

CMC Invest Exclusive Transfer Bonus

CMC Markets Singapore Invest Pte. Ltd.

Introduction

1. These terms and conditions ("Terms") form the basis of the offer to participate in the "CMC Invest Exclusive Transfer Bonus" Offer ("Offer").
2. Taking part in this Offer constitutes acceptance of these Terms.
3. CMC Markets Singapore Invest Pte. Ltd. (UEN 202217639M) ("CMC Invest"), is the provider of this Offer. CMC Invest is regulated by the Monetary Authority of Singapore.
4. CMC Invest reserves the right to vary or cancel any of these Terms at any time, subject to applicable laws.
5. Capitalised terms used but not defined herein have the meaning given to them in the CMC Invest General Terms and Conditions ("GTCs") and shall be construed accordingly.

No Partnership

6. CMC Invest is acting as an independent party and shall not be deemed to be an agent for or partner of TD Ameritrade Singapore Pte. Ltd. or its group.

Conditions of Eligibility

7. The Offer is only available to individuals who have satisfied the following Eligibility Criteria ("Eligible Customer"):
 - (a) he/she has an Account on the CMC Invest platform (the "Platform") and remains eligible to operate that Account as determined by CMC Invest in its absolute discretion;
 - (b) he/she is a resident of Singapore; and
 - (c) he/she is not an immediate family member of an employee of CMC Invest or any other company within its group as determined by CMC Invest in its absolute discretion.
8. The Offer is not available for:
 - a. Accounts that form part of a deceased estate;
 - b. Accounts that are banned or suspended;
 - c. issuer sponsored international stock transfers; and
 - d. other shares that are not eligible for transfer at CMC Invest's absolute discretion, such as US OTC stocks, certificated stocks and fractional shares.

Offer Period

9. The Offer is open from 27 September 2023 and remains open until further notice by CMC Invest at its sole discretion (“Offer Period”).

The Offer Mechanics

10. Eligible Customers may be entitled to receive the following bonuses following the first successful transfer* of his/her securities positions from his/her TD Ameritrade Singapore Pte. Ltd. (“TD Ameritrade”) custodian account into their Account with CMC Invest provided that one of such successfully transferred securities position listed in his/her corresponding duly processed transfer form is of a minimum size of S\$10,000 (or if the securities are denominated in another currency, the equivalent based on the foreign currency rate as determined by CMC Invest from time to time) (the “Transfer”):

- a. S\$100 cash bonus into their Account; and
- b. CMC Invest will cover any transfer fees that TD Ameritrade may impose on the Transfer (if applicable) subject to a cap of S\$500,

(the “Bonuses”).

*For the avoidance of doubt, a first successful transfer refers to securities positions transfers pursuant to the first duly processed transfer form for a transfer from a TD Ameritrade custodian account and in the event an Eligible Customer submits multiple transfer forms (more than one paper) at the same time only the first form processed shall constitute the Transfer.

11. For the Bonus under clause 10(b) above, it will be subject to the following further conditions:
 - a. the Eligible Customer shall produce such documentation for verification of the transfer fees charged by TD Ameritrade for the Transfer in such form satisfactory to CMC Invest in its sole discretion;
 - b. the Bonus will be the equivalent value of the transfer fee in SGD (based on the foreign currency rate as determined by CMC Invest from time to time) and will be credited to an Eligible Customer’s Account; and
 - c. for the avoidance of doubt, CMC Invest will not be covering any TD Ameritrade account maintenance fees.
12. For details on how to transfer securities to CMC Invest please visit www.cmcinvest.com/en-sg/transfers. This Offer is in addition to the CMC Invest “Transfer Bonus” Offer provided that the Transfer hereunder is also the first transfer to her/her CMC Invest Account as clarified under the “Transfer Bonus” Offer and as such an Eligible Customer may be eligible for a bonus thereunder depending on the Tier they qualify for under that offer pursuant to the Transfer. For the avoidance of doubt, if an Eligible Customer has already redeemed the “Transfer Bonus” Offer and makes a subsequent transfer pursuant to a separate transfer form from their TD Ameritrade custodian account, the first of such a transfer would qualify for this Offer.
13. The Bonus will not be awarded in respect of any Transactions/ Transfers that are subsequently voided, reversed or cancelled by CMC Invest in accordance

with our GTCs. If the Bonus has already been paid in respect of any Transactions/ Transfers that are subsequently voided, reversed or cancelled by CMC Invest, CMC Invest will reclaim and/or deduct the Bonus awarded in respect of such Transactions/ Transfers from a participant's Account.

14. CMC Invest will endeavour to credit the Bonus under clause 10(a) into a successful participant's Account within 7 business days from a successful Transfer. As a guide only, Transfers are anticipated to take at least 2 to 6 weeks.

Legal

15. This Offer is only available once for each customer and does not include multiple accounts being opened by the same customer.
16. Each person who successfully takes part in the Offer is responsible for any and all taxes payable (if any) as a result of this Offer being awarded, received or accepted.
17. CMC Invest reserves the right to forfeit, withhold and/or withdraw any Bonus award or where the Bonus has been awarded, reclaim and/or deduct any Bonus award where, in CMC Invest's view, (1) transactions are made to manipulate the Offer; or (2) whether the Eligible Customer may have breached or, in CMC Invest's view, potentially breached, any law, regulation or licensing requirement or (3) where CMC Invest suspects (in its sole discretion) non-compliance with these Terms or the GTCs or any misrepresentation or inaccuracy about the proper satisfaction of the Eligibility Criteria/ Criteria. In such an event, no person shall be entitled to any payment or compensation from CMC Invest.
18. A failure by CMC Invest to enforce any of these Terms in any instance(s) will not give rise to any claim by any person or prevent CMC Invest from taking enforcement action later.
19. CMC Invest retains the right to withdraw or amend the Offer and these Terms at any time, without prior notice and at its sole discretion.
20. The Offer must only be used for personal and non-commercial purposes. Any personalised links should not be published or distributed publicly.
21. All persons taking part in the Offer, to the maximum extent permitted by law, release from, and indemnify CMC Invest against, all liability, cost, loss or expense arising out of or in connection with the Offer including (but not limited to) personal injury and damage to property and whether direct or consequential, foreseeable, due to any negligent act or omission or otherwise. CMC Invest will not be liable to a participant in relation to the Offer (including for any losses arising as a result of use of the Offer) and the provisions of clause 36 of the GTCs shall apply to the Offer as if set out here in full.
22. Unless expressly stated or agreed to by CMC Invest, this Offer cannot be combined or used in conjunction with any other introductory offer, rebate or promotion offered by CMC Invest.
23. CMC Invest's decisions in any matter in relation to the Offer will be final and conclusive. All valuations and calculations for the purposes of the Offer will be determined by CMC Invest and are final and binding.
24. CMC Invest collects personal information from all participants in order to conduct the Offer. The participant consents and authorises CMC Invest to collect, store, use and disclose the information provided by such participant or collected during or after the Offer (including name, contact details, email

addresses, other personal data and information and photographs) for the purpose of or in connection with the Offer and ancillary purposes. Information collected from participants in the Offer is further subject to CMC Invest's Privacy and Cookies Policies.

25. A person who is not a party to this Offer and these Terms will have no rights under the Contracts (Rights of Third Parties) Act 2001 to enforce any of these Terms.
26. This Offer and these Terms are governed by the laws of Singapore and are subject to the exclusive jurisdiction of the Singapore courts.
27. These Terms are amended and updated as of 12 April 2024.

Important Information and Risk Warning

28. This advertisement does not take into account the specific investment objectives, financial situation or particular needs of any person. It is not to be regarded, or construed, as providing any financial, investment or trading advice/recommendation. All forms of investments and trading carry risks, including the risk of losing all of the invested amount. Losses can exceed your deposits. Such activities may not be suitable for everyone so ensure you understand the risks involved and consider carefully whether the financial product is suitable for you before investing or trading. Do consult a professional adviser before investing or trading. Refer to important information/risk disclosure on the website at www.cmcinvest.sg.
29. This advertisement has not been reviewed by the Monetary Authority of Singapore.