,400	20.00%	> 4,400 - 8,900	25.00%	> 8,900	50.00%				
Urstadt Biddle Properties Inc	0 - 250	30.00%	> 250 - 770	35.00%	> 770 - 2,000	50.00%	> 2,000	60.00%		
US Bancorp	0 - 6,500	20.00%	> 6,500 - 13,000	40.00%	> 13,000	60.00%				
US Concrete Inc	0 - 2,500	20.00%	> 2,500 - 4,700	25.00%	> 4,700	50.00%				
US Ecology Inc	0 - 360	20.00%	> 360 - 960	30.00%	> 960 - 2,100	35.00%	> 2,100	50.00%		
US Foods Holding Corp	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900 - 9,900	30.00%	> 9,900	50.00%		
US Physical Therapy Inc	0 - 670	20.00%	> 670 - 1,500	25.00%	> 1,500	50.00%				
US Silica Holdings Inc	0 - 3,800	20.00%	> 3,800 - 15,000	30.00%	> 15,000	50.00%				
US Steel Corporation	0 - 9,100	20.00%	> 9,100 - 18,000	45.00%	> 18,000	60.00%				
USA Compression Partners LP	0 - 1,400	20.00%	> 1,400 - 3,900	55.00%	> 3,900	85.00%				
USA Truck Inc	0 - 650	20.00%	> 650 - 1,300	30.00%	> 1,300 - 2,400	40.00%	> 2,400	50.00%		
USANA Health Sciences Inc	0 - 860	20.00%	> 860 - 1,700	60.00%	> 1,700	75.00%				
USG Corp	0 - 2,300	20.00%	> 2,300 - 4,600	35.00%	> 4,600 - 9,200	45.00%	> 9,200	50.00%		
U-SHIN Ltd	0 - 1,500	20.00%	> 1,500 - 3,600	35.00%	> 3,600 - 7,600	45.00%	> 7,600	50.00%		
Ushio Inc	0 - 11,000	20.00%	> 11,000 - 25,000	25.00%	> 25,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
USS Co Ltd	0 - 5,300	20.00%	> 5,300 - 10,000	25.00%	> 10,000 - 21,000	30.00%	> 21,000	50.00%		
Utah Medical Products Inc	0 - 100	20.00%	> 100 - 250	35.00%	> 250	60.00%				
Utilico Emerging Markets Trust PLC/The Fund	0 - 1,200	30.00%	> 1,200 - 3,700	35.00%	> 3,700 - 22,000	40.00%	> 22,000	50.00%		
Utilities Select Sector SPDR Fund	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Utilitywise PLC	0 - 46,000	20.00%	> 46,000 - 93,000	25.00%	> 93,000	50.00%				
V1 Group Ltd	> 0	100.00%								
VAALCO Energy Inc	0 - 1,000	25.00%	> 1,000 - 3,000	30.00%	> 3,000 - 6,500	50.00%	> 6,500	55.00%		
Vail Resorts Inc	0 - 340	20.00%	> 340 - 690	25.00%	> 690 - 1,300	35.00%	> 1,300	50.00%		
Vaisala OYJ	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
Vale SA - ADR	0 - 50,000	20.00%	> 50,000 - 100,000	40.00%	> 100,000	60.00%				
Valeant Pharmaceuticals International Inc (CA)	0 - 8,400	20.00%	> 8,400 - 16,000	40.00%	> 16,000	60.00%				
Valeant Pharmaceuticals International Inc (US)	0 - 8,500	20.00%	> 8,500 - 17,000	55.00%	> 17,000	70.00%				
Valener Inc	0 - 660	20.00%	> 660 - 1,700	55.00%	> 1,700	70.00%				
Valeo	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Valero Energy	0 - 6,100	20.00%	> 6,100 - 12,000	40.00%	> 12,000	60.00%				
Valero Energy Partners LP	0 - 310	20.00%	> 310 - 850	30.00%	> 850 - 1,900	40.00%	> 1,900	50.00%		
Valiant Holding AG	0 - 700	20.00%	> 700 - 1,500	25.00%	> 1,500	50.00%				
Validus Holdings Ltd	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Valley National Bancorp	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000 - 31,000	35.00%	> 31,000	50.00%		
Vallourec	0 - 16,000	20.00%	> 16,000 - 64,000	25.00%	> 64,000	50.00%				
Valmet OYJ	0 - 5,100	20.00%	> 5,100 - 10,000	35.00%	> 10,000 - 20,000	40.00%	> 20,000	50.00%		
Valmont Industries Inc	0 - 490	20.00%	> 490 - 2,600	25.00%	> 2,600	50.00%				
Valneva SE (FR)	0 - 2,100	20.00%	> 2,100 - 9,500	30.00%	> 9,500	50.00%				
Valor Holdings Co Ltd	0 - 6,700	20.00%	> 6,700 - 13,000	25.00%	> 13,000	50.00%				
Valora Holding AG	0 - 95	20.00%	> 95 - 250	25.00%	> 250 - 570	35.00%	> 570	50.00%		
Value Partners Group Ltd	0 - 180,000	20.00%	> 180,000 - 400,000	25.00%	> 400,000	50.00%				
Van de Velde NV	0 - 260	20.00%	> 260 - 1,000	30.00%	> 1,000	50.00%				
Vanda Pharmaceuticals Inc	0 - 2,000	20.00%	> 2,000 - 5,400	30.00%	> 5,400 - 11,000	35.00%	> 11,000	50.00%		
Vaneck Vectors Gold Miners ETF	0 - 4,500	20.00%	> 4,500 - 9,000	35.00%	> 9,000 - 18,000	45.00%	> 18,000	50.00%		
Vaneck Vectors Indonesia Ind ETF	0 - 150	25.00%	> 150 - 460	30.00%	> 460 - 1,800	45.00%	> 1,800	50.00%		
Vaneck Vectors Junior Gold Miners ETF	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100 - 12,000	30.00%	> 12,000	50.00%		
Vaneck Vectors Oil Service ETF	0 - 3,700	20.00%	> 3,700 - 7,500	35.00%	> 7,500 - 14,000	40.00%	> 14,000	50.00%		
Vaneck Vectors Russia ETF	0 - 1,500	25.00%	> 1,500 - 4,500	30.00%	> 4,500 - 9,100	35.00%	> 9,100 - 18,000	40.00%	> 18,000	50.00%
Vaneck Vectors Vietnam ETF	0 - 940	20.00%	> 940 - 2,500	30.00%	> 2,500 - 5,300	45.00%	> 5,300	50.00%		
Vanguard Australian Property Securities Index ETF	0 - 840	20.00%	> 840 - 1,800	25.00%	> 1,800	50.00%				
Vanguard Australian Shares High Yield ETF	0 - 1,300	20.00%	> 1,300 - 2,800	25.00%	> 2,800	50.00%				
Vanguard Australian Shares Index ETF	0 - 2,700	20.00%	> 2,700 - 5,800	25.00%	> 5,800	50.00%				
Vanguard Canadian Aggregate Bond Index ETF	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Vanguard Canadian Short-Term Bond Index ETF	0 - 1,300	20.00%	> 1,300 - 3,000	25.00%	> 3,000	50.00%				
Vanguard Canadian Short-Term Corporate Bond Index ETF	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Vanguard Consumer Discretionary ETF	0 - 840	20.00%	> 840 - 1,900	25.00%	> 1,900	50.00%				
Vanguard Consumer Staples ETF	0 - 970	20.00%	> 970 - 2,100	25.00%	> 2,100	50.00%				
Vanguard Dividend Appreciation ETF	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800	50.00%				
Vanguard Emerging Markets Government Bond ETF	0 - 160	20.00%	> 160 - 1,000	30.00%	> 1,000	50.00%				
Vanguard Energy ETF	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Vanguard Extended Duration Treasury ETF	0 - 600	20.00%	> 600 - 1,200	25.00%	> 1,200	50.00%				
Vanguard Extended Market ETF	0 - 1,600	20.00%	> 1,600 - 3,200	25.00%	> 3,200	50.00%				
Vanguard Financials ETF	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Vanguard FTSE 100 UCTIS ETF	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800	50.00%				
Vanguard FTSE All World ex-US Small-Cap ETF	0 - 800	20.00%	> 800 - 1,800	25.00%	> 1,800	50.00%				
Vanguard FTSE All-World ex-US ETF	0 - 1,100	20.00%	> 1,100 - 2,600	25.00%	> 2,600	50.00%				
Vanguard FTSE All-World ex-US ETF	0 - 3,700	20.00%	> 3,700 - 7,500	25.00%	> 7,500	50.00%				
Vanguard FTSE All-World High Dividend Yield UCITS ETF	0 - 400	20.00%	> 400 - 850	25.00%	> 850	50.00%				
Vanguard FTSE All-World UCITS	0 - 490	20.00%	> 490 - 1,100	25.00%	> 1,100	50.00%				
Vanguard FTSE Canada All Cap Index ETF	0 - 1,500	20.00%	> 1,500 - 3,500	25.00%	> 3,500	50.00%				
Vanguard FTSE Canada Index ETF	0 - 740	20.00%	> 740 - 1,400	25.00%	> 1,400	50.00%				
Vanguard FTSE Developed Europe UCITS ETF	0 - 2,300	20.00%	> 2,300 - 5,200	25.00%	> 5,200	50.00%				
Vanguard FTSE Developed ex North America ETF CAD Hedged	0 - 630	20.00%	> 630 - 1,200	25.00%	> 1,200	50.00%				
Vanguard FTSE Developed Markets ETF	0 - 4,600	20.00%	> 4,600 - 9,200	25.00%	> 9,200	50.00%				
Vanguard FTSE Emerging Markets ETF	0 - 2,300	20.00%	> 2,300 - 9,200	25.00%	> 9,200	50.00%				
Vanguard FTSE Emerging Markets Index ETF	0 - 950	20.00%	> 950 - 5,700	30.00%	> 5,700	50.00%				
Vanguard FTSE Emerging Markets UCITS ETF	0 - 350	20.00%	> 350 - 2,100	30.00%	> 2,100	50.00%				
Vanguard FTSE Europe ETF	0 - 3,400	20.00%	> 3,400 - 6,900	25.00%	> 6,900	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Vanguard FTSE Japan UCITS ETF	0 - 900	20.00%	> 900 - 2,400	25.00%	> 2,400 - 5,400	30.00%	> 5,400	50.00%		
Vanguard FTSE Pacific ETF	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
Vanguard Global ex-U.S. Real Estate ETF	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Vanguard Growth ETF	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Vanguard Health Care ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
Vanguard High Dividend Yield ETF	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Vanguard Industrials ETF	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
Vanguard Information Technology ETF	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
Vanguard Intermediate-Term Bond ETF	0 - 1,900	20.00%	> 1,900 - 4,400	25.00%	> 4,400	50.00%				
Vanguard Intermediate-Term Corporate Bond ETF	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
Vanguard Intermediate-Term Government Bond ETF	0 - 570	20.00%	> 570 - 1,200	25.00%	> 1,200	50.00%				
Vanguard Large-Cap ETF	0 - 1,500	20.00%	> 1,500 - 3,000	25.00%	> 3,000	50.00%				
Vanguard Long-Term Bond ETF	0 - 1,600	20.00%	> 1,600 - 3,600	25.00%	> 3,600	50.00%				
Vanguard Long-Term Corporate Bond ETF	0 - 890	20.00%	> 890 - 2,000	25.00%	> 2,000	50.00%				
Vanguard Long-Term Government Bond ETF	0 - 540	20.00%	> 540 - 1,200	25.00%	> 1,200	50.00%				
Vanguard Materials ETF	0 - 650	20.00%	> 650 - 1,400	25.00%	> 1,400	50.00%				
Vanguard Mega Cap ETF	0 - 400	20.00%	> 400 - 920	25.00%	> 920	50.00%				
Vanguard Mega Cap Growth ETF	0 - 1,200	20.00%	> 1,200 - 2,800	25.00%	> 2,800	50.00%				
Vanguard Mega Cap Value ETF	0 - 440	20.00%	> 440 - 1,000	25.00%	> 1,000	50.00%				
Vanguard Mid-Cap ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Vanguard Mid-Cap Growth ETF	0 - 1,000	20.00%	> 1,000 - 2,400	25.00%	> 2,400	50.00%				
Vanguard Mid-Cap Value ETF	0 - 1,400	20.00%	> 1,400 - 3,200	25.00%	> 3,200	50.00%				
Vanguard Mortgage-Backed Securities ETF	0 - 1,300	20.00%	> 1,300 - 2,900	25.00%	> 2,900	50.00%				
Vanguard REIT ETF	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800	50.00%				
Vanguard Russell 1000	0 - 290	20.00%	> 290 - 650	25.00%	> 650	50.00%				
Vanguard Russell 1000 Growth ETF	0 - 330	20.00%	> 330 - 740	25.00%	> 740	50.00%				
Vanguard Russell 1000 Value	0 - 300	20.00%	> 300 - 690	25.00%	> 690	50.00%				
Vanguard Russell 2000	0 - 230	20.00%	> 230 - 1,300	25.00%	> 1,300	50.00%				
Vanguard S&P 500 ETF	0 - 770	20.00%	> 770 - 1,500	25.00%	> 1,500	50.00%				
Vanguard S&P 500 Growth ETF	0 - 420	20.00%	> 420 - 960	25.00%	> 960	50.00%				
Vanguard S&P 500 Index ETF	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Vanguard S&P 500 UCITS ETF	0 - 3,700	20.00%	> 3,700 - 7,400	25.00%	> 7,400	50.00%				
Vanguard S&P 500 Value ETF	0 - 180	20.00%	> 180 - 360	25.00%	> 360	50.00%				
Vanguard S&P Mid-Cap 400 ETF	0 - 78	20.00%	> 78 - 460	25.00%	> 460	50.00%				
Vanguard S&P Mid-Cap 400 Growth ETF	0 - 130	20.00%	> 130 - 270	25.00%	> 270	50.00%				
Vanguard S&P Small-Cap 600 ETF	0 - 140	20.00%	> 140 - 860	25.00%	> 860	50.00%				
Vanguard Short-Term Bond ETF	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Vanguard Short-Term Corporate Bond ETF	0 - 2,500	20.00%	> 2,500 - 5,000	40.00%	> 5,000	60.00%				
Vanguard Short-Term Government Bond ETF	0 - 820	20.00%	> 820 - 1,800	25.00%	> 1,800	50.00%				
Vanguard Short-Term Inflation-Protected Securities ETF	0 - 1,200	20.00%	> 1,200 - 2,700	25.00%	> 2,700	50.00%				
Vanguard Small-Cap ETF	0 - 1,200	20.00%	> 1,200 - 2,400	25.00%	> 2,400	50.00%				
Vanguard Small-Cap Growth ETF	0 - 910	20.00%	> 910 - 2,000	25.00%	> 2,000	50.00%				
Vanguard Small-Cap Value ETF	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
Vanguard Telecommunication Services ETF	0 - 880	20.00%	> 880 - 1,900	25.00%	> 1,900	50.00%				
Vanguard Total Bond Market ETF	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Vanguard Total International Bond ETF	0 - 3,600	20.00%	> 3,600 - 7,200	25.00%	> 7,200	50.00%				
Vanguard Total International Stock ETF	0 - 3,300	20.00%	> 3,300 - 6,900	25.00%	> 6,900	50.00%				
Vanguard Total Stock Market ETF	0 - 360	20.00%	> 360 - 810	25.00%	> 810	50.00%				
Vanguard Total Stock Market ETF	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Vanguard Total World Stock ETF	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Vanguard US Total Market Index ETF	0 - 1,400	20.00%	> 1,400 - 3,100	25.00%	> 3,100	50.00%				
Vanguard US Total Market Index ETF CAD Hedged	0 - 550	20.00%	> 550 - 1,100	25.00%	> 1,100	50.00%				
Vanguard Utilities ETF	0 - 1,000	20.00%	> 1,000 - 2,200	25.00%	> 2,200	50.00%				
Vanguard Value ETF	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Vard Holdings Ltd	0 - 54,000	20.00%	> 54,000 - 210,000	25.00%	> 210,000	50.00%				
Varex Imaging Corp	0 - 1,200	20.00%	> 1,200 - 3,200	80.00%	> 3,200	90.00%				
Varian Medical	0 - 850	20.00%	> 850 - 1,700	30.00%	> 1,700	60.00%				
VastNed Retail	0 - 190	30.00%	> 190 - 570	45.00%	> 570	0.00%				
VBG Group B	0 - 640	20.00%	> 640 - 1,200	30.00%	> 1,200 - 2,500	35.00%	> 2,500	50.00%		
Vector Group Ltd	0 - 8,800	20.00%	> 8,800 - 19,000	25.00%	> 19,000	50.00%				
Vector Ltd	0 - 4,300	20.00%	> 4,300 - 17,000	25.00%	> 17,000	50.00%				
Vectren Corp	0 - 2,700	20.00%	> 2,700 - 5,500	25.00%	> 5,500	50.00%				
Vectrus Inc	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Vectura Group	0 - 63,000	20.00%	> 63,000	90.00%						
Vedanta Ltd - ADR	0 - 4,100	20.00%	> 4,100 - 11,000	40.00%	> 11,000	50.00%				
Vedanta Resource	0 - 18,000	20.00%	> 18,000 - 37,000	40.00%	> 37,000	60.00%				
Veeco Instruments Inc	0 - 500	25.00%	> 500 - 1,500	30.00%	> 1,500 - 4,000	45.00%	> 4,000	50.00%		
Veeva Systems Inc	0 - 1,200	20.00%	> 1,200 - 2,400	50.00%	> 2,400	70.00%				
Veidekke	0 - 3,000	20.00%	> 3,000 - 8,000	35.00%	> 8,000	50.00%				
Velocys PLC	0 - 65,000	20.00%	> 65,000 - 130,000	30.00%	> 130,000 - 260,000	40.00%	> 260,000	50.00%		
Veloxis Pharmaceuticals A/S	0 - 23,000	30.00%	> 23,000 - 70,000	45.00%	> 70,000	90.00%				
Ventas	0 - 560	25.00%	> 560 - 6,800	35.00%	> 6,800	50.00%				
Venture Corporation	0 - 55,000	20.00%	> 55,000 - 110,000	40.00%	> 110,000	60.00%				
Veolia Environment	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800 - 17,000	35.00%	> 17,000	50.00%		
Veon Ltd	0 - 8,800	20.00%	> 8,800 - 23,000	25.00%	> 23,000 - 53,000	30.00%	> 53,000	50.00%		
Vera Bradley Inc	0 - 5,100	20.00%	> 5,100 - 9,800	25.00%	> 9,800	50.00%				
Verastem Inc	0 - 1,500	25.00%	> 1,500 - 4,500	30.00%	> 4,500 - 9,000	45.00%	> 9,000	55.00%		
VERBIO Vereinigte BioEnergie AG	0 - 580	30.00%	> 580 - 1,700	40.00%	> 1,700 - 4,600	75.00%	> 4,600	80.00%		
Verbund AG	0 - 1,700	20.00%	> 1,700 - 4,400	50.00%	> 4,400	55.00%				
VEREIT Inc	0 - 4,300	25.00%	> 4,300 - 12,000	35.00%	> 12,000 - 25,000	60.00%	> 25,000	85.00%		
VeriFone Systems Inc	0 - 8,700	20.00%	> 8,700 - 17,000	25.00%	> 17,000	50.00%				
Verint Systems Inc	0 - 1,600	20.00%	> 1,600 - 3,600	35.00%	> 3,600	55.00%				
Verisign	0 - 670	20.00%	> 670 - 1,300	25.00%	> 1,300 - 2,600	30.00%	> 2,600	50.00%		
Verisk Analytics Inc	0 - 1,700	20.00%	> 1,700 - 3,500	25.00%	> 3,500	50.00%				
Veritiv Corp	0 - 330	20.00%	> 330 - 880	25.00%	> 880 - 2,000	30.00%	> 2,000	50.00%		
Verizon Communications Inc (US)	0 - 6,400	20.00%	> 6,400 - 12,000	60.00%	> 12,000	65.00%				
Vermilion Energy Inc (CA)	0 - 2,600	20.00%	> 2,600 - 10,000	30.00%	> 10,000	50.00%				
Vermilion Energy Inc (US)	0 - 590	20.00%	> 590 - 3,500	30.00%	> 3,500	50.00%				
Vernalis PLC	0 - 120,000	20.00%	> 120,000 - 500,000	30.00%	> 500,000	50.00%				
Versartis Inc	0 - 1,600	30.00%	> 1,600 - 4,900	35.00%	> 4,900 - 9,900	55.00%	> 9,900	60.00%		
Versum Materials Inc.	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Vertex Pharmaceuticals	0 - 1,800	20.00%	> 1,800 - 3,600	40.00%	> 3,600	60.00%				
Vertu Motors PLC	0 - 14,000	20.00%	> 14,000 - 59,000	25.00%	> 59,000	50.00%				
Vestas Wind Systems	0 - 3,200	20.00%	> 3,200 - 6,400	30.00%	> 6,400	50.00%				
Vesuvius	0 - 7,400	20.00%	> 7,400 - 19,000	45.00%	> 19,000	60.00%				
Vetoquinol	0 - 160	20.00%	> 160 - 320	30.00%	> 320 - 650	40.00%	> 650	50.00%		
VF Corporation	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				
Viacom Inc (A Shares)	0 - 280	20.00%	> 280 - 560	30.00%	> 560 - 1,100	35.00%	> 1,100	50.00%		
Viacom Inc (B Shares)	0 - 3,300	20.00%	> 3,300 - 13,000	30.00%	> 13,000	50.00%				
Viad Corp	0 - 340	20.00%	> 340 - 920	35.00%	> 920 - 2,000	45.00%	> 2,000	50.00%		
ViaSat Inc	0 - 1,400	20.00%	> 1,400 - 2,800	30.00%	> 2,800 - 5,600	40.00%	> 5,600	50.00%		
Viavi Solutions Inc	0 - 16,000	20.00%	> 16,000 - 35,000	25.00%	> 35,000	50.00%				
Vib Vermoegen AG	0 - 120	25.00%	> 120 - 380	30.00%	> 380 - 770	35.00%	> 770 - 1,500	40.00%	> 1,500	50.00%
Vicat	0 - 1,000	20.00%	> 1,000 - 2,300	25.00%	> 2,300	50.00%				
Vicinity Centres	0 - 50,000	20.00%	> 50,000 - 100,000	30.00%	> 100,000 - 200,000	35.00%	> 200,000	50.00%		
Vicor Corp	0 - 2,200	20.00%	> 2,200 - 5,100	25.00%	> 5,100	50.00%				
Victoria Park AB	0 - 770	30.00%	> 770 - 4,600	40.00%	> 4,600 - 9,200	45.00%	> 9,200	50.00%		
Victoria Park AB - B	0 - 2,300	30.00%	> 2,300 - 6,900	40.00%	> 6,900 - 18,000	60.00%	> 18,000	85.00%		
Victory City	0 - 620,000	20.00%	> 620,000 - 2,500,000	30.00%	> 2,500,000	50.00%				
Victrex	0 - 2,500	20.00%	> 2,500 - 5,000	50.00%	> 5,000	60.00%				
Vident Core U.S. Bond Strategy Fund	0 - 200	20.00%	> 200 - 830	25.00%	> 830	50.00%				
Videocon D2H - ADR	0 - 1,100	20.00%	> 1,100 - 4,700	35.00%	> 4,700	50.00%				
Vidrala SA	0 - 520	20.00%	> 520 - 1,100	25.00%	> 1,100	50.00%				
Vienna Insurance	0 - 4,200	20.00%	> 4,200 - 9,400	25.00%	> 9,400	50.00%				
Vifor Pharma AG	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Villa World Ltd	0 - 5,800	20.00%	> 5,800 - 13,000	30.00%	> 13,000 - 28,000	45.00%	> 28,000	50.00%		
Village Roadshow	0 - 7,500	20.00%	> 7,500 - 17,000	30.00%	> 17,000 - 38,000	35.00%	> 38,000	50.00%		
Villeroy & Boch AG	0 - 550	20.00%	> 550 - 1,100	40.00%	> 1,100	50.00%				
Vilmorin & Cie	0 - 190	20.00%	> 190 - 1,100	25.00%	> 1,100	50.00%				
VinaCapital Vietnam Opportunity Fund Ltd	0 - 5,800	20.00%	> 5,800 - 34,000	25.00%	> 34,000	50.00%				
Vinaland Ltd	0 - 5,700	35.00%	> 5,700 - 34,000	40.00%	> 34,000 - 68,000	45.00%	> 68,000	50.00%		
Vince Holding Corp	0 - 1,000	20.00%	> 1,000 - 2,100	25.00%	> 2,100	50.00%				
Vinci	0 - 1,900	20.00%	> 1,900	90.00%						
Vinda International Holdings L	0 - 12,000	20.00%	> 12,000 - 24,000	25.00%	> 24,000	50.00%				
Viper Energy Partners LP	0 - 920	25.00%	> 920 - 12,000	35.00%	> 12,000	50.00%				
Vipshop Holdings Ltd	0 - 9,700	20.00%	> 9,700 - 38,000	25.00%	> 38,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Virbac	0 - 110	20.00%	> 110 - 290	50.00%	> 290	65.00%				
Virgin Australia Holdings	0 - 63,000	20.00%	> 63,000 - 120,000	50.00%	> 120,000	60.00%				
Virgin Money Holdings PLC	0 - 64,000	20.00%	> 64,000 - 120,000	40.00%	> 120,000	60.00%				
VirnetX Holding Corp	0 - 2,900	20.00%	> 2,900 - 5,800	35.00%	> 5,800	50.00%				
Virscend Education Co Ltd	0 - 35,000	20.00%	> 35,000 - 93,000	80.00%	> 93,000	90.00%				
Virtu Financial Inc	0 - 3,800	20.00%	> 3,800 - 7,700	30.00%	> 7,700 - 15,000	40.00%	> 15,000	50.00%		
Virtus Global Multi-Sector Income Fund	0 - 260	35.00%	> 260 - 1,500	45.00%	> 1,500	50.00%				
Virtus Health Ltd	0 - 5,700	20.00%	> 5,700 - 12,000	25.00%	> 12,000	50.00%				
Virtus Investment Partners Inc	0 - 260	20.00%	> 260 - 710	35.00%	> 710	60.00%				
Virtusa Corp	0 - 1,000	20.00%	> 1,000 - 2,700	30.00%	> 2,700 - 6,100	40.00%	> 6,100	50.00%		
Visa	0 - 2,300	20.00%	> 2,300 - 4,700	40.00%	> 4,700	60.00%				
Viscofan	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
Vishay Intertechnology Inc	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700 - 15,000	30.00%	> 15,000	50.00%		
Vishay Precision Group Inc	0 - 320	20.00%	> 320 - 850	25.00%	> 850 - 1,900	30.00%	> 1,900	50.00%		
Visteon Corp	0 - 750	20.00%	> 750 - 1,500	30.00%	> 1,500 - 3,000	35.00%	> 3,000	50.00%		
Vistra Energy Corp	0 - 8,400	20.00%	> 8,400 - 16,000	25.00%	> 16,000	50.00%				
Vital Healthcare Property Trust	0 - 2,300	25.00%	> 2,300 - 7,000	30.00%	> 7,000 - 17,000	45.00%	> 17,000	55.00%		
Vital KSK Holdings Inc	0 - 4,100	20.00%	> 4,100 - 9,400	25.00%	> 9,400	50.00%				
Vital Therapies Inc	0 - 1,600	20.00%	> 1,600 - 4,300	25.00%	> 4,300 - 9,300	30.00%	> 9,300	50.00%		
Vitamin Shoppe Inc	0 - 1,800	20.00%	> 1,800 - 3,600	50.00%	> 3,600	65.00%				
Vitasoy International Holdings Ltd	0 - 19,000	20.00%	> 19,000 - 48,000	80.00%	> 48,000	90.00%				
Vitrolife	0 - 2,300	20.00%	> 2,300 - 14,000	30.00%	> 14,000	50.00%				
Vittoria Assicurazioni SpA	0 - 1,900	20.00%	> 1,900 - 5,100	40.00%	> 5,100	50.00%				
Vivendi	0 - 7,900	20.00%	> 7,900 - 15,000	25.00%	> 15,000	50.00%				
Vivint Solar Inc	0 - 4,500	20.00%	> 4,500 - 10,000	25.00%	> 10,000	50.00%				
Vivus Inc	0 - 12,000	20.00%	> 12,000 - 25,000	45.00%	> 25,000	55.00%				
VMware Inc	0 - 4,200	20.00%	> 4,200 - 8,500	40.00%	> 8,500	60.00%				
Vocera Communications Inc	0 - 1,100	20.00%	> 1,100 - 2,900	45.00%	> 2,900	50.00%				
Vocus Communications Ltd	0 - 40,000	20.00%	> 40,000 - 84,000	30.00%	> 84,000 - 150,000	35.00%	> 150,000	50.00%		
Vodafone	0 - 270,000	20.00%	> 270,000 - 550,000	40.00%	> 550,000	60.00%				
Vodafone (US)	0 - 4,100	20.00%	> 4,100 - 8,200	60.00%	> 8,200	85.00%				
Voestalpine	0 - 4,200	20.00%	> 4,200 - 8,500	25.00%	> 8,500	50.00%				
Volkswagen	0 - 3,900	20.00%	> 3,900 - 7,900	40.00%	> 7,900	60.00%				
Volkswagen (Preference)	0 - 3,900	20.00%	> 3,900 - 7,800	45.00%	> 7,800	65.00%				
Volkswagen AG (NL)	0 - 115	20.00%	> 115 - 230	25.00%	> 230	50.00%				
Volvo A	0 - 6,700	20.00%	> 6,700 - 15,000	25.00%	> 15,000	50.00%				
Volvo B	0 - 39,000	20.00%	> 39,000 - 79,000	40.00%	> 79,000	60.00%				
Vonage Holdings Corp	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000	50.00%				
Vonovia SE	0 - 690	25.00%	> 690 - 4,100	40.00%	> 4,100	55.00%				
Vontobel Holding AG	0 - 2,600	20.00%	> 2,600 - 5,300	30.00%	> 5,300	50.00%				
Vopak	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
Vornado Realty	0 - 1,300	20.00%	> 1,300 - 2,600	40.00%	> 2,600 - 5,300	45.00%	> 5,300	50.00%		
Vossloh	0 - 500	20.00%	> 500 - 1,100	25.00%	> 1,100	50.00%				
Vostok Nafta	0 - 1,200	20.00%	> 1,200 - 6,300	25.00%	> 6,300	50.00%				
VOXX International Corp	0 - 1,800	20.00%	> 1,800 - 3,600	35.00%	> 3,600	55.00%				
Voya Emerging Markets High Income Dividend Equity Fund	0 - 420	35.00%	> 420 - 2,500	45.00%	> 2,500	50.00%				
Voya Financial Inc	0 - 4,200	20.00%	> 4,200 - 8,400	25.00%	> 8,400	50.00%				
Voya Global Advantage and Premium Opportunity Fund	0 - 290	35.00%	> 290 - 3,400	45.00%	> 3,400	50.00%				
Voya Global Equity Dividend and Premium Opportunity Fund	0 - 450	30.00%	> 450 - 5,800	35.00%	> 5,800	50.00%				
Voya Infrastructure Industrials and Materials Fund	0 - 230	30.00%	> 230 - 690	35.00%	> 690 - 2,700	40.00%	> 2,700	50.00%		
Voya Natural Resources Equity Income Fund	0 - 520	30.00%	> 520 - 1,500	35.00%	> 1,500 - 3,100	45.00%	> 3,100	50.00%		
Voya Prime Rate Trust	0 - 660	30.00%	> 660 - 1,900	35.00%	> 1,900 - 3,900	40.00%	> 3,900 - 7,900	45.00%	> 7,900	50.00%
Voyager Therapeutics, Inc.	0 - 5,000	20.00%	> 5,000 - 9,300	25.00%	> 9,300	50.00%				
Vranken Pommery	0 - 360	20.00%	> 360 - 720	60.00%	> 720	75.00%				
VSE Corp	0 - 200	20.00%	> 200 - 520	60.00%	> 520	75.00%				
VST Holdings Ltd	0 - 22,000	20.00%	> 22,000 - 58,000	35.00%	> 58,000 - 130,000	45.00%	> 130,000	50.00%		
VT Holdings Co Ltd	0 - 4,900	20.00%	> 4,900 - 13,000	30.00%	> 13,000 - 28,000	35.00%	> 28,000	50.00%		
Vtech	0 - 13,000	20.00%	> 13,000 - 30,000	25.00%	> 30,000	50.00%				
VTG AG	0 - 320	20.00%	> 320 - 850	30.00%	> 850 - 1,900	40.00%	> 1,900	50.00%		
Vulcan Mat	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100	50.00%				
VZ Holding AG	0 - 43	20.00%	> 43 - 110	25.00%	> 110 - 260	35.00%	> 260	50.00%		
W&T Offshore Inc	0 - 2,900	25.00%	> 2,900 - 8,900	35.00%	> 8,900 - 17,000	55.00%	> 17,000	60.00%		
W.I.S.E.	0 - 2,300	20.00%	> 2,300 - 4,700	55.00%	> 4,700	70.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Wabash National Corp	0 - 1,900	20.00%	> 1,900 - 5,200	85.00%	> 5,200	90.00%				
WABCO Holdings Inc	0 - 820	20.00%	> 820 - 1,600	25.00%	> 1,600 - 3,200	35.00%	> 3,200	50.00%		
Wabtec Corp/DE	0 - 950	20.00%	> 950 - 1,900	30.00%	> 1,900 - 3,800	40.00%	> 3,800	50.00%		
Wacker Chemie	0 - 720	20.00%	> 720 - 1,400	25.00%	> 1,400 - 2,800	30.00%	> 2,800	50.00%		
Wacker Neuson SE	0 - 940	20.00%	> 940 - 2,500	25.00%	> 2,500 - 5,600	30.00%	> 5,600	50.00%		
Wacoal Holdings Corp	0 - 2,000	20.00%	> 2,000 - 5,400	25.00%	> 5,400 - 12,000	30.00%	> 12,000	50.00%		
Wacom Co Ltd/Japan	0 - 25,000	20.00%	> 25,000 - 46,000	25.00%	> 46,000	50.00%				
Waddell & Reed Financial Inc	0 - 3,000	20.00%	> 3,000 - 8,100	45.00%	> 8,100	60.00%				
WageWorks Inc	0 - 1,600	20.00%	> 1,600 - 7,200	30.00%	> 7,200	50.00%				
Wajax Corp	0 - 520	20.00%	> 520 - 1,100	40.00%	> 1,100	60.00%				
Wakita & Co Ltd	0 - 1,500	20.00%	> 1,500 - 4,200	30.00%	> 4,200 - 9,400	40.00%	> 9,400	50.00%		
Walgreen	0 - 10,000	20.00%	> 10,000 - 20,000	40.00%	> 20,000	60.00%				
Walker & Dunlop Inc	0 - 870	20.00%	> 870 - 2,300	30.00%	> 2,300 - 5,200	35.00%	> 5,200	50.00%		
Wallenius Wilhelmsen ASA	0 - 8,100	20.00%	> 8,100 - 21,000	30.00%	> 21,000 - 48,000	40.00%	> 48,000	50.00%		
Wallenstam B	0 - 1,700	30.00%	> 1,700 - 13,000	50.00%	> 13,000	80.00%				
Wal-Mart	0 - 3,700	20.00%	> 3,700 - 7,500	40.00%	> 7,500	60.00%				
Walt Disney	0 - 6,000	20.00%	> 6,000 - 12,000	40.00%	> 12,000	60.00%				
WAM Capital Ltd	0 - 1,900	30.00%	> 1,900 - 5,700	35.00%	> 5,700 - 15,000	40.00%	> 15,000 - 34,000	45.00%	> 34,000	50.00%
WANdisco PLC	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Want Want China	0 - 220,000	20.00%	> 220,000 - 440,000	25.00%	> 440,000	50.00%				
Warabeya Nichiyo Co Ltd	0 - 660	20.00%	> 660 - 1,700	25.00%	> 1,700 - 3,900	30.00%	> 3,900	50.00%		
Warehouse Group	0 - 7,100	20.00%	> 7,100 - 14,000	30.00%	> 14,000 - 28,000	40.00%	> 28,000	50.00%		
Warehouses De Pauw SCA	0 - 100	25.00%	> 100 - 310	30.00%	> 310 - 820	40.00%	> 820 - 1,800	45.00%	> 1,800	50.00%
Wartsila OYJ Abp	0 - 5,000	20.00%	> 5,000 - 10,000	35.00%	> 10,000 - 20,000	45.00%	> 20,000	50.00%		
Washington Federal Inc	0 - 1,700	20.00%	> 1,700 - 4,700	30.00%	> 4,700	50.00%				
Washington H. Soul Pattinson	0 - 7,000	20.00%	> 7,000 - 15,000	25.00%	> 15,000	50.00%				
Washington Real Estate Investm	0 - 640	25.00%	> 640 - 1,900	30.00%	> 1,900 - 5,100	35.00%	> 5,100 - 11,000	40.00%	> 11,000	50.00%
Washington Trust Bancorp Inc	0 - 700	20.00%	> 700 - 1,500	25.00%	> 1,500	50.00%				
WashTec AG	0 - 180	20.00%	> 180 - 480	25.00%	> 480 - 1,000	30.00%	> 1,000	50.00%		
Wasion Group Holdings Ltd	0 - 19,000	20.00%	> 19,000 - 38,000	25.00%	> 38,000 - 76,000	30.00%	> 76,000	50.00%		
Waste Connections Inc (CA)	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500 - 5,000	30.00%	> 5,000	50.00%		
Waste Connections Inc (US)	0 - 2,500	20.00%	> 2,500 - 5,000	25.00%	> 5,000	50.00%				
Waste Management	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700	50.00%				
WATAMI Co Ltd	0 - 1,400	20.00%	> 1,400 - 3,800	50.00%	> 3,800	70.00%				
Watchstone Group PLC	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Waters	0 - 1,000	20.00%	> 1,000 - 2,000	25.00%	> 2,000	50.00%				
Waterstone Financial Inc	0 - 580	20.00%	> 580 - 1,100	35.00%	> 1,100 - 2,300	45.00%	> 2,300	50.00%		
Watkin Jones Plc	0 - 1,900	30.00%	> 1,900 - 15,000	45.00%	> 15,000	55.00%				
Watsco Inc	0 - 540	20.00%	> 540 - 1,000	30.00%	> 1,000 - 2,100	40.00%	> 2,100	50.00%		
Watts Water Technologies Inc	0 - 540	20.00%	> 540 - 1,400	25.00%	> 1,400 - 3,200	30.00%	> 3,200	50.00%		
Wawel SA	0 - 83	20.00%	> 83 - 160	25.00%	> 160	50.00%				
Wayfair Inc	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600 - 3,300	35.00%	> 3,300	50.00%		
WD-40 Co	0 - 420	20.00%	> 420 - 1,000	35.00%	> 1,000	60.00%				
Weatherford	0 - 28,000	20.00%	> 28,000 - 56,000	55.00%	> 56,000	75.00%				
Weathernews Inc	0 - 470	20.00%	> 470 - 1,200	50.00%	> 1,200	60.00%				
Web.com Group Inc	0 - 3,500	20.00%	> 3,500 - 7,200	25.00%	> 7,200 - 13,000	30.00%	> 13,000	50.00%		
Webjet	0 - 6,800	20.00%	> 6,800 - 15,000	45.00%	> 15,000	60.00%				
Webster Financial Corp	0 - 1,500	20.00%	> 1,500 - 3,100	35.00%	> 3,100 - 6,200	45.00%	> 6,200	50.00%		
Weibo Corp	0 - 7,300	20.00%	> 7,300 - 14,000	40.00%	> 14,000	60.00%				
Weichai Power	0 - 82,000	20.00%	> 82,000 - 160,000	45.00%	> 160,000	60.00%				
Weigao Group	0 - 120,000	20.00%	> 120,000 - 500,000	30.00%	> 500,000	50.00%				
Weight Watchers International	0 - 2,100	20.00%	> 2,100 - 4,300	25.00%	> 4,300	50.00%				
Weingarten Realty Investors	0 - 1,000	30.00%	> 1,000 - 3,200	35.00%	> 3,200 - 6,500	50.00%	> 6,500	55.00%		
Weiqiao Textile	0 - 23,000	20.00%	> 23,000 - 95,000	25.00%	> 95,000	50.00%				
Weir Group	0 - 7,600	20.00%	> 7,600 - 15,000	40.00%	> 15,000	60.00%				
Weis Markets Inc	0 - 720	20.00%	> 720 - 1,900	30.00%	> 1,900 - 4,300	35.00%	> 4,300	50.00%		
Welcia Holdings Co Ltd	0 - 3,800	20.00%	> 3,800 - 7,700	25.00%	> 7,700	50.00%				
Wellard Ltd	0 - 120,000	20.00%	> 120,000 - 250,000	30.00%	> 250,000 - 500,000	40.00%	> 500,000	50.00%		
WellCare Health Plans Inc	0 - 760	20.00%	> 760 - 1,500	25.00%	> 1,500	50.00%				
Wellnet Corp	0 - 1,400	20.00%	> 1,400 - 2,800	60.00%	> 2,800	80.00%				
Wells Fargo	0 - 11,000	20.00%	> 11,000 - 23,000	40.00%	> 23,000	60.00%				
Wells Fargo Advantage Global Dividend Opportunity Fund	0 - 590	35.00%	> 590 - 1,700	40.00%	> 1,700 - 3,500	70.00%	> 3,500	90.00%		
Wells Fargo Advantage Income Opportunities Fund	0 - 410	30.00%	> 410 - 1,200	35.00%	> 1,200 - 5,000	40.00%	> 5,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Wells Fargo Advantage Multi-Sector Income Fund	0 - 260	30.00%	> 260 - 3,100	35.00%	> 3,100	50.00%				
Welltower Inc	0 - 1,500	20.00%	> 1,500 - 3,100	35.00%	> 3,100 - 6,300	40.00%	> 6,300	50.00%		
Wendel	0 - 1,300	20.00%	> 1,300 - 2,700	25.00%	> 2,700	50.00%				
Wendys/Arby	0 - 5,600	20.00%	> 5,600 - 11,000	25.00%	> 11,000 - 22,000	35.00%	> 22,000	50.00%		
Wereldhave	0 - 860	25.00%	> 860 - 2,500	30.00%	> 2,500 - 10,000	35.00%	> 10,000	50.00%		
Wereldhave Belgium NV	0 - 29	30.00%	> 29 - 350	40.00%	> 350	50.00%				
Werner Enterprises Inc	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300 - 10,000	40.00%	> 10,000	50.00%		
WesBanco Inc	0 - 1,000	20.00%	> 1,000 - 6,000	25.00%	> 6,000	50.00%				
Wesco Aircraft Holdings Inc	0 - 1,400	20.00%	> 1,400 - 3,800	25.00%	> 3,800 - 8,700	30.00%	> 8,700	50.00%		
WESCO International Inc	0 - 1,400	20.00%	> 1,400 - 3,100	25.00%	> 3,100 - 6,500	35.00%	> 6,500	50.00%		
Wesfarmers	0 - 5,300	20.00%	> 5,300 - 10,000	50.00%	> 10,000	75.00%				
West Bancorporation Inc	0 - 780	20.00%	> 780 - 1,500	25.00%	> 1,500	50.00%				
West China Cement	0 - 220,000	20.00%	> 220,000 - 1,200,000	25.00%	> 1,200,000	50.00%				
West Fraser Timber Co Ltd	0 - 1,400	20.00%	> 1,400 - 5,700	25.00%	> 5,700	50.00%				
West Japan Railway	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700	50.00%				
West Pharmaceutical Services I	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900	50.00%				
Westamerica Bancorporation	0 - 1,700	20.00%	> 1,700 - 3,800	25.00%	> 3,800	50.00%				
Westar Energy Inc	0 - 3,600	20.00%	> 3,600 - 7,300	25.00%	> 7,300	50.00%				
Western Alliance Bancorp	0 - 3,500	20.00%	> 3,500 - 7,000	25.00%	> 7,000	50.00%				
Western Areas	0 - 20,000	20.00%	> 20,000 - 40,000	25.00%	> 40,000 - 74,000	30.00%	> 74,000	50.00%		
Western Asset Emerging Markets Debt Fund Inc	0 - 280	35.00%	> 280 - 860	40.00%	> 860 - 2,300	65.00%	> 2,300	85.00%		
Western Asset Global Corporate Defined Opportunity Fund Inc	0 - 200	30.00%	> 200 - 2,400	35.00%	> 2,400	50.00%				
Western Asset Global High Income Fund Inc	0 - 360	30.00%	> 360 - 4,300	35.00%	> 4,300	50.00%				
Western Asset High Income Fund II Inc	0 - 510	30.00%	> 510 - 7,300	35.00%	> 7,300	50.00%				
Western Asset High Income Opportunity Fund Inc	0 - 700	30.00%	> 700 - 8,400	35.00%	> 8,400	50.00%				
Western Asset High Yield Defined Opportunity Fund Inc	0 - 230	30.00%	> 230 - 2,700	35.00%	> 2,700	50.00%				
Western Asset Investment Grade Defined Opportunity Trust Inc	0 - 160	30.00%	> 160 - 2,000	35.00%	> 2,000	50.00%				
Western Asset Managed Municipals Fund Inc	0 - 250	30.00%	> 250 - 770	35.00%	> 770 - 3,100	40.00%	> 3,100	50.00%		
Western Asset Mortgage Capital Corp	0 - 580	25.00%	> 580 - 9,700	30.00%	> 9,700	50.00%				
Western Asset Mortgage Defined Opportunity Fund Inc	0 - 140	30.00%	> 140 - 430	35.00%	> 430 - 1,700	45.00%	> 1,700	50.00%		
Western Asset Municipal Defined Opportunity Trust Inc	0 - 160	30.00%	> 160 - 480	35.00%	> 480 - 1,900	45.00%	> 1,900	50.00%		
Western Asset Premier Bond Fund	0 - 250	30.00%	> 250 - 1,500	35.00%	> 1,500 - 3,100	40.00%	> 3,100	50.00%		
Western Asset/Claymore Inflation-Linked Opportunities & Income Fund	0 - 290	30.00%	> 290 - 3,500	35.00%	> 3,500	50.00%				
Western Asset/Claymore Inflation-Linked Securities & Income Fund	0 - 290	30.00%	> 290 - 3,500	35.00%	> 3,500	50.00%				
Western Digital Corp	0 - 8,300	20.00%	> 8,300 - 16,000	40.00%	> 16,000	60.00%				
Western Energy Services Corp	0 - 12,000	20.00%	> 12,000 - 24,000	35.00%	> 24,000 - 49,000	45.00%	> 49,000	50.00%		
Western Forest Products Inc	0 - 12,000	20.00%	> 12,000 - 33,000	30.00%	> 33,000 - 74,000	35.00%	> 74,000	50.00%		
Western Gas Equity Partners LP	0 - 2,000	20.00%	> 2,000 - 4,600	25.00%	> 4,600	50.00%				
Western Gas Partners LP	0 - 3,800	20.00%	> 3,800 - 7,800	25.00%	> 7,800	50.00%				
Western Union	0 - 9,700	20.00%	> 9,700 - 19,000	25.00%	> 19,000	50.00%				
WesternOne Inc	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
Westgold Resources	0 - 10,000	20.00%	> 10,000 - 27,000	55.00%	> 27,000	60.00%				
WestJet Airlines	0 - 5,900	20.00%	> 5,900 - 13,000	25.00%	> 13,000 - 27,000	30.00%	> 27,000	50.00%		
Westlake Chemical Corp	0 - 1,800	20.00%	> 1,800 - 3,600	25.00%	> 3,600	50.00%				
Westlake Chemical Partners LP	0 - 810	20.00%	> 810 - 1,600	25.00%	> 1,600	50.00%				
Westpac Banking (Australia)	0 - 1,500	25.00%	> 1,500 - 4,500	40.00%	> 4,500	90.00%				
Westpac Banking Corp	0 - 3,300	20.00%	> 3,300 - 7,600	25.00%	> 7,600	50.00%				
Westpac Banking Corp (NZ)	0 - 910	20.00%	> 910 - 1,800	25.00%	> 1,800	50.00%				
Westport Innovations Inc	0 - 7,600	20.00%	> 7,600 - 15,000	25.00%	> 15,000	50.00%				
Westport Innovations Inc	0 - 3,700	20.00%	> 3,700 - 7,500	50.00%	> 7,500	60.00%				
WestRock Co	0 - 1,700	20.00%	> 1,700 - 3,400	25.00%	> 3,400 - 6,900	30.00%	> 6,900	50.00%		
Westshore Terminals Investment Corp	0 - 1,900	20.00%	> 1,900 - 5,200	50.00%	> 5,200	60.00%				
Westwood Holdings Group Inc	0 - 230	20.00%	> 230 - 610	30.00%	> 610 - 1,300	40.00%	> 1,300	50.00%		
WEX Inc	0 - 500	20.00%	> 500 - 1,000	25.00%	> 1,000 - 2,000	35.00%	> 2,000	50.00%		
Weyco Group Inc	0 - 270	20.00%	> 270 - 540	25.00%	> 540 - 1,000	30.00%	> 1,000	50.00%		
Weyerhaeuser	0 - 2,700	20.00%	> 2,700 - 5,400	40.00%	> 5,400	50.00%				
WGL Holdings Inc	0 - 2,200	20.00%	> 2,200 - 4,400	25.00%	> 4,400	50.00%				
WH Group Ltd	0 - 240,000	20.00%	> 240,000 - 490,000	25.00%	> 490,000	50.00%				
WH Smith	0 - 3,800	20.00%	> 3,800 - 7,600	30.00%	> 7,600 - 15,000	35.00%	> 15,000	50.00%		
Wharf Holdings	0 - 60,000	20.00%	> 60,000 - 120,000	25.00%	> 120,000	50.00%				
Wheaton Precious Metals Corp	0 - 4,500	20.00%	> 4,500 - 9,000	25.00%	> 9,000 - 18,000	30.00%	> 18,000	50.00%		
Wheaton Precious Metals Corp	0 - 4,500	20.00%	> 4,500 - 9,000	40.00%	> 9,000	55.00%				
Wheelock	0 - 14,000	20.00%	> 14,000 - 57,000	30.00%	> 57,000	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Wheelock Properties (Singapore)	0 - 3,400	30.00%	> 3,400 - 10,000	35.00%	> 10,000 - 27,000	60.00%	> 27,000	75.00%		
Whirlpool	0 - 1,200	20.00%	> 1,200 - 2,500	25.00%	> 2,500	50.00%				
Whitbread	0 - 6,200	20.00%	> 6,200 - 12,000	40.00%	> 12,000	60.00%				
White Mountains Insurance Group Ltd	0 - 36	25.00%	> 36 - 100	40.00%	> 100 - 210	85.00%	> 210	90.00%		
Whitecap Resources Inc	0 - 13,000	20.00%	> 13,000 - 27,000	25.00%	> 27,000 - 55,000	30.00%	> 55,000	50.00%		
Whitehaven Coal	0 - 24,000	20.00%	> 24,000 - 48,000	25.00%	> 48,000 - 97,000	30.00%	> 97,000	50.00%		
Whitestone REIT	0 - 530	30.00%	> 530 - 1,600	35.00%	> 1,600 - 4,300	50.00%	> 4,300	55.00%		
Whiting Petroleum Corp	0 - 630	25.00%	> 630 - 3,700	45.00%	> 3,700	55.00%				
Wienerberger	0 - 3,500	20.00%	> 3,500 - 15,000	45.00%	> 15,000	50.00%				
Wihlborgs Fastigheter	0 - 1,400	30.00%	> 1,400 - 11,000	45.00%	> 11,000	55.00%				
Wilh Wilhelmsen Holding - A	0 - 830	20.00%	> 830 - 1,600	25.00%	> 1,600	50.00%				
Wilh Wilhelmsen Holding B	0 - 420	20.00%	> 420 - 1,600	25.00%	> 1,600	50.00%				
Willdan Group Inc	0 - 330	20.00%	> 330 - 2,000	25.00%	> 2,000	50.00%				
William Demant	0 - 2,100	20.00%	> 2,100 - 4,300	45.00%	> 4,300	50.00%				
William Hill	0 - 25,000	20.00%	> 25,000 - 50,000	25.00%	> 50,000 - 100,000	35.00%	> 100,000	50.00%		
William Lyon Homes	0 - 1,800	20.00%	> 1,800 - 4,600	35.00%	> 4,600 - 9,100	45.00%	> 9,100	50.00%		
Williams Cos	0 - 3,600	20.00%	> 3,600 - 14,000	25.00%	> 14,000	50.00%				
Williams Partners LP	0 - 2,400	20.00%	> 2,400 - 4,800	25.00%	> 4,800 - 9,600	35.00%	> 9,600	50.00%		
Williams-Sonoma Inc	0 - 1,600	20.00%	> 1,600 - 6,500	25.00%	> 6,500	50.00%				
Willis Towers Watson PLC	0 - 620	20.00%	> 620 - 1,200	25.00%	> 1,200 - 2,500	40.00%	> 2,500	50.00%		
Wilmar International	0 - 89,000	20.00%	> 89,000 - 170,000	25.00%	> 170,000	50.00%				
Wincanton PLC	0 - 2,700	20.00%	> 2,700 - 11,000	25.00%	> 11,000	50.00%				
Windstream Corp	0 - 3,500	20.00%	> 3,500 - 7,000	30.00%	> 7,000 - 13,000	35.00%	> 13,000	50.00%		
Wing Tai	0 - 4,500	35.00%	> 4,500 - 13,000	45.00%	> 13,000 - 36,000	80.00%	> 36,000	90.00%		
Winnebago Industries Inc	0 - 4,700	20.00%	> 4,700 - 8,700	25.00%	> 8,700	50.00%				
Winpak Ltd	0 - 570	20.00%	> 570 - 3,400	35.00%	> 3,400	50.00%				
Wintrust Financial Corp	0 - 2,200	20.00%	> 2,200 - 4,500	25.00%	> 4,500	50.00%				
Wipro	0 - 14,000	20.00%	> 14,000 - 32,000	25.00%	> 32,000	50.00%				
Wirecard	0 - 3,700	20.00%	> 3,700 - 7,400	40.00%	> 7,400	60.00%				
Wirtualna Polska Holding SA	0 - 740	20.00%	> 740 - 1,400	35.00%	> 1,400 - 2,900	40.00%	> 2,900	50.00%		
Wisconsin Energy	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
Wisdom Holdings Group	0 - 110,000	20.00%	> 110,000 - 440,000	25.00%	> 440,000	50.00%				
WisdomTree Bloomberg U.S. Dollar Bullish Fund	0 - 370	20.00%	> 370 - 750	45.00%	> 750	50.00%				
WisdomTree Emerging Markets Equity Income Fund	0 - 540	20.00%	> 540 - 1,400	25.00%	> 1,400 - 3,200	30.00%	> 3,200	50.00%		
WisdomTree Emerging Markets Local Debt Fund	0 - 280	20.00%	> 280 - 1,100	30.00%	> 1,100	50.00%				
WisdomTree Emerging Markets SmallCap Dividend Fund	0 - 340	20.00%	> 340 - 910	25.00%	> 910 - 2,000	30.00%	> 2,000	50.00%		
WisdomTree Europe Hedged Equity Fund	0 - 3,000	20.00%	> 3,000 - 6,100	25.00%	> 6,100	50.00%				
WisdomTree India Earnings Fund	0 - 3,800	20.00%	> 3,800 - 15,000	25.00%	> 15,000	50.00%				
WisdomTree Investments Inc	0 - 13,000	20.00%	> 13,000 - 31,000	25.00%	> 31,000	50.00%				
WisdomTree Japan Hedged Equity Fund	0 - 610	25.00%	> 610 - 1,800	30.00%	> 1,800 - 3,600	45.00%	> 3,600	55.00%		
WisdomTree Managed Futures Strategy Fund	0 - 490	20.00%	> 490 - 990	25.00%	> 990	50.00%				
WisdomTree MidCap Earnings Fund	0 - 480	20.00%	> 480 - 970	25.00%	> 970	50.00%				
WisdomTree SmallCap Earnings Fund	0 - 250	20.00%	> 250 - 1,000	25.00%	> 1,000	50.00%				
WisdomTree US Dividend Growth Fund	0 - 580	20.00%	> 580 - 1,200	25.00%	> 1,200	50.00%				
Wisetech Global Ltd	0 - 15,000	20.00%	> 15,000 - 30,000	25.00%	> 30,000	50.00%				
Wison Engineering Services Co Ltd	0 - 67,000	20.00%	> 67,000 - 130,000	50.00%	> 130,000	80.00%				
Witan Investment	0 - 610	35.00%	> 610 - 1,800	40.00%	> 1,800 - 4,800	55.00%	> 4,800	70.00%		
Witan Pacific	0 - 770	30.00%	> 770 - 9,200	35.00%	> 9,200	50.00%				
Wix.com Ltd	0 - 1,800	20.00%	> 1,800 - 3,700	25.00%	> 3,700	50.00%				
Wizz Air Holdings Plc	0 - 2,000	20.00%	> 2,000 - 4,000	40.00%	> 4,000	50.00%				
WNS Holdings Ltd	0 - 1,500	20.00%	> 1,500 - 3,400	25.00%	> 3,400	50.00%				
Wolters Kluwer	0 - 1,600	20.00%	> 1,600 - 3,300	25.00%	> 3,300 - 6,600	30.00%	> 6,600	50.00%		
Wolverine World Wide Inc	0 - 5,700	20.00%	> 5,700 - 11,000	25.00%	> 11,000	50.00%				
Woodside Petroleum	0 - 12,000	20.00%	> 12,000 - 25,000	40.00%	> 25,000	60.00%				
Woodward Inc	0 - 2,400	20.00%	> 2,400 - 4,900	25.00%	> 4,900	50.00%				
Woolworths Group Ltd	0 - 14,000	20.00%	> 14,000 - 28,000	40.00%	> 28,000	60.00%				
Workday Inc	0 - 4,900	20.00%	> 4,900 - 9,800	40.00%	> 9,800	60.00%				
Workspace Group	0 - 1,000	30.00%	> 1,000 - 8,300	50.00%	> 8,300	60.00%				
World Acceptance Corp	0 - 300	20.00%	> 300 - 810	25.00%	> 810 - 1,800	30.00%	> 1,800	50.00%		
World Fuel Services Corp	0 - 4,600	20.00%	> 4,600 - 9,200	50.00%	> 9,200	65.00%				
World Wrestling Entertainment	0 - 1,200	20.00%	> 1,200 - 2,500	55.00%	> 2,500	70.00%				
Worldline SA	0 - 3,000	20.00%	> 3,000 - 6,300	25.00%	> 6,300	50.00%				
Worldpay Inc	0 - 2,300	20.00%	> 2,300 - 4,600	25.00%	> 4,600	50.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Worldwide Healthcare Trust	0 - 260	30.00%	> 260 - 780	35.00%	> 780 - 2,100	45.00%	> 2,100	50.00%		
Worleyparsons	0 - 14,000	20.00%	> 14,000 - 29,000	25.00%	> 29,000	50.00%				
Worthington Industries Inc	0 - 1,500	20.00%	> 1,500 - 3,700	35.00%	> 3,700 - 8,000	45.00%	> 8,000	50.00%		
Wowow Inc	0 - 470	20.00%	> 470 - 1,200	35.00%	> 1,200 - 2,800	40.00%	> 2,800	50.00%		
WP Carey Inc	0 - 1,400	20.00%	> 1,400 - 5,900	30.00%	> 5,900	50.00%				
WP GLIMCHER Inc	0 - 3,900	25.00%	> 3,900 - 11,000	30.00%	> 11,000 - 48,000	35.00%	> 48,000	50.00%		
WPP	0 - 40,000	20.00%	> 40,000 - 81,000	40.00%	> 81,000	60.00%				
WPP (US)	0 - 970	20.00%	> 970 - 2,100	25.00%	> 2,100 - 4,600	35.00%	> 4,600	50.00%		
WPP AUNZ LTD	0 - 14,000	20.00%	> 14,000 - 29,000	35.00%	> 29,000 - 58,000	45.00%	> 58,000	50.00%		
WPT Industrial Real Estate Investment Trust	0 - 240	30.00%	> 240 - 1,500	45.00%	> 1,500	55.00%				
WPX Energy	0 - 5,300	20.00%	> 5,300 - 21,000	25.00%	> 21,000	50.00%				
WR Berkley Corp	0 - 1,300	20.00%	> 1,300 - 2,700	30.00%	> 2,700 - 5,400	35.00%	> 5,400	50.00%		
WR Grace & Co	0 - 2,600	20.00%	> 2,600 - 5,300	25.00%	> 5,300	50.00%				
Wright Medical Group Inc	0 - 3,900	20.00%	> 3,900 - 7,800	25.00%	> 7,800 - 15,000	30.00%	> 15,000	50.00%		
W-Scope Corp	0 - 2,500	20.00%	> 2,500 - 9,200	45.00%	> 9,200	50.00%				
WSFS Financial Corp	0 - 480	20.00%	> 480 - 1,300	25.00%	> 1,300 - 2,900	35.00%	> 2,900	50.00%		
WSP Global Inc	0 - 1,900	20.00%	> 1,900 - 7,700	25.00%	> 7,700	50.00%				
WTI Crude Oil Price Linked ETF	0 - 1,400	20.00%	> 1,400 - 2,600	25.00%	> 2,600	50.00%				
Wuestenrot & Wuerttembergische AG	0 - 910	20.00%	> 910 - 5,400	30.00%	> 5,400	50.00%				
Wuzhou International Holdings Ltd	0 - 530,000	35.00%	> 530,000 - 3,100,000	50.00%	> 3,100,000	60.00%				
WW Grainger	0 - 640	20.00%	> 640 - 1,200	25.00%	> 1,200	50.00%				
Wyndham Destinations Inc	0 - 2,200	20.00%	> 2,200	90.00%						
Wynn Macau Ltd	0 - 31,000	20.00%	> 31,000 - 63,000	25.00%	> 63,000 - 120,000	30.00%	> 120,000	50.00%		
Wynn Resorts	0 - 2,000	20.00%	> 2,000 - 4,000	40.00%	> 4,000	60.00%				
Xaar PLC	0 - 2,700	20.00%	> 2,700 - 5,500	35.00%	> 5,500	50.00%				
XACT Norden 30	0 - 750	20.00%	> 750 - 1,500	75.00%	> 1,500	90.00%				
XACT OBX	0 - 2,000	20.00%	> 2,000 - 4,100	25.00%	> 4,100	50.00%				
XACT OMXS30	0 - 7,800	20.00%	> 7,800 - 14,000	30.00%	> 14,000	50.00%				
XACT OMXSB Utdelande	0 - 900	20.00%	> 900 - 1,600	25.00%	> 1,600	50.00%				
XBiotech Inc	0 - 770	25.00%	> 770 - 4,600	30.00%	> 4,600 - 9,300	35.00%	> 9,300	50.00%		
Xcel Energy	0 - 4,300	20.00%	> 4,300 - 8,600	25.00%	> 8,600	50.00%				
Xcerra Corp	0 - 3,400	20.00%	> 3,400 - 7,500	30.00%	> 7,500 - 14,000	35.00%	> 14,000	50.00%		
Xebio Holdings Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,600	30.00%	> 5,600 - 11,000	35.00%	> 11,000	50.00%		
Xencor Inc	0 - 2,300	20.00%	> 2,300 - 4,700	25.00%	> 4,700 - 9,400	35.00%	> 9,400	50.00%		
Xerium Technologies Inc	0 - 740	20.00%	> 740 - 1,700	40.00%	> 1,700	50.00%				
Xero Ltd	0 - 2,900	20.00%	> 2,900 - 5,800	40.00%	> 5,800	60.00%				
Xerox	0 - 3,900	20.00%	> 3,900 - 15,000	25.00%	> 15,000	50.00%				
Xetra-Gold	0 - 4,900	20.00%	> 4,900 - 9,900	30.00%	> 9,900	50.00%				
Xiabuxiabu Catering Management China Holdings Co LTD	0 - 82,000	20.00%	> 82,000 - 170,000	25.00%	> 170,000	50.00%				
Xiamen International Port	0 - 65,000	20.00%	> 65,000 - 130,000	40.00%	> 130,000 - 260,000	45.00%	> 260,000	50.00%		
Xilinx	0 - 2,900	20.00%	> 2,900 - 5,800	25.00%	> 5,800	50.00%				
Xinchen China Power Holdings Ltd	0 - 100,000	20.00%	> 100,000 - 200,000	30.00%	> 200,000 - 410,000	35.00%	> 410,000	50.00%		
Xing AG	0 - 66	20.00%	> 66 - 170	45.00%	> 170	60.00%				
Xingda International Holdings Ltd	0 - 35,000	20.00%	> 35,000 - 93,000	25.00%	> 93,000 - 210,000	30.00%	> 210,000	50.00%		
Xinhua Winshare	0 - 21,000	20.00%	> 21,000 - 55,000	35.00%	> 55,000 - 110,000	40.00%	> 110,000	50.00%		
Xinjiang Goldwind Science & Technology Co Ltd	0 - 94,000	20.00%	> 94,000 - 170,000	25.00%	> 170,000	50.00%				
Xinyi Glass	0 - 90,000	20.00%	> 90,000 - 180,000	35.00%	> 180,000 - 360,000	45.00%	> 360,000	50.00%		
Xinyi Solar Holdings Ltd	0 - 320,000	20.00%	> 320,000 - 650,000	25.00%	> 650,000 - 1,300,000	30.00%	> 1,300,000	50.00%		
XL Group Plc	0 - 1,700	20.00%	> 1,700	90.00%						
XLMedia PLC	0 - 15,000	20.00%	> 15,000 - 30,000	25.00%	> 30,000 - 55,000	30.00%	> 55,000	50.00%		
XO Group Inc	0 - 930	20.00%	> 930 - 2,400	55.00%	> 2,400	90.00%				
XOMA Corp	0 - 200	25.00%	> 200 - 1,200	45.00%	> 1,200	50.00%				
XP Power	0 - 210	20.00%	> 210 - 480	60.00%	> 480	75.00%				
Xperi Corp	0 - 1,400	20.00%	> 1,400 - 3,900	40.00%	> 3,900	55.00%				
XPO Logistics Europe SA	0 - 57	20.00%	> 57 - 110	25.00%	> 110	50.00%				
XPO Logistics Inc	0 - 1,900	20.00%	> 1,900 - 3,900	25.00%	> 3,900	50.00%				
Xtep International	0 - 99,000	20.00%	> 99,000 - 250,000	50.00%	> 250,000	70.00%				
Xtrackers FTSE Vietnam Swap UC	0 - 330	20.00%	> 330 - 660	40.00%	> 660	50.00%				
Xtrackers Physical Gold (EUR) ETC	0 - 140	20.00%	> 140 - 880	25.00%	> 880	50.00%				
Xtrackers Physical Gold ETC Euro Hedged	0 - 850	20.00%	> 850 - 1,900	25.00%	> 1,900	50.00%				
X-Trade Brokers	0 - 6,500	20.00%	> 6,500 - 13,000	35.00%	> 13,000 - 26,000	40.00%	> 26,000	50.00%		
Xunlei Ltd	0 - 9,000	20.00%	> 9,000 - 16,000	25.00%	> 16,000	50.00%				
XXL ASA	0 - 4,800	20.00%	> 4,800 - 12,000	25.00%	> 12,000 - 29,000	30.00%	> 29,000	50.00%		

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Xylem	0 - 1,400	20.00%	> 1,400 - 2,900	75.00%	> 2,900	90.00%				
Yahagi Construction Co Ltd	0 - 1,200	20.00%	> 1,200 - 2,500	45.00%	> 2,500	50.00%				
Yahoo Japan	0 - 30,000	20.00%	> 30,000 - 60,000	25.00%	> 60,000 - 120,000	40.00%	> 120,000	50.00%		
Yakult Honsha	0 - 1,400	20.00%	> 1,400 - 2,900	30.00%	> 2,900 - 5,800	45.00%	> 5,800	50.00%		
YAMABIKO Corp	0 - 5,000	20.00%	> 5,000 - 9,900	25.00%	> 9,900	50.00%				
Yamada Denki	0 - 20,000	20.00%	> 20,000 - 40,000	25.00%	> 40,000 - 80,000	30.00%	> 80,000	50.00%		
Yamagata Bank Ltd/The	0 - 510	20.00%	> 510 - 1,300	30.00%	> 1,300 - 3,000	35.00%	> 3,000	50.00%		
Yamaguchi Financial Group Inc	0 - 9,100	20.00%	> 9,100 - 18,000	25.00%	> 18,000 - 36,000	30.00%	> 36,000	50.00%		
Yamaha	0 - 4,100	20.00%	> 4,100 - 8,300	30.00%	> 8,300	50.00%				
Yamaha Motor	0 - 7,700	20.00%	> 7,700 - 15,000	25.00%	> 15,000	50.00%				
Yamana Gold Inc (CA)	0 - 28,000	20.00%	> 28,000 - 63,000	30.00%	> 63,000 - 130,000	40.00%	> 130,000	50.00%		
Yamana Gold Inc (US)	0 - 34,000	20.00%	> 34,000 - 68,000	40.00%	> 68,000 - 130,000	45.00%	> 130,000	50.00%		
Yamanashi Chuo Bank Ltd/The	0 - 2,800	20.00%	> 2,800 - 5,700	40.00%	> 5,700 - 11,000	45.00%	> 11,000	50.00%		
Yamato Holdings	0 - 3,500	20.00%	> 3,500 - 14,000	25.00%	> 14,000	50.00%				
Yamato Kogyo	0 - 1,900	20.00%	> 1,900 - 5,100	25.00%	> 5,100 - 11,000	30.00%	> 11,000	50.00%		
Yamazaki Baking	0 - 3,900	20.00%	> 3,900 - 7,900	25.00%	> 7,900 - 15,000	30.00%	> 15,000	50.00%		
Yamazen Corp	0 - 2,600	20.00%	> 2,600 - 15,000	30.00%	> 15,000	50.00%				
Yanchang Petroleum International Ltd	0 - 240,000	25.00%	> 240,000 - 730,000	30.00%	> 730,000 - 2,900,000	40.00%	> 2,900,000	50.00%		
Yandex NV	0 - 2,600	20.00%	> 2,600 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
Yangzijiang Ship	0 - 150,000	20.00%	> 150,000 - 300,000	30.00%	> 300,000 - 610,000	45.00%	> 610,000	50.00%		
Yanlord Land	0 - 14,000	30.00%	> 14,000 - 44,000	35.00%	> 44,000 - 110,000	45.00%	> 110,000	50.00%		
Yanzhou Coal	0 - 79,000	20.00%	> 79,000 - 150,000	25.00%	> 150,000 - 310,000	30.00%	> 310,000	50.00%		
Yaoko Co Ltd	0 - 610	20.00%	> 610 - 1,600	35.00%	> 1,600 - 3,600	40.00%	> 3,600	50.00%		
Yara International ASA	0 - 500	20.00%	> 500 - 1,000	25.00%	> 1,000	50.00%				
Yara International ASA (NO)	0 - 5,000	20.00%	> 5,000 - 10,000	25.00%	> 10,000	50.00%				
Yashili International Holding	0 - 50,000	20.00%	> 50,000 - 110,000	30.00%	> 110,000 - 230,000	35.00%	> 230,000	50.00%		
Yaskawa	0 - 2,800	20.00%	> 2,800 - 5,700	25.00%	> 5,700 - 11,000	30.00%	> 11,000	50.00%		
Yellow Hat Ltd	0 - 850	20.00%	> 850 - 2,200	35.00%	> 2,200 - 5,100	45.00%	> 5,100	50.00%		
Yellow Media Ltd	0 - 1,300	20.00%	> 1,300 - 3,400	25.00%	> 3,400 - 6,800	35.00%	> 6,800	50.00%		
Yelp	0 - 2,500	20.00%	> 2,500 - 5,000	65.00%	> 5,000	90.00%				
Yestar International Holdings Co Ltd	0 - 30,000	20.00%	> 30,000 - 60,000	50.00%	> 60,000	65.00%				
Yingli Green	0 - 2,300	25.00%	> 2,300 - 7,100	30.00%	> 7,100 - 14,000	45.00%	> 14,000	55.00%		
Yip's Chemical Holdings Ltd	0 - 29,000	20.00%	> 29,000	90.00%						
YIT	0 - 15,000	20.00%	> 15,000 - 30,000	30.00%	> 30,000 - 55,000	35.00%	> 55,000	50.00%		
Yodogawa Steel Works Ltd	0 - 560	20.00%	> 560 - 1,500	55.00%	> 1,500	75.00%				
Yokogawa Bridge Holdings Corp	0 - 1,900	20.00%	> 1,900 - 5,200	25.00%	> 5,200 - 10,000	30.00%	> 10,000	50.00%		
Yokogawa Electric	0 - 11,000	20.00%	> 11,000 - 22,000	25.00%	> 22,000	50.00%				
Yokohama Reito Co Ltd	0 - 2,300	20.00%	> 2,300 - 6,200	35.00%	> 6,200 - 13,000	45.00%	> 13,000	50.00%		
Yokohama Rubber	0 - 9,900	20.00%	> 9,900 - 19,000	25.00%	> 19,000	50.00%				
Yoma Strategic Holdings Ltd	0 - 12,000	30.00%	> 12,000 - 100,000	40.00%	> 100,000 - 220,000	45.00%	> 220,000	50.00%		
Yondoshi Holdings Inc	0 - 970	20.00%	> 970 - 5,800	30.00%	> 5,800	50.00%				
Yonex Co Ltd	0 - 3,700	20.00%	> 3,700 - 10,000	30.00%	> 10,000 - 21,000	40.00%	> 21,000	50.00%		
Yongnam Holdings	0 - 54,000	20.00%	> 54,000 - 100,000	40.00%	> 100,000	50.00%				
Yoox Net-A-Porter Group	0 - 2,000	20.00%	> 2,000 - 4,200	30.00%	> 4,200 - 8,700	35.00%	> 8,700	50.00%		
York Water Co/The	0 - 300	20.00%	> 300 - 610	30.00%	> 610 - 1,200	35.00%	> 1,200	50.00%		
Yorozu Corp	0 - 1,500	20.00%	> 1,500 - 3,300	25.00%	> 3,300 - 6,300	30.00%	> 6,300	50.00%		
Yoshinoya Holdings Co Ltd	0 - 4,300	20.00%	> 4,300 - 10,000	45.00%	> 10,000	70.00%				
Young & Co's Brewery PLC - A	0 - 890	20.00%	> 890 - 1,700	30.00%	> 1,700	50.00%				
YPF SA	0 - 4,900	20.00%	> 4,900 - 11,000	35.00%	> 11,000 - 24,000	40.00%	> 24,000	50.00%		
Ypsomed Holding AG	0 - 980	20.00%	> 980 - 2,200	25.00%	> 2,200	50.00%				
YRC Worldwide Inc	0 - 2,100	20.00%	> 2,100 - 4,500	25.00%	> 4,500 - 8,600	30.00%	> 8,600	50.00%		
Yuasa Trading Co Ltd	0 - 540	20.00%	> 540 - 1,400	35.00%	> 1,400 - 3,200	40.00%	> 3,200	50.00%		
Yue Yuen Industrial	0 - 69,000	20.00%	> 69,000 - 130,000	25.00%	> 130,000	50.00%				
Yuexiu Property	0 - 170,000	30.00%	> 170,000 - 530,000	35.00%	> 530,000 - 1,000,000	55.00%	> 1,000,000	65.00%		
Yuexiu Real Estate Investment	0 - 20,000	30.00%	> 20,000 - 370,000	45.00%	> 370,000	50.00%				
Yuexiu Transport Infrastructure	0 - 84,000	20.00%	> 84,000 - 190,000	25.00%	> 190,000	50.00%				
Yum China Holdings Inc.	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000	50.00%				
Yum! Brands	0 - 1,200	20.00%	> 1,200 - 2,500	30.00%	> 2,500 - 5,000	40.00%	> 5,000	50.00%		
Yumeshin Holdings Co Ltd	0 - 5,400	20.00%	> 5,400 - 10,000	25.00%	> 10,000 - 19,000	30.00%	> 19,000	50.00%		
Yunfeng Financial Group	0 - 16,000	20.00%	> 16,000 - 33,000	30.00%	> 33,000 - 66,000	35.00%	> 66,000	50.00%		
Yunnan Water Investment Co Ltd	0 - 30,000	20.00%	> 30,000 - 120,000	35.00%	> 120,000	50.00%				
Yurtec Corp	0 - 1,500	20.00%	> 1,500 - 4,100	25.00%	> 4,100 - 9,200	30.00%	> 9,200	50.00%		
Yuxing InfoTech Investment Holdings Ltd	0 - 140,000	20.00%	> 140,000 - 280,000	50.00%	> 280,000	60.00%				

Product	Tier 1 Units	Tier 1 Rate	Tier 2 Units	Tier 2 Rate	Tier 3 Units	Tier 3 Rate	Tier 4 Units	Tier 4 Rate	Tier 5 Units	Tier 5 Rate
Yuzhou Properties Co Ltd	0 - 60,000	35.00%	> 60,000 - 180,000	40.00%	> 180,000 - 360,000	60.00%	> 360,000	65.00%		
YY Inc	0 - 2,000	20.00%	> 2,000 - 4,000	70.00%	> 4,000	90.00%				
Z Energy Ltd	0 - 12,000	20.00%	> 12,000 - 32,000	35.00%	> 32,000	55.00%				
Zafgen Inc	0 - 2,500	20.00%	> 2,500 - 5,100	75.00%	> 5,100	90.00%				
ZAGG Inc	0 - 3,300	20.00%	> 3,300 - 6,900	25.00%	> 6,900	50.00%				
Zalando SE	0 - 1,700	20.00%	> 1,700 - 3,500	70.00%	> 3,500	90.00%				
Zall Development Group Ltd	0 - 31,000	25.00%	> 31,000 - 93,000	30.00%	> 93,000 - 180,000	45.00%	> 180,000	55.00%		
Zardoya Otis	0 - 3,100	20.00%	> 3,100 - 18,000	30.00%	> 18,000	50.00%				
Zayo Group Holdings Inc	0 - 5,100	20.00%	> 5,100 - 10,000	25.00%	> 10,000	50.00%				
Zeal Network SE	0 - 310	20.00%	> 310 - 740	25.00%	> 740 - 1,600	30.00%	> 1,600	50.00%		
Zealand Pharma A/S	0 - 870	20.00%	> 870 - 2,300	45.00%	> 2,300	80.00%				
Zebra Technologies Corp	0 - 660	20.00%	> 660 - 1,300	25.00%	> 1,300 - 2,600	30.00%	> 2,600	50.00%		
Zehnder Group AG	0 - 250	20.00%	> 250 - 1,500	25.00%	> 1,500	50.00%				
Zendesk Inc	0 - 1,600	20.00%	> 1,600 - 3,300	30.00%	> 3,300	50.00%				
Zenkoku Hosho Co Ltd	0 - 2,200	20.00%	> 2,200 - 4,400	40.00%	> 4,400	50.00%				
Zenrin Co Ltd	0 - 2,100	20.00%	> 2,100 - 5,600	25.00%	> 5,600 - 11,000	30.00%	> 11,000	50.00%		
Zensho Holdings Co Ltd	0 - 4,400	20.00%	> 4,400 - 8,800	25.00%	> 8,800 - 17,000	30.00%	> 17,000	50.00%		
Zeon Corp	0 - 15,000	20.00%	> 15,000 - 31,000	25.00%	> 31,000	50.00%				
ZERIA Pharmaceutical Co Ltd	0 - 1,100	20.00%	> 1,100 - 3,000	45.00%	> 3,000	60.00%				
Zhaojin Mining	0 - 120,000	20.00%	> 120,000 - 250,000	30.00%	> 250,000 - 510,000	35.00%	> 510,000	50.00%		
Zhejiang Expressway	0 - 87,000	20.00%	> 87,000 - 210,000	25.00%	> 210,000 - 460,000	30.00%	> 460,000	50.00%		
Zhejiang Shibao Co Ltd	0 - 58,000	20.00%	> 58,000 - 230,000	25.00%	> 230,000	50.00%				
Zhengzhou Coal Mining Machinery Group Co Ltd	0 - 22,000	20.00%	> 22,000 - 45,000	45.00%	> 45,000	60.00%				
Zhidao International Holdings Ltd	0 - 120,000	20.00%	> 120,000 - 290,000	40.00%	> 290,000	60.00%				
Zhongsheng Group Holdings Ltd	0 - 35,000	20.00%	> 35,000 - 70,000	70.00%	> 70,000	90.00%				
Zhuguang Holdings Group Co Ltd	0 - 18,000	30.00%	> 18,000 - 55,000	35.00%	> 55,000 - 280,000	45.00%	> 280,000	50.00%		
Zhuzhou CSR Times Electric Co	0 - 18,000	20.00%	> 18,000 - 37,000	45.00%	> 37,000	65.00%				
Zignago Vetro	0 - 1,000	20.00%	> 1,000 - 2,300	30.00%	> 2,300 - 4,800	35.00%	> 4,800	50.00%		
Zijin Mining	0 - 550,000	20.00%	> 550,000 - 1,100,000	25.00%	> 1,100,000	50.00%				
Zillow Inc - A	0 - 1,500	20.00%	> 1,500 - 3,100	30.00%	> 3,100 - 6,200	35.00%	> 6,200	50.00%		
Zillow Inc - C	0 - 1,500	20.00%	> 1,500 - 3,100	25.00%	> 3,100 - 6,300	30.00%	> 6,300	50.00%		
Zimmer Biomet Holdings Inc	0 - 860	20.00%	> 860 - 1,700	25.00%	> 1,700 - 3,400	30.00%	> 3,400	50.00%		
Zions Bancorporation	0 - 1,900	20.00%	> 1,900 - 3,800	25.00%	> 3,800 - 7,600	45.00%	> 7,600	50.00%		
ZIOPHARM Oncology Inc	0 - 5,500	20.00%	> 5,500 - 14,000	35.00%	> 14,000 - 32,000	40.00%	> 32,000	50.00%		
Zix Corp	0 - 1,800	20.00%	> 1,800 - 3,900	35.00%	> 3,900 - 8,200	40.00%	> 8,200	50.00%		
ZKB Gold ETF	0 - 260	20.00%	> 260 - 590	25.00%	> 590	50.00%				
ZKB Gold ETF-A EUR	0 - 16	20.00%	> 16 - 41	45.00%	> 41	60.00%				
ZKB Gold ETF-A USD	0 - 62	20.00%	> 62 - 130	25.00%	> 130	50.00%				
ZKB Gold ETF-H CHF	0 - 270	20.00%	> 270 - 620	25.00%	> 620	50.00%				
ZKB Gold ETF-H EUR	0 - 38	20.00%	> 38 - 76	25.00%	> 76	50.00%				
ZKB Platinum ETF	0 - 88	20.00%	> 88 - 180	25.00%	> 180	50.00%				
ZKB Silver ETF	0 - 800	20.00%	> 800 - 1,800	25.00%	> 1,800	50.00%				
ZKB Silver ETF EUR	0 - 130	20.00%	> 130 - 260	25.00%	> 260	50.00%				
ZKB Silver ETF USD	0 - 130	20.00%	> 130 - 260	25.00%	> 260	50.00%				
Zoe's Kitchen Inc	0 - 1,400	20.00%	> 1,400 - 2,800	35.00%	> 2,800 - 5,200	45.00%	> 5,200	50.00%		
Zoetis Inc	0 - 1,100	20.00%	> 1,100 - 2,300	70.00%	> 2,300	90.00%				
Zogenix Inc	0 - 1,300	20.00%	> 1,300 - 6,100	25.00%	> 6,100	50.00%				
Zojirushi Corp	0 - 5,000	20.00%	> 5,000 - 10,000	45.00%	> 10,000	50.00%				
Zoomlion Heavy	0 - 100,000	20.00%	> 100,000 - 200,000	40.00%	> 200,000	50.00%				
zooplus AG	0 - 200	20.00%	> 200 - 550	45.00%	> 550	60.00%				
ZPG Plc	0 - 30,000	20.00%	> 30,000 - 61,000	25.00%	> 61,000	50.00%				
ZTE	0 - 44,000	20.00%	> 44,000 - 88,000	25.00%	> 88,000 - 160,000	30.00%	> 160,000	50.00%		
Zug Estates Holding AG	0 - 2	30.00%	> 2 - 23	40.00%	> 23	50.00%				
Zumiez Inc	0 - 4,500	20.00%	> 4,500 - 8,200	25.00%	> 8,200	50.00%				
Zumtobel	0 - 2,300	20.00%	> 2,300 - 5,500	40.00%	> 5,500 - 10,000	45.00%	> 10,000	50.00%		
Zur Rose Group AG	0 - 110	20.00%	> 110 - 670	35.00%	> 670	50.00%				
Zurich Financial	0 - 1,100	20.00%	> 1,100 - 2,200	40.00%	> 2,200	60.00%				
Zweig Fund Inc	0 - 310	30.00%	> 310 - 940	35.00%	> 940 - 1,800	40.00%	> 1,800 - 3,700	45.00%	> 3,700	50.00%
Zynerba Pharmaceuticals Inc	0 - 950	20.00%	> 950 - 1,900	30.00%	> 1,900	50.00%				
Zynga	0 - 22,000	20.00%	> 22,000 - 45,000	85.00%	> 45,000	90.00%				