

CMC MARKETS INTRODUCING
FOR INSTITUTIONS BROKERS

Trade with total control

Develop and manage your trading business

Over 25 years of trading excellence

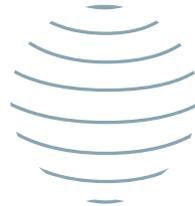
Our technology and liquidity gives Introducing Brokers and Investment Managers the best of both worlds. Not only can you easily plug in to tier-one liquidity, but also you have the peace of mind that your clients trading counterparty is an experienced market participant and LSE listed company.

Ultimately, by choosing us as your trading partner, we can provide you with an award-winning trading experience that will help you to get the most for your clients and in turn increase your revenue.



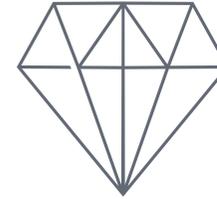
Multi award-winning

Won over 50 awards in the last two years alone for our service and technology.



Global reach

Head-quartered in London with 14 offices around the world and over 57,000 active clients.



Highly experienced

Over 20 years' institutional experience with existing partnerships in more than 20 countries.



Stability you can trust

Listed on the London Stock Exchange and regulated in multiple jurisdictions.

Open up new opportunities

Our Introducing Broker solution is designed for regulated entities looking to introduce and/or trade on behalf of their clients. So whether you're a licenced investment firm or a broker, introduce your clients to our award-winning solution and see how it can fulfil your market potential.



IB assists clients in the opening of an account at CMC Markets

CMC provides the IB with trade splitting and account management technology

IB manages client accounts and client relationships

A dedicated service

You need a partner that will provide you with a complete solution – one that can help enhance your marketing and streamline your sale process.

We can also provide you with efficient on-boarding processes, advanced reporting tools, an award-winning platform and customisable commissions and spreads on indices, commodities and FX allowing you to accommodate your charging structure.

And we'll help you every step of the way with our expert institutional helpdesk, Relationship Management and account opening teams.



Global market access

Trade as many as 10,000 instruments worldwide via CFDs, FX and binaries.

Fully automated rebate processing

After a trade has been executed, rebates are applied to the account in near real time.

Competitive and reliable pricing

Visible market price depth across all products and 100% automated execution.

Customisable commissions & spreads

Build your charging structure to suit your business.

Professional multi award-winning platform and apps

Give your clients advanced trading tools and professional order types, alongside mobile apps designed specifically to make the most of each operating system.

Develop and manage your business in real-time

Benefit from customisable reporting including client acquisition, position and revenue breakdowns.

Scalable on-boarding and admin tools

Take advantage of our client demo account environment, and easy to use admin tools to manage your client on-boarding.

Online on-boarding

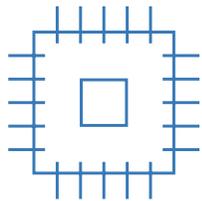
Online application form with full Limited Appointment of Agent integration.

Rapid time to market

Achieve operational integration in just a few weeks.

A seamless experience from start to finish

When you partner with us, you benefit from market-leading technology that comes with fully automated rebate processing, easy position and margin monitoring, advanced reporting tools, a client demo system and an efficient on-boarding process.



Robust

Our systems can handle over 1 million prices per second and we routinely process over 4 million trades a month, with an average trade execution time of 10 milliseconds.



Stable

Our strong failover capabilities give you high levels of availability and reliability worldwide, with uptime levels of 99.9%, and the ability to handle daily volumes of over 800,000 trades.



Secure

Our approach makes it easy to ensure that the right members of your team have exactly the right level of permissions to achieve their goals without compromising security.

Broker journey

Set-up

- Review business model – Strategic Objectives
- Agree commercials
- Legal contract

Client recruitment

- Client demo system
- CRM data capture
- Client conversion
- Client live account creation including online Limited Appointment of Agent

Trading

- Broker platform access
- Position monitoring – self-trading clients
- Trade on behalf of clients
- Trade Allocation tool available

Back office

- Rebate and revenue analysis in real-time at the instrument & client level
- Desk structure
- Client and employee administration

Risk Control & Reporting

- Pre-trade risk checks
- Exposure monitoring
- Automated liquidations
- End-of-day extracts

Client journey

Joining

- Demo Account Experience
- Decision to join
- Smooth online on-boarding process, including on-line Limited Appointment of Agent

Trading

- Award-winning contemporary trading experience
- Global market access
- Professional charting, tools, order settings & news
- 100% automated execution

Risk control

- Automated margin calls
- Flexible liquidation control
- Easy position and margin monitoring

Reporting

- Daily and monthly statements
- Data export function

Dedicated support

Ongoing support from implementation to product and platform training, service and support. We enable you to get your clients to market quickly and efficiently whilst providing continuous post-launch support.

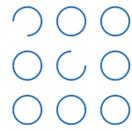
The complete trading solution for your clients





Web platform

- Innovative trading tools
- Multiple layouts
- Pattern recognition scanner
- 1-Click trading available



Asset classes

- Forex
- Shares
- Commodities
- Indices
- Treasuries
- Binaries



Control

- Easy-to-use order boundaries
- Tiered margin rates
- Set bespoke close-out levels



News and opinion

- Optional market-leading newsfeeds
- Market calendar
- Client sentiment tool



Mobile – iPhone, iPad and Android*

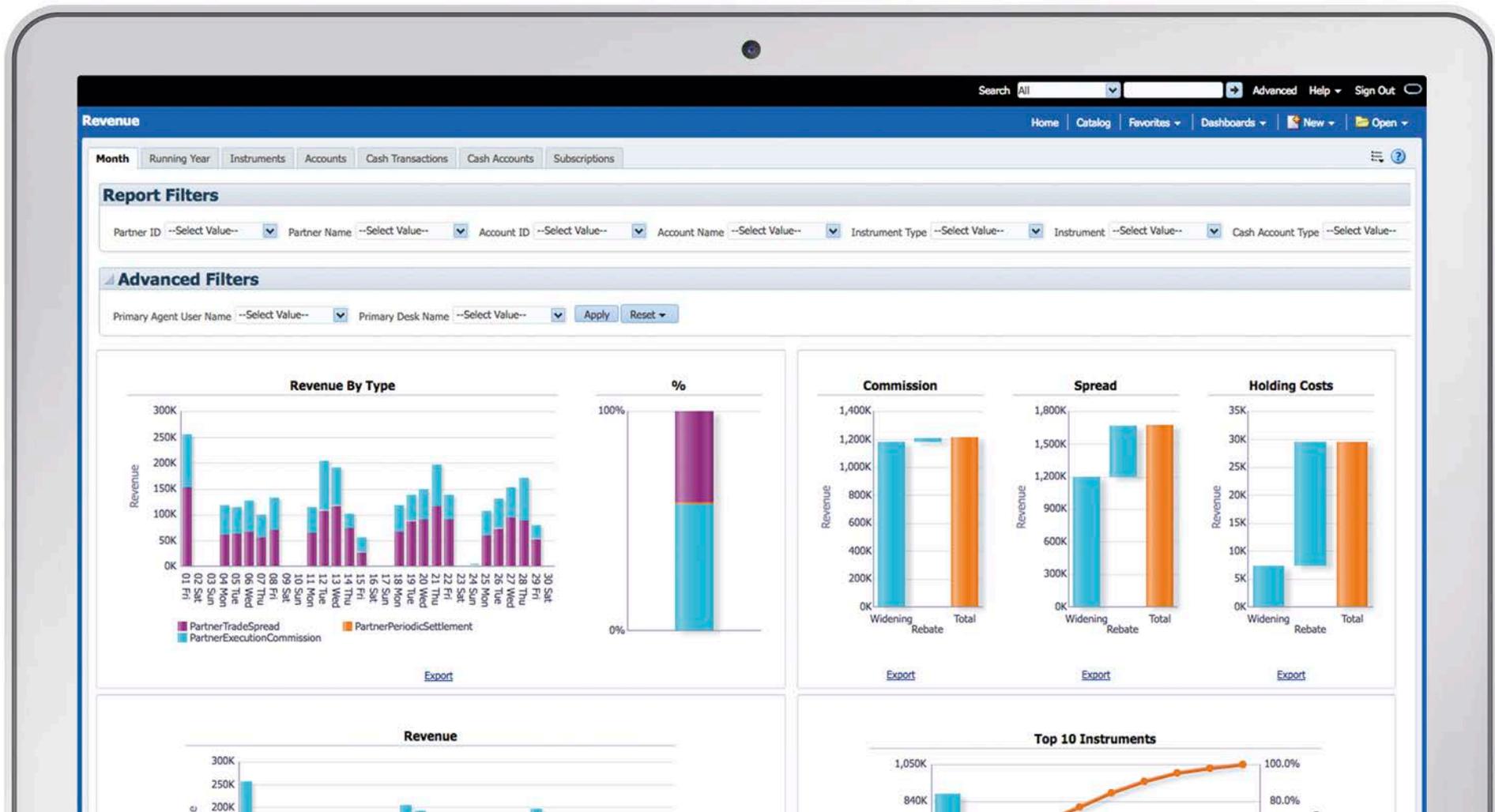
- Advanced order tickets
- Intelligent watchlists
- Push price alerts
- Enhanced product search
- Individual instrument overview
- Customisable home screen
- Mobile charting
- Over 35 technical indicators and drawing tools



Charts

- Over 80 technical indicator and drawing tools
- Module linking
- Mobile charting
- Trade directly from charts

Broker tools designed for total control



Partners' portal

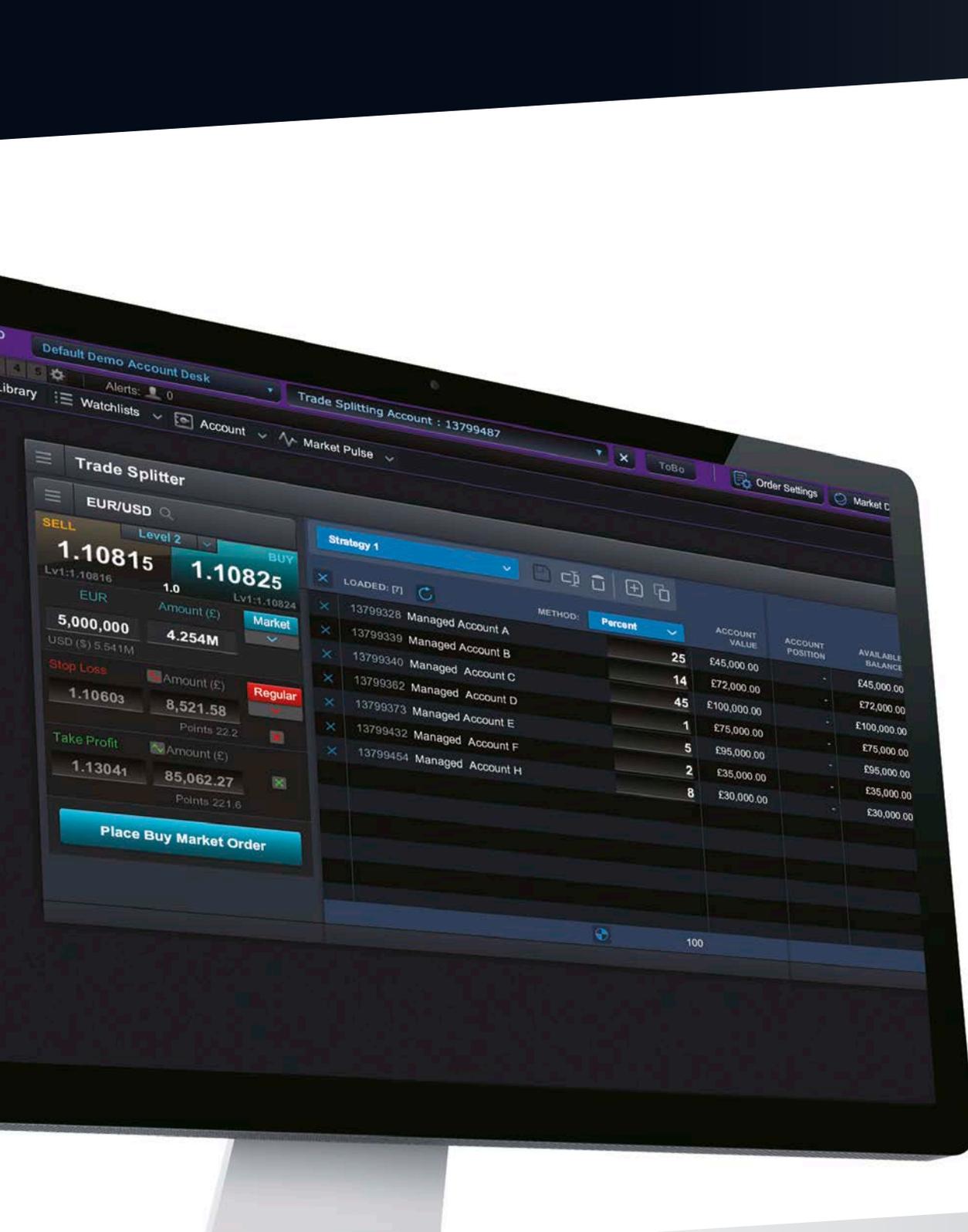
Our real-time reporting tool enables you to quickly identify any issues or opportunities. You can easily see your revenue levels by office, desk or employee. Furthermore, you can view number of trades placed and overall asset class split.

Rebate mechanism

Rebates are automatically applied and reflected in a partners' real-time cash account. What's more, you can easily amend individual client rebate profiles, allowing you to quickly react to changing client trading volumes.

End-of-day trading extracts

Whether it's for regulatory reporting, analysing performance or risk auditing, our automated service delivers your data when and how you want it. Files can be delivered in a number of ways and a range of formats.



Trade splitting

Our TradeSplitter tool enables you to choose how a single block order is allocated across defined customer accounts:

Track all positions in real-time from one screen.

Use three methods of allocation:
Absolute, Per Cent or Ratio.

Add further instructions to the block order such as boundaries, stop losses and take profits.

Tailored technology, flexible terms

We've designed our commercial terms to be like our technology – competitive and customisable. We understand that every partner has their own unique requirements and that's why our cost structures come with a high degree of flexibility.

On top of this, we can provide you with additional revenue streams and the choice to have your own bespoke charges on:

Spreads • Commissions • Holding costs

Market data costs will vary depending on instruments selected.

To find out how we can deliver for you and your clients, contact our dedicated institutional team



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CMC Markets UK plc (173730) is authorised and regulated by the Financial Conduct Authority in the United Kingdom in relation to the provision of CFDs.