

SMALL ESTATE STATEMENT AND INDEMNITY form

IMPORTANT NOTE: This form replaces the requirement for Australian Probate, Letters of Administration or Reseal of Foreign Probate, when the current market value of an estate portfolio does not exceed AU\$50,000. If the deceased did not leave a Will an 'Intestacy Request and Indemnity form' must be completed instead.

Section A – Security Details

ASX Code	Security Name (eg. AMP Limited)	Security Reference Number (SRN) / Holder Identification Number (HIN)	Quantity of shares

Proceed to **SECTION B >**

Section B – Estate and Next of Kin Details

Estate of the late

Executor 1: Full Name

Executor 2: Full Name

Executor 3: Full Name

Please mail your completed and signed form to the address below.

Proceed to **SECTION C >**

Section C – Declaration

I/We do solemnly and sincerely declare I am/we are the legal representative(s) for the above deceased estate.

I/We request the security issuer to permit transmission of the securities detailed above to me/ourselves as legal representative(s) of the estate without requiring a Grant of Probate or Letters of Administration or Reseal of Grant of Probate to be obtained where the securities are registered.

In consideration of the security issuer registering the securities in my/our name(s) I/we hereby covenant to indemnify and forever keep indemnified the security issuer, the directors and trustees of the security issuer, the security registrar and the directors and officers of the security registrar, CMC Markets Stockbroking Limited and the directors and officers of CMC Markets Stockbroking Limited from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.

Name	Signature	Date (DD/MM/YY)
Executor 1		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>
Witness 1 (MANDATORY)		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>
Executor 2		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>
Witness 2 (MANDATORY)		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>
Executor 3		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>
Witness 3 (MANDATORY)		
<input type="text"/>	<input type="text" value="X"/>	<input type="text" value="/ / 20"/>

This section MUST be signed by ALL Executor(s)/Administrator(s) and witnessed for your instructions to be executed.

I/We authorise you to act in accordance with my/our instructions set out above. I/We acknowledge that these instructions supersede and have priority over all previous instructions in respect to the estate.

The Corporations Act 2001 imposes severe penalties for making a false statement (\$10,000 or 2 years imprisonment or both) or failing to ensure a statement is not false or misleading (\$5,000 or 1 year imprisonment or both).

Please mail your completed and signed form to the address below.